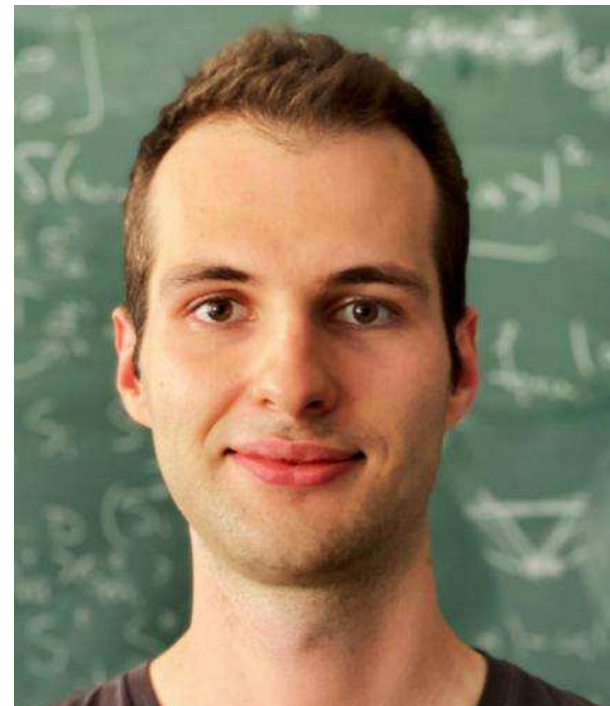
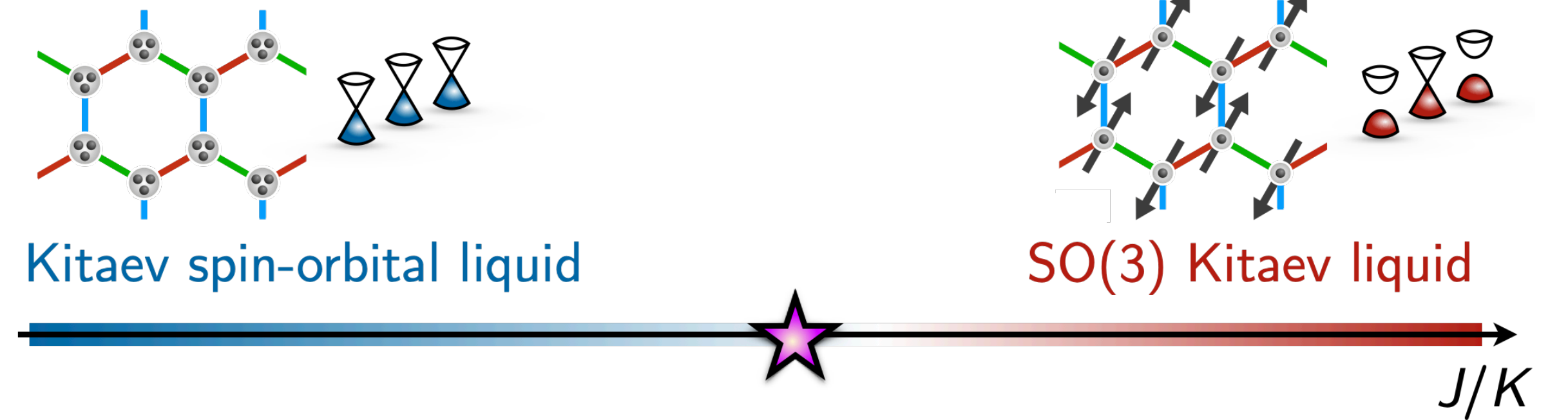


# Quantum criticality in frustrated magnets

Lukas Janssen  
TU Dresden



Urban Seifert, Santa Barbara



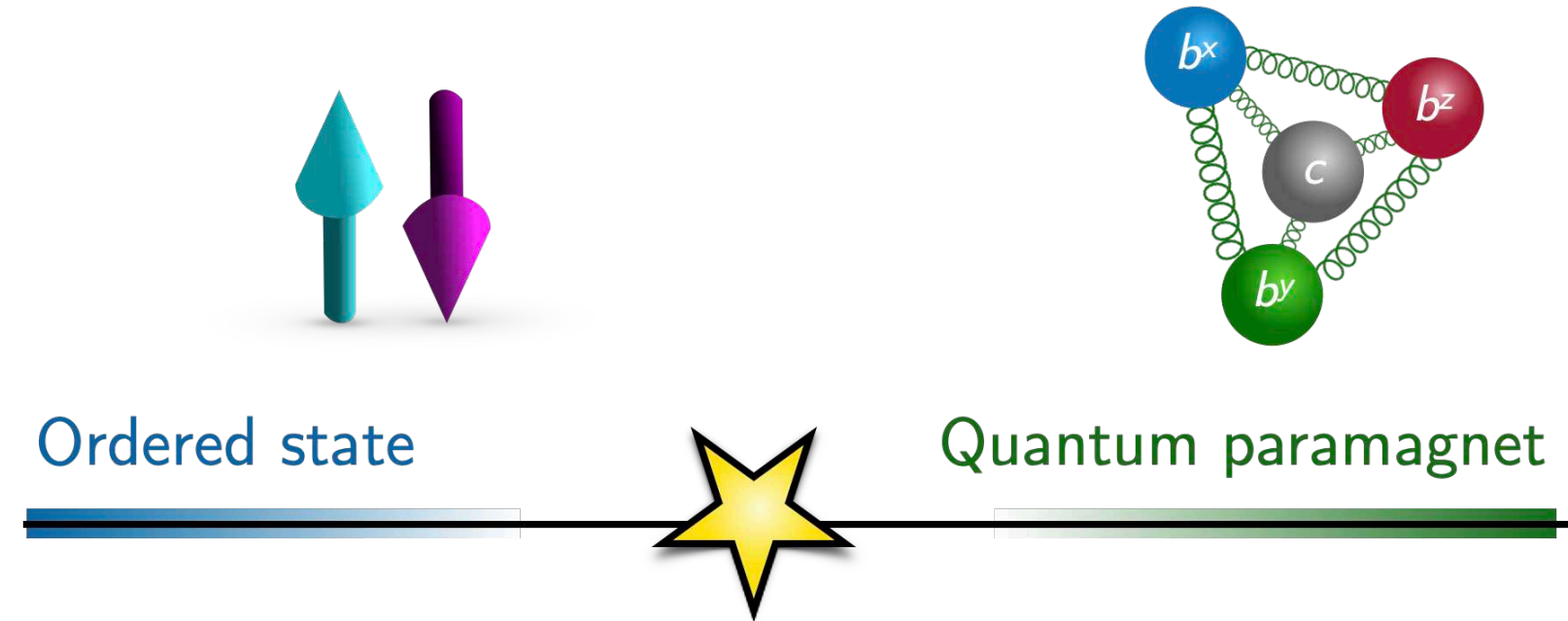
Zihong Liu, Würzburg

Fakher Assaad, Würzburg  
Sreejith Chulliparambil, Dresden  
Xiao-Yu Dong, Ghent  
Hong-Hao Tu, Dresden  
Matthias Vojtá, Dresden

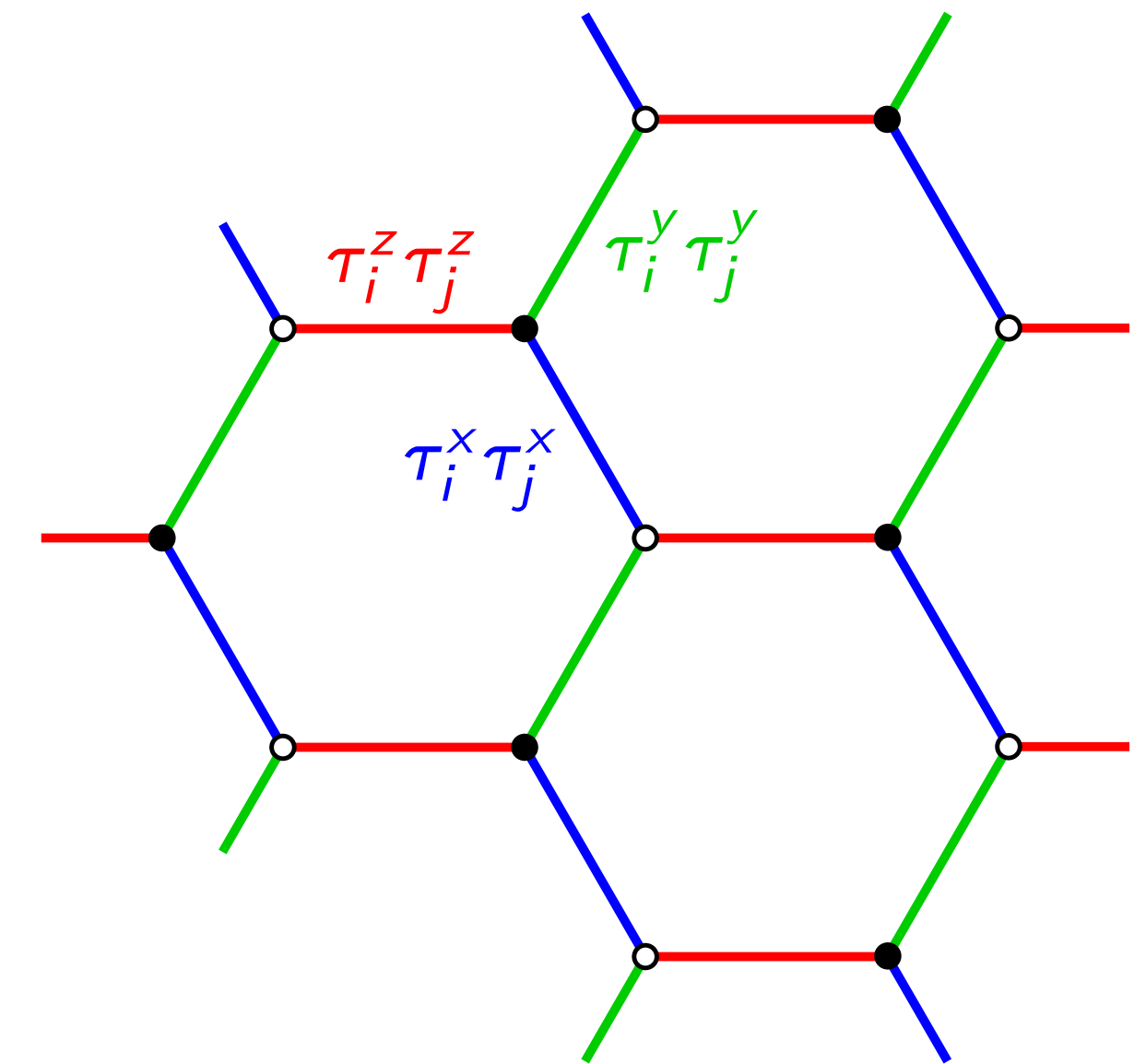


# Outline

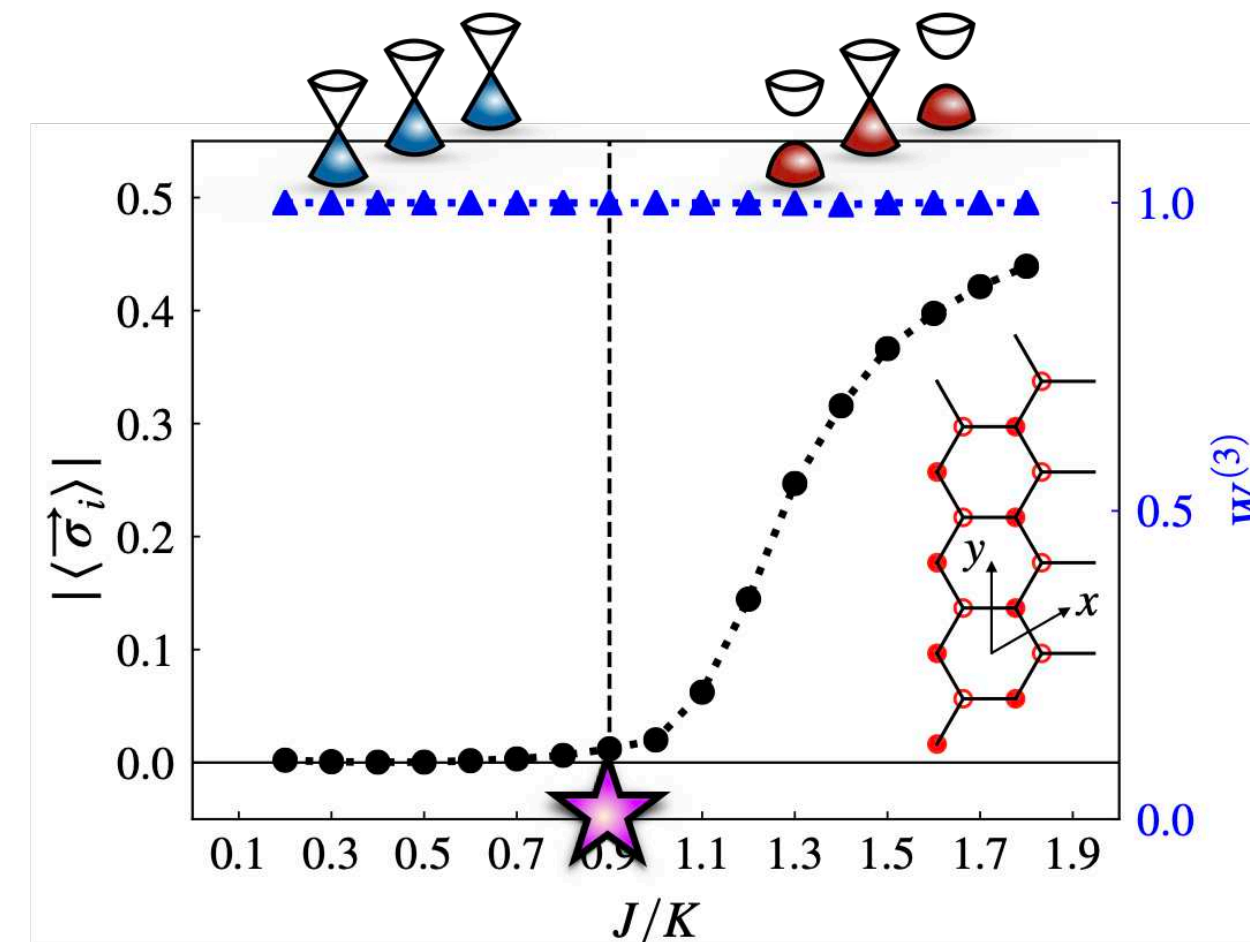
(1) Fractionalized quantum criticality



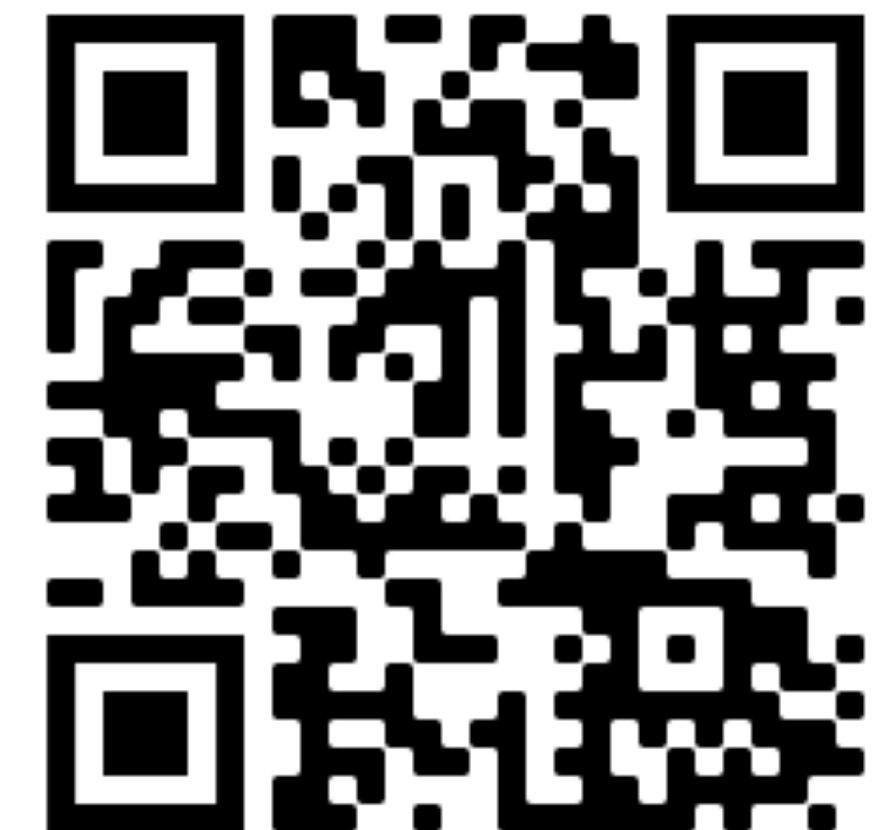
(2) From Kitaev to Kitaev-Kugel-Khomskii



(3) Kitaev-Heisenberg spin-orbital models



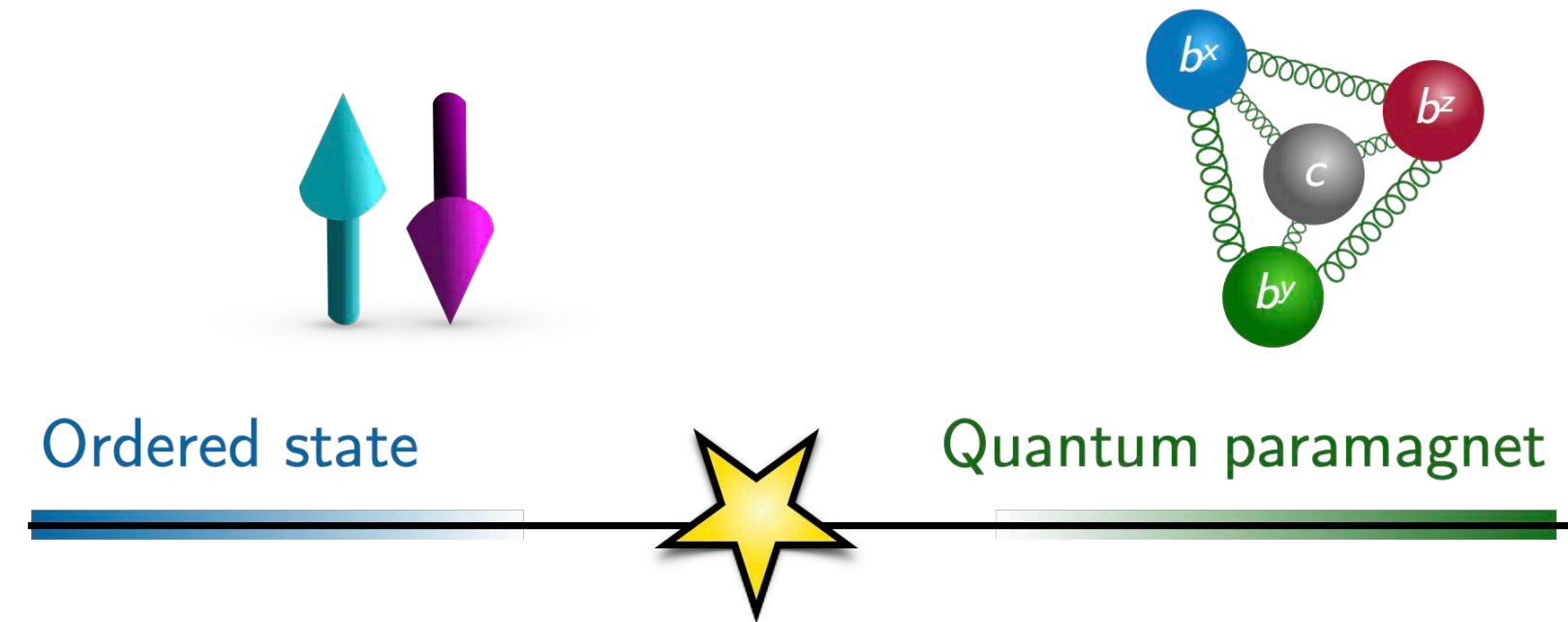
(4) Conclusions



Slides available on <https://tu-dresden.de/physik/qcm/vortraege>

# Outline

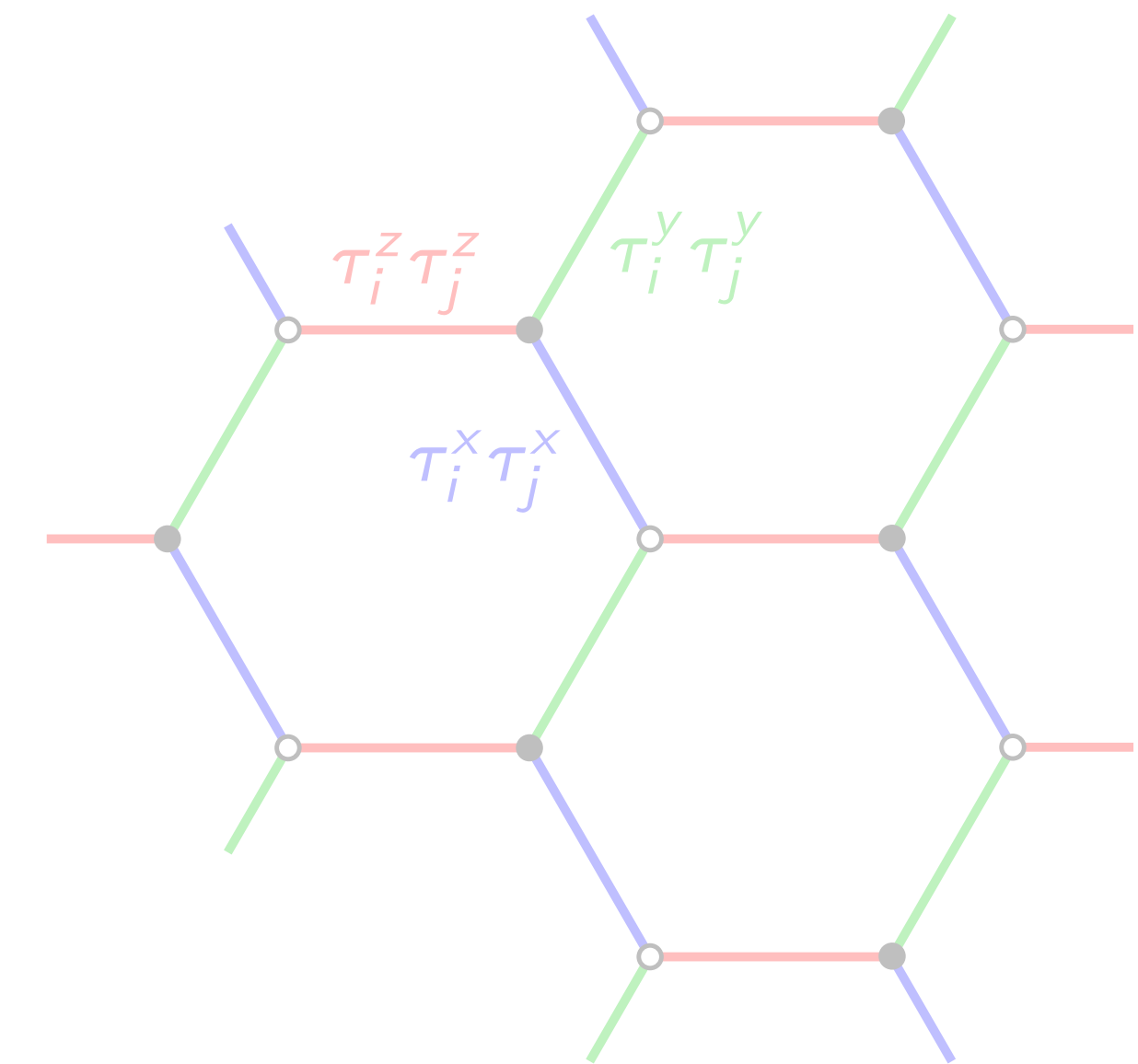
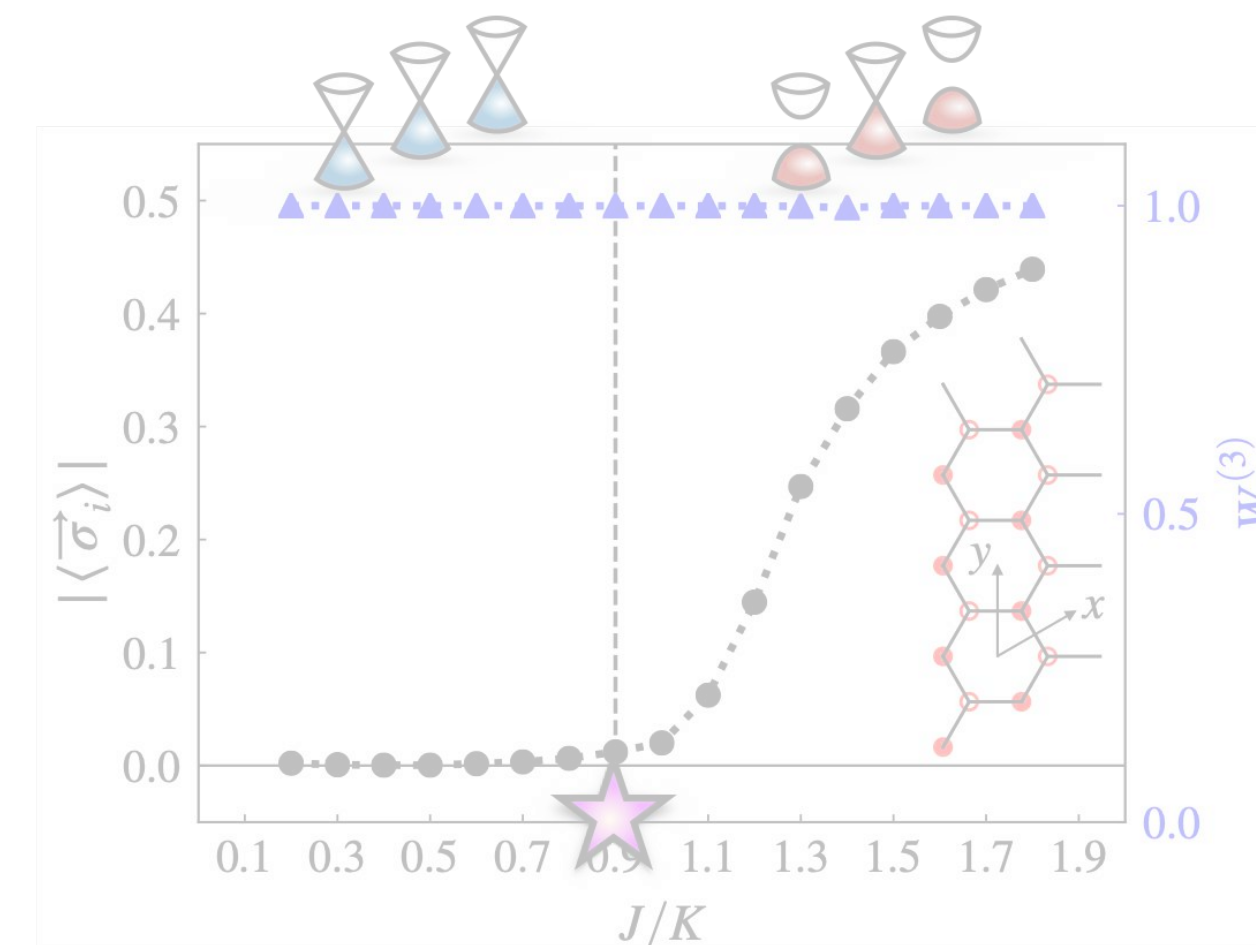
## (1) Fractionalized quantum criticality



## (2) From Kitaev to Kitaev-Kugel-Khomskii

## (3) Kitaev-Heisenberg spin-orbital models

## (4) Conclusions

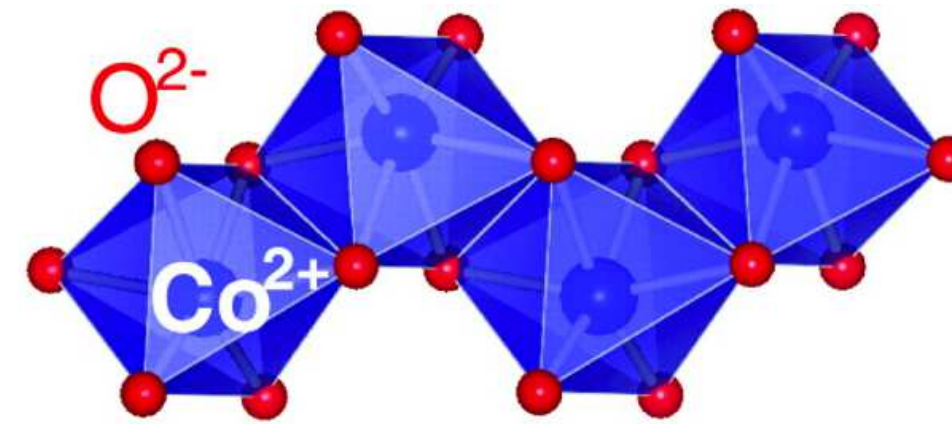
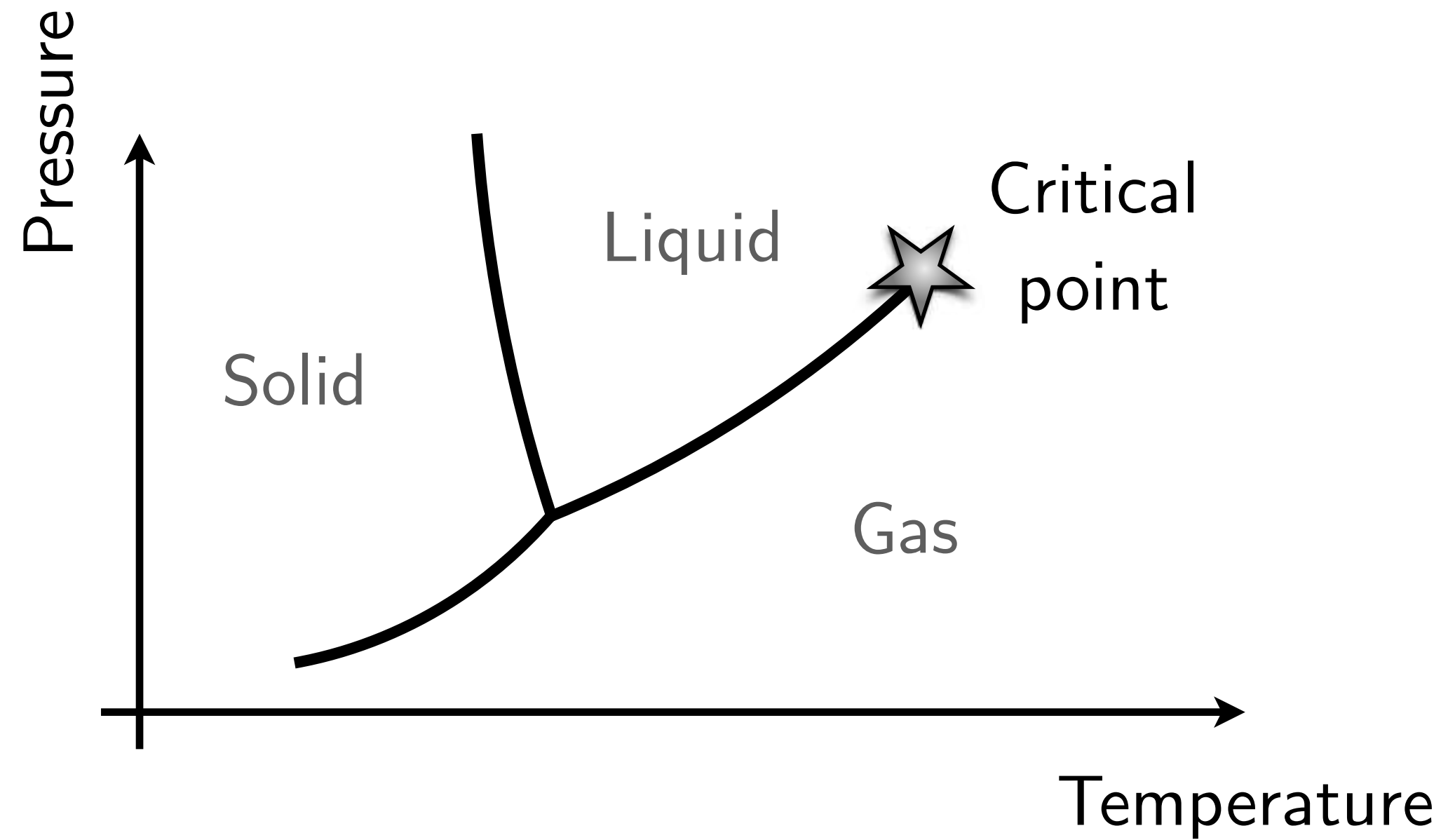


