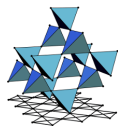


# Spontaneous breaking of Lorentz symmetry in QED<sub>3</sub>

Lukas Janssen

Technische Universität Dresden

based on: LJ, arXiv:1604.06354 [hep-th]

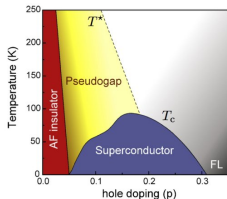


**SFB 1143**

# QED<sub>3</sub> as ...

... effective description of high- $T_c$ 's:

[Franz, Tesanovic, Vafeek '02; Herbut '02; ...]



... with  $N = 2$  four-component Dirac fermions

... field theory of the half-filled Landau level?

[Son '15]



... with  $N = 1/2$

... emergent theory of fractionalized excitations in spin systems:

[Hermele *et al.* '04; Ran *et al.* '07; Xu '08; He *et al.* '15; Wang & Senthil '16; ...]

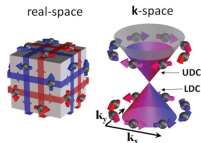
- U(1) Dirac spin liquids?



... with  $N = 1, 2, 4, \dots$

... dual description of topological insulators?

[Wang & Senthil '15; Metlitski & Vishwanath '15; Mross *et al.* '15]

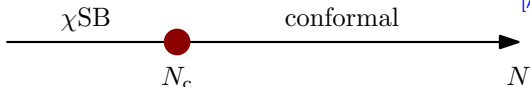


... with  $N = 1/2, 3/2, 5/2, \dots$

## QED<sub>3</sub>: phase diagram

(Expected) phase diagram of QED<sub>3</sub> with  $N$  massless Dirac fermions:

[Appelquist et al. '88; ...]



Low  $N$ :

- chiral symmetry breaking ( $\chi\text{SB}$ )
- dynamical mass generation
- Mott insulator

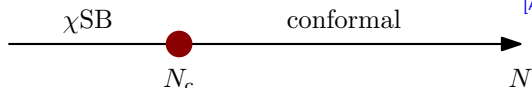
Large  $N$ :

- conformal symmetry
- interacting gapless fermions
- non-Fermi liquid

## QED<sub>3</sub>: phase diagram

(Expected) phase diagram of QED<sub>3</sub> with  $N$  massless Dirac fermions:

[Appelquist et al. '88; ...]



Low  $N$ :

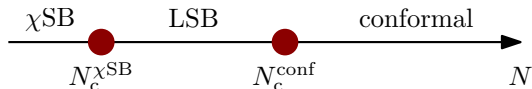
- chiral symmetry breaking ( $\chi\text{SB}$ )
- dynamical mass generation
- Mott insulator

Large  $N$ :

- conformal symmetry
- interacting gapless fermions
- non-Fermi liquid

We show:

[LJ, arXiv:1604.06354]



Intermediate  $N$ :

- spontaneous Lorentz symmetry breaking (LSB)
- gapless fermions, anisotropic propagation

# Outline

(1)  $2 + \epsilon$  expansion:  $N_c^{\text{conf}} \nearrow \infty$  when  $d \searrow 2$

(2) (generalized)  $F$  theorem:  $N_c^{\chi\text{SB}} \leq 1 + \mathcal{O}(d - 2) < N_c^{\text{conf}}$

(3) Mean-field theory,  $F$  theorem, susceptibility analysis:

$$\langle v_\mu \rangle \neq 0 \quad \text{for} \quad N_c^{\chi\text{SB}} < N < N_c^{\text{conf}}$$

# Model

Action:

$$S_{\text{QED}} = \int d^d x \left( \frac{1}{4} F_{\mu\nu} F_{\mu\nu} + \bar{\psi}_i \gamma_\mu D_\mu \psi_i \right) \quad \text{in } 2 < d < 4,$$

where  $i = 1, \dots, N$  and  $\mu, \nu = 0, \dots, d - 1$  and

- Clifford algebra:  $\{\gamma_\mu, \gamma_\nu\} = 2\delta_{\mu\nu} \mathbb{1}_4$  4-dimensional
- Covariant derivative:  $D_\mu = \partial_\mu + ieA_\mu$
- Charge:  $[e^2] = 4 - d$  RG relevant in  $d < 4$  ... QED<sub>3</sub> (super-)renormalizable & asymptotically free
- Symmetries: “chiral” SU(2N), parity, Lorentz, local U(1)  
... “chirality” consequence of the reducible rep. [LJ & Gies '12; ...]
- Gauge fixing:

$$S_{\text{gf}} = -\frac{1}{2\xi} \int d^d x (\partial_\mu A_\mu)^2, \quad \xi \in \mathbb{R}$$