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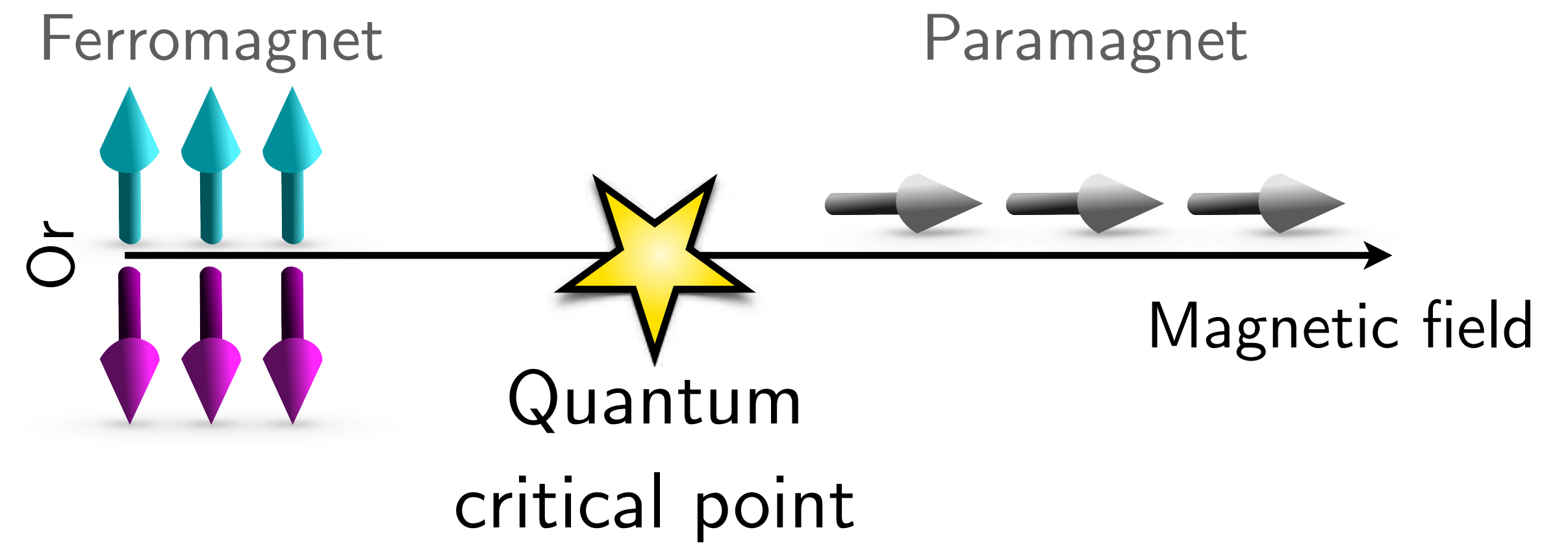
# Classical vs quantum criticality



H<sub>2</sub>O  $T > 0$



CoNb<sub>2</sub>O<sub>6</sub>  $T \rightarrow 0$



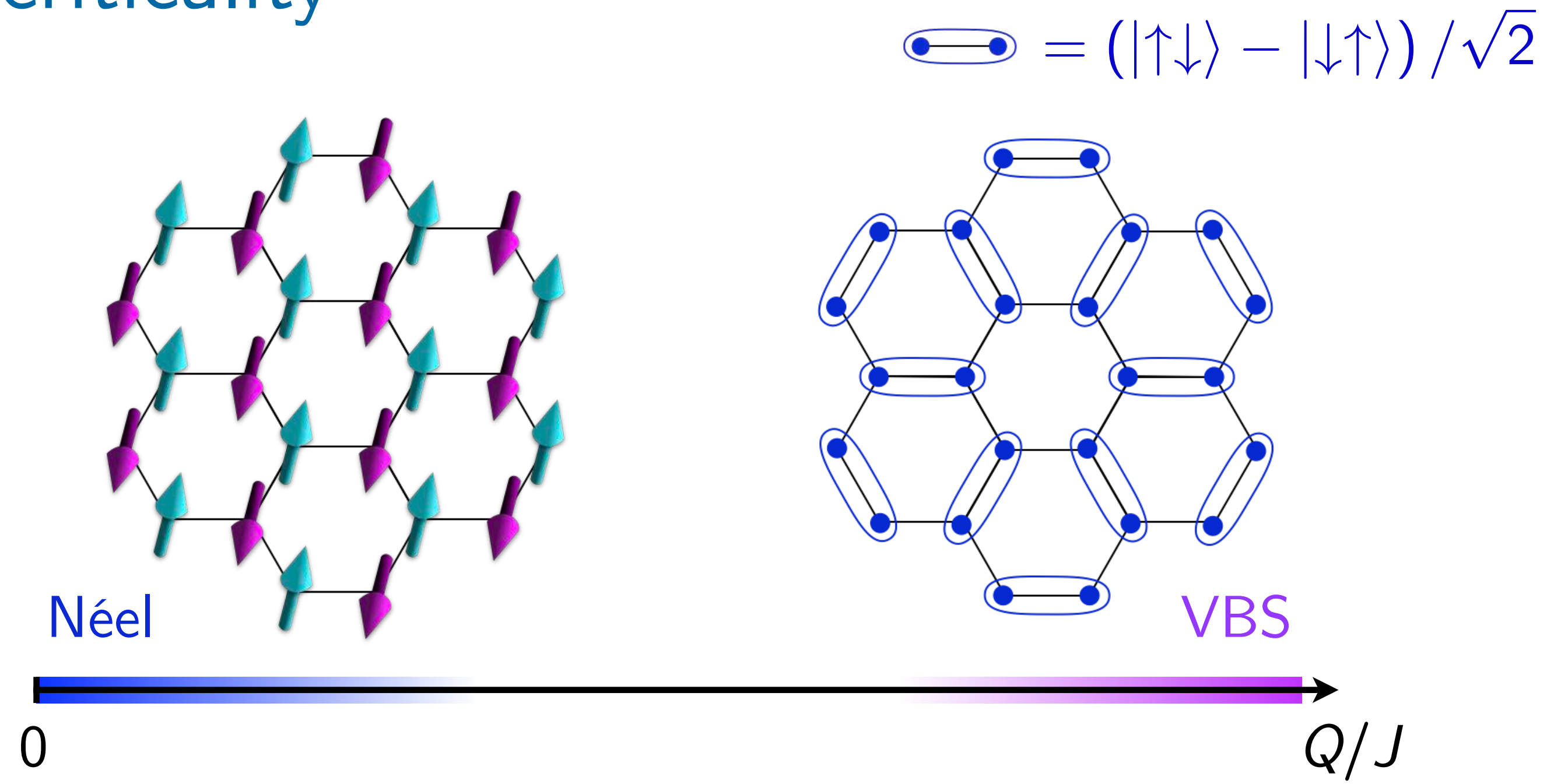
[Coldea *et al.*, Science '10]

[Kinross *et al.*, PRX '14]

[Morris *et al.*, Kaul, Armitage, Nat. Phys. '21]

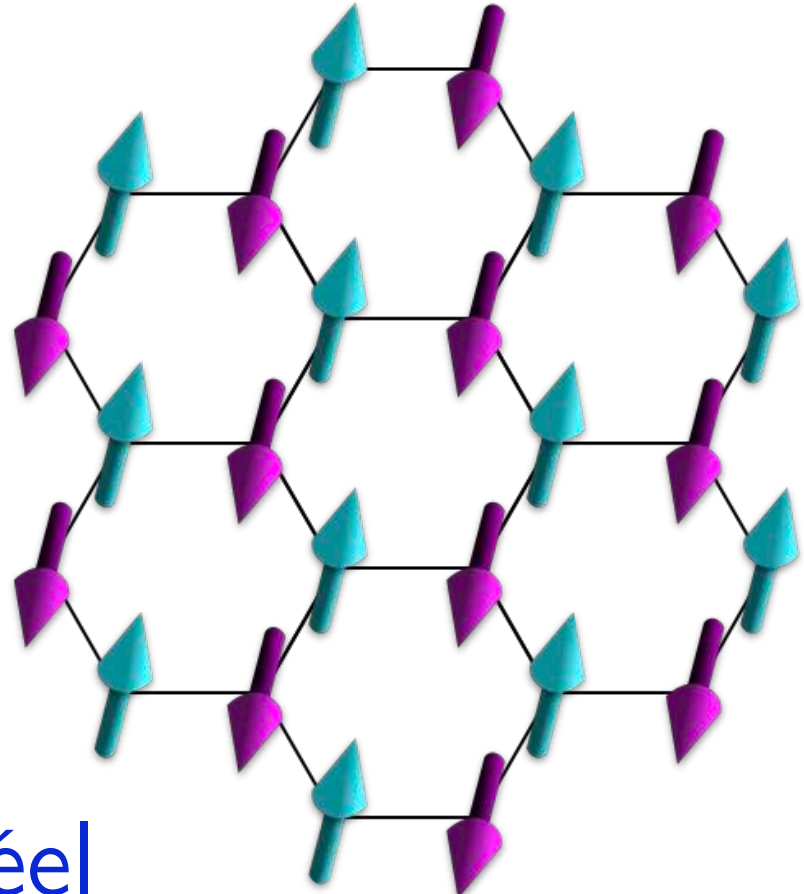
...

# Deconfined quantum criticality

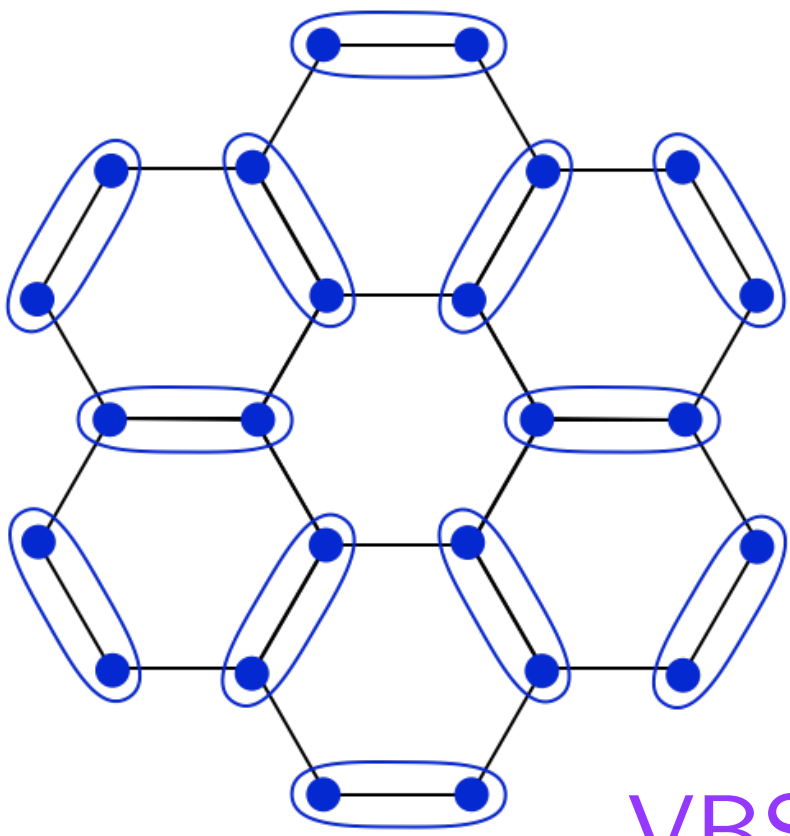


# Deconfined quantum criticality

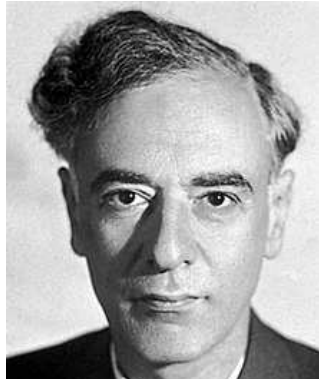
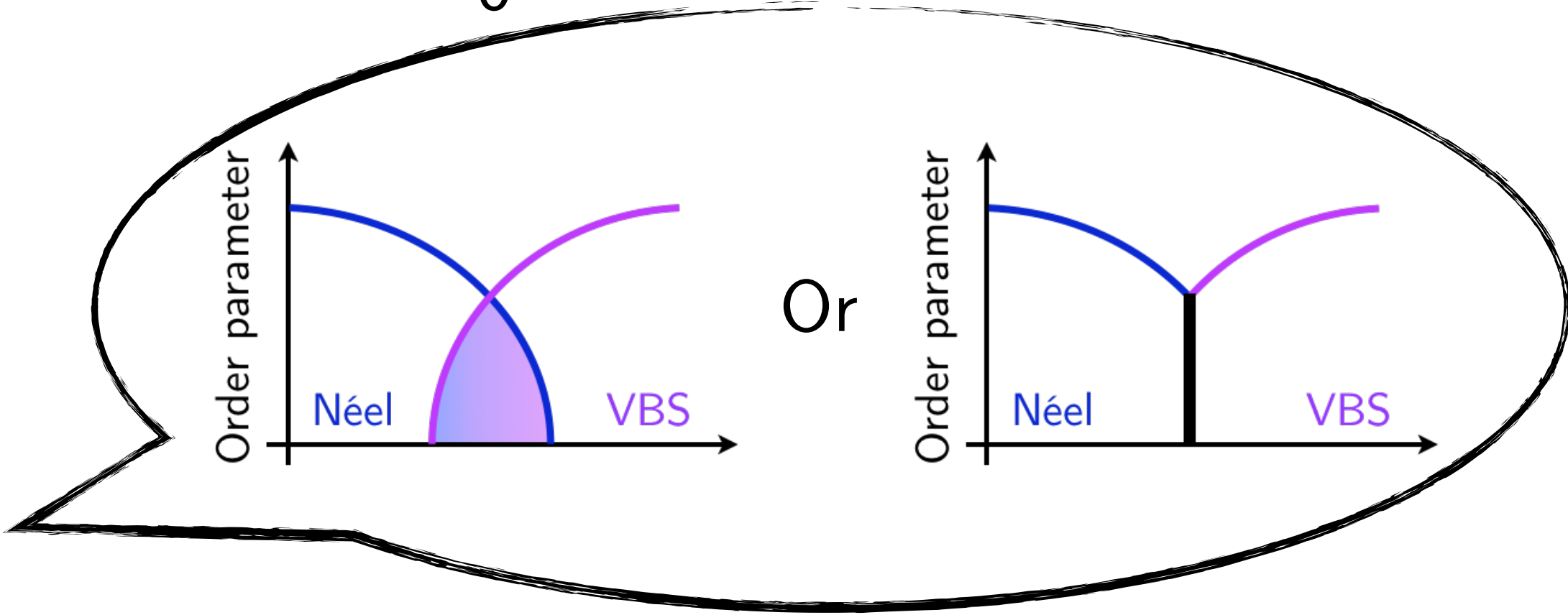
$$\text{---} = (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$$



Néel



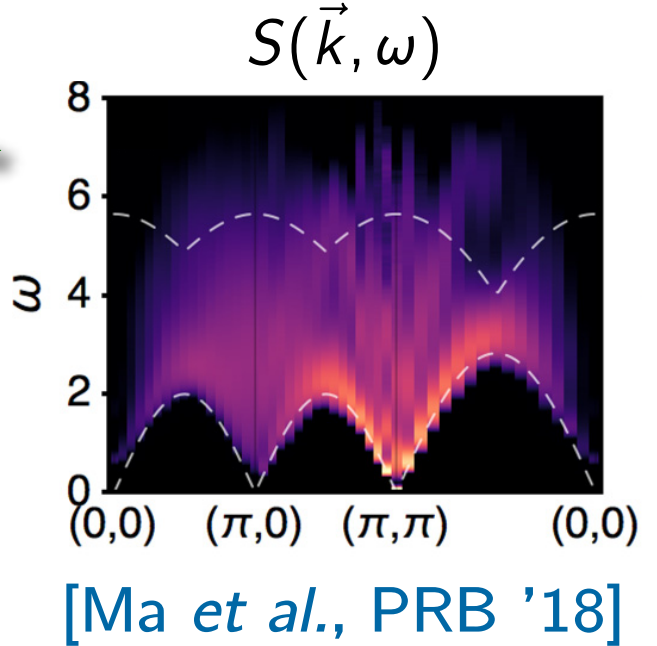
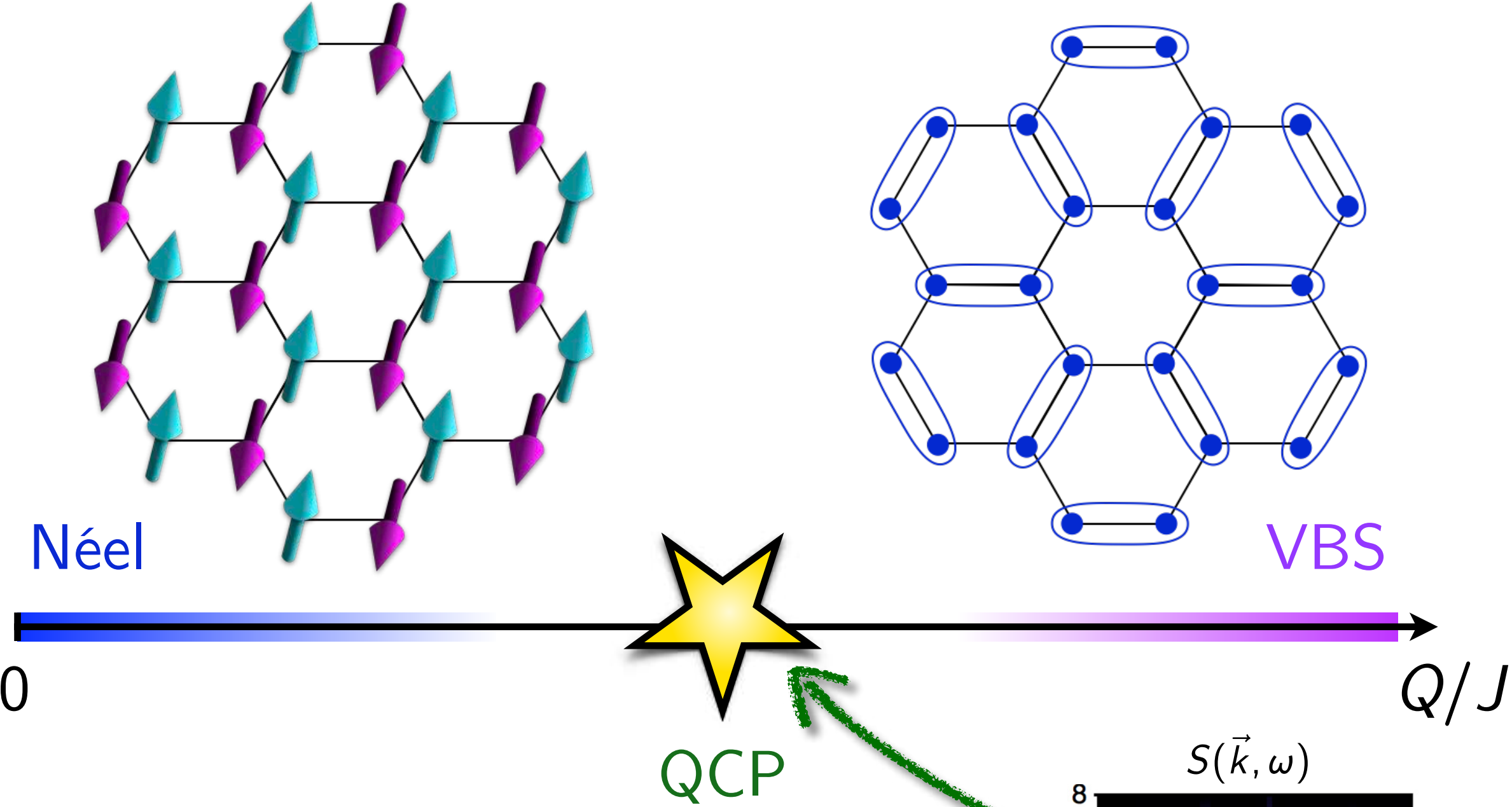
VBS



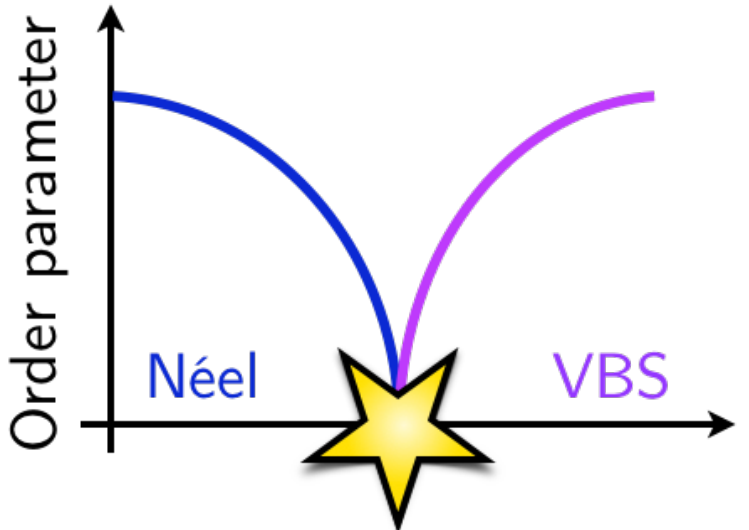
Landau

# Deconfined quantum criticality

$$\text{---} = (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$$

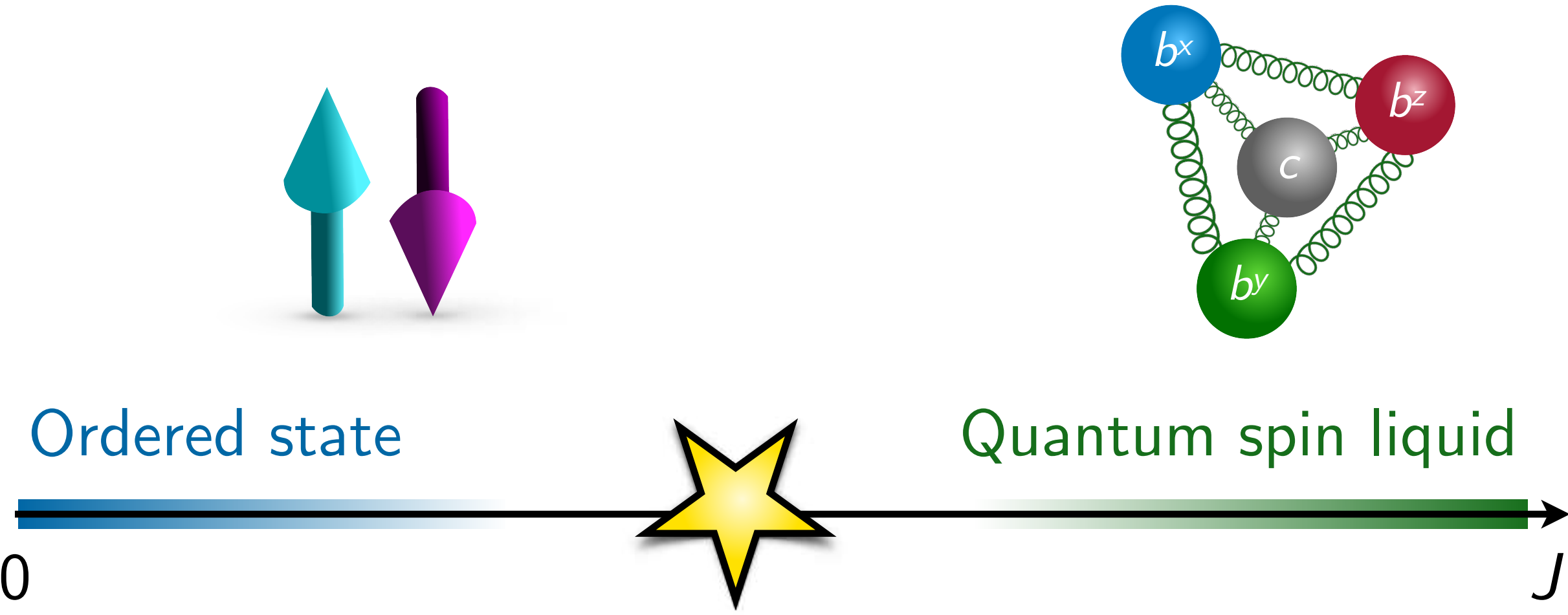


“Deconfined” quasiparticles  $B$  and  $\bar{B}$

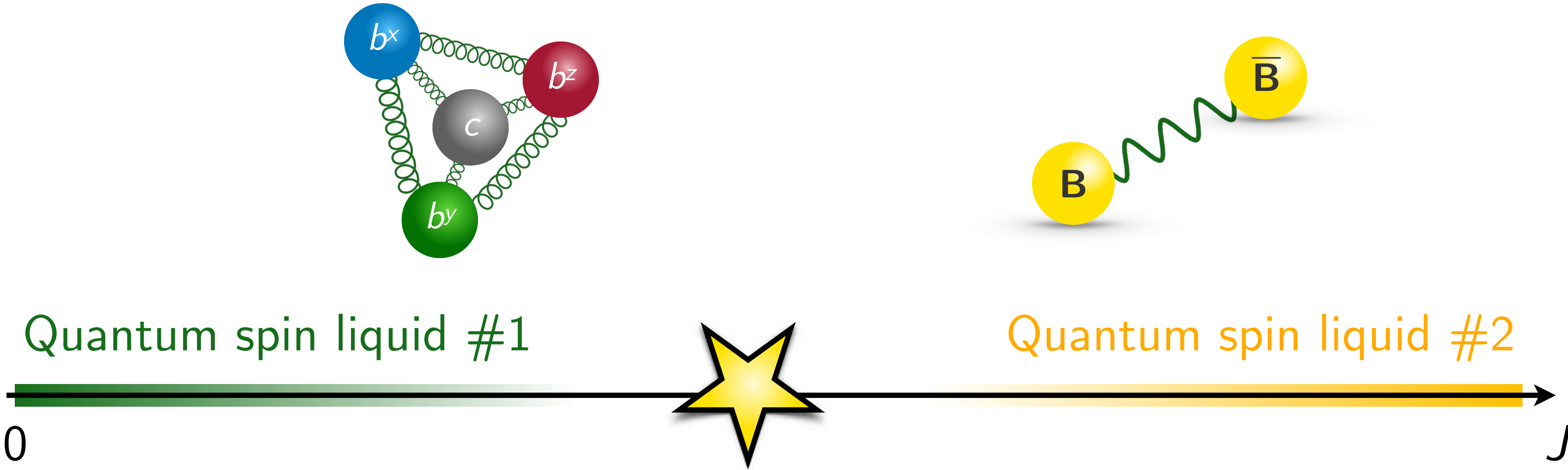


[Senthil *et al.*, Science '04]  
 [Pujari, Damle, Alet, PRL '13]  
 [Block, Melko, Kaul, PRL '13]  
 [Shao, Guo, Sandvik, Science '16]  
 ...

# Fractionalized quantum criticality



[Isakov, Melko, Hastings, Science '12]  
 [Assaad & Grover, PRX '16]  
 [LJ, Wang, Scherer, Meng, Xu, PRB '20]  
 ...



[Metlitski, Mross, Sachdev, Senthil, PRB '15]  
 [LJ & He, PRB '17]  
 [Boyack, Lin, Zerf, Rayyan, Maciejko, PRB '18]  
 ...

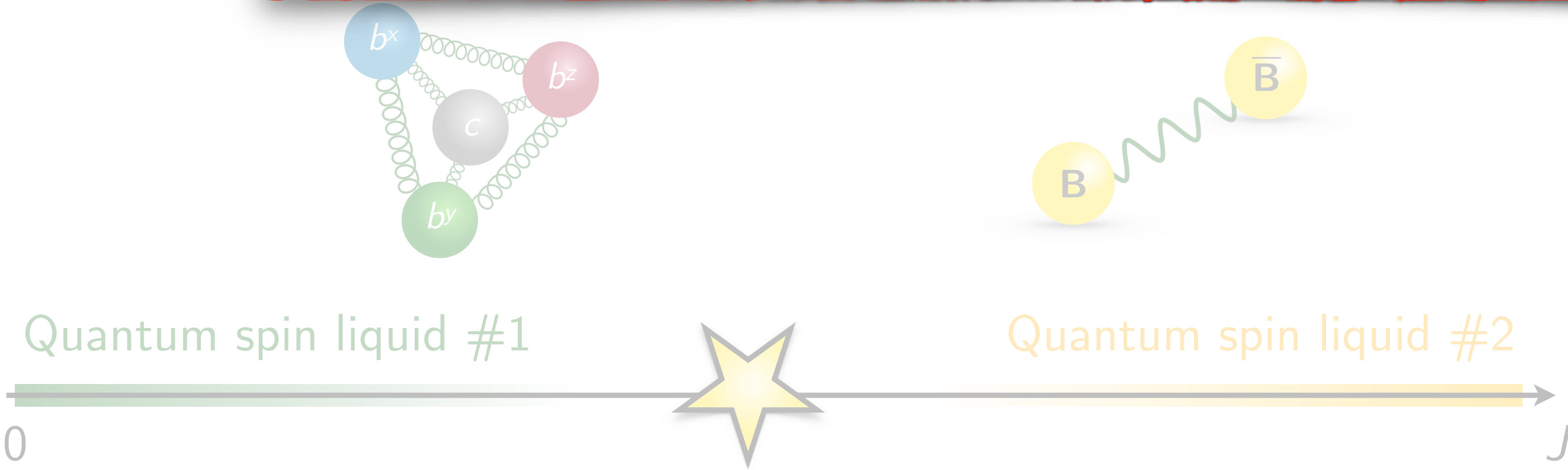


# Fractionalized quantum criticality



[Isakov, Melko, Hastings, Science '12]  
[Assaad & Grover, PRX '16]  
[LJ, Wang, Scherer, Meng, Xu, PRB '20]  
...

**Goal: Fractionalized transition in microscopic model**



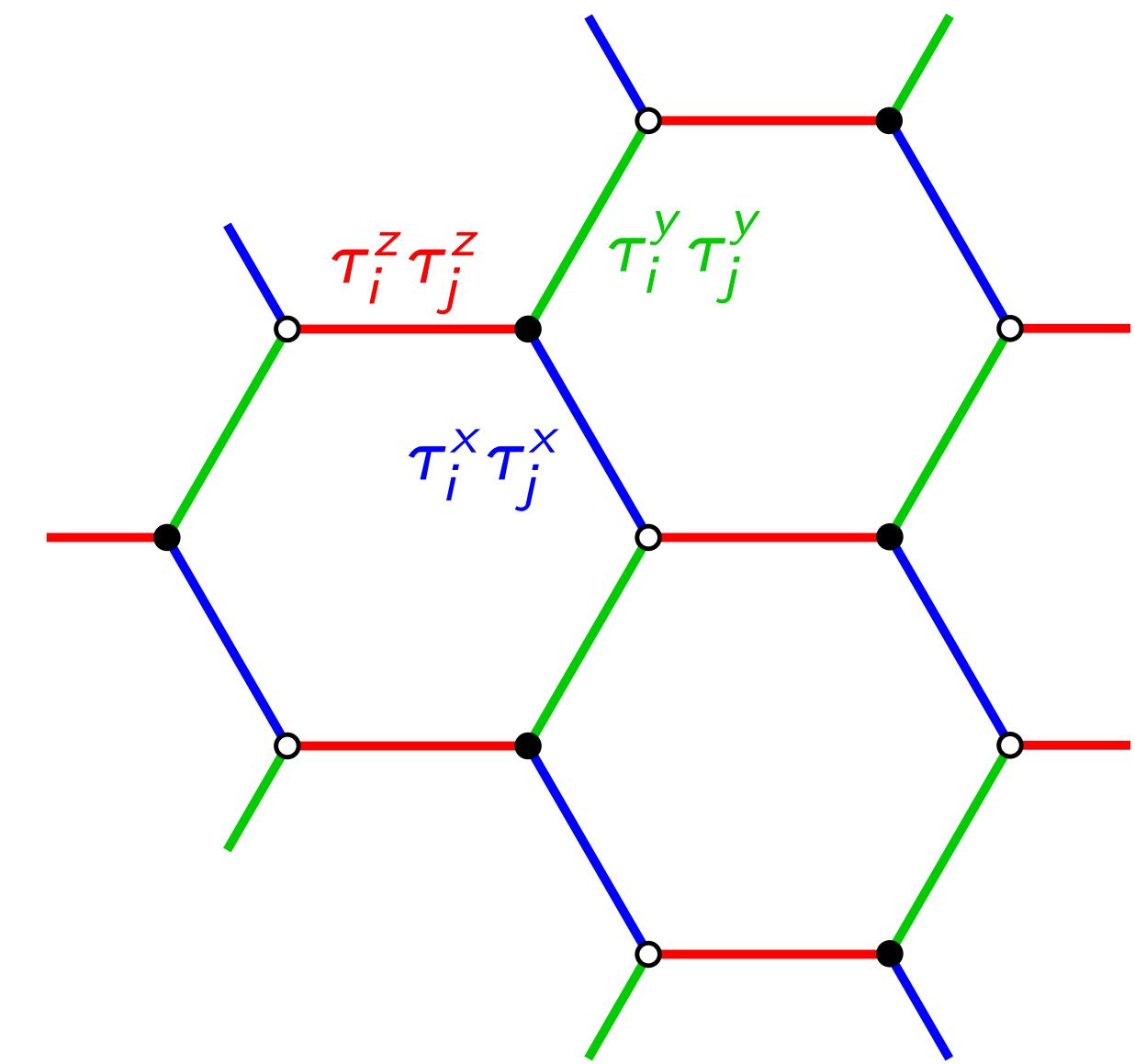
[Metlitski, Mross, Sachdev, Senthil, PRB '15]  
[LJ & He, PRB '17]  
[Boyack, Lin, Zerf, Rayyan, Maciejko, PRB '18]  
...

# Outline

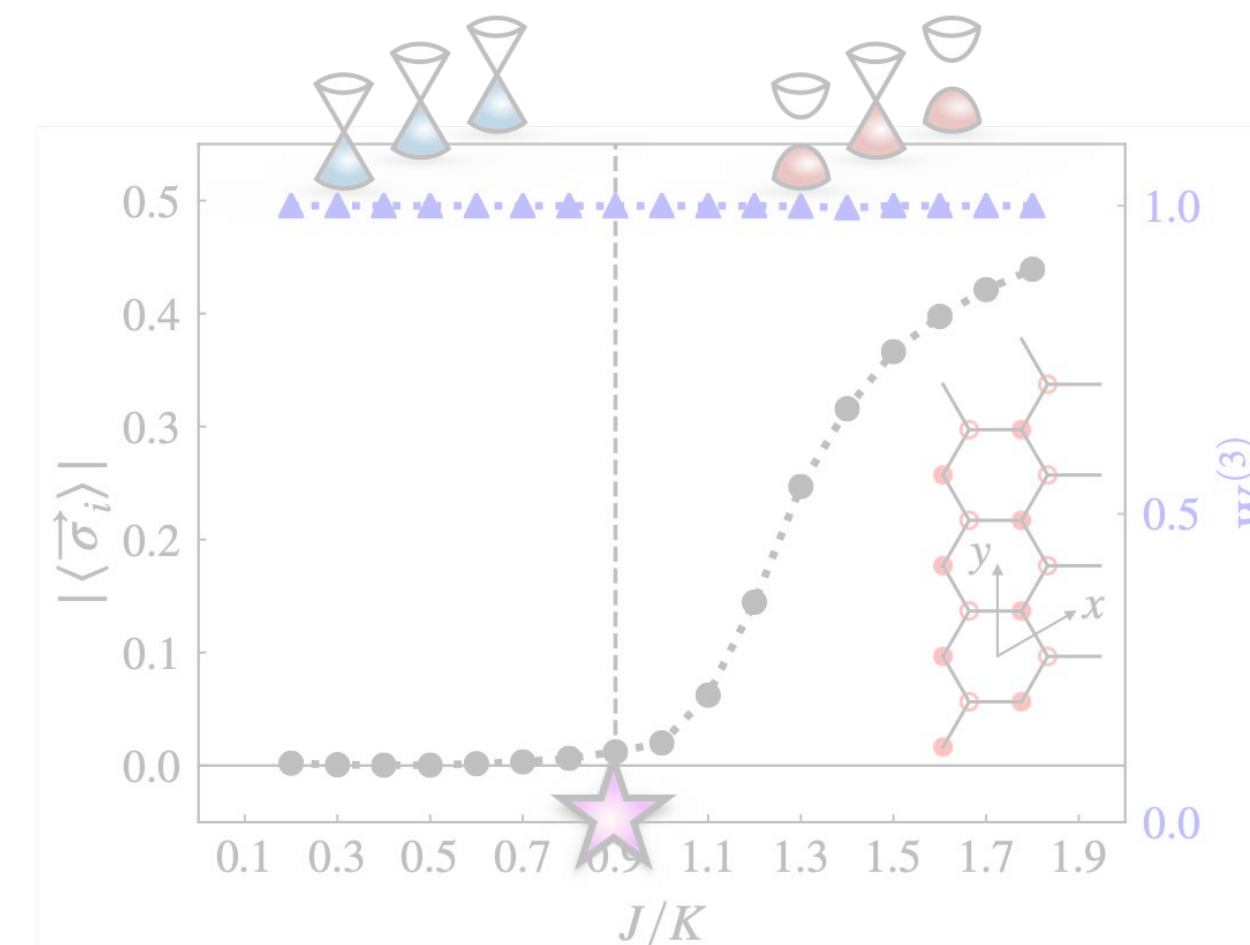
(1) Fractionalized quantum criticality



(2) From Kitaev to Kitaev-Kugel-Khomskii



(3) Kitaev-Heisenberg spin-orbital models

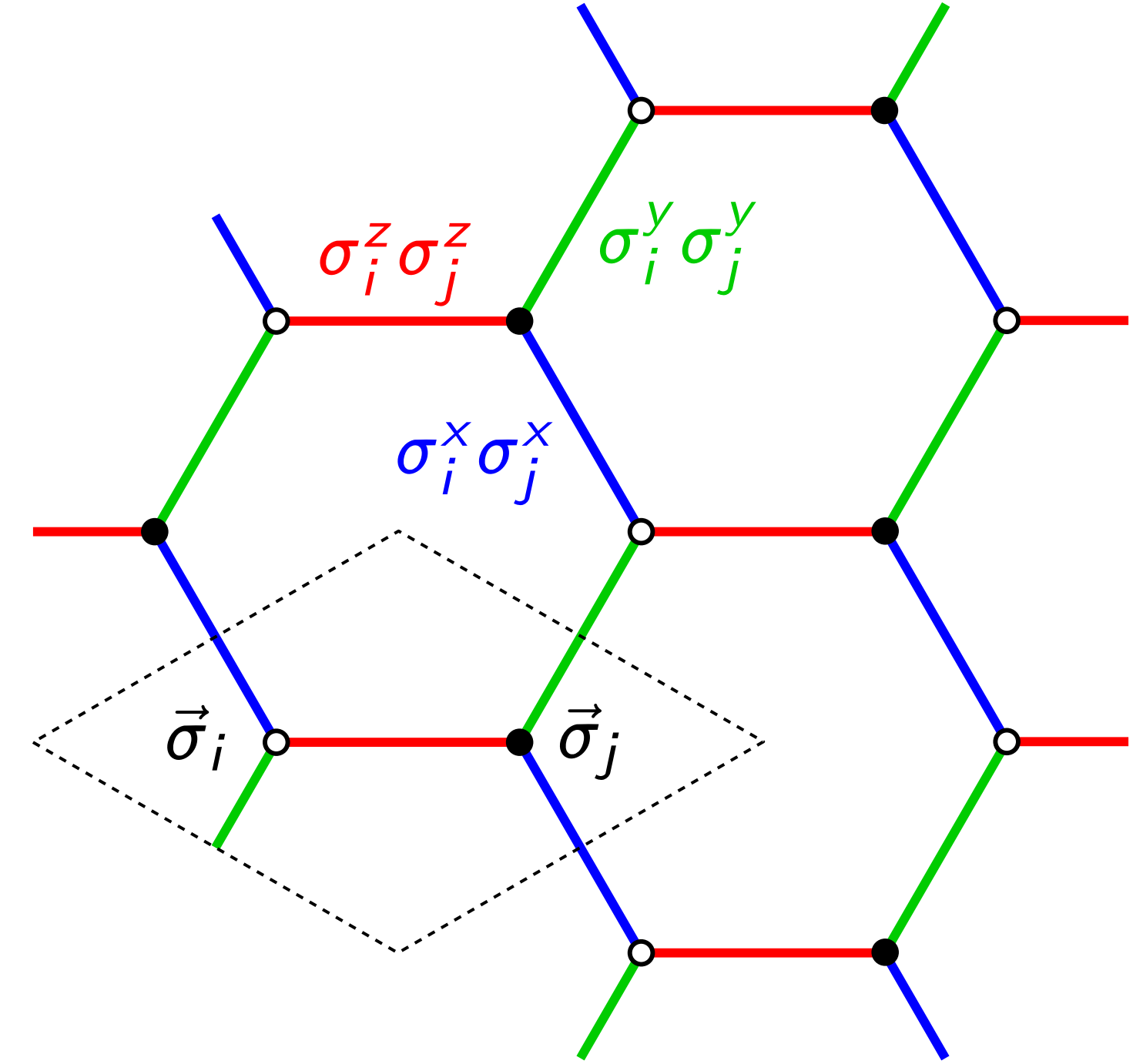


(4) Conclusions

# Kitaev spin-1/2 model

Hamiltonian:

$$\mathcal{H} = K \sum_{\text{blue links}} \sigma_i^x \sigma_j^x + K \sum_{\text{green links}} \sigma_i^y \sigma_j^y + K \sum_{\text{red links}} \sigma_i^z \sigma_j^z$$



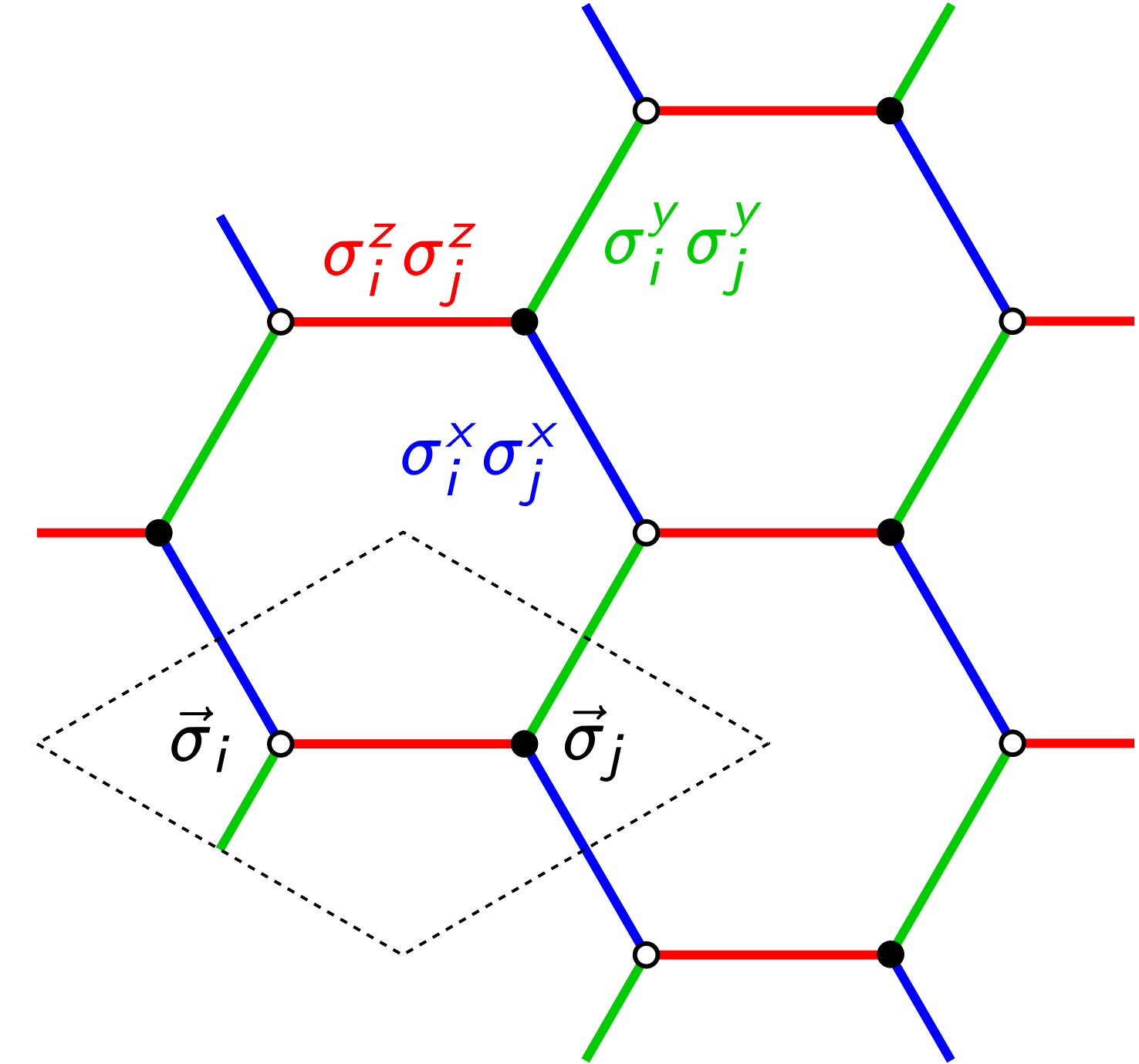
→ talk by N. Perkins

[Kitaev, Ann. Phys. '06]

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$$\mathcal{H} = K \sum_{\text{blue links}} \sigma_i^x \sigma_j^x + K \sum_{\text{green links}} \sigma_i^y \sigma_j^y + K \sum_{\text{red links}} \sigma_i^z \sigma_j^z$$

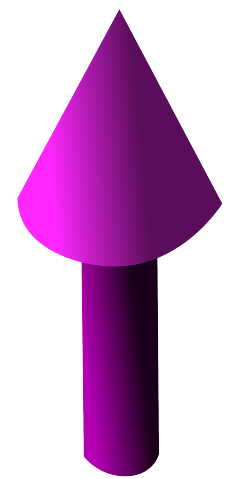


Majorana representation:

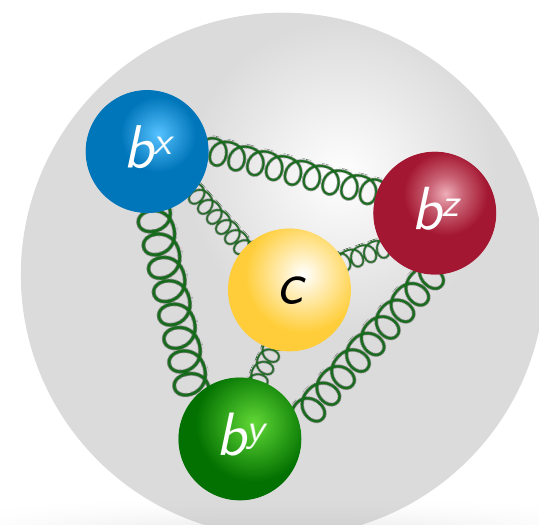
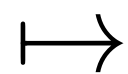
$$\sigma^x \mapsto \tilde{\sigma}^x = i b^x c$$

$$\sigma^y \mapsto \tilde{\sigma}^y = i b^y c$$

$$\sigma^z \mapsto \tilde{\sigma}^z = i b^z c$$



1 spin



4 Majoranas  
with gauge constraint

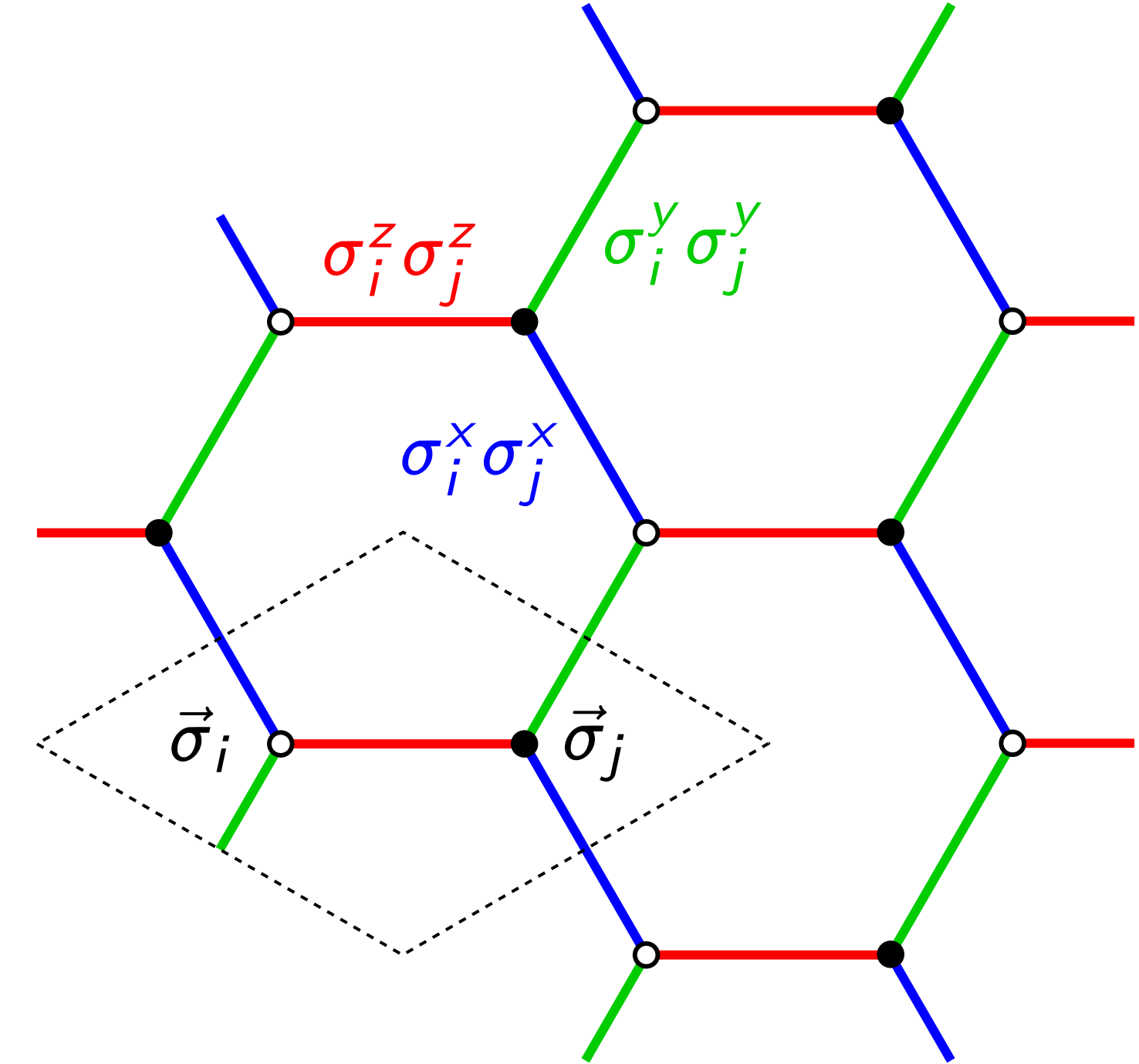
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[Kitaev, Ann. Phys. '06]

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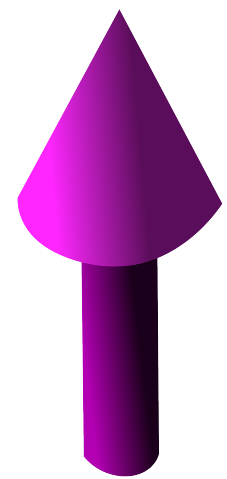


Majorana representation:

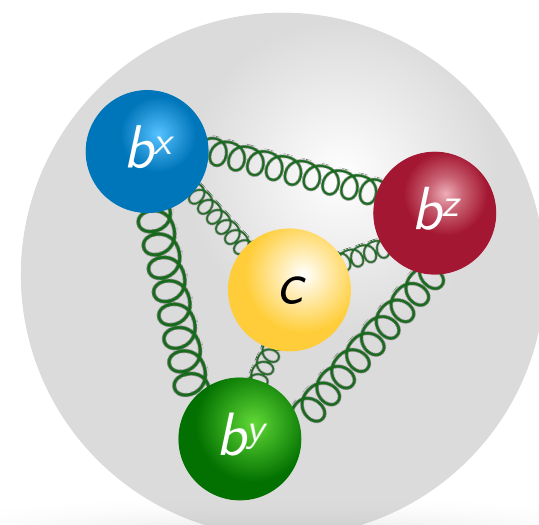
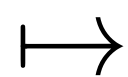
$$\sigma^x \mapsto \tilde{\sigma}^x = i b^x c$$

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1 spin

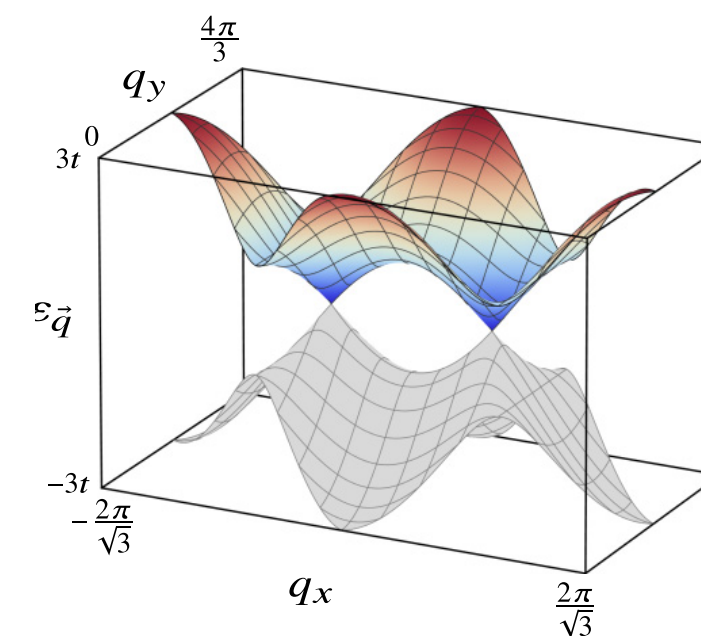


4 Majoranas  
with gauge constraint

Fractionalization:

$$\mathcal{H} \mapsto \tilde{\mathcal{H}} = iK \sum_{\langle ij \rangle_\gamma} \underbrace{(i b_i^\gamma b_j^\gamma)}_{\equiv \hat{u}_{ij} = \hat{u}_{ij}^\dagger} c_i c_j$$

$$\text{with } [\hat{u}_{ij}, \tilde{\mathcal{H}}] = 0 \Rightarrow \text{static } \mathbb{Z}_2 \text{ gauge field!}$$



Ground-state flux pattern:  $u \equiv 1$   
[Lieb, PRL '94]

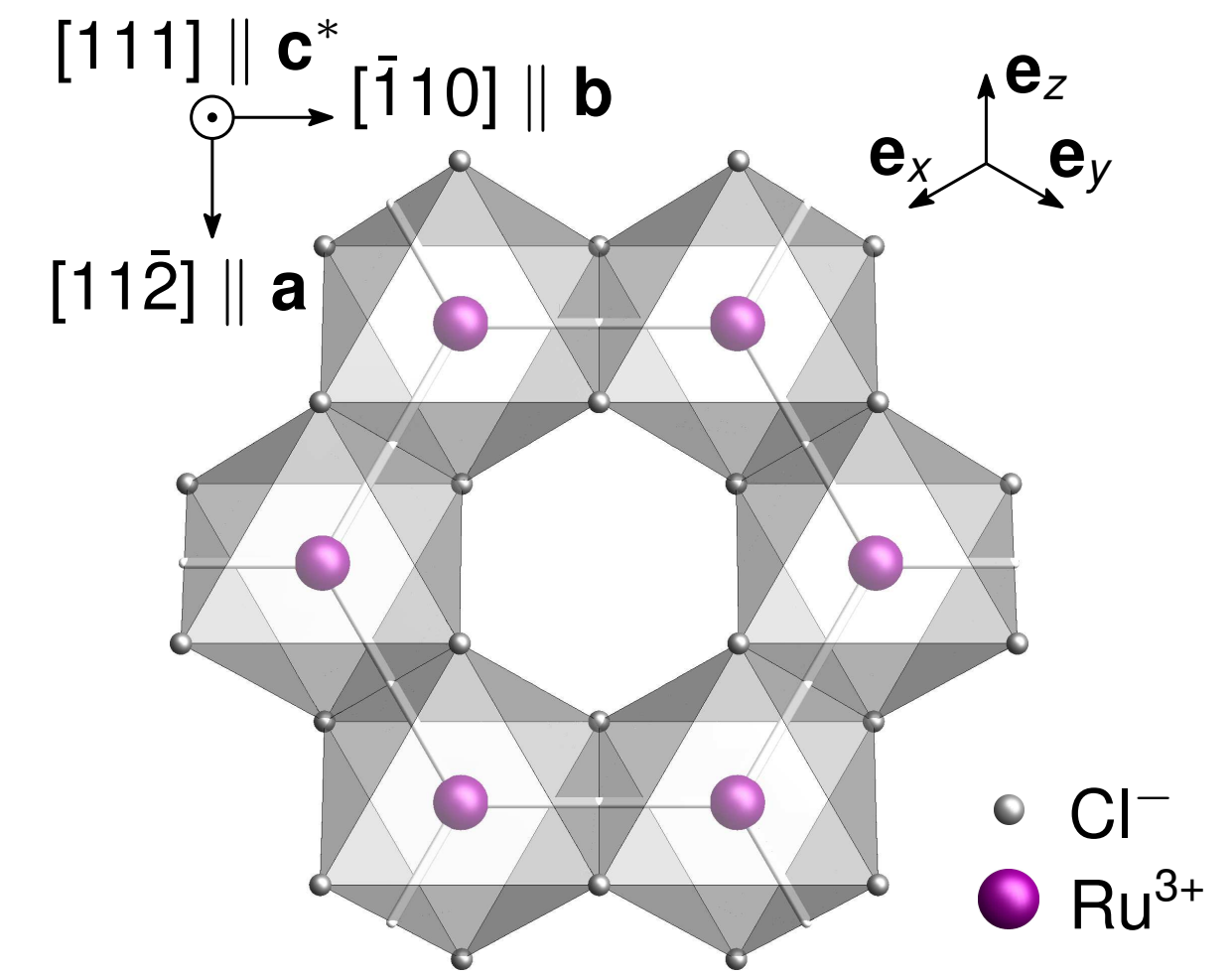
→ talk by N. Perkins

[Kitaev, Ann. Phys. '06]

# Kitaev-Heisenberg spin-1/2 model

Hamiltonian:

$$\mathcal{H} = K \sum_{\langle ij \rangle_\gamma} \sigma_i^\gamma \sigma_j^\gamma + J \sum_{\langle ij \rangle} \vec{\sigma}_i \cdot \vec{\sigma}_j$$



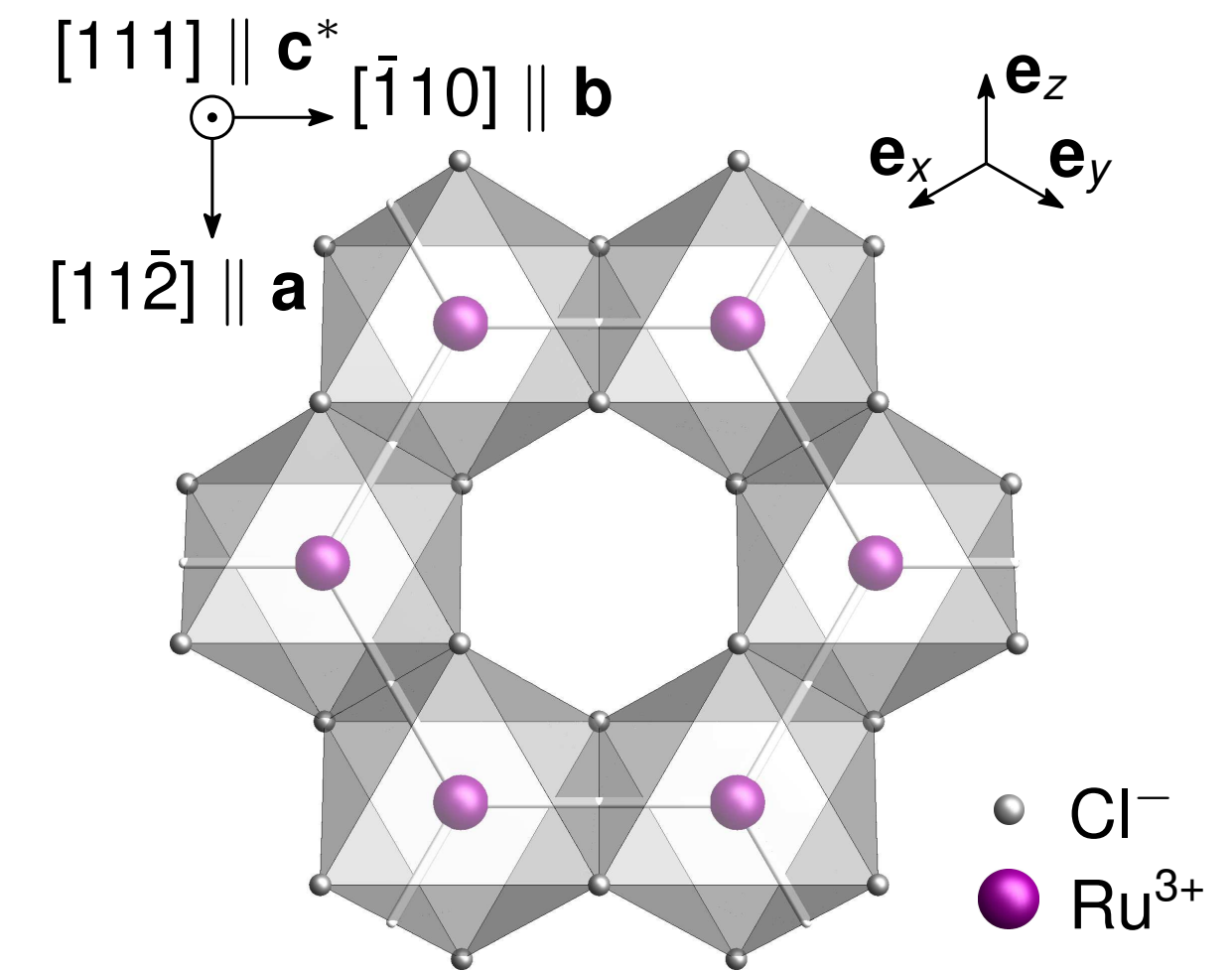
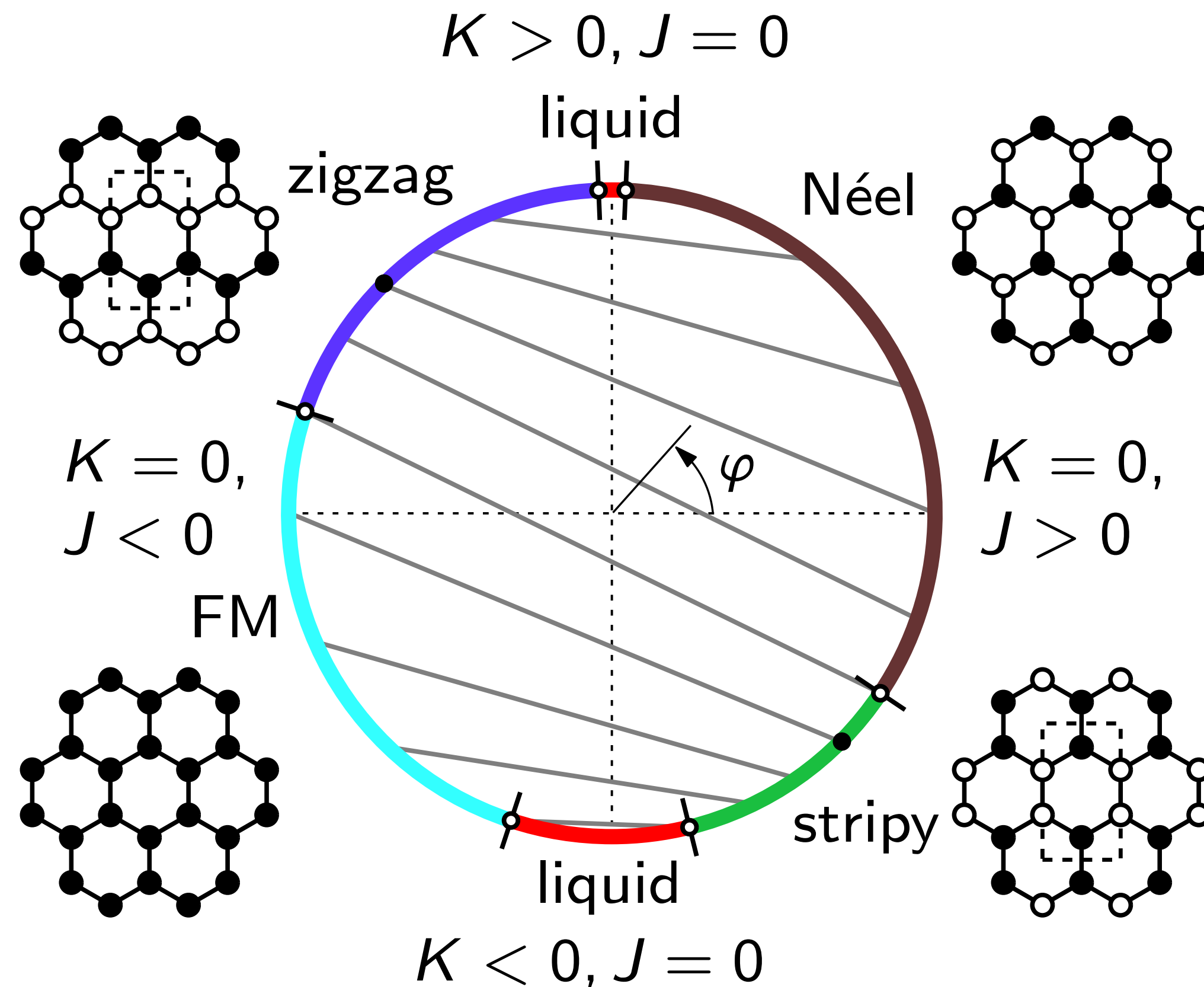
... possible relevance to  $\alpha$ -RuCl<sub>3</sub>, Na<sub>2</sub>IrO<sub>3</sub>, Na<sub>2</sub>Co<sub>2</sub>TeO<sub>6</sub>, ...

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Phase diagram:



... possible relevance to  $\alpha$ -RuCl<sub>3</sub>, Na<sub>2</sub>IrO<sub>3</sub>, Na<sub>2</sub>Co<sub>2</sub>TeO<sub>6</sub>, ...

$$J = A \cos \varphi$$

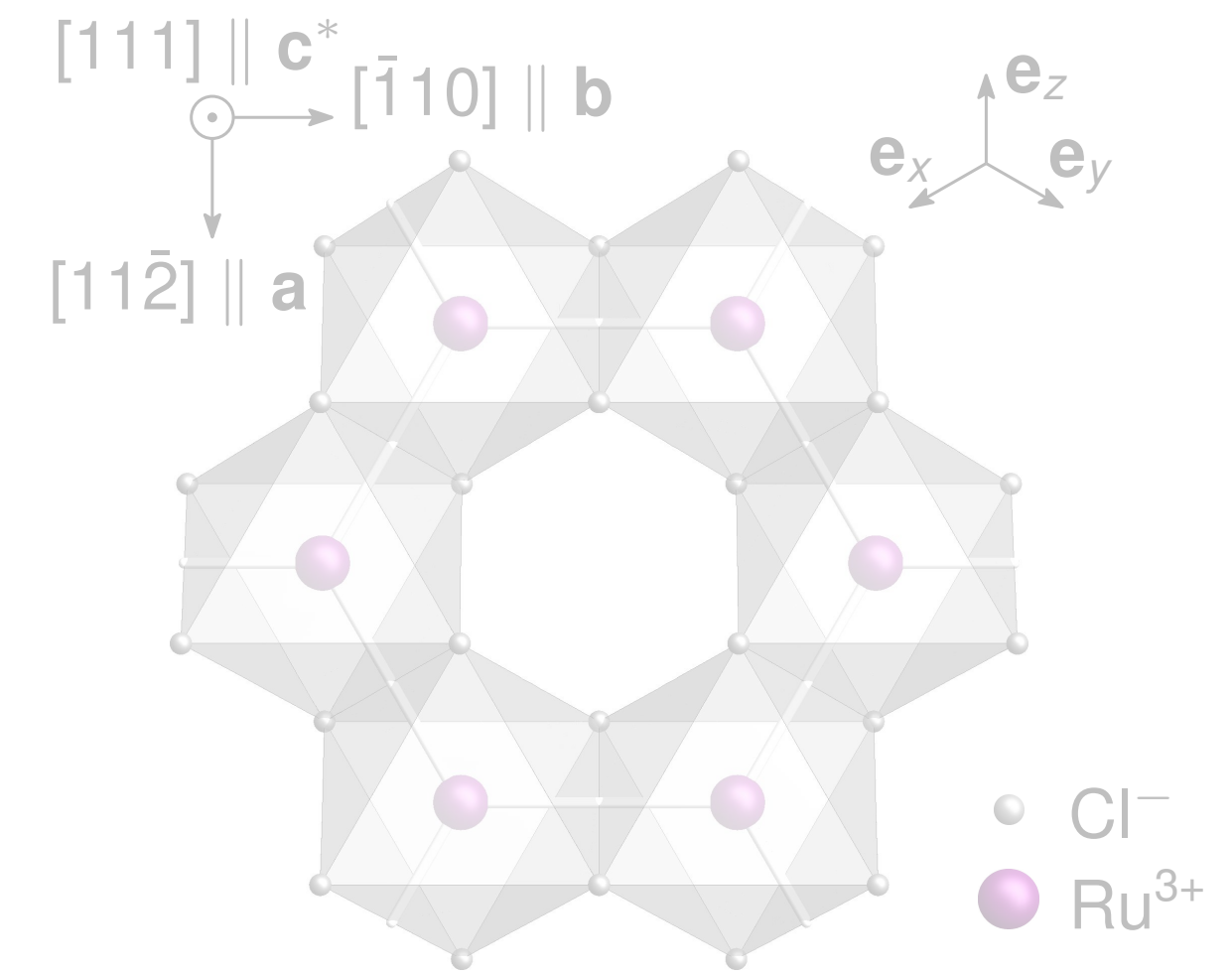
$$K = 2A \sin \varphi$$

... from 24-site ED: [Chaloupka, Jackeli, Khaliullin, PRL '13]

# Kitaev-Heisenberg spin-1/2 model

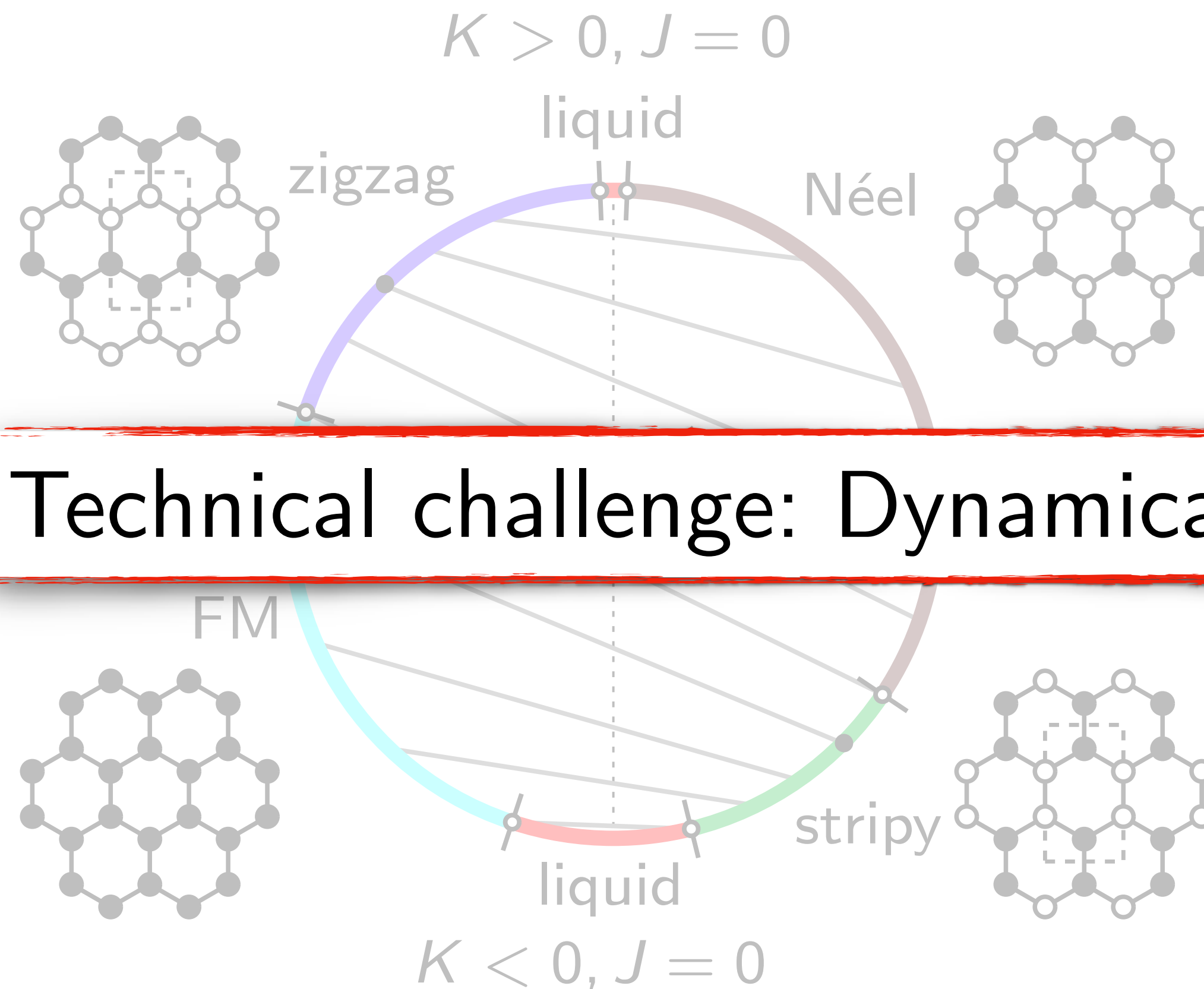
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$$\mathcal{H} = K \sum_{\langle ij \rangle_\gamma} \sigma_i^\gamma \sigma_j^\gamma + J \sum_{\langle ij \rangle} \vec{\sigma}_i \cdot \vec{\sigma}_j$$



... possible relevance to  $\alpha\text{-RuCl}_3$ ,  $\text{Na}_2\text{IrO}_3$ ,  $\text{Na}_2\text{Co}_2\text{TeO}_6$ , ...

Phase diagram:



Technical challenge: Dynamical  $\mathbb{Z}_2$  gauge field!

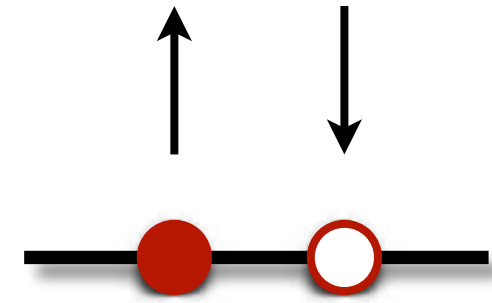
... no sign-problem-free QMC available: [Sato & Assaad, PRB '21]

... from 24-site ED: [Chaloupka, Jackeli, Khaliullin, PRL '13]

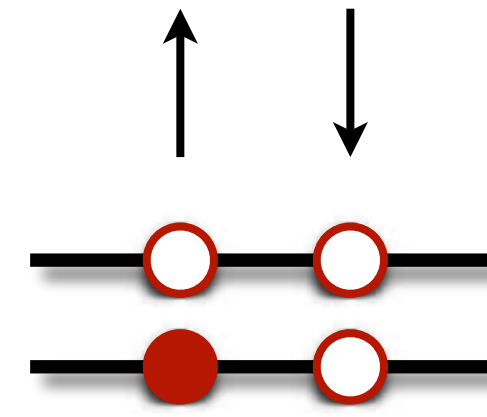


# Kitaev spin-orbital models

Spin-orbital generalization:

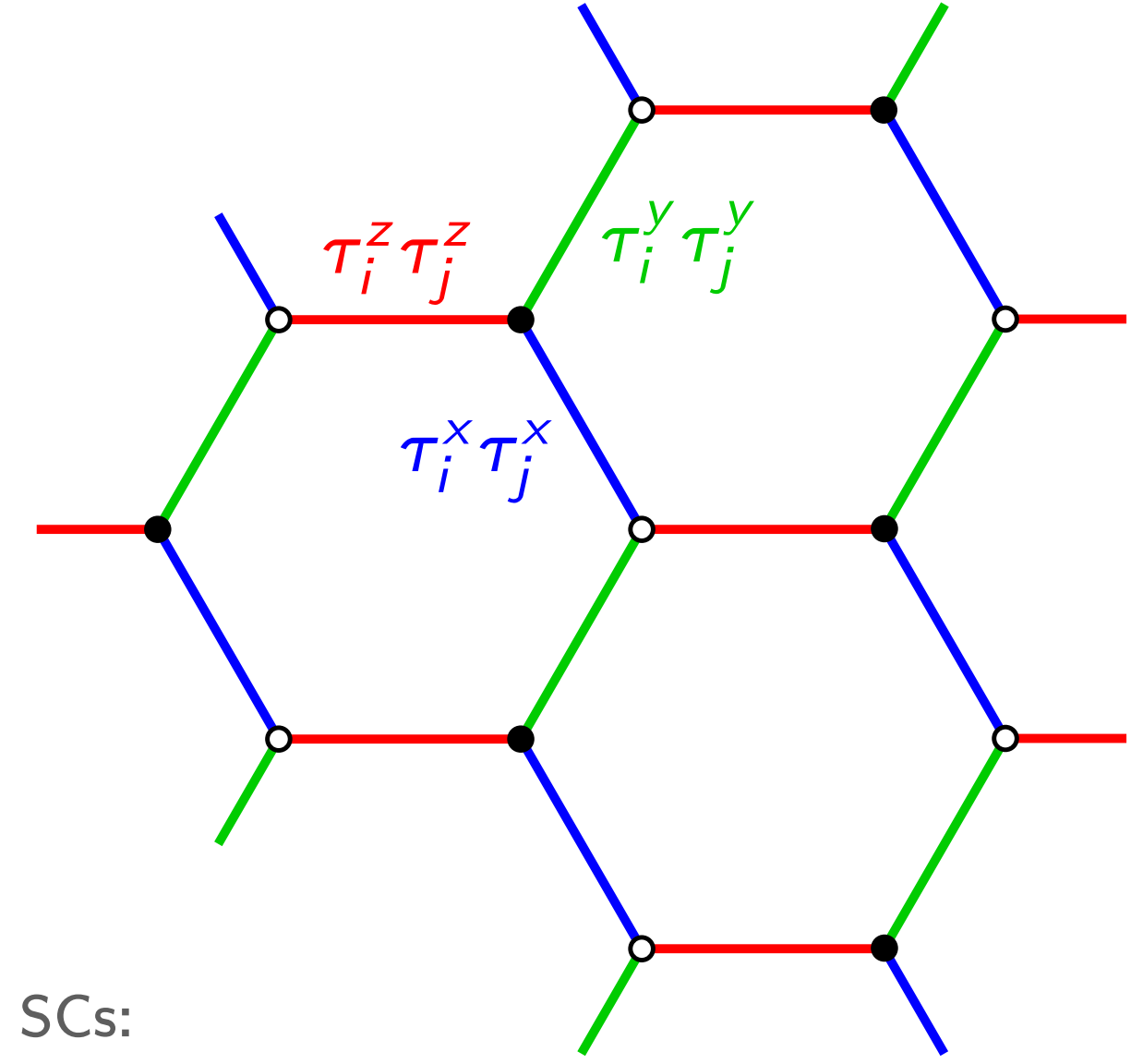


$$\sigma^\alpha \quad 2 \times 2$$



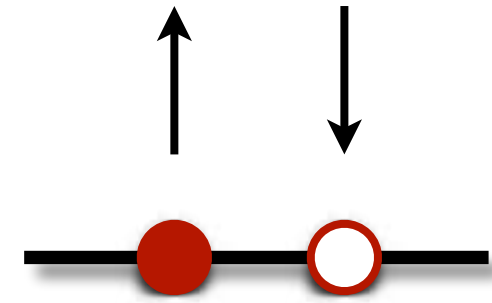
$$\sigma^\alpha \otimes \tau^\beta = \gamma^i \quad 4 \times 4$$

... can realize all 16 topological SCs:  
[Chulliparambil, ..., LJ, Tu, PRB '20]

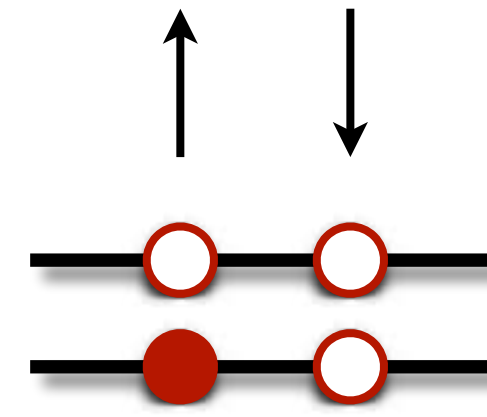


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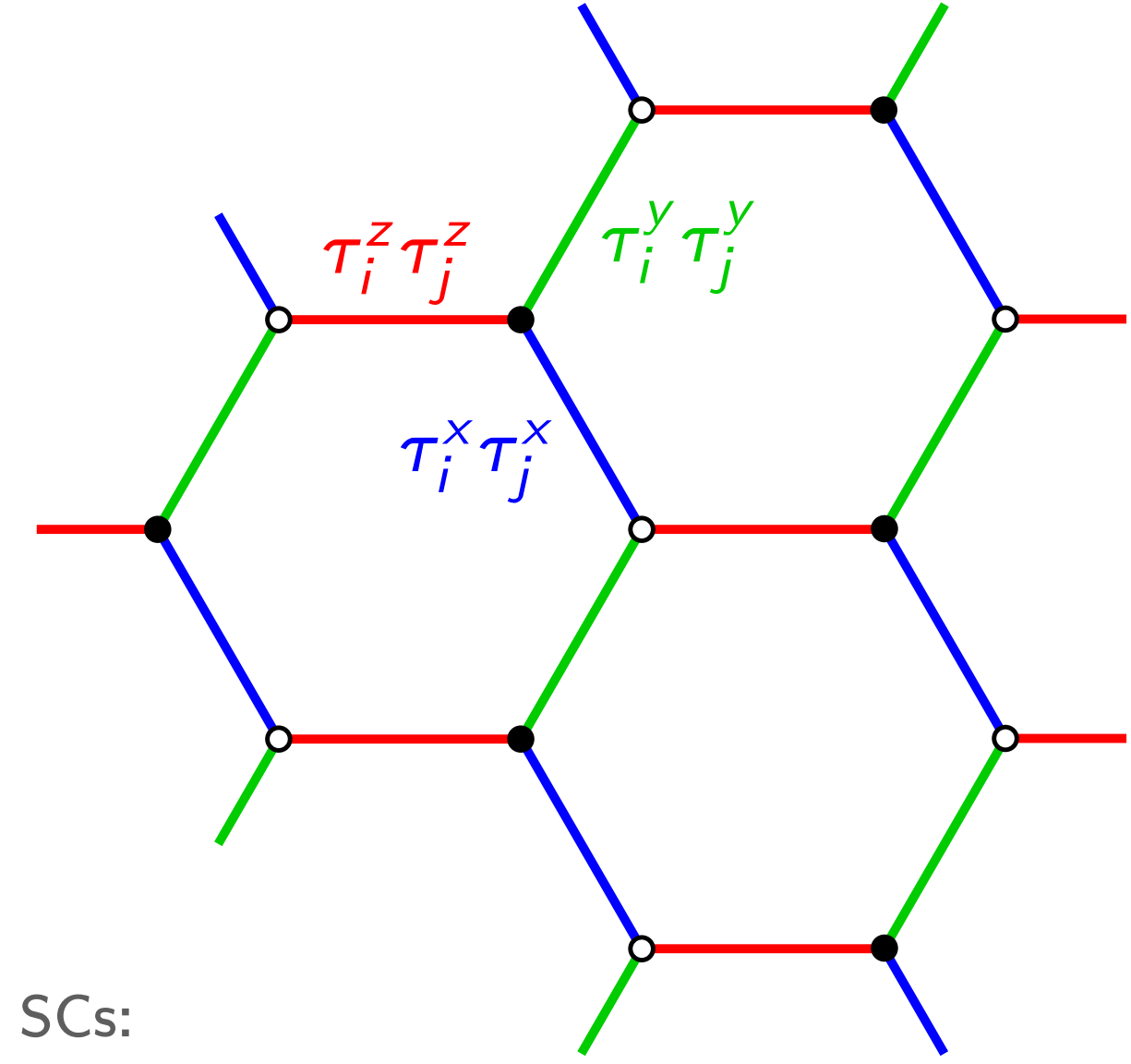


$$\sigma^\alpha \quad 2 \times 2$$



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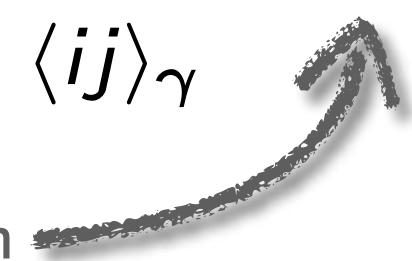
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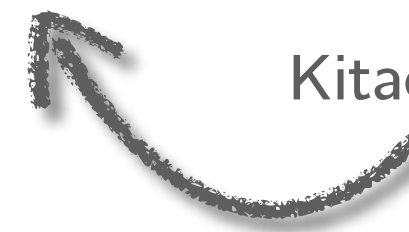
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$$\mathcal{H} = K \sum_{\langle ij \rangle_\gamma} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\gamma \tau_j^\gamma$$

Heisenberg spin

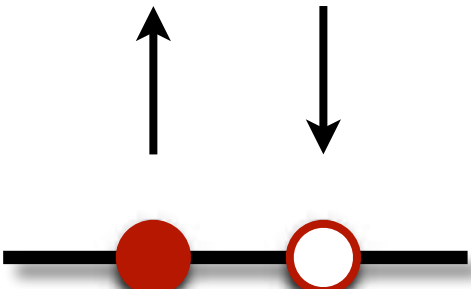


Kitaev orbital

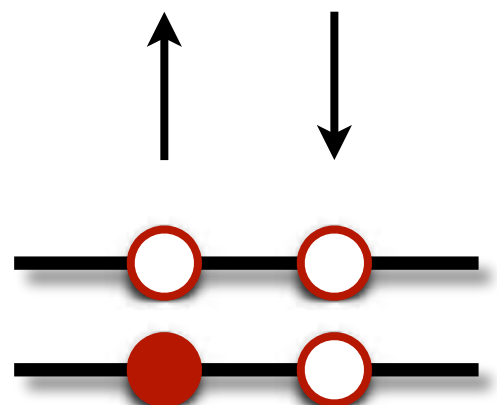


# Kitaev spin-orbital models

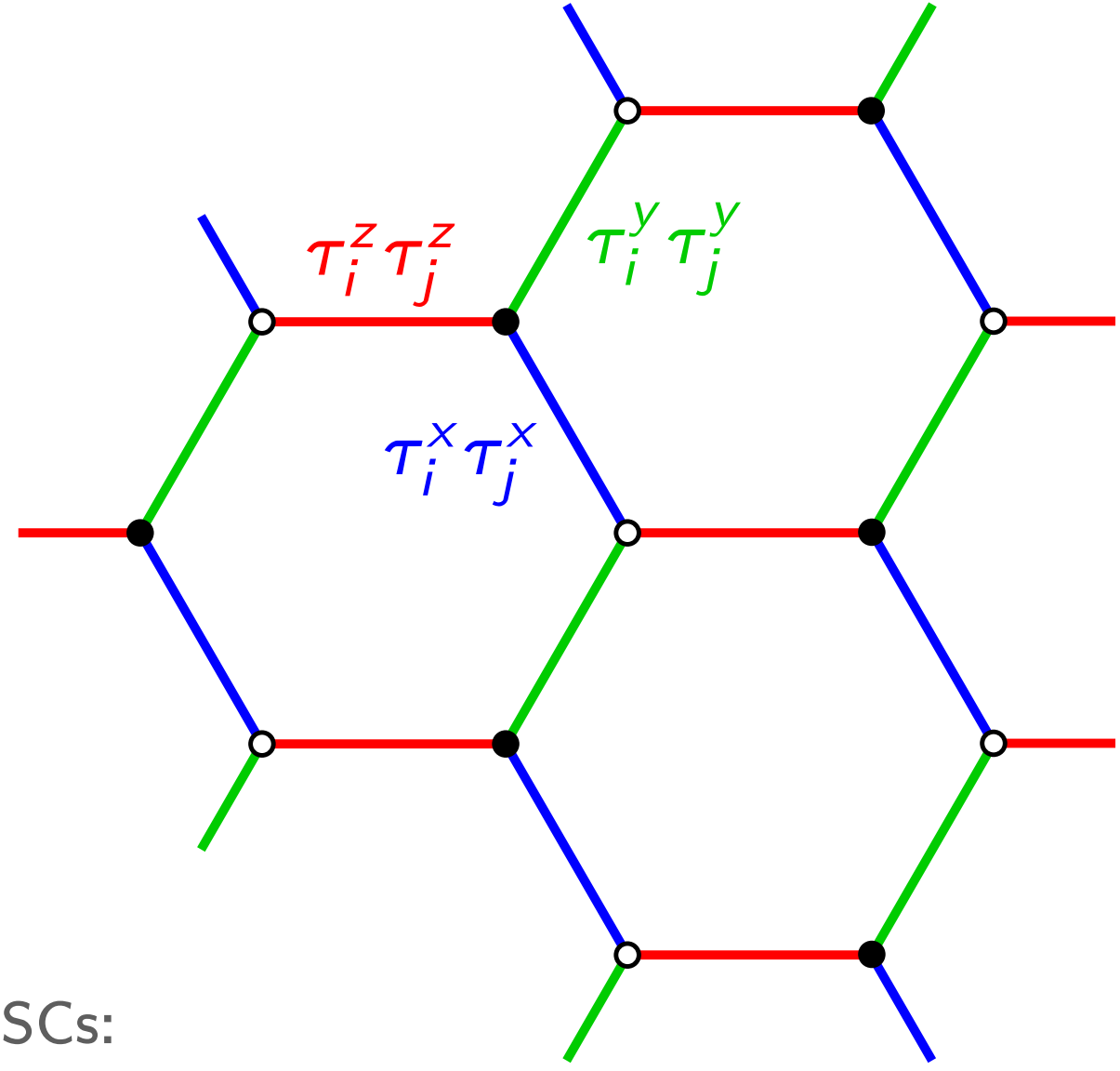
Spin-orbital generalization:



$$\sigma^\alpha \quad 2 \times 2$$



$$\sigma^\alpha \otimes \tau^\beta = \gamma^i \quad 4 \times 4$$

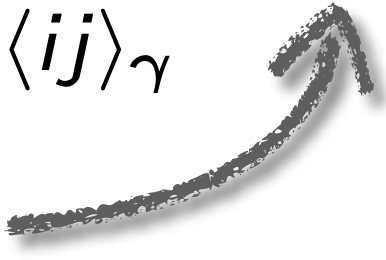


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$$\mathcal{H} = K \sum_{\langle ij \rangle_\gamma} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\gamma \tau_j^\gamma$$

Heisenberg spin



Kitaev orbital



Majorana representation:

$$\begin{aligned} \sigma^y \otimes \tau^x &= ib^1 c^x \\ \sigma^y \otimes \tau^y &= ib^2 c^x \\ \sigma^y \otimes \tau^z &= ib^3 c^x \\ \sigma^x \otimes \mathbb{1} &= ic^y c^x \\ \sigma^z \otimes \mathbb{1} &= ic^z c^x \end{aligned}$$

Fractionalization:

$$\mathcal{H} \mapsto \tilde{\mathcal{H}} = iK \sum_{\langle ij \rangle_\gamma} \hat{u}_{ij} c_i^\top c_j$$

with  $[\hat{u}_{ij}, \tilde{\mathcal{H}}] = 0$

... cf. also [Yao & Lee, PRL '11]

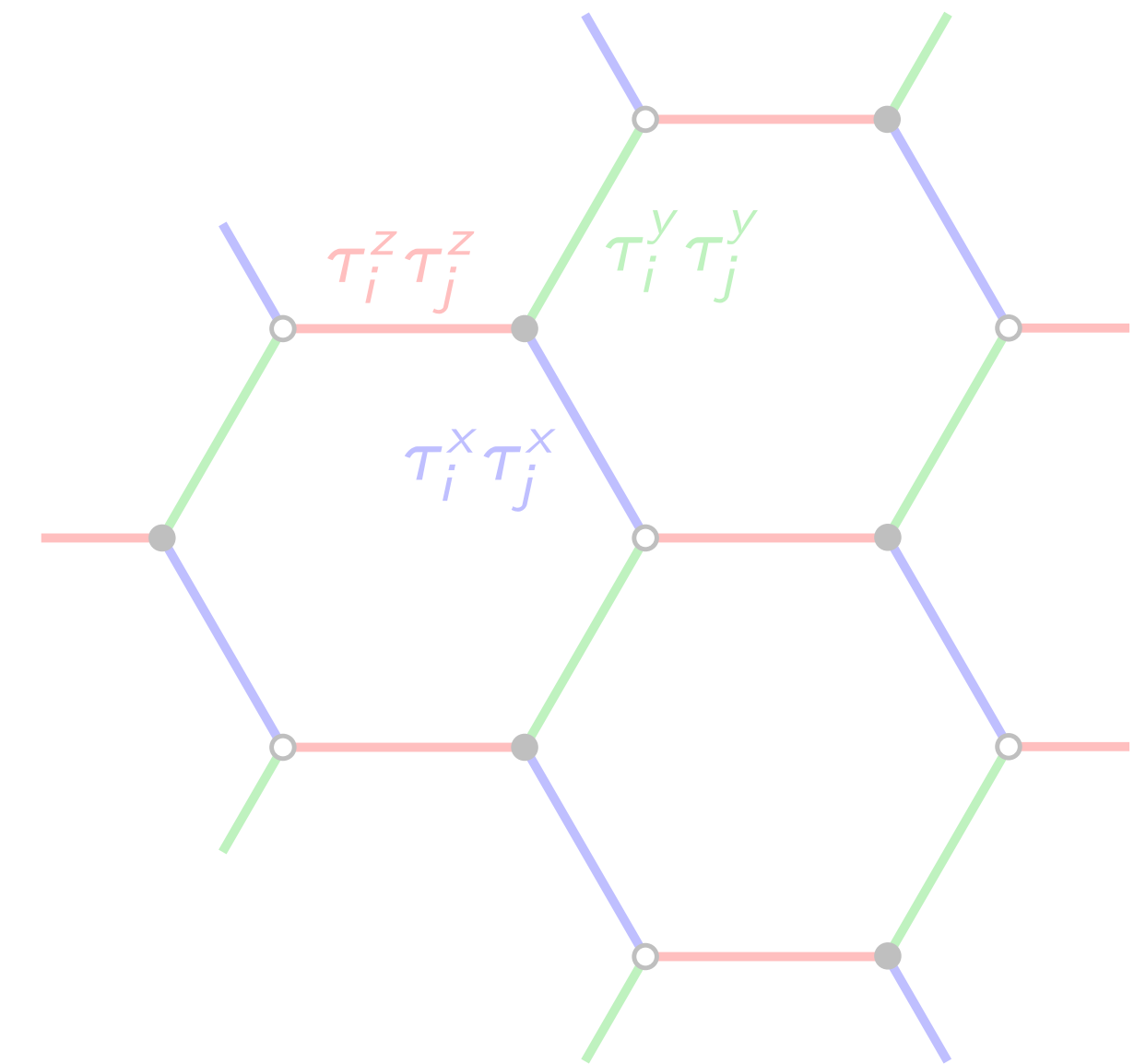
→ talks by A. Tsvelik & P. Coleman

# Outline

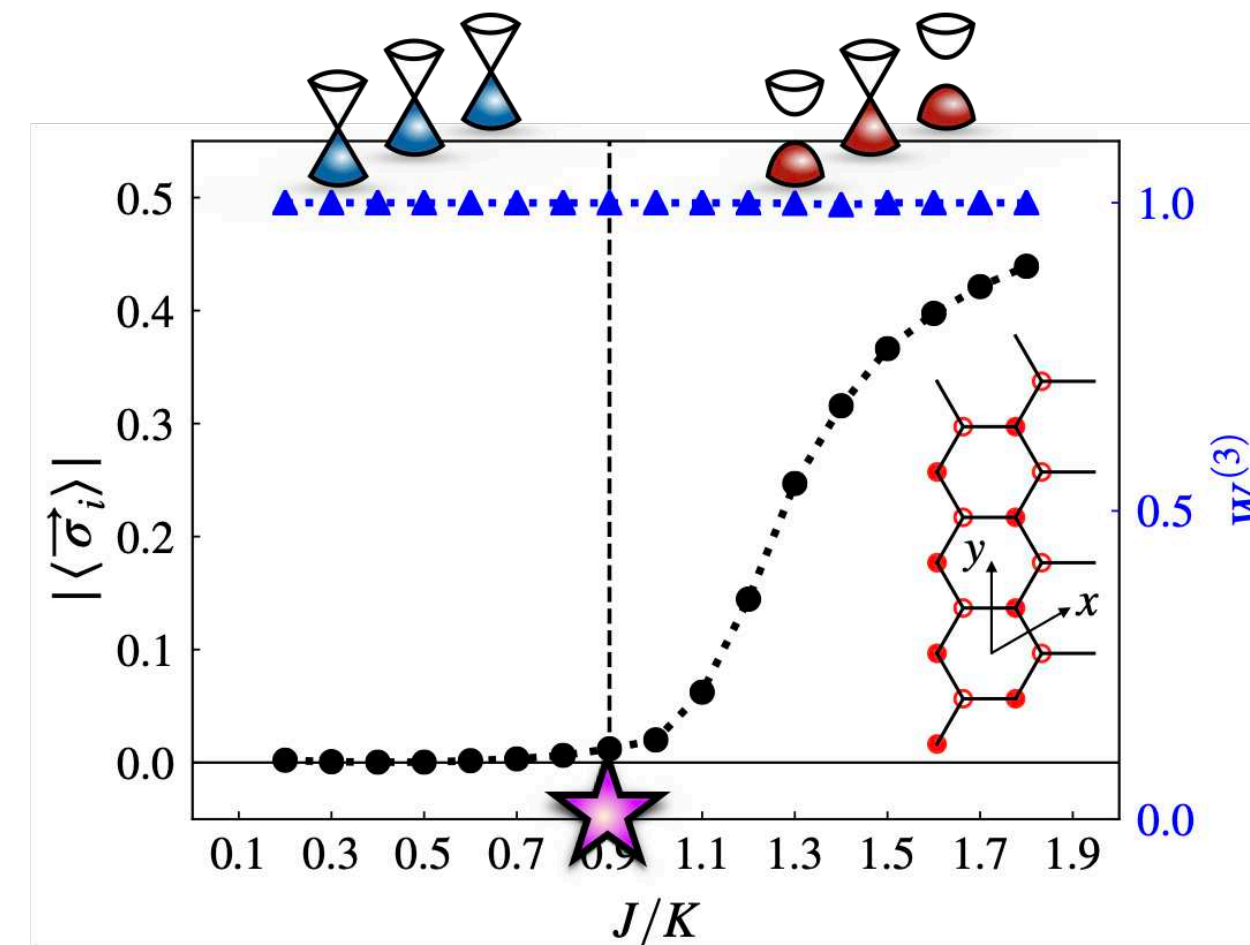
(1) Fractionalized quantum criticality



(2) From Kitaev to Kitaev-Kugel-Khomskii



(3) Kitaev-Heisenberg spin-orbital models



(4) Conclusions

# Kitaev-Heisenberg spin-orbital model

Hamiltonian:

$$\mathcal{H} = K \sum_{\langle ij \rangle_\gamma} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\gamma \tau_j^\gamma + J \sum_{\langle ij \rangle} \underbrace{\vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \mathbb{1}_i \mathbb{1}_j}_{\substack{\text{spin-1 matrices} \\ \downarrow}} \mapsto \frac{1}{4} (c_i^\top \vec{L} c_i) \cdot (c_j \vec{L} c_j)$$

with  $[\hat{u}_{ij}, \mathcal{H}] = 0$  still static!

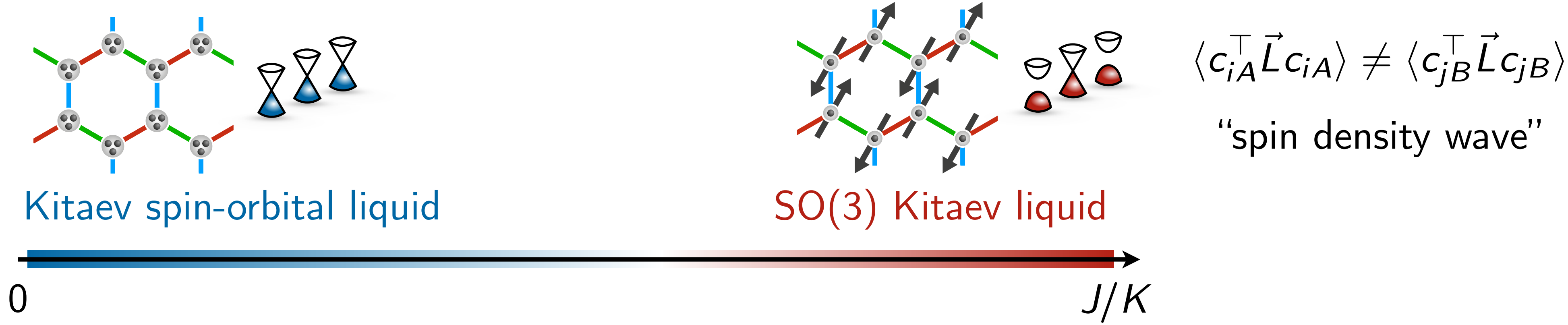
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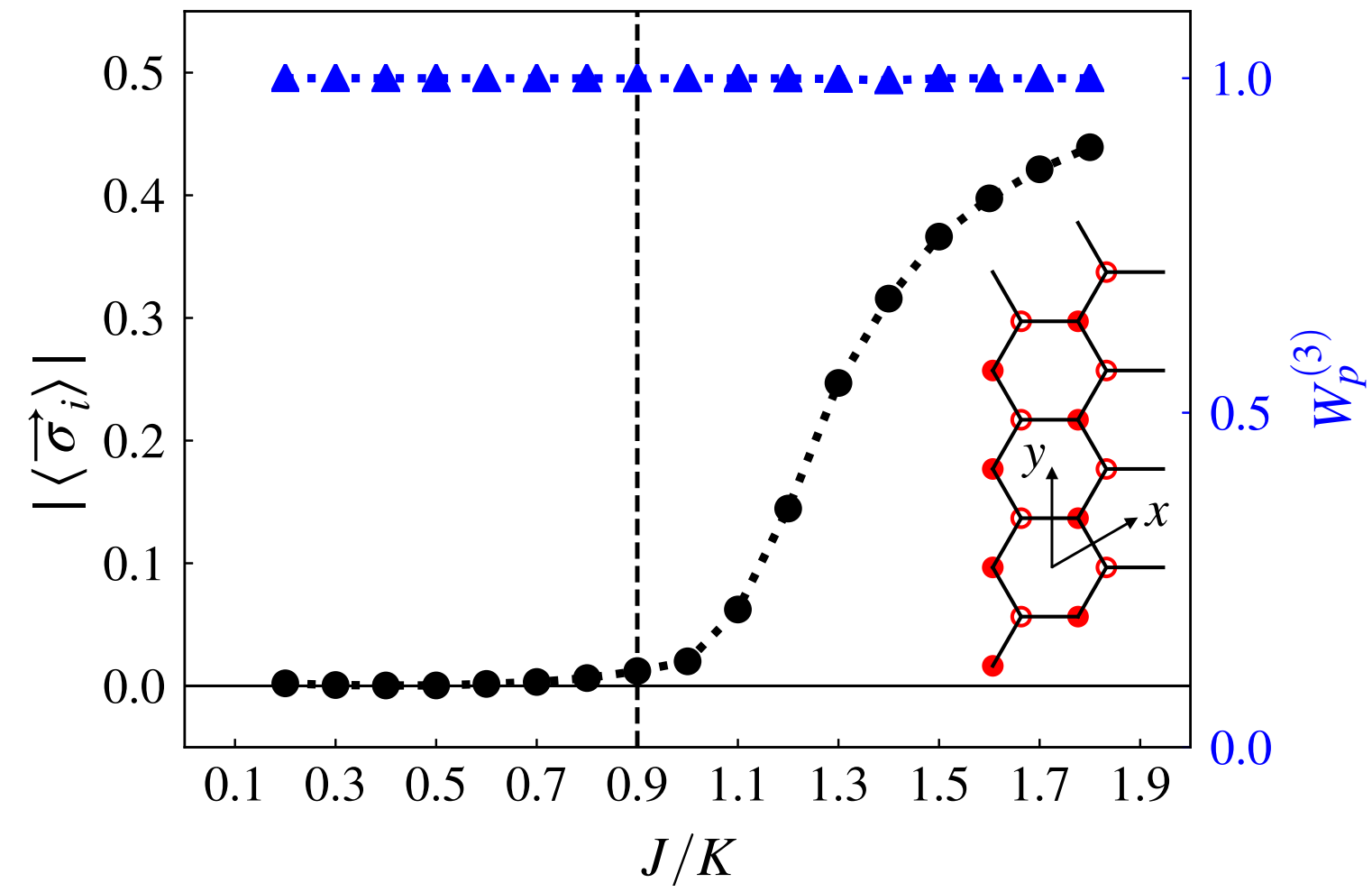
with  $[\hat{u}_{ij}, \mathcal{H}] = 0$  still static!

Phase diagram:



# Gross-Neveu-SO(3)\* transition

iDMRG:

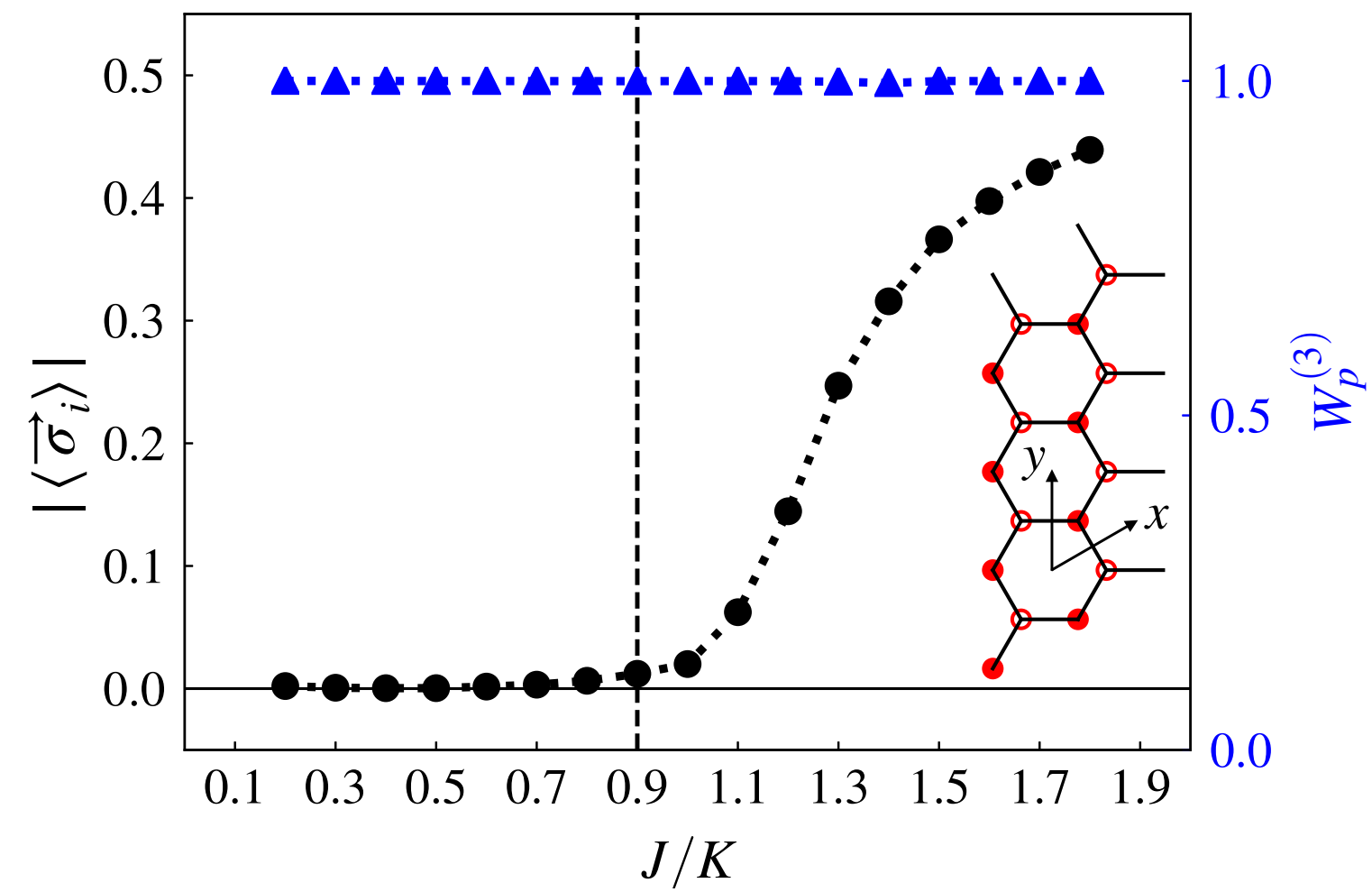


... on cylinder with  $L_y = 4$  unit cells

[Seifert, Dong, Chulliparambil, Vojta, Tu, LJ, PRL '20]

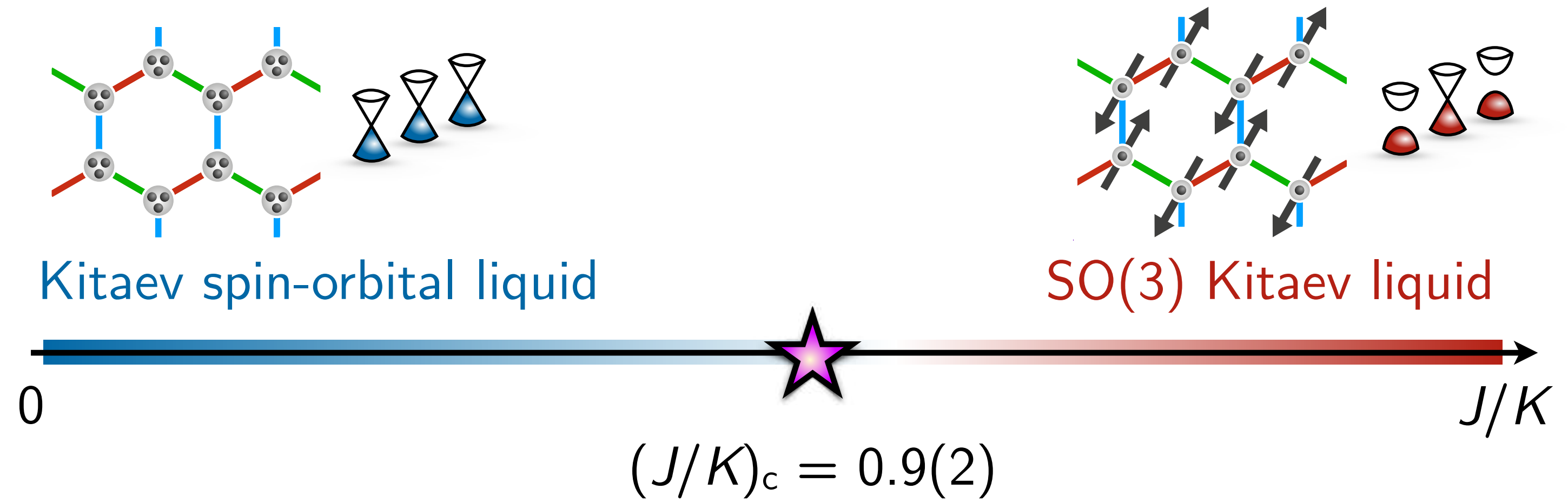
# Gross-Neveu-SO(3)\* transition

iDMRG:



... on cylinder with  $L_y = 4$  unit cells

Phase diagram:

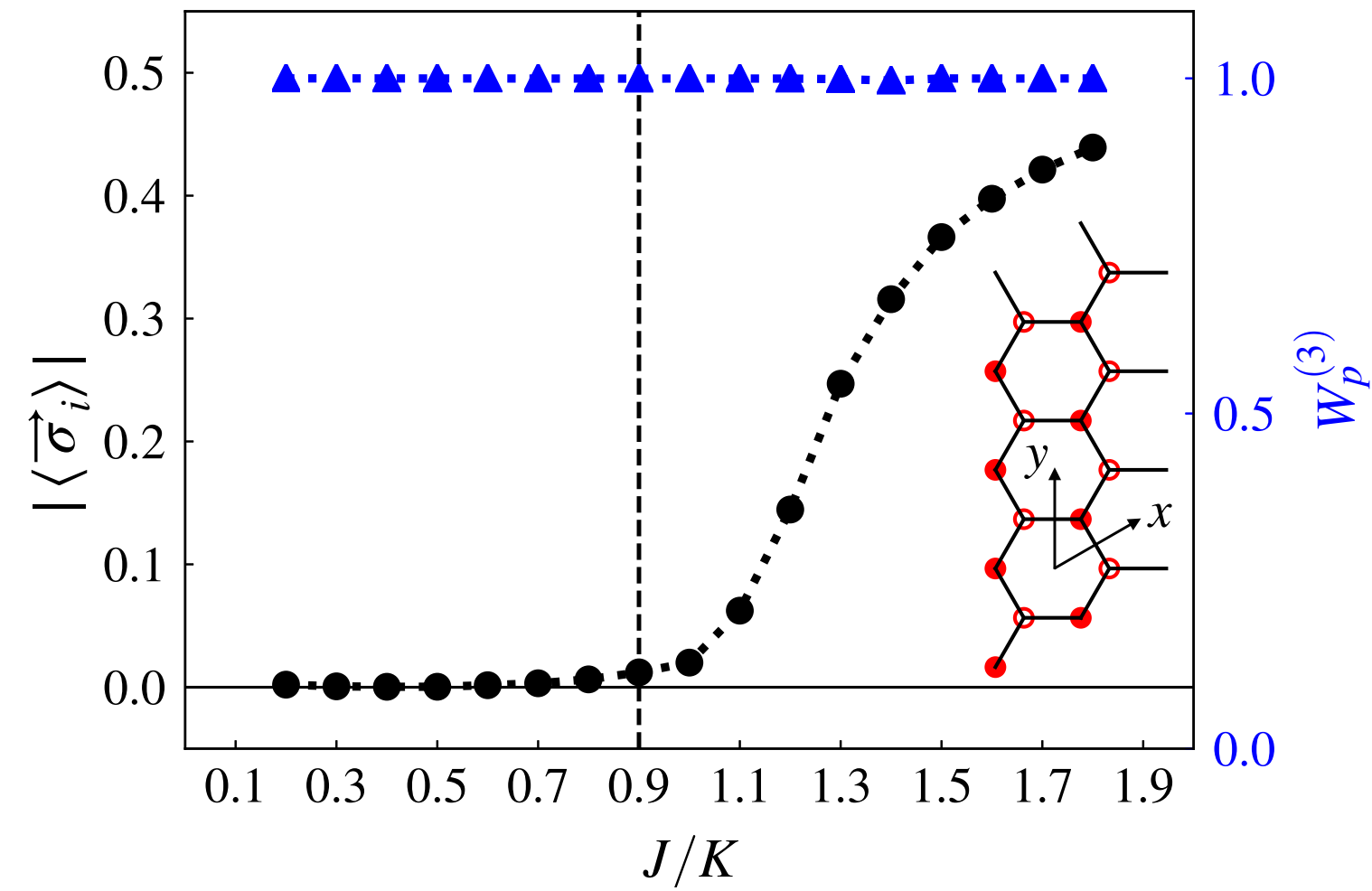


[Seifert, Dong, Chulliparambil, Vojta, Tu, LJ, PRL '20]



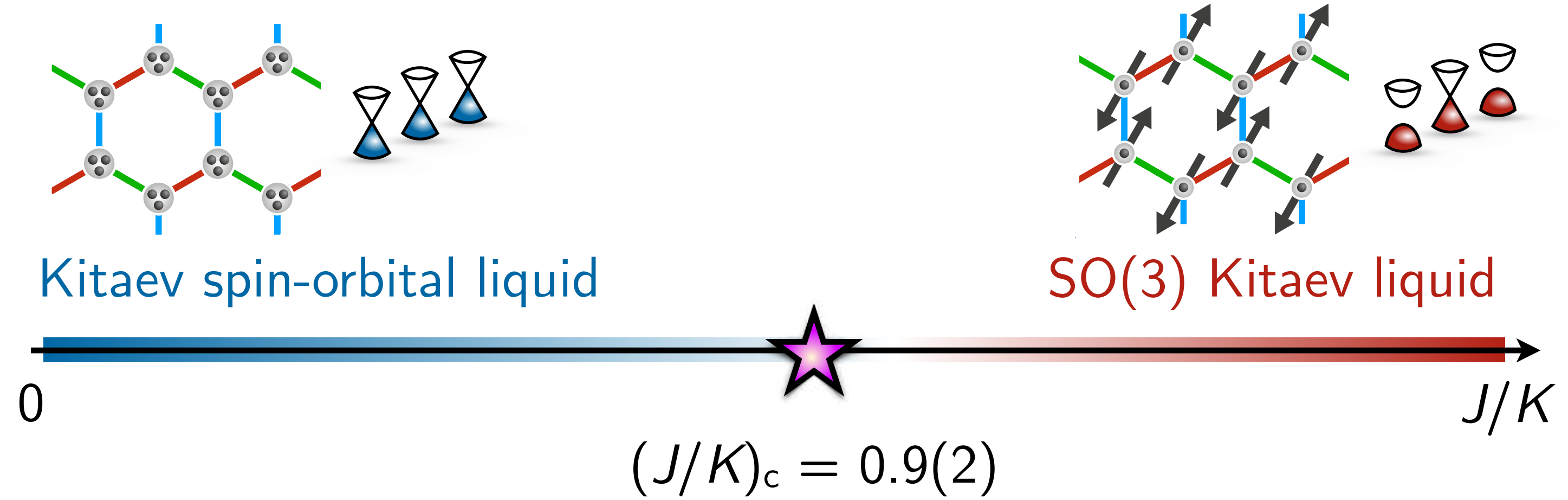
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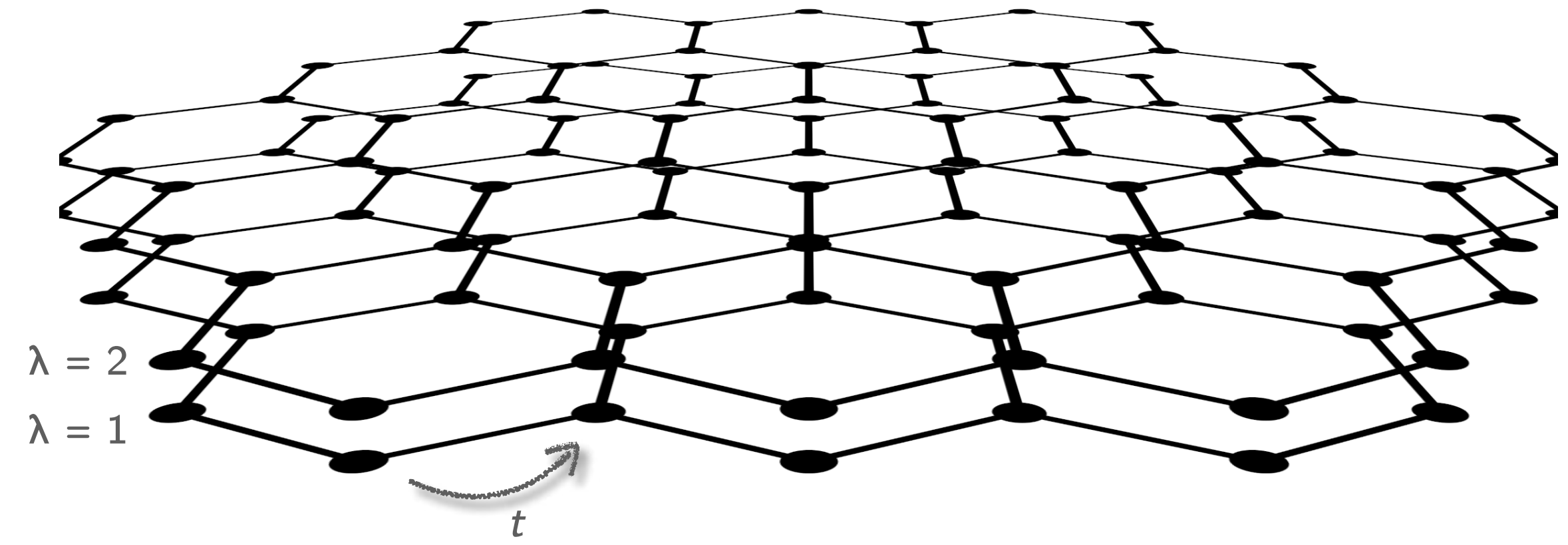
Effective field theory: 
$$\mathcal{S} = \int d^2\vec{x}d\tau \left[ \bar{\psi}\gamma^\mu\partial_\mu\psi + g\vec{\varphi} \cdot \bar{\psi}(\mathbb{1}_2 \otimes \vec{L})\psi \right] \quad \text{“Gross-Neveu-SO(3)”}$$

[Ray, Ihrig, Kruti, Gracey, Scherer, LJ, PRB '21]

# Sign-problem-free bilayer model

Hamiltonian:

$$\mathcal{H} = -t \sum_{\langle ij \rangle} c_{i\lambda}^\dagger c_{j\lambda} - J \sum_i \left( c_{i\lambda}^\dagger \vec{L} \tau_{\lambda\lambda'}^z c_{i\lambda'} \right)^2$$

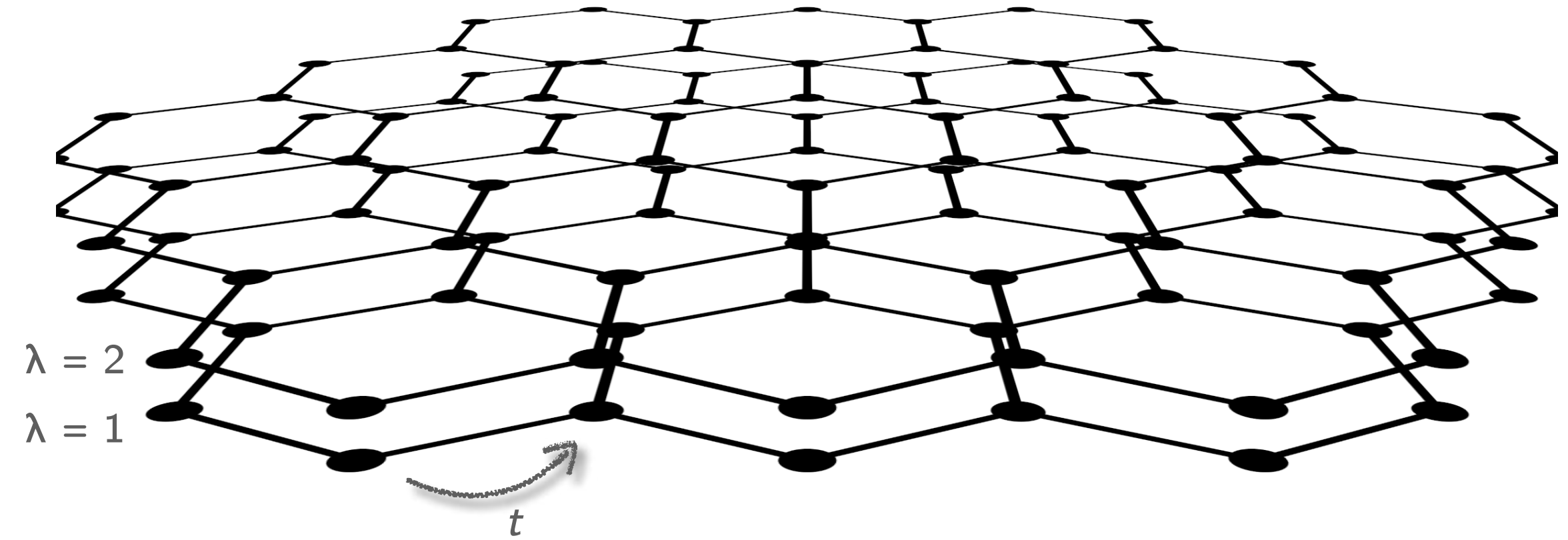


... with  $SO(3) \times U_\lambda(1) \times U_c \times \mathbb{Z}_2$  symmetry

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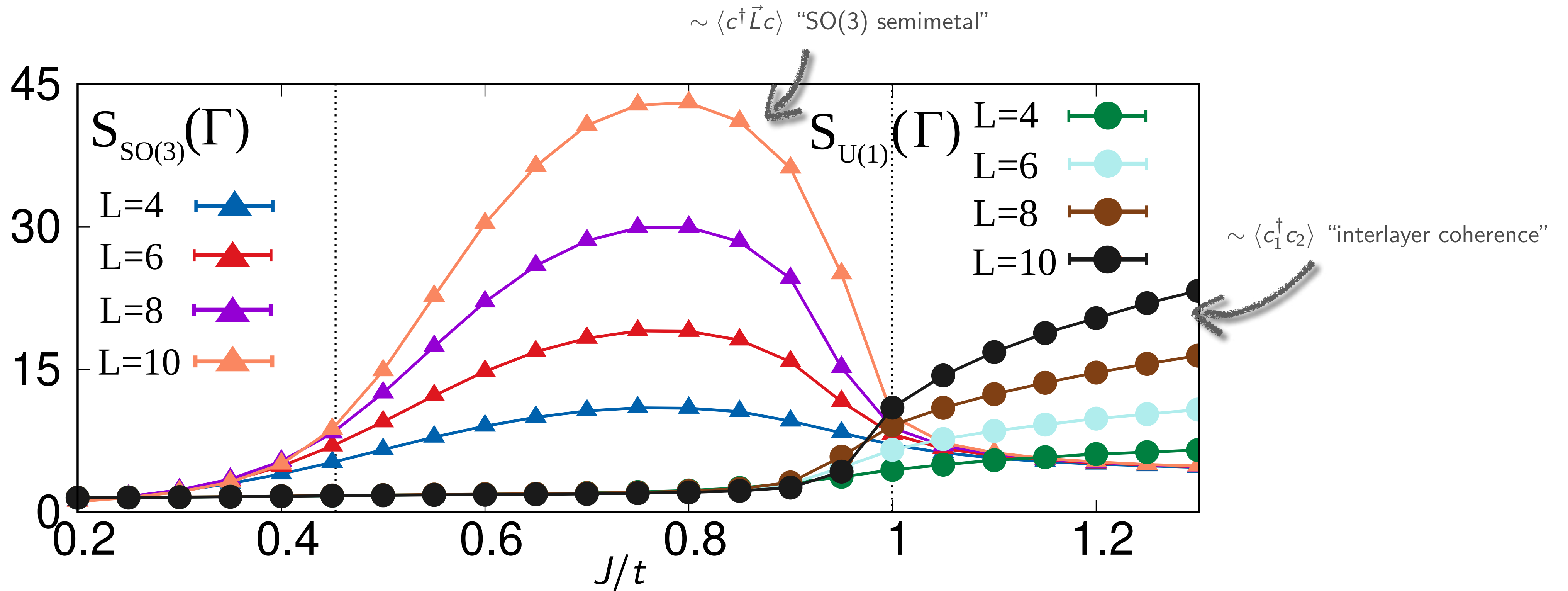
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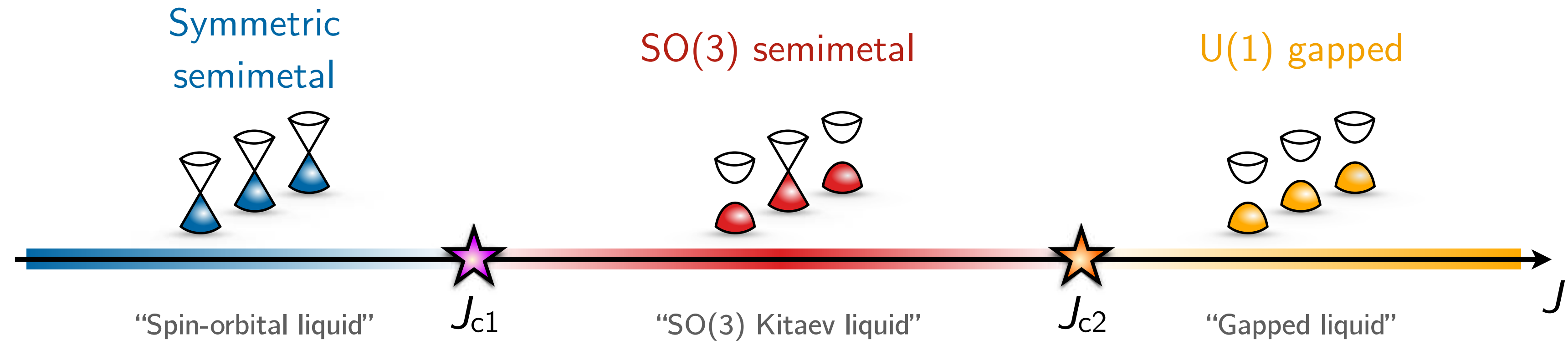
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QMC structure factors:



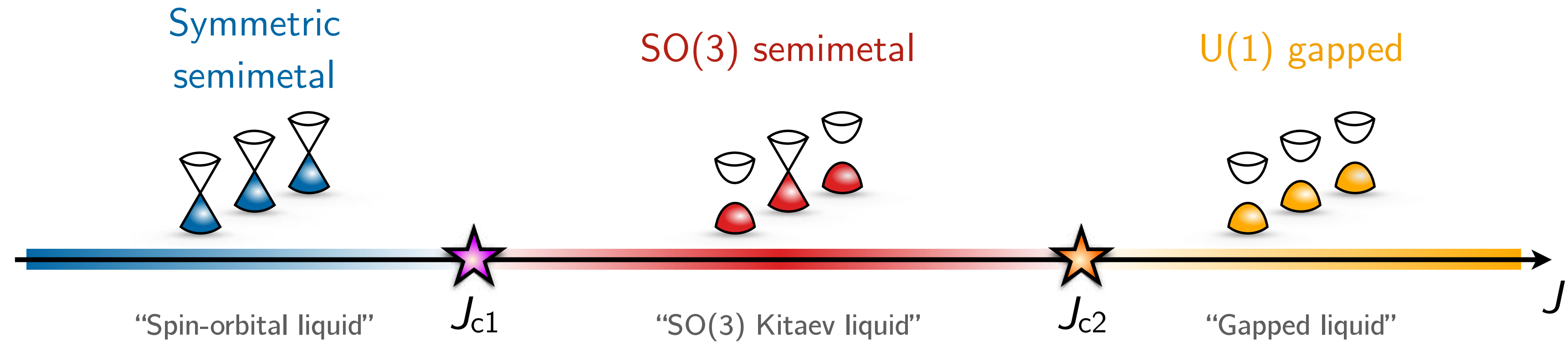
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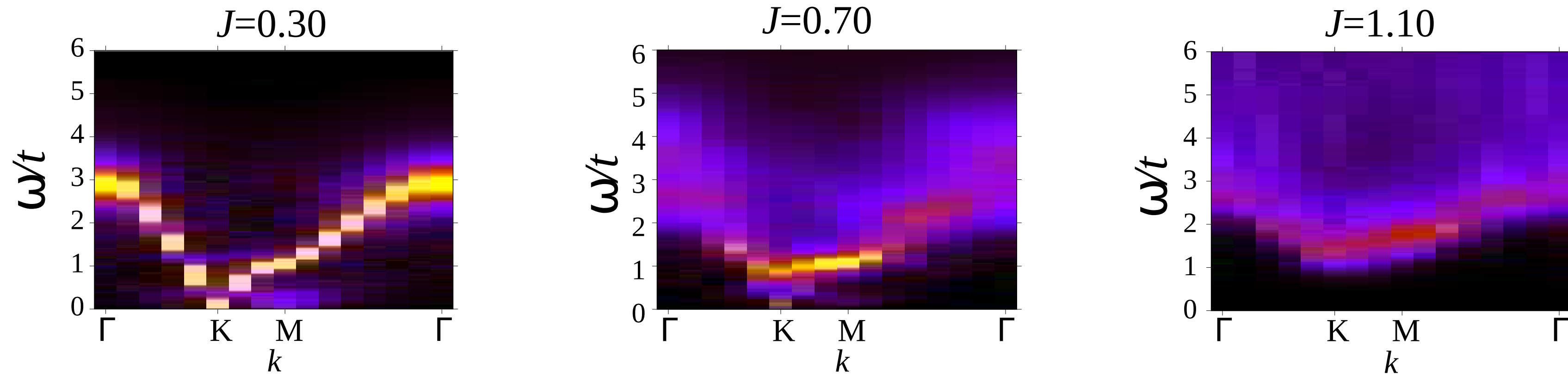


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Phase diagram:

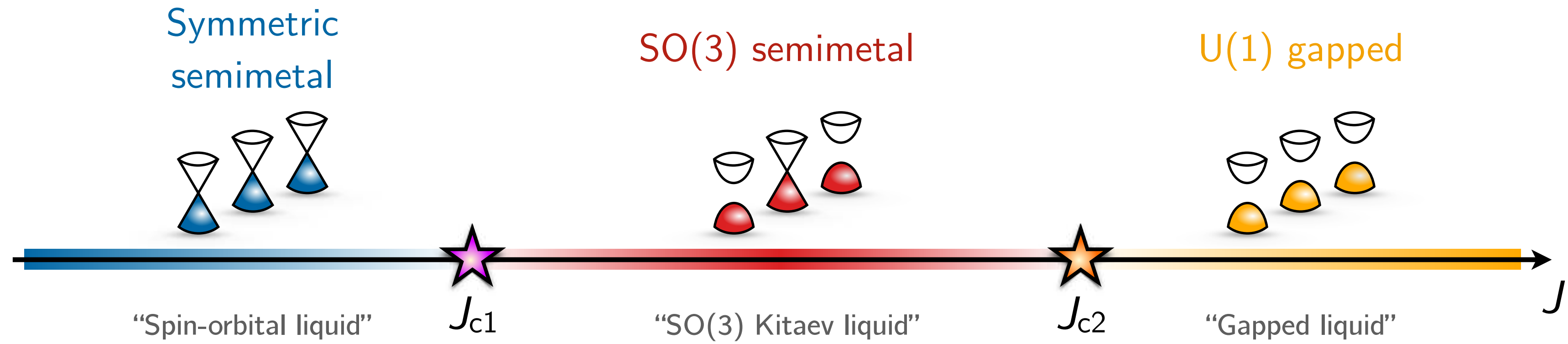


Fermion spectral function:

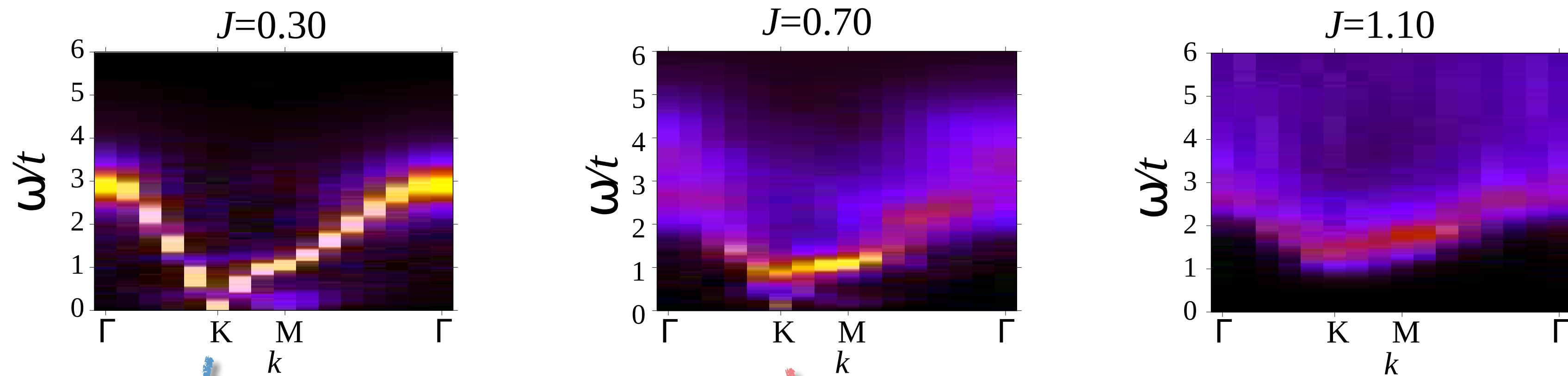


# Sign-problem-free bilayer model

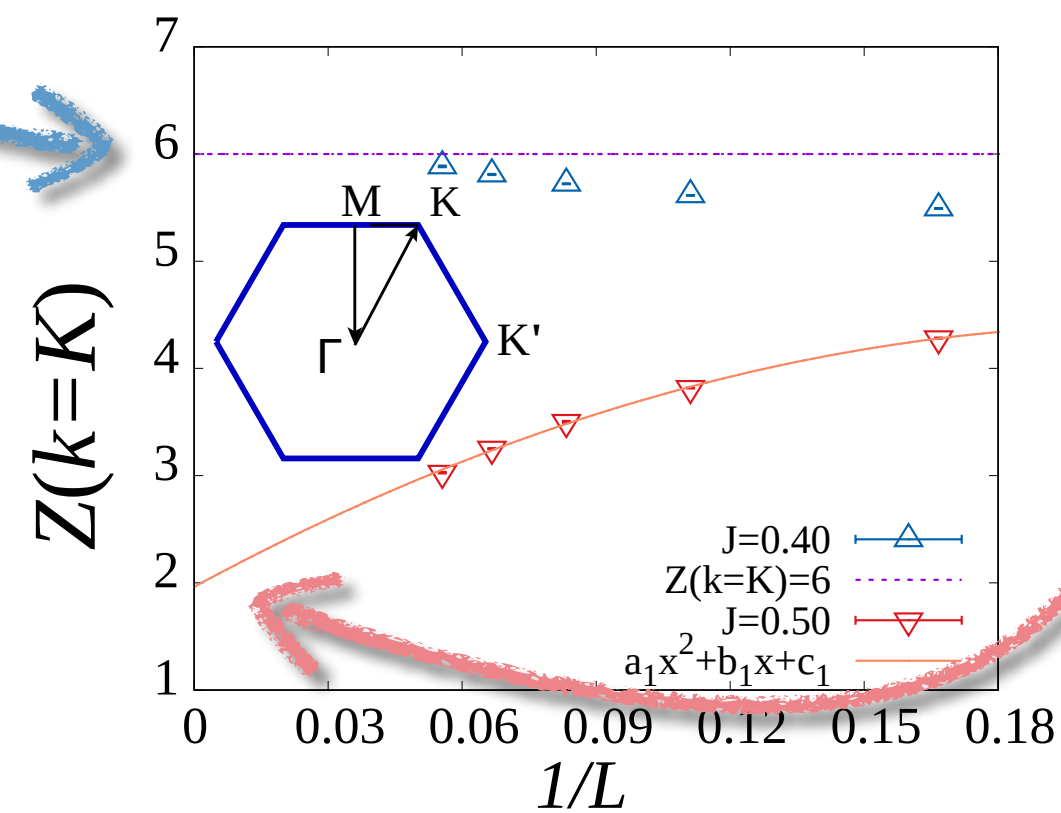
Phase diagram:



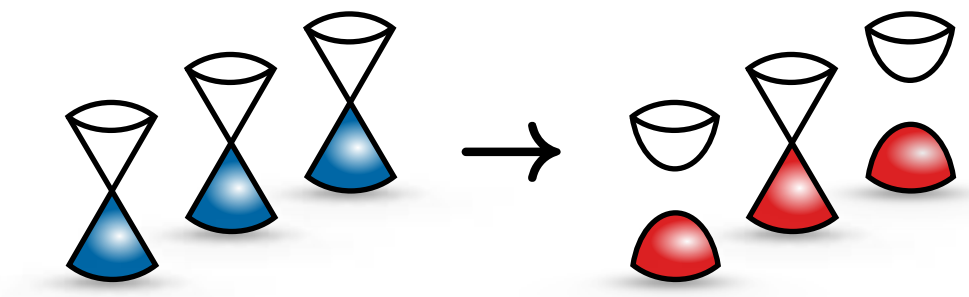
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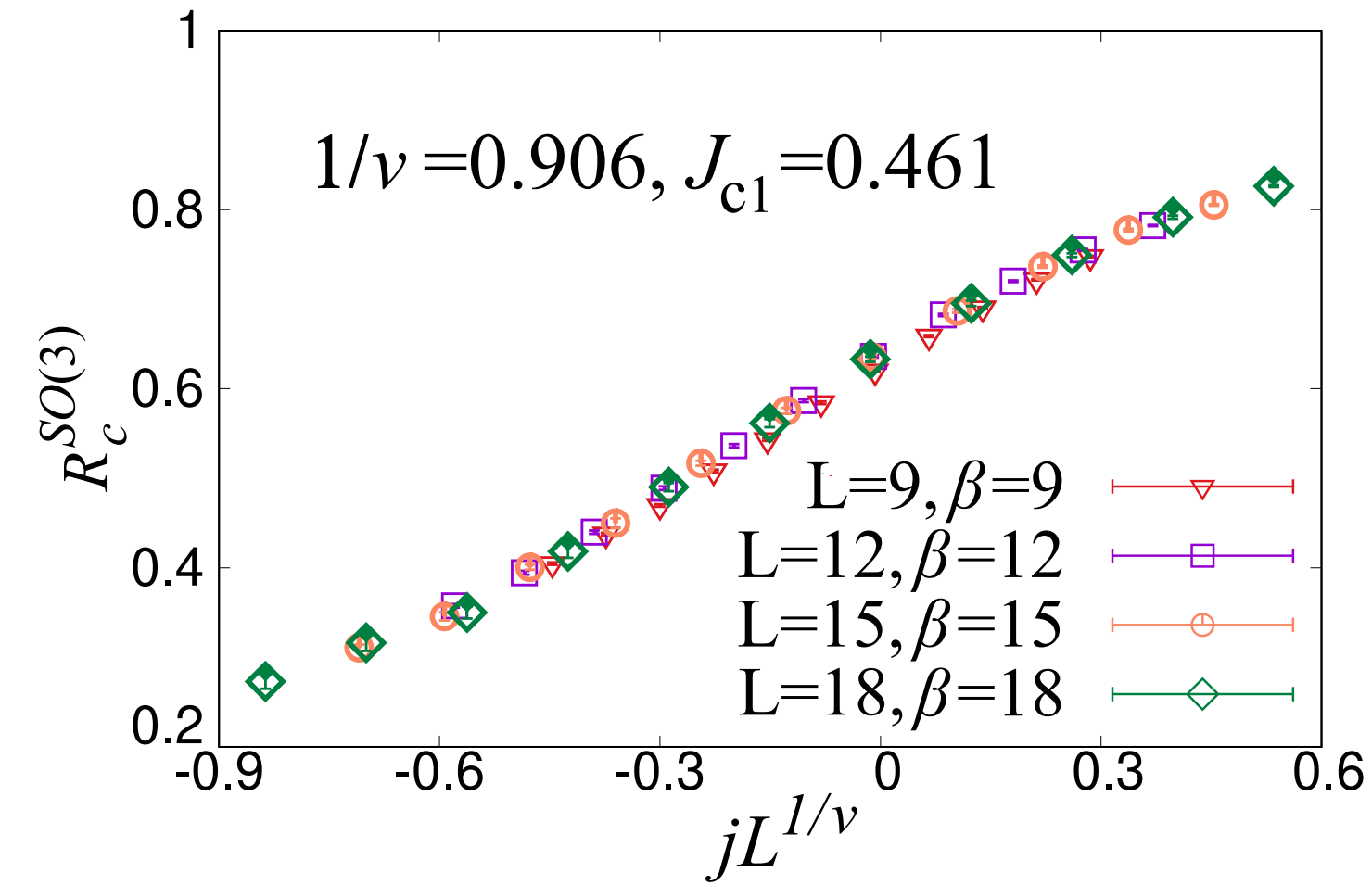
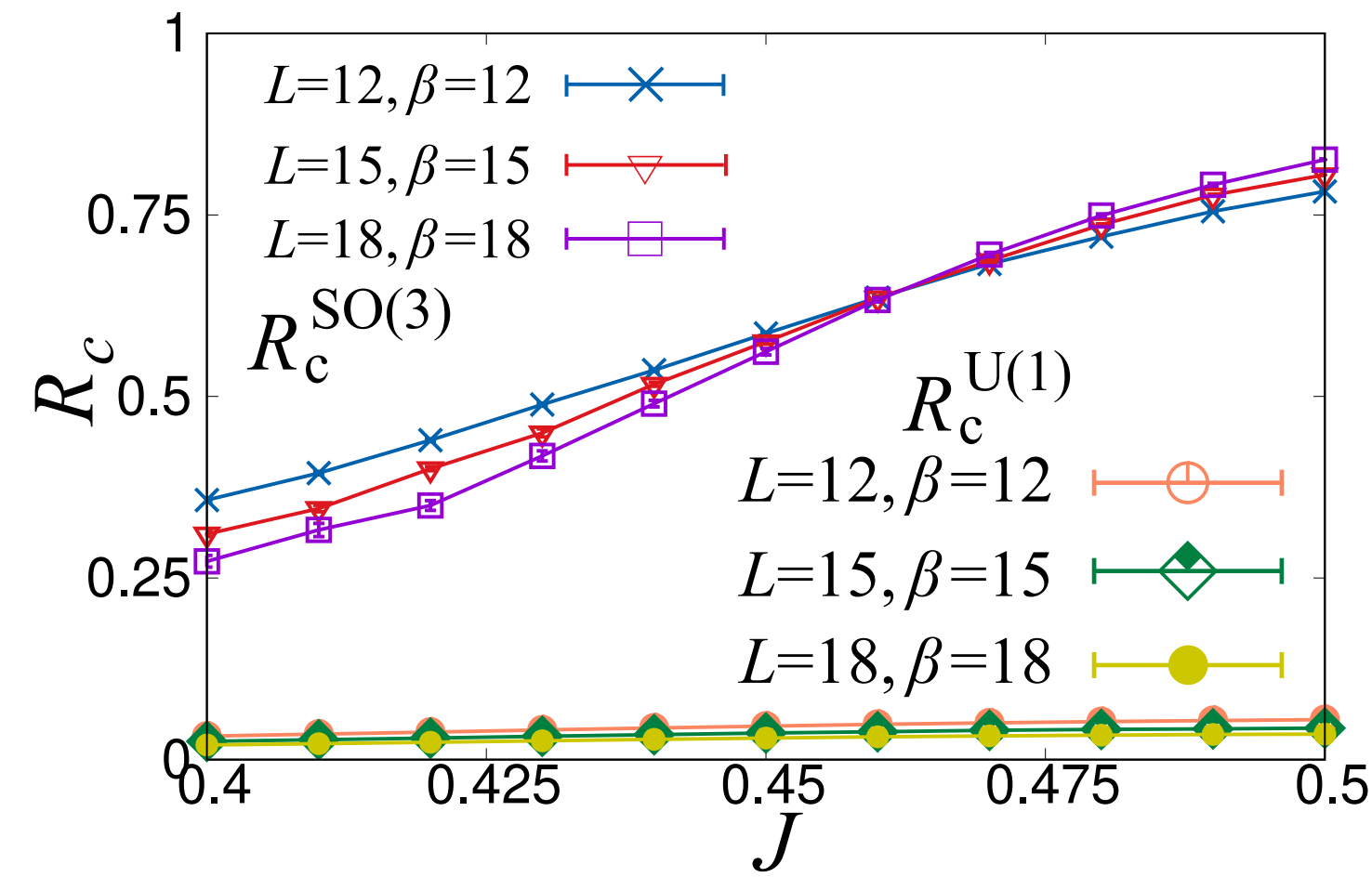
Quasiparticle weight:



# Gross-Neveu-SO(3) transition at $J_{c1}$



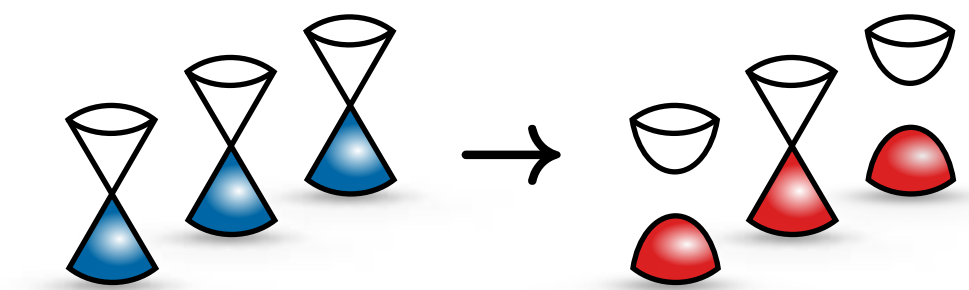
Correlation ratio: 
$$R_c = 1 - \frac{S(\Gamma + d\vec{k})}{S(\Gamma)}$$



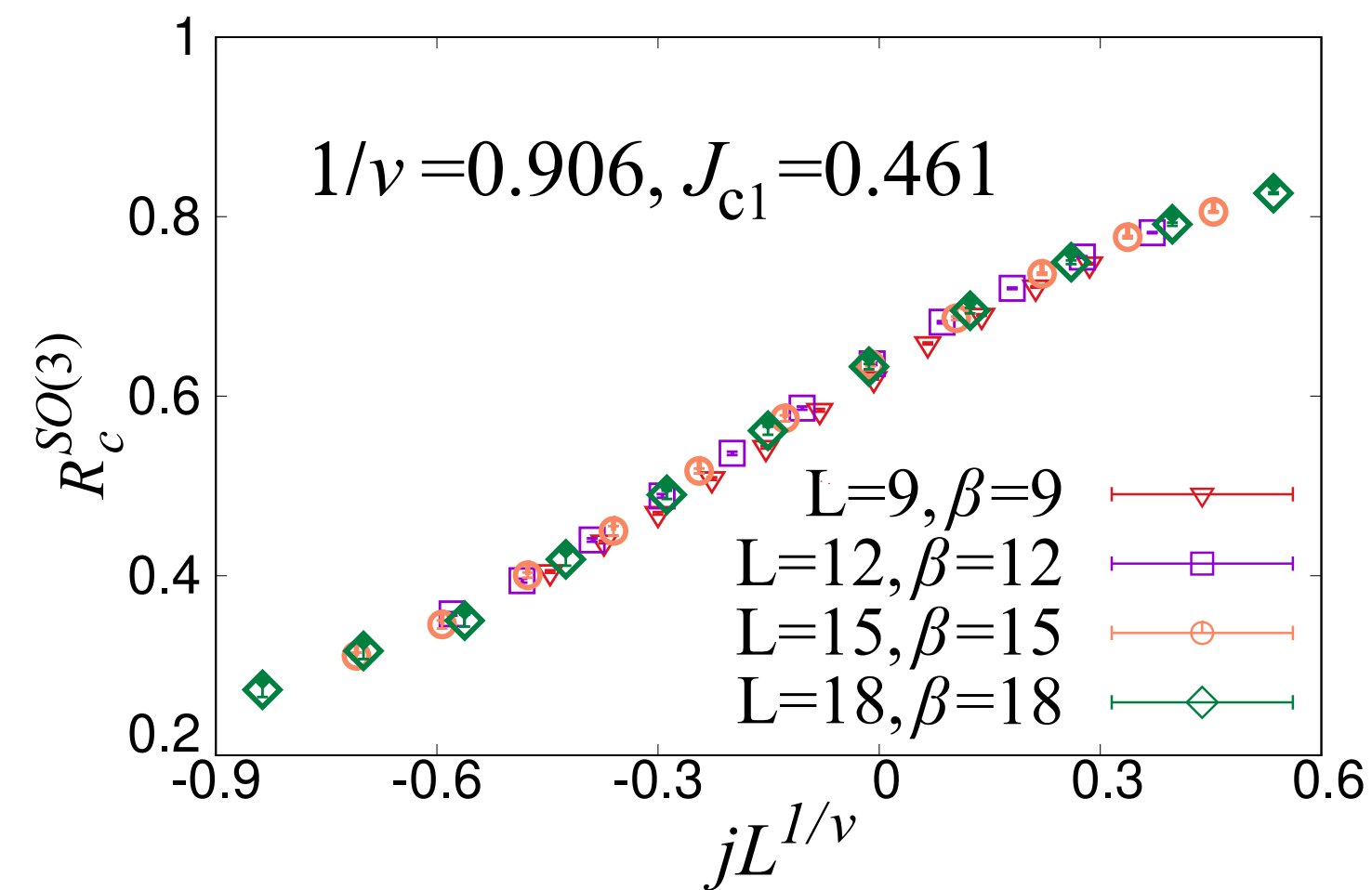
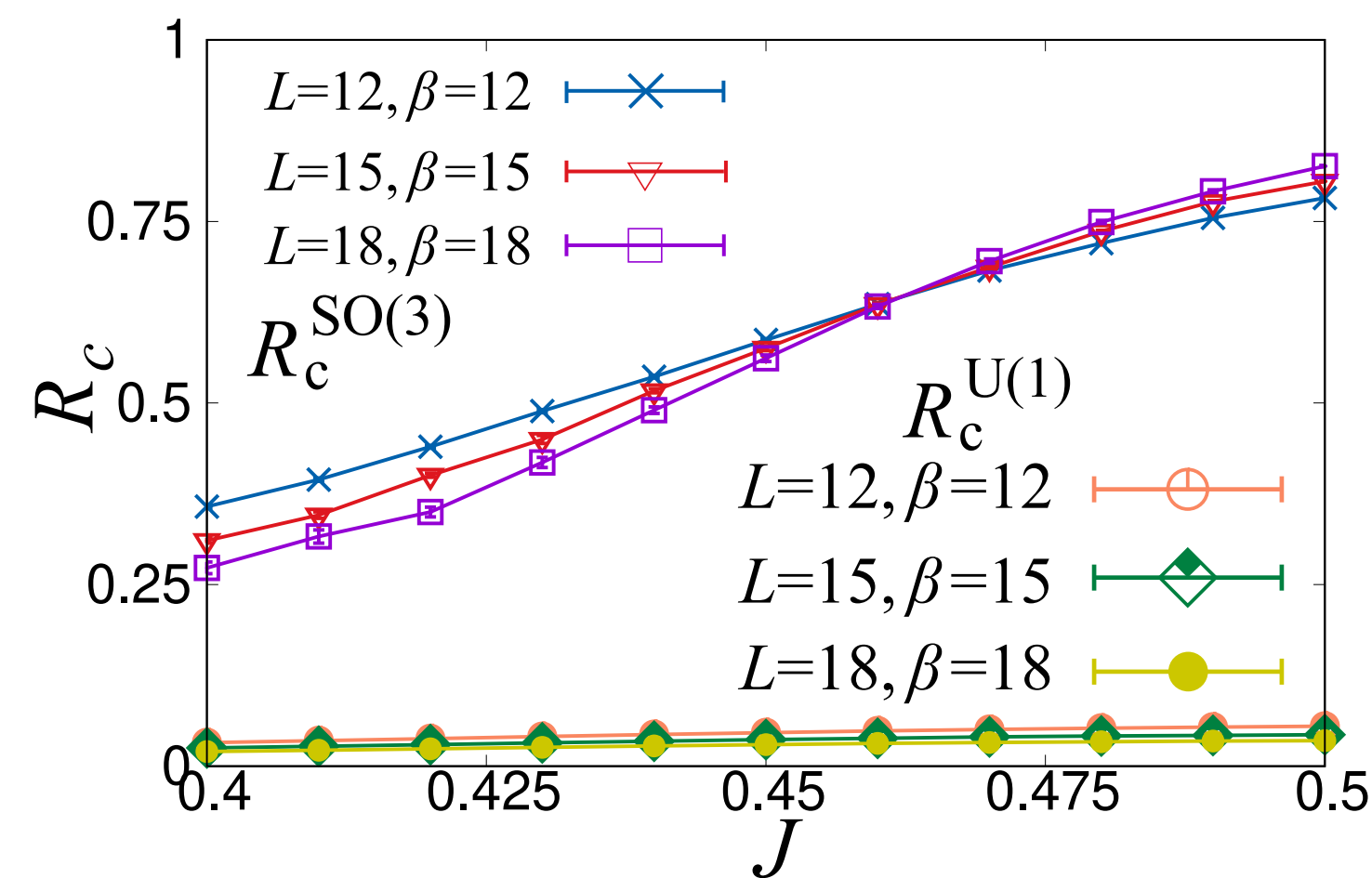
$\Rightarrow 1/\nu = 0.906(35)$

... cf.  $1/\nu = 0.93(4)$  and  $\eta_\phi = 0.83(4)$  from field theory  
 [Ray, Ihrig, Kruti, Gracey, Scherer, LJ, PRB '21]

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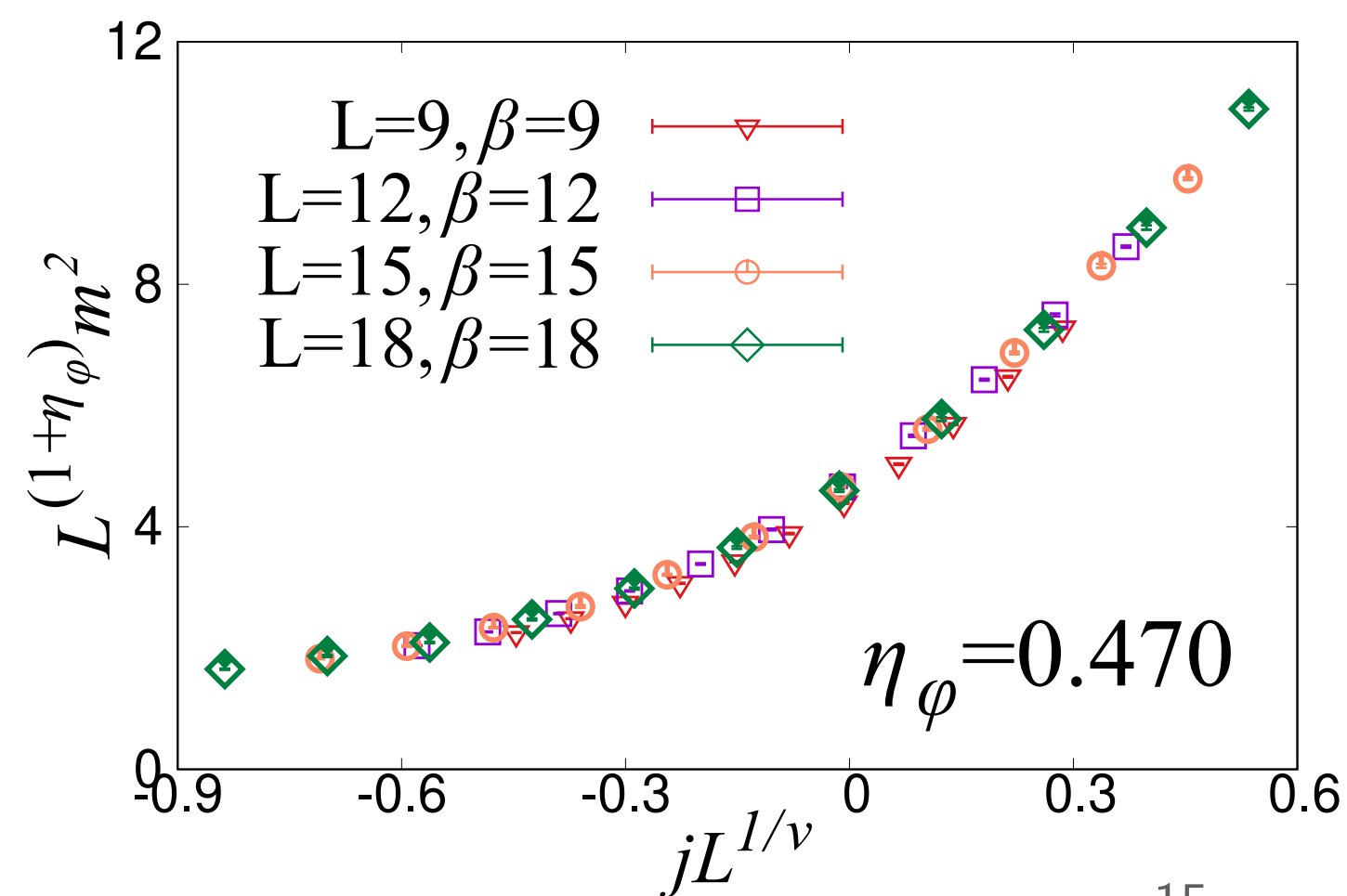


Correlation ratio: 
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$$\Rightarrow 1/\nu = 0.906(35)$$

Order parameter:

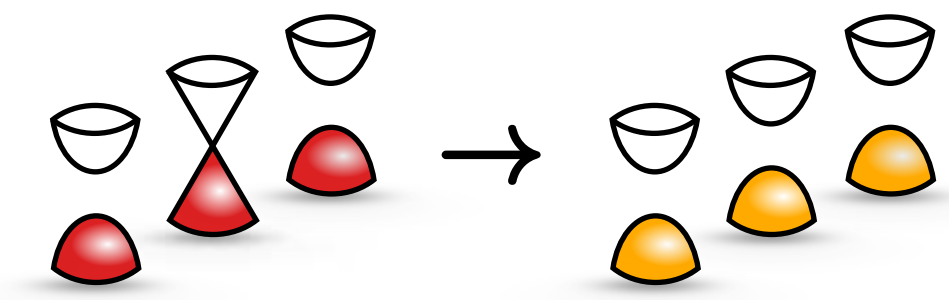


$$\Rightarrow \eta_\phi = 0.470(13)$$

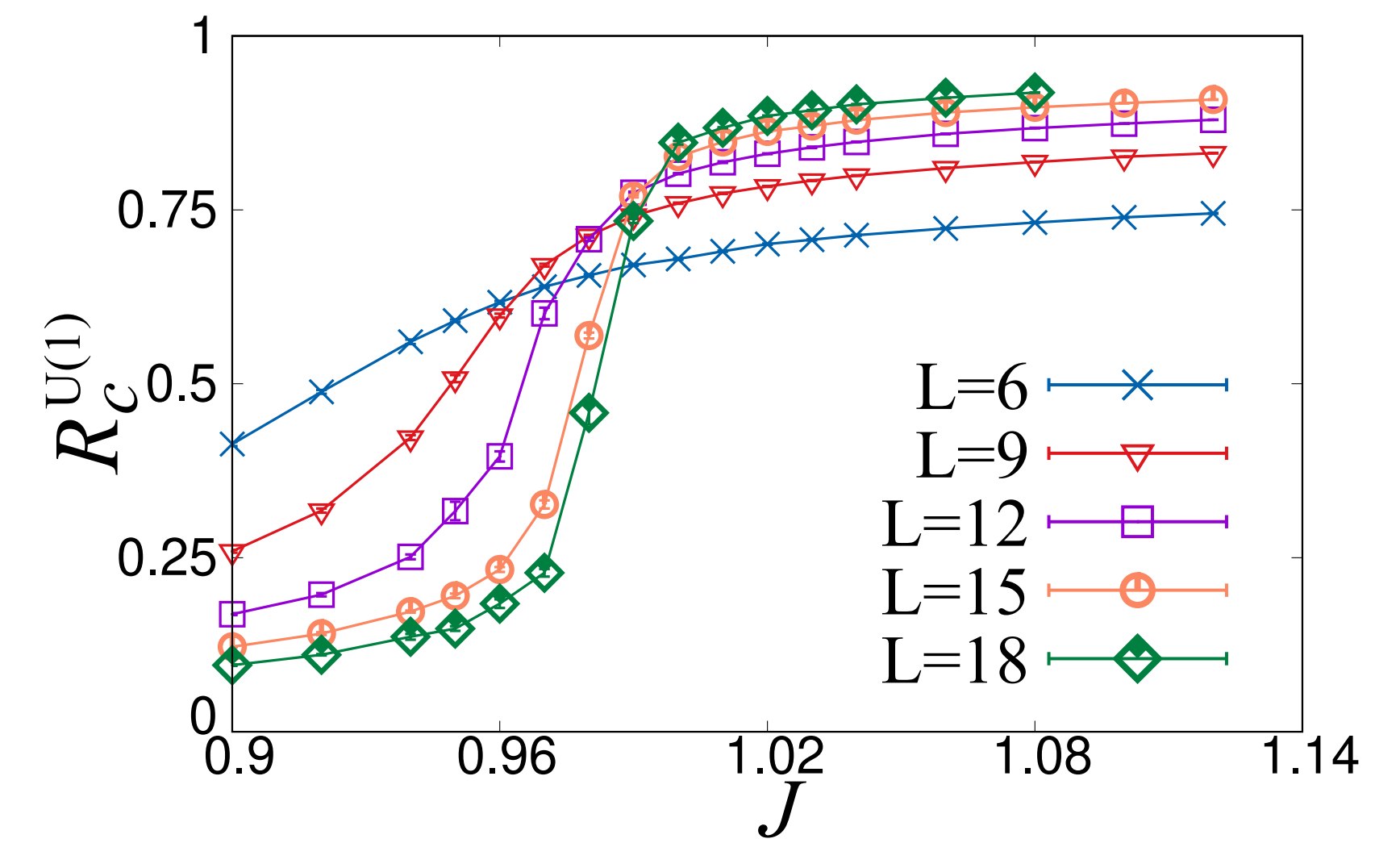
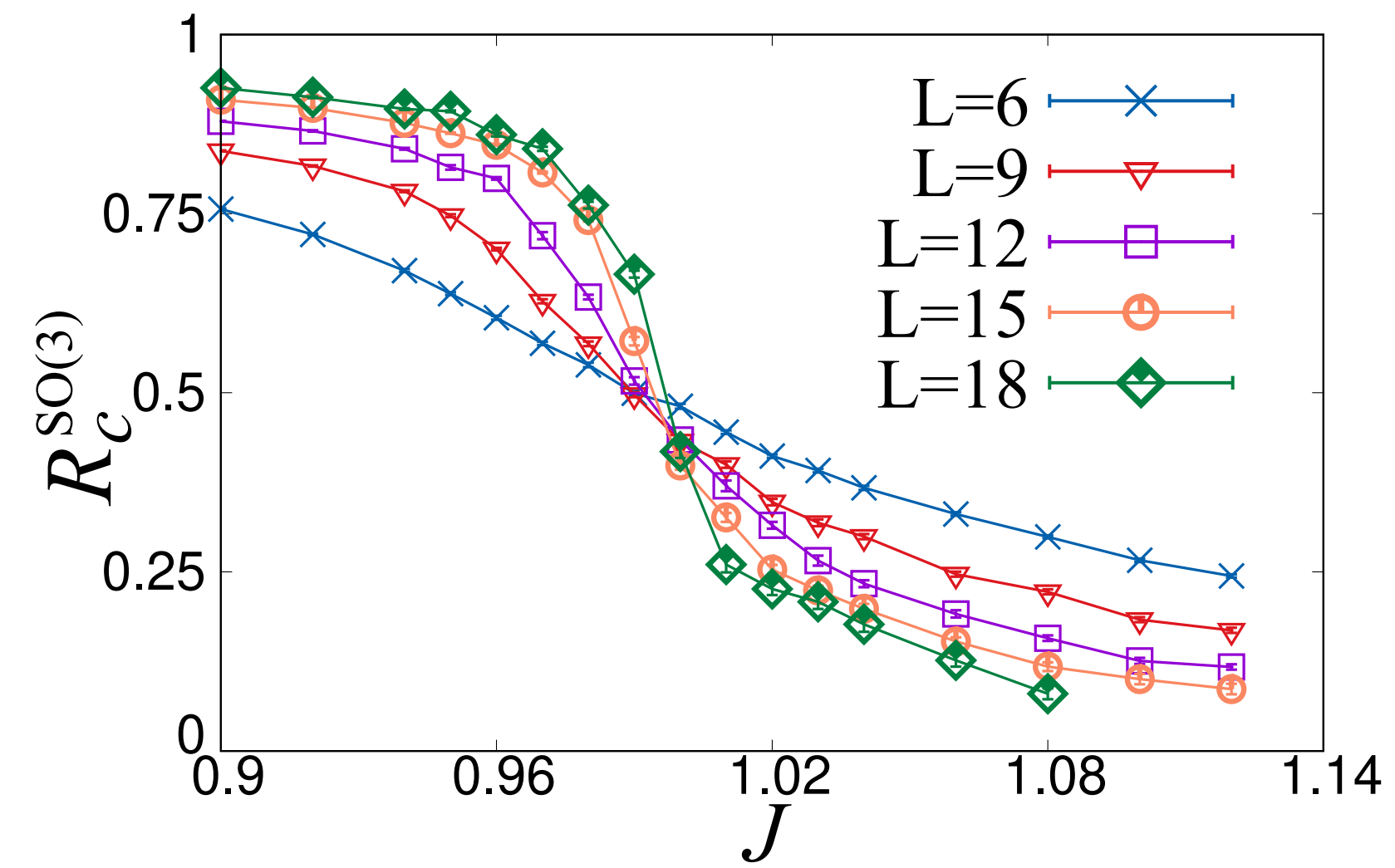
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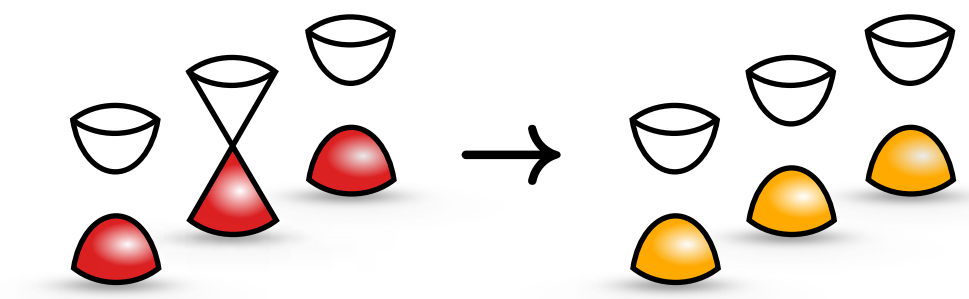
# SO(3)-U(1) transition at $J_{c2}$



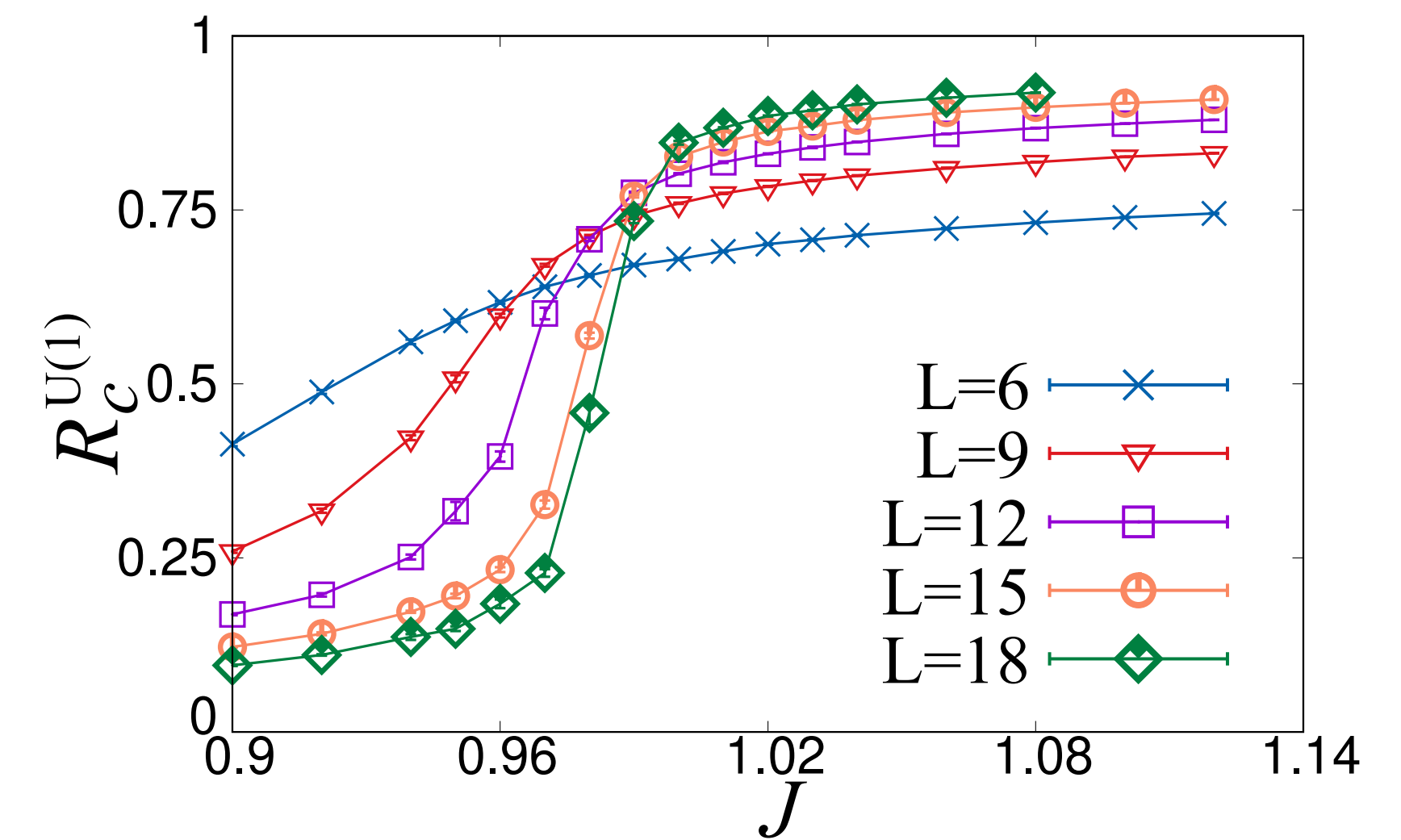
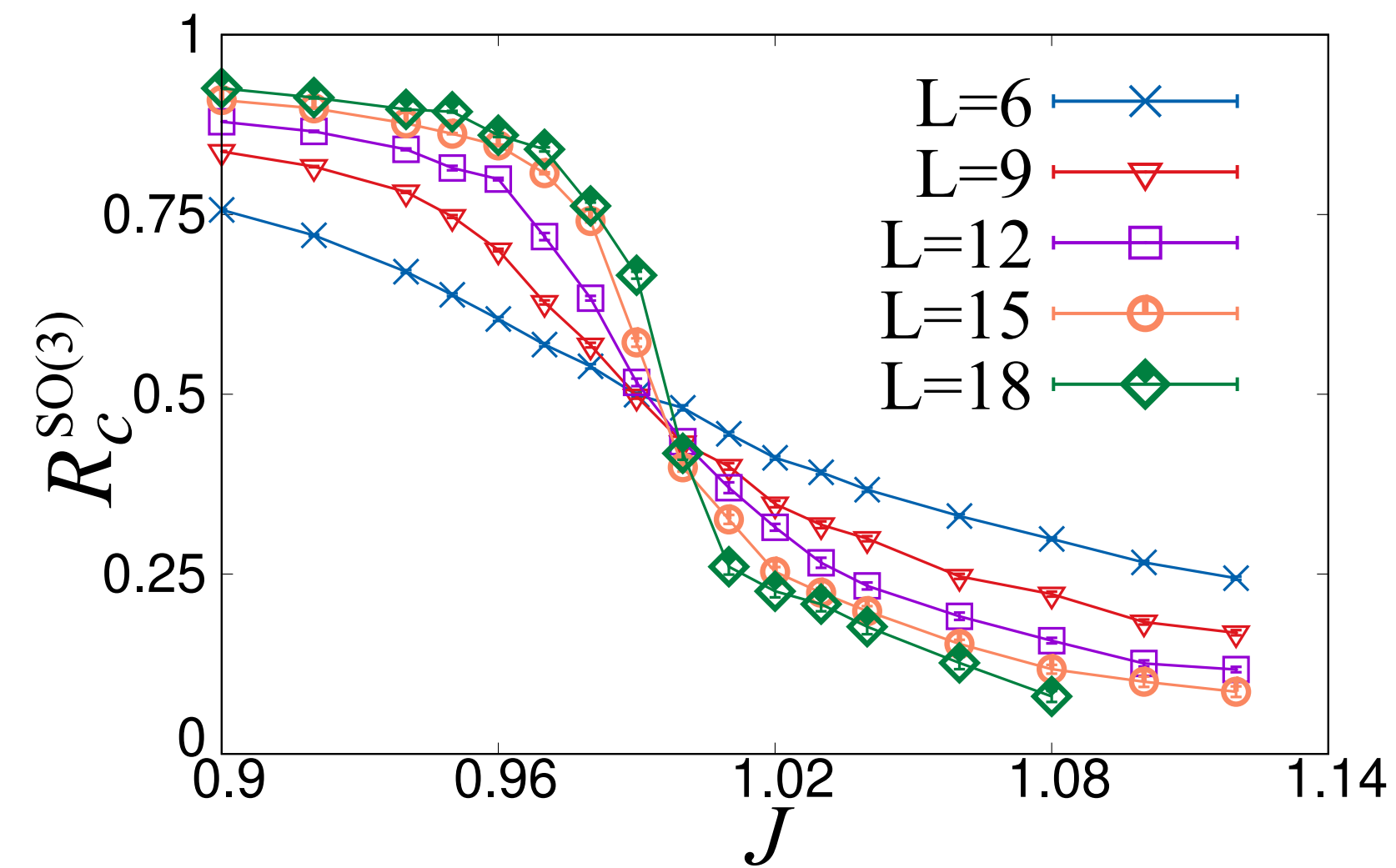
Correlation ratios:



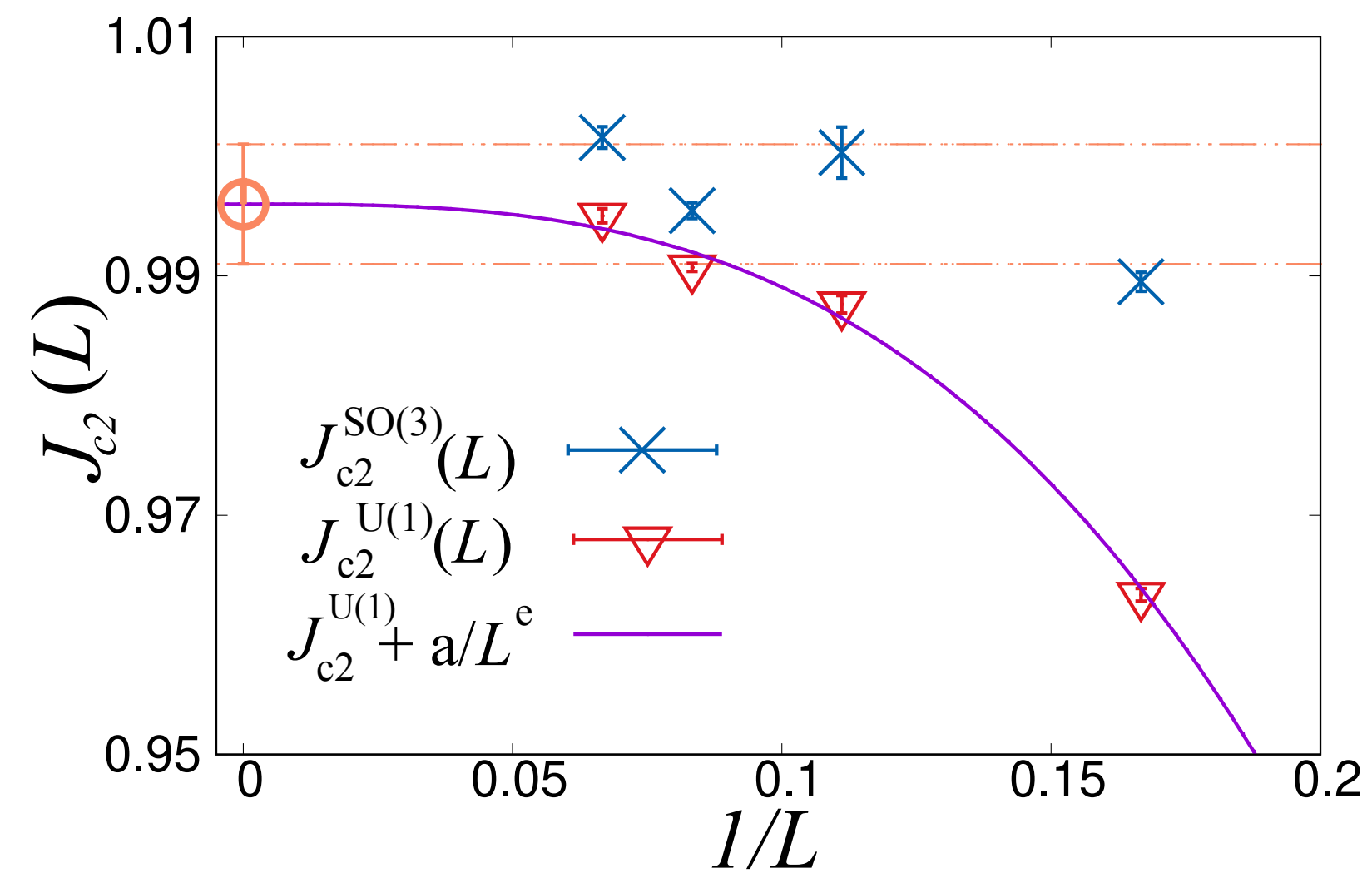
# SO(3)-U(1) transition at $J_{c2}$



Correlation ratios:



Critical couplings:



$$\Rightarrow J_{c2}^{SO(3)} = J_{c2}^{U(1)} \text{ unique!}$$

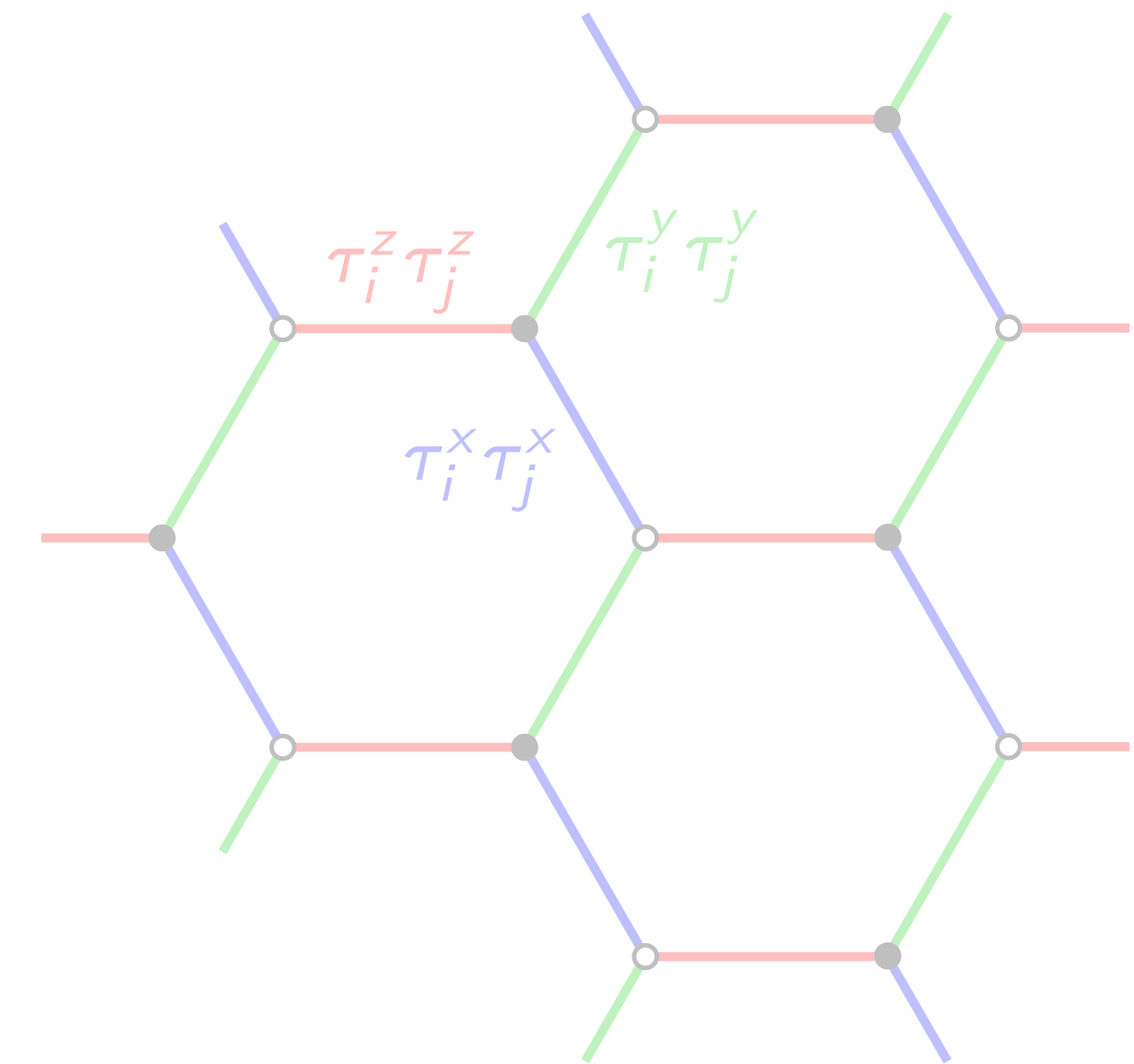
**Metallic deconfined QCP?**

# Outline

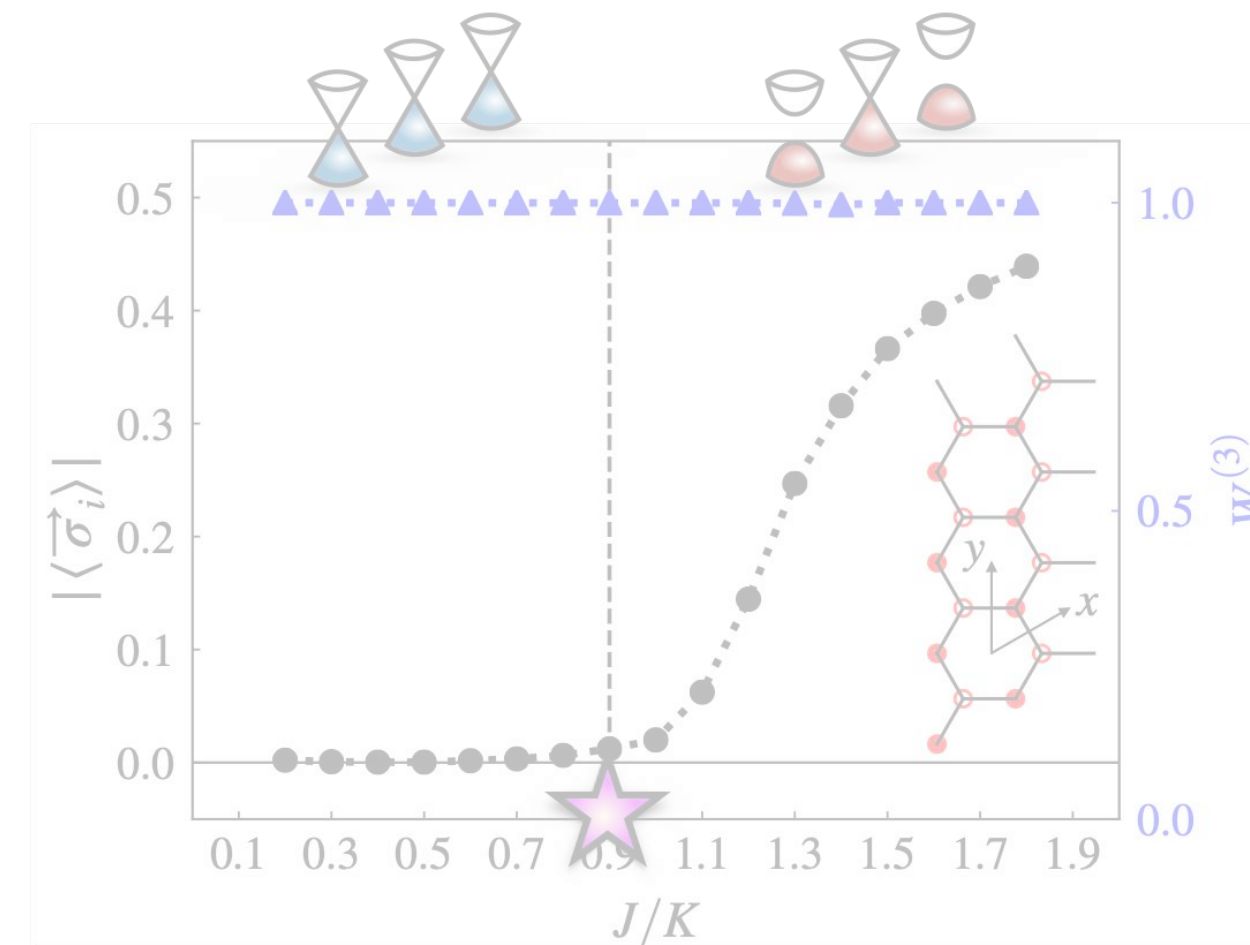
(1) Fractionalized quantum criticality



(2) From Kitaev to Kitaev-Kugel-Khomskii



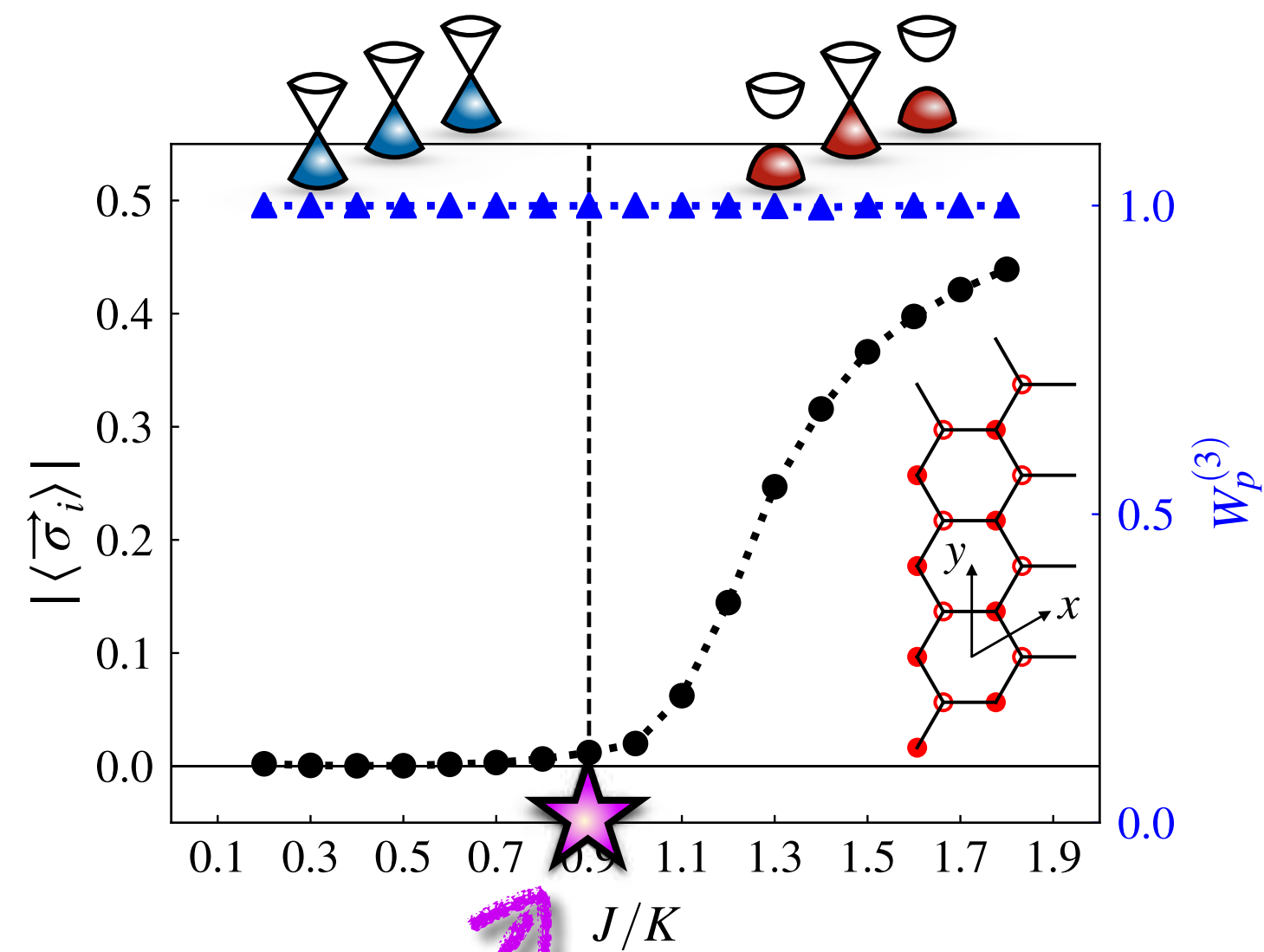
(3) Kitaev-Heisenberg spin-orbital models



(4) Conclusions

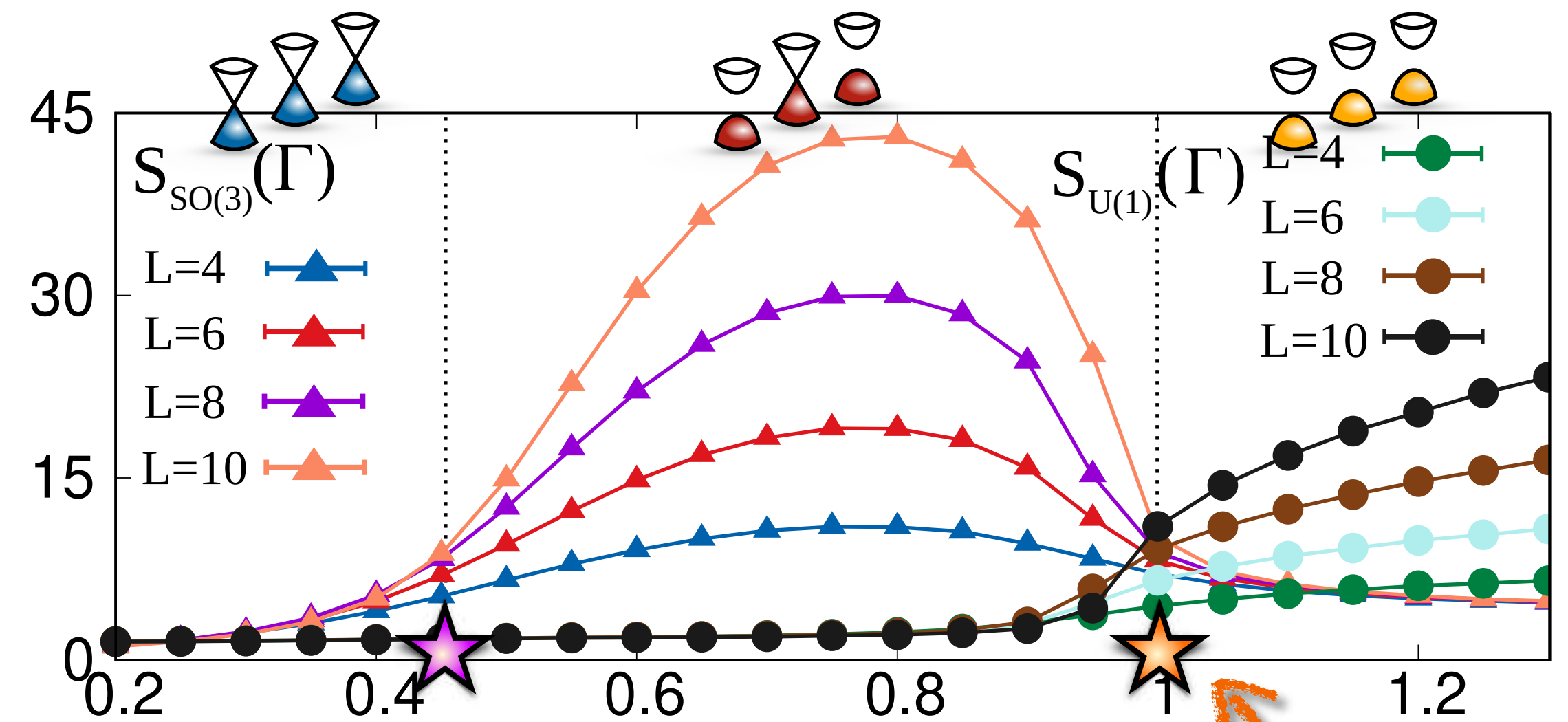
# Conclusions

Kitaev-Heisenberg spin-orbital model:



Gross-Neveu-SO(3)\*

Effective bilayer honeycomb model:

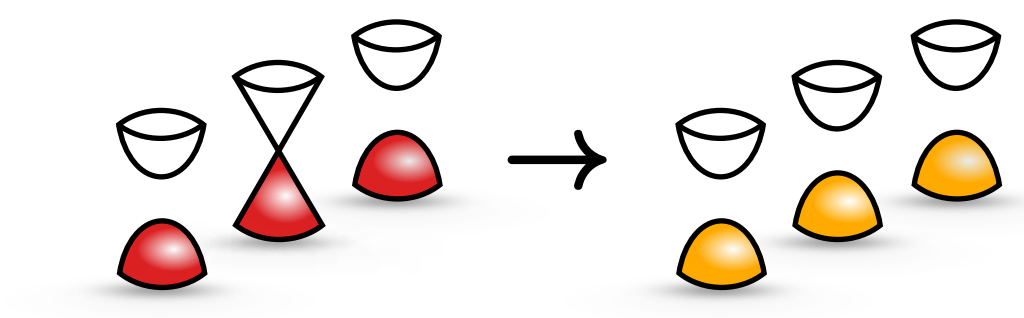


Gross-Neveu-SO(3)

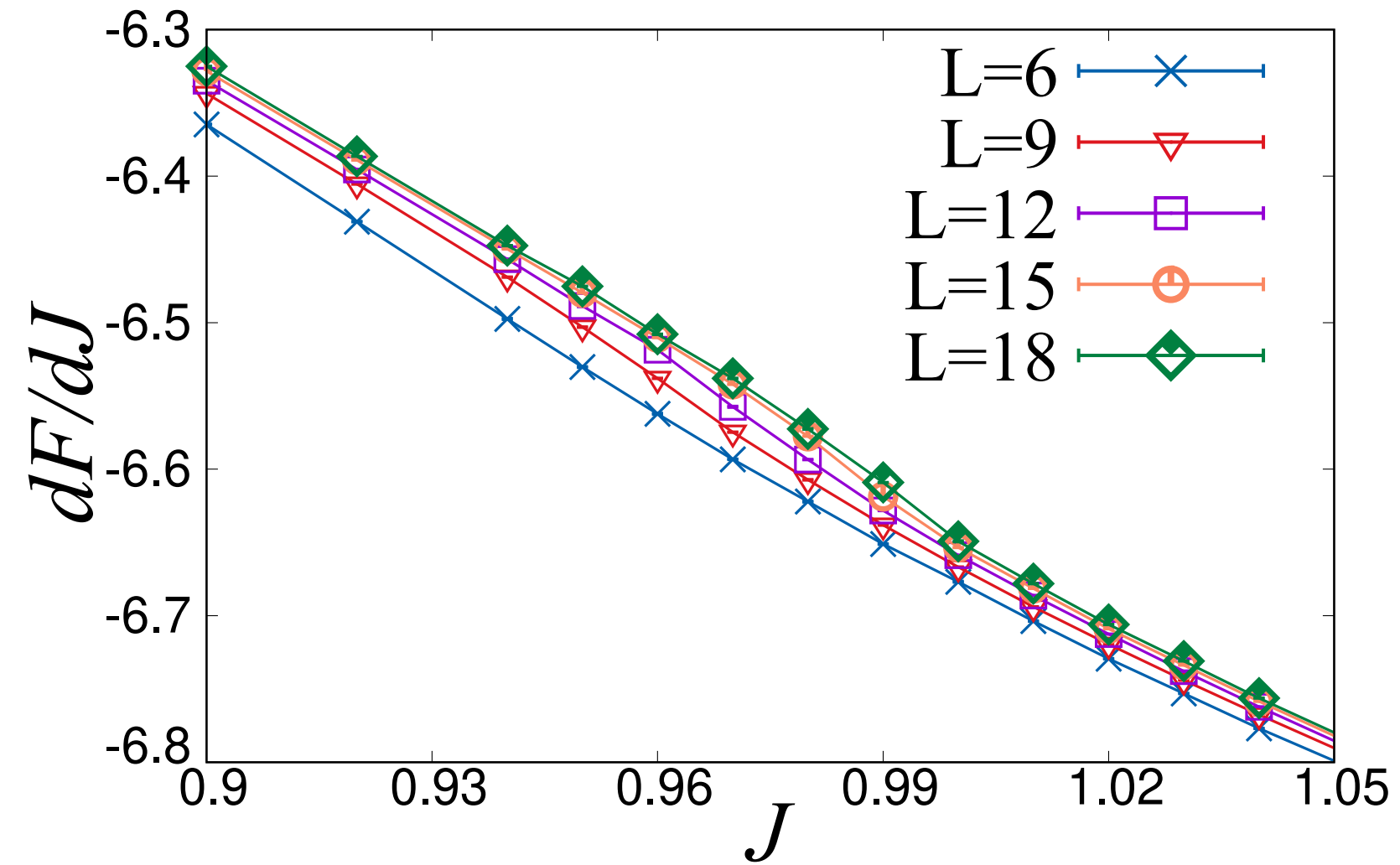
Metallic  
deconfined QCP?



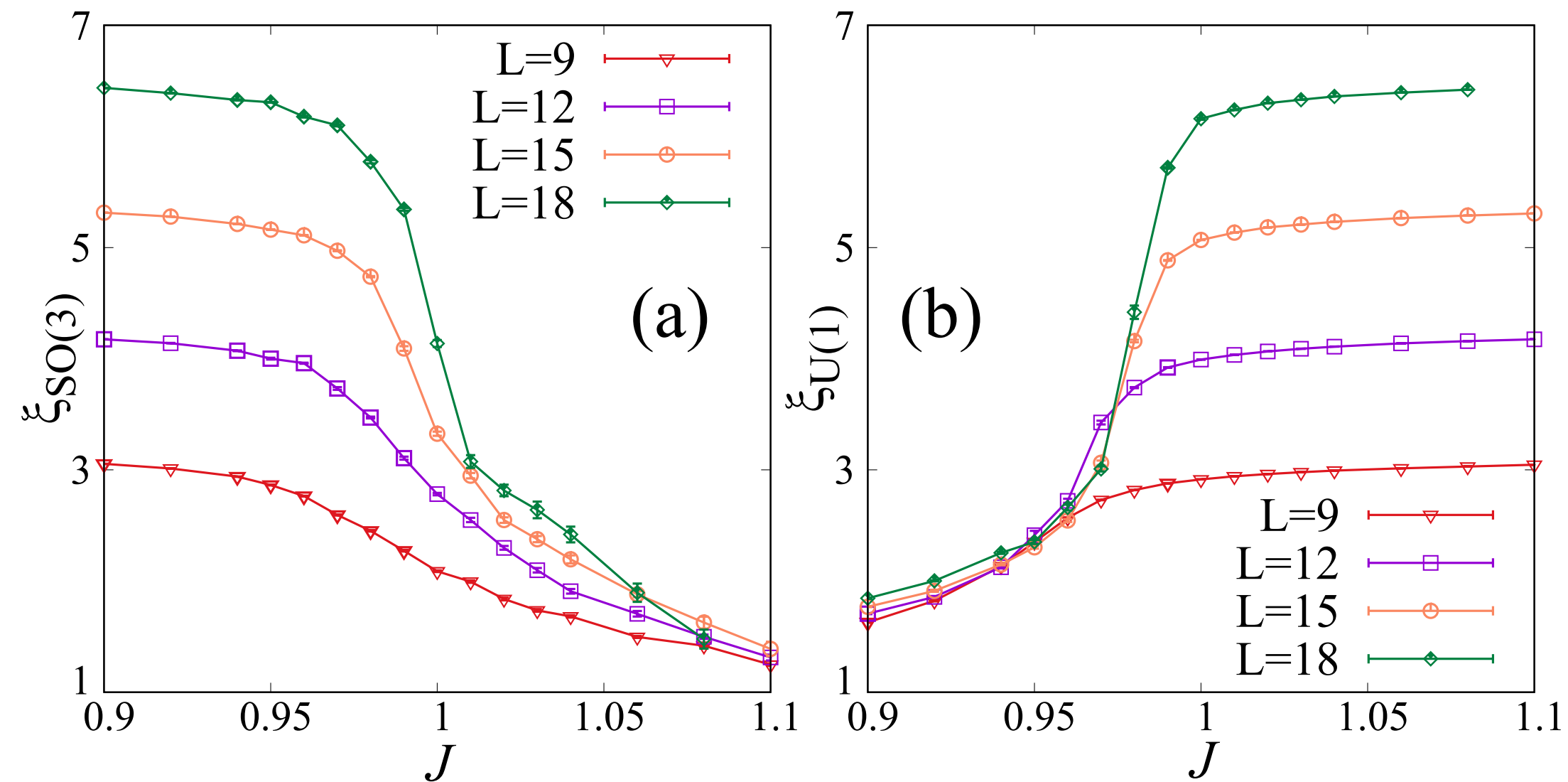
# SO(3)-U(1) transition at $J_{c2}$



Free energy:



Correlation lengths:

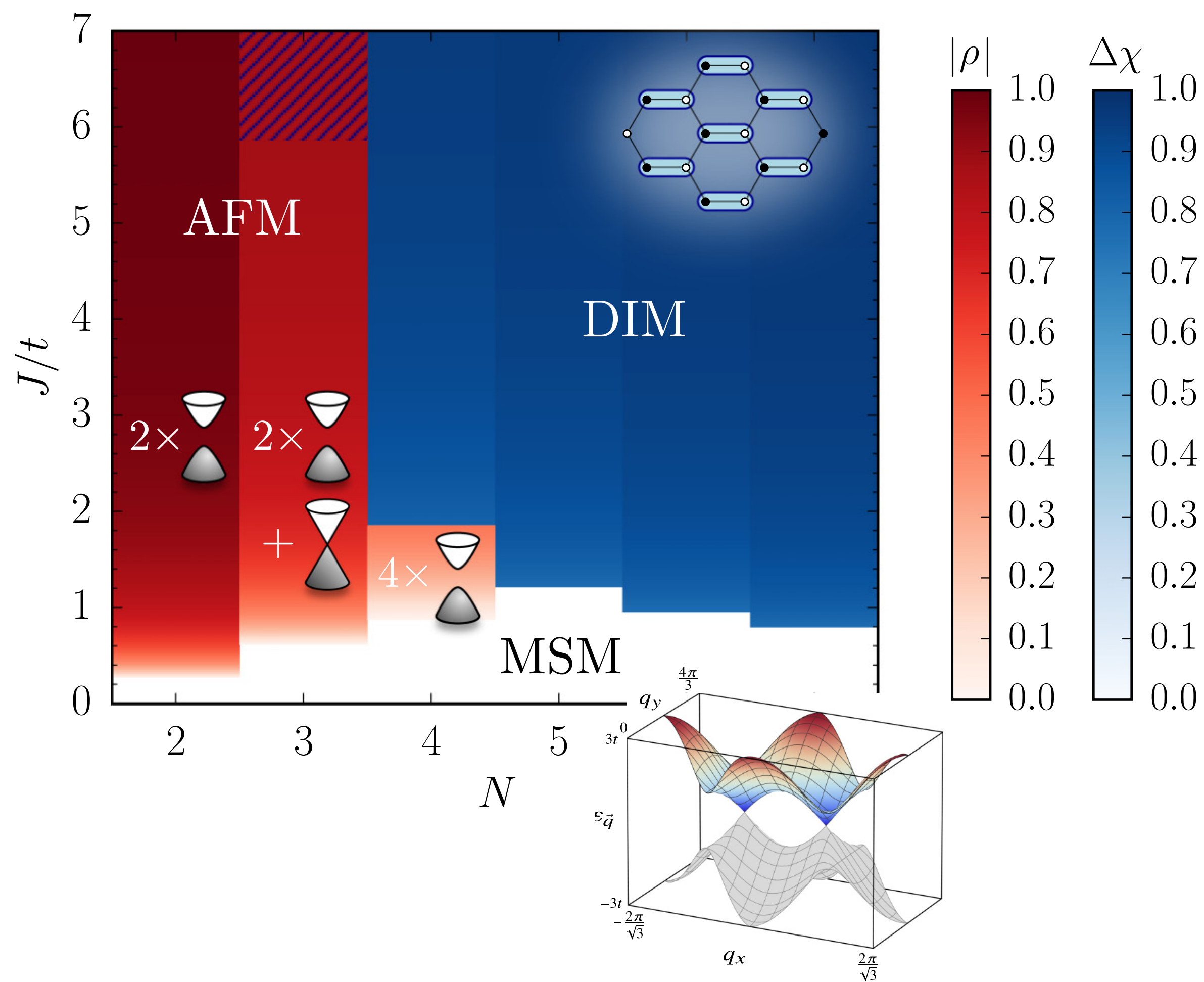


$$\xi^2 = \frac{1}{2d} \frac{\sum_{\vec{r}} |\vec{r}|^2 S(\vec{r})}{\sum_{\vec{r}} S(\vec{r})}$$

[Liu, Vojta, Assaad, LJ, PRL '22 (Editors' Suggestion)]

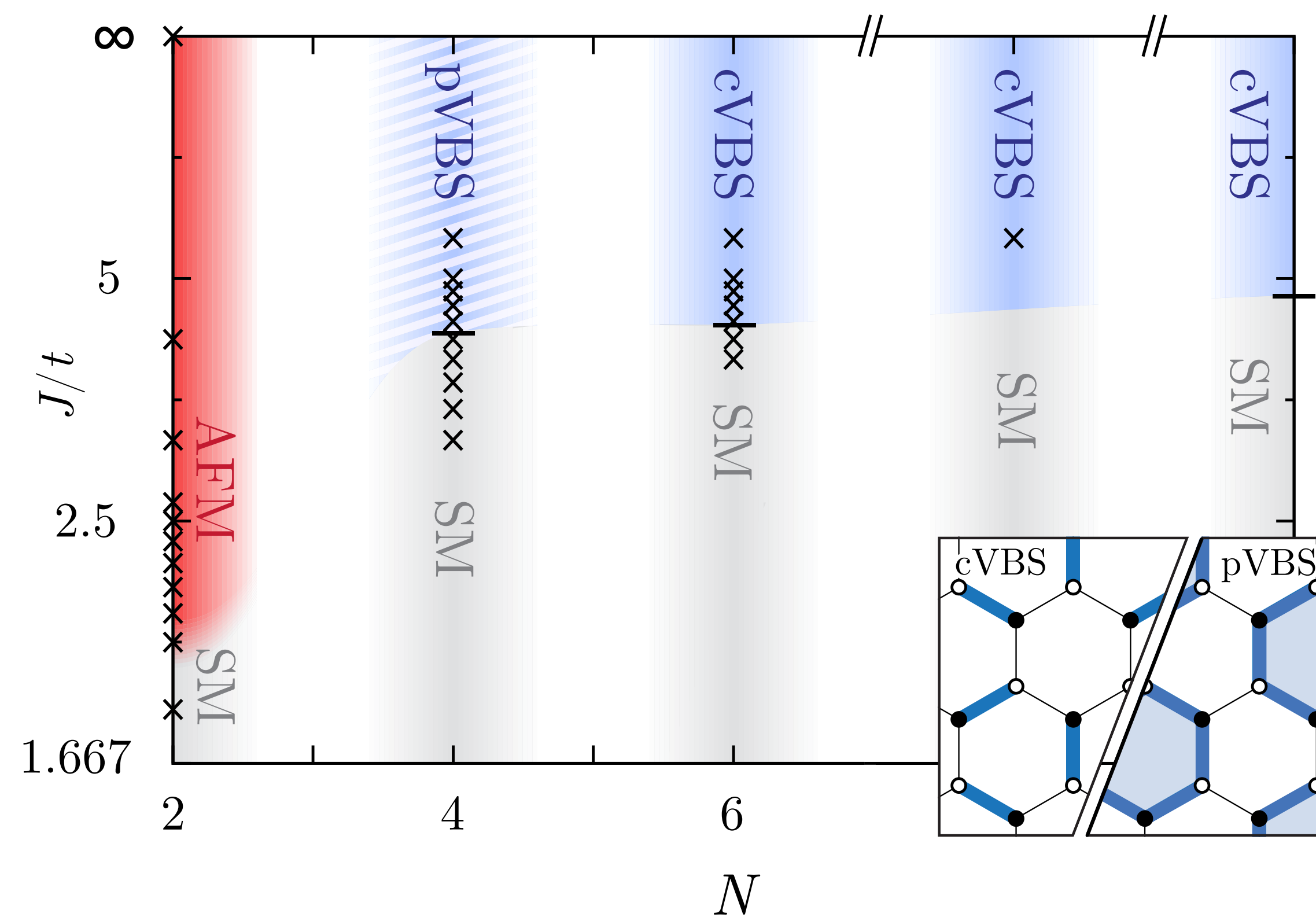
# Conclusions

## SO( $N$ ) Majorana-Hubbard models



[LJ & Seifert, PRB '22]

## SU( $N$ ) Hubbard-Heisenberg models



[Lang, Meng, Muramatsu, Wessel, Assaad, PRL '13]

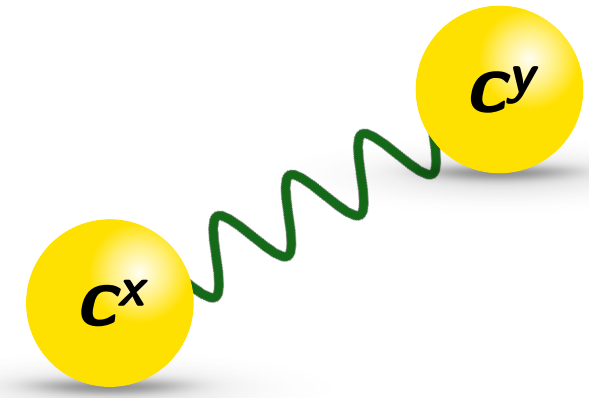
[Affleck & Marston, PRB '88]

[Read & Sachdev, NPB '89]

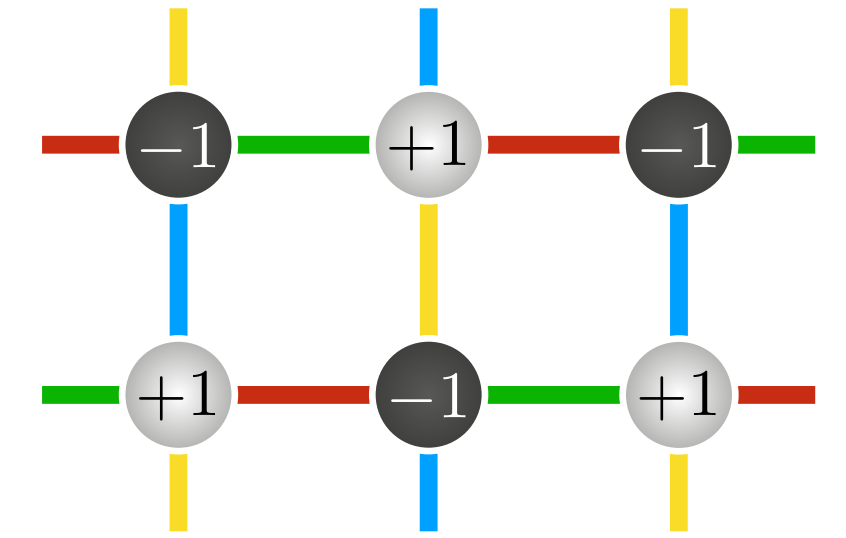
# Kitaev-Ising spin-orbital model

Ising perturbation:

$$H = H_K + J^z \sum_{\langle ij \rangle} \sigma_i^z \sigma_j^z \otimes \mathbb{1}_i \mathbb{1}_j$$



“Kitaev” spin-orbital liquid



Ising spin order

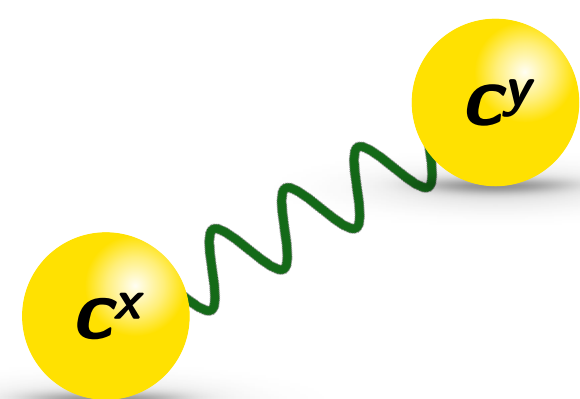




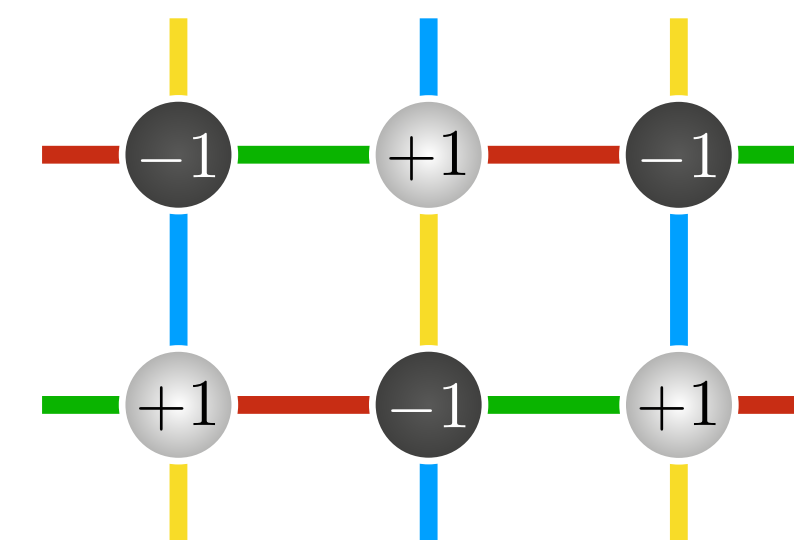
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Parton representation:

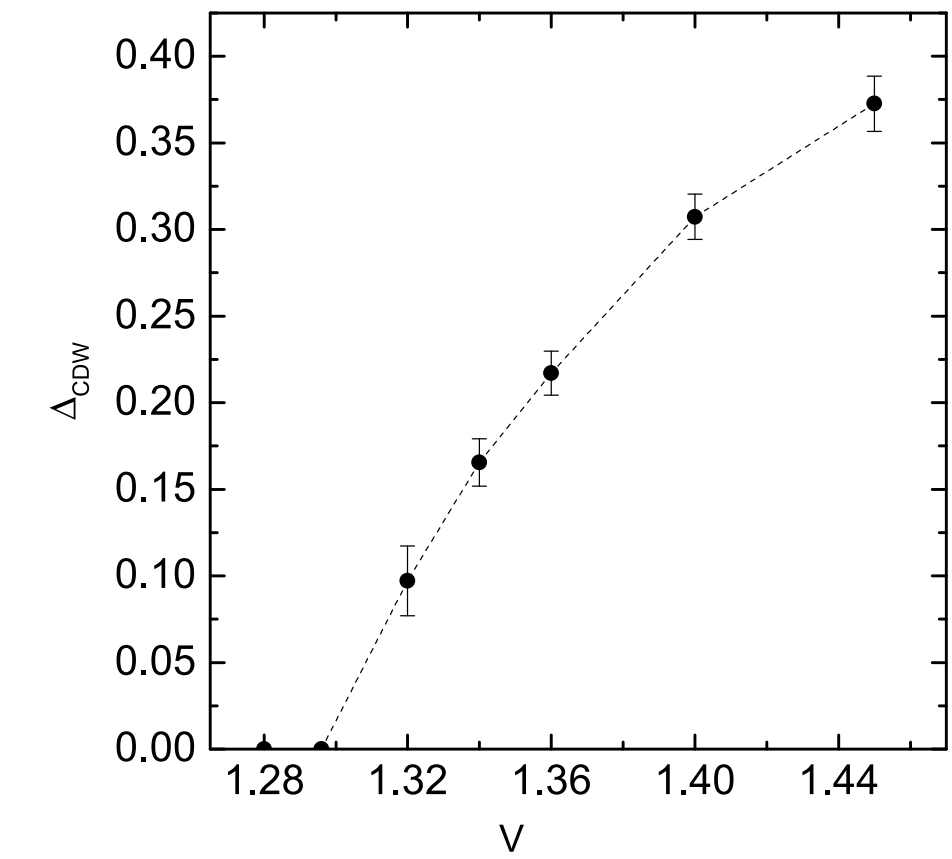