... allows to check gauge invariance via  $\xi$

# Renormalization group

Loop corrections can induce new operators:

... which are not present in  $S_{\text{QED}}$

Most of them **irrelevant**, but

local **4-fermion terms** are marginal in  $d = 2!$

... and can thus become relevant at interacting fixed points in  $d = 2 + \epsilon$

Full basis:

[Gies & LJ '10; ...]

$$S_{4\text{-fermi}} = \int d^d x [g_1(\bar{\psi}_i \gamma_{35} \psi_i)^2 + g_2(\bar{\psi}_i \gamma_\mu \psi_i)^2]$$

with  $\gamma_{35} \equiv i\gamma_3\gamma_5$

... and where  $\gamma_3$  and  $\gamma_5$  the two "left-over" gamma matrices not present in  $\mathcal{D}$

# RG flow for $S = S_{\text{QED}} + S_{\text{gf}} + S_{4\text{-fermi}}$

Flow of charge:

$$\beta_{e^2} = (d - 4 + \eta_A)e^2 \leq 0$$

with anomalous dimension  $\eta_A = \frac{4}{3}Ne^2 + \mathcal{O}(e^4)$ .

- $e^2$  flows to **strong coupling**
- charged fixed point:

$$\eta_A = 4 - d$$

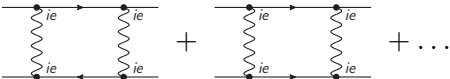
... as in Abelian Higgs model: [Herbut & Tesanovic '96]

- photon propagator:

$$D_{\mu\nu}(q) \propto |q|^{\eta_A - 2} = |q|^{2-d} = \begin{cases} \text{const.}, & d = 2 \\ \frac{1}{|q|}, & d = 3 \end{cases}$$

[Schwinger '62]  
[Pisarski '84]

- strong  $e^2$  **generates  $g_1, g_2$** :

$$\beta_{g_i} = (d - 2)g_i +$$


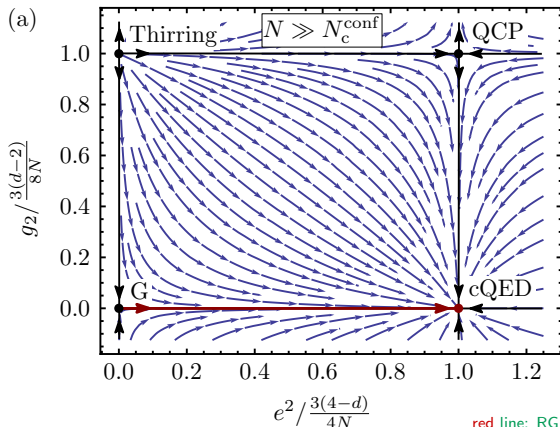
+ ...



## $2 + \epsilon$ expansion

RG flow in  $e^2$ - $g_2$  plane:

... with  $g_1 \equiv g_1^*(e^2, g_2)$  chosen such that  $\beta_{g_1} = 0$



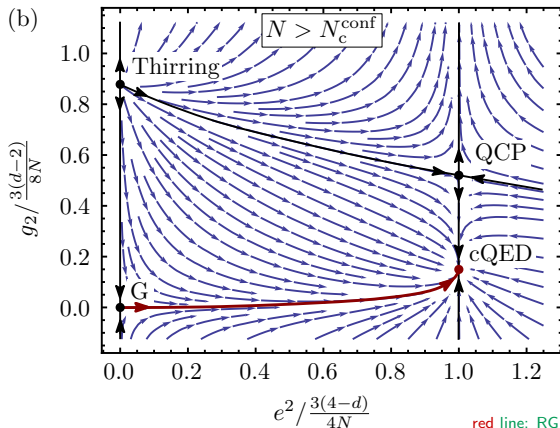
3 interacting fixed points:

Thirring [Gies & LJ, ... '10, '12, '15], conformal (cQED), quantum critical (QCP)

## $2 + \epsilon$ expansion

RG flow in  $e^2 - g_2$  plane:

... with  $g_1 \equiv g_1^*(e^2, g_2)$  chosen such that  $\beta_{g_1} = 0$

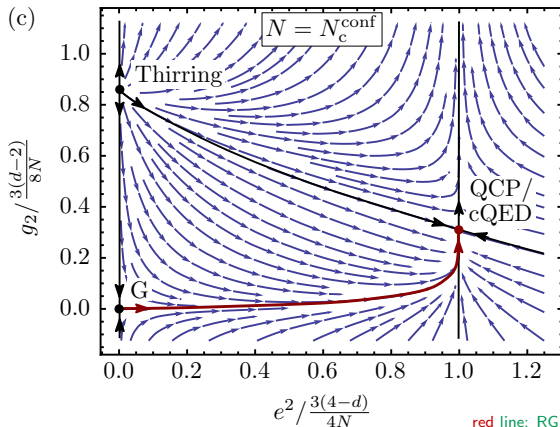


cQED and QCP **approach each other** when lowering  $N$

## $2 + \epsilon$ expansion

RG flow in  $e^2 - g_2$  plane:

... with  $g_1 \equiv g_1^*(e^2, g_2)$  chosen such that  $\beta_{g_1} = 0$

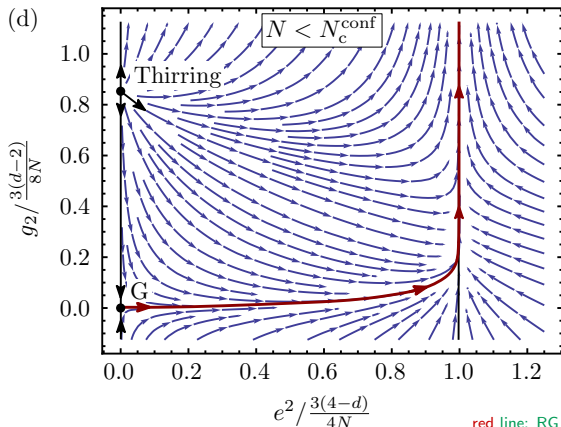


cQED and QCP **merge** when  $N = N_c^{\text{conf}}$

## $2 + \epsilon$ expansion

RG flow in  $e^2 - g_2$  plane:

... with  $g_1 \equiv g_1^*(e^2, g_2)$  chosen such that  $\beta_{g_1} = 0$



cQED and QCP disappear for  $N < N_c^{\text{conf}}$  ...

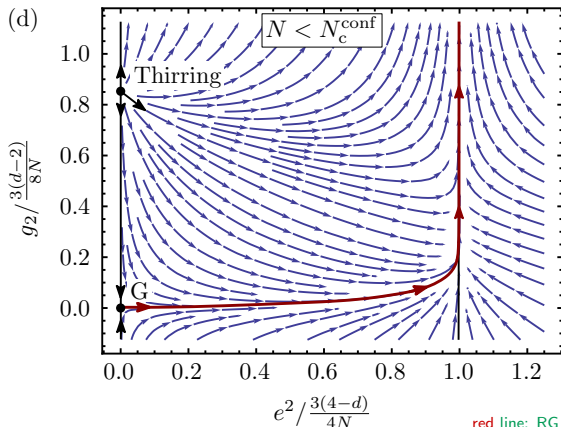
... leaving behind the runaway flow!

... i.e., conformal phase is unstable below  $N_c^{\text{conf}}$

## $2 + \epsilon$ expansion

RG flow in  $e^2 - g_2$  plane:

... with  $g_1 \equiv g_1^*(e^2, g_2)$  chosen such that  $\beta_{g_1} = 0$



$$N_c^{\text{conf}} = \frac{8\sqrt[3]{2}}{\epsilon^{4/3}} \rightarrow \infty \quad \text{for} \quad \epsilon \rightarrow 0$$

... agrees with [Di Pietro *et al.* '16]  
... perturbative expansion under control

# RG monotonicity

Observation:

“effective” number of degrees of freedom decreases under RG

... e.g.,  $N$  critical modes at Wilson-Fisher  $O(N)$  fixed point  $\rightarrow$   $(N - 1)$  massless modes in the SSB phase  
or 0 massless modes in the symmetric phase

Quantification?

1+1D:  $c$  theorem

[Zamolodchikov '86]

$$c_{UV} > c_{IR}$$

$c$  central charge of conformal algebra  
... RG flows go “downhill”

3+1D:  $a$  theorem

[Cardy '88; Komargodski & Schwimmer '11]

$$a_{UV} > a_{IR}$$

anomaly coefficient  $a \sim \int_{S^4} \langle T^\mu_\mu \rangle$

2+1D:  $F$  theorem

[Jafferis '10; Jafferis *et al.* '11; Casini & Huerta '12; ...]

$$F_{UV} > F_{IR}$$

“sphere free energy”  $F = -\log Z_{S^3}$   
= universal part of entanglement-entropy scaling  $S_A = \alpha L - \gamma + \mathcal{O}(1/L) \Rightarrow \gamma = F$   
[Kitaev & Preskill '06; Casini, Huerta, Myers '11]

# RG monotonicity: continuous dimension?

Generalized  $F$  for  $d \in \mathbb{R}$ :

[Giombi & Klebanov '14]

$$\tilde{F} = \sin\left(\frac{\pi d}{2}\right) \log Z_{S^d}$$

with:

$$\tilde{F}|_{d=2} = \frac{\pi}{6} c \quad c \text{ theorem} \quad \checkmark$$

$$\tilde{F}|_{d=3} = F \quad F \text{ theorem} \quad \checkmark$$

$$\tilde{F}|_{d=4} = \frac{\pi}{2} a \quad a \text{ theorem} \quad \checkmark$$

Thus:

$$\boxed{\tilde{F}_{UV} > \tilde{F}_{IR}} \quad \text{for all integer } d$$

... and various **evidence for continuous  $d$** :

$$d = 2 + \epsilon, \quad d = 3 - \epsilon, \quad d = 4 - \epsilon, \quad d = 6 - \epsilon, \dots$$

[Giombi, Klebanov, Tarnopolsky, Fei, ... '14, '15, '16]

... though no rigorous proof yet

### QED<sub>3</sub>: breaking of $U(2N) \rightarrow U(N) \times U(N)$ ?

Compute  $\tilde{F}$  in  $d = 2 + \epsilon$ :

[Giombi, Klebanov, Tarnopolsky '16]

$$\text{UV: } \tilde{F}_{\text{UV}} = \underbrace{N\tilde{F}_f}_{\text{fermions}} + \underbrace{(d-2)\tilde{F}_b}_{\text{photon}} = N\frac{\pi}{3} + \mathcal{O}(\epsilon)$$

$\tilde{F}_f$ : free fermion  
 $\tilde{F}_b$ : free boson

$$\text{cQED: } \tilde{F}_{\text{conf}} = (N - \frac{1}{2})\tilde{F}_f = \underbrace{(2N-1)}_{\text{cSchwinger } \checkmark} \frac{\pi}{6} + \mathcal{O}(\epsilon)$$

$$\chi\text{SB: } \tilde{F}_{\chi\text{SB}} = (2N^2 + (d-2))\tilde{F}_b = N^2\frac{\pi}{3} + \mathcal{O}(\epsilon)$$

$$\Rightarrow \tilde{F}_{\chi\text{SB}} > \tilde{F}_{\text{UV}} > \tilde{F}_{\text{conf}} \quad \text{for all } N > 1$$

Hence:

$$N_c^{\chi\text{SB}} \leq 1 + \mathcal{O}(d-2) < N_c^{\text{conf}}$$

... the phase below  $N_c^{\text{conf}}$  cannot exhibit  $\chi\text{SB}$ !

[LJ, arXiv:1604.06354]



## Intermediate phase

Vafa-Witten theorem:  $\text{QED}_3$  should have

[Vafa & Witten '84]

- (a) unbroken  $U(N) \times U(N)$  symmetry, and  
... rules out other chiral breaking patterns
- (b) gapless spectrum  
... rules out plain parity breaking

...at least

What else can it be?

# Mean-field theory

Recall flow ...

... towards divergent  $g_2$  (and finite  $e^2$ ,  $g_1$ )!

Effective description: **Thirring** model!

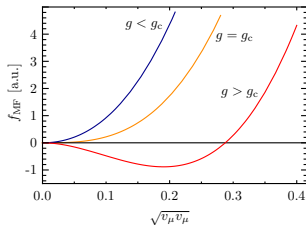
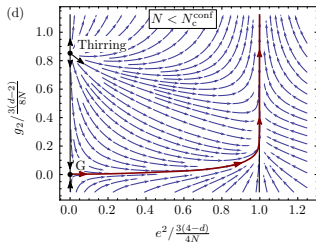
$$S_{\text{Thirring}} = \int d^d x \left[ \bar{\psi}_i \gamma_\mu \partial_\mu \psi_i + g_2 (\bar{\psi}_i \gamma_\mu \psi_i)^2 \right]$$

Large- $N$  (saddle-point) solution:

$$f_{\text{MF}}(v_\mu) = \frac{1}{2} \left( \frac{1}{g_2} - \frac{40N}{3} \right) v_\mu^2 + \sqrt{6}\pi N (v_\mu^2)^{3/2} + \mathcal{O}(v^4)$$

for **vector** order parameter  $v_\mu \propto \langle \bar{\psi}_i \gamma_\mu \psi_i \rangle$

$\Rightarrow$  transition towards  $v_\mu \neq 0$ : spontaneous **Lorentz** symmetry breaking!



... spontaneous formation of electron-hole puddles  
... consistent with Vafa-Witten and  $F$  theorem

# Susceptibility analysis in $d = 2 + \epsilon$

Add small symmetry-breaking “seeds”:

... à la magnetic field

$$S_{\Delta} = \int d^d x \bar{\psi}_i [\Delta_{\chi\text{SB}} \mathbb{1}_4 + \Delta_{\text{PSB}} \gamma_{35} + i\Delta_{\text{Kek}} (\gamma_3 \cos \varphi + \gamma_5 \sin \varphi) + i\Delta_{\text{LSB}}^{\mu} \gamma_{\mu}] \psi_i$$

$N \lesssim N_c^{\text{conf}}$ : Flow “hovers” over complex pair of fixed points QCP/cQED:

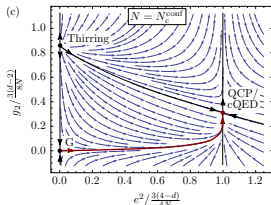
⇒ dominant order: **largest susceptibility at QCP**

$$\gamma_{\chi\text{SB}} = -\epsilon + \frac{8}{N} + \mathcal{O}(\epsilon^{3/2}) = \gamma_{\text{Kek}} < 0$$

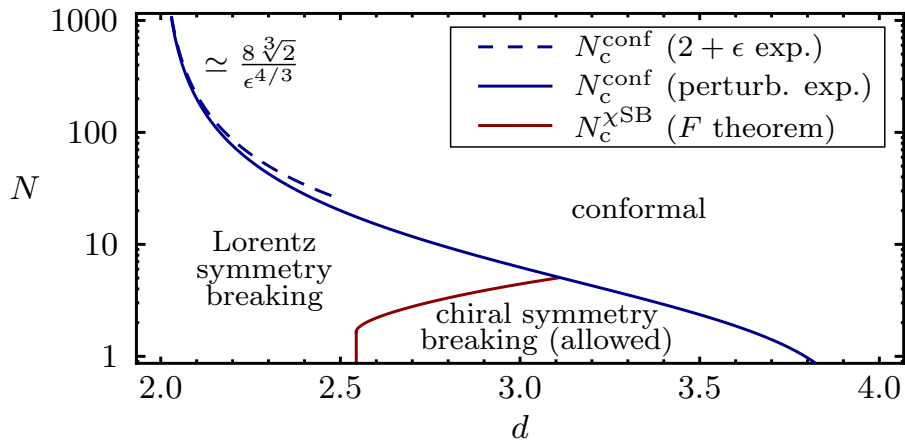
$$\gamma_{\text{PSB}} = -\epsilon - \frac{12}{\sqrt{N}} + \frac{8}{N} + \mathcal{O}(\epsilon^{3/2}) < 0$$

$$\gamma_{\text{LSB}} = \epsilon \sqrt{1 - \left(\frac{N_c^{\text{conf}}}{N}\right)^{3/2}} + \mathcal{O}(\epsilon^{3/2}) > 0$$

⇒ instability towards spontaneous **Lorentz** symmetry breaking!



# Phase diagram of $\text{QED}_{2 < d < 4}$



$N_c^{\chi\text{SB}} < N_c^{\text{conf}}$  possibly even in  $d = 3!$

# Conclusions

- (1) QED<sub>3</sub> has **conformal** ground state at large  $N > N_c^{\text{conf}} = \frac{8\sqrt[3]{2}}{\epsilon^{4/3}}$   
... in  $d = 2 + \epsilon$
- (2) Common scenario with direct transition towards  $\chi$ SB **inconsistent** with (generalized)  $F$  theorem  
... at least in  $d = 2 + \epsilon$   
... there are just too many Goldstones!
- (3) Only possibility for  $N \lesssim N_c^{\text{conf}}$ :  
spontaneous **Lorentz** symmetry breaking (LSB)!  
... compatible with  $F$  theorem & Vafa-Witten
- (4) Mean-field & susceptibility analyses:  
**confirm** existence of LSB phase  
... independently
- (5) Extrapolation  $\epsilon \rightarrow 1$  & perturbative expansion in fixed  $d = 3$ :  
**suggest** finite window of LSB phase also in physical dimension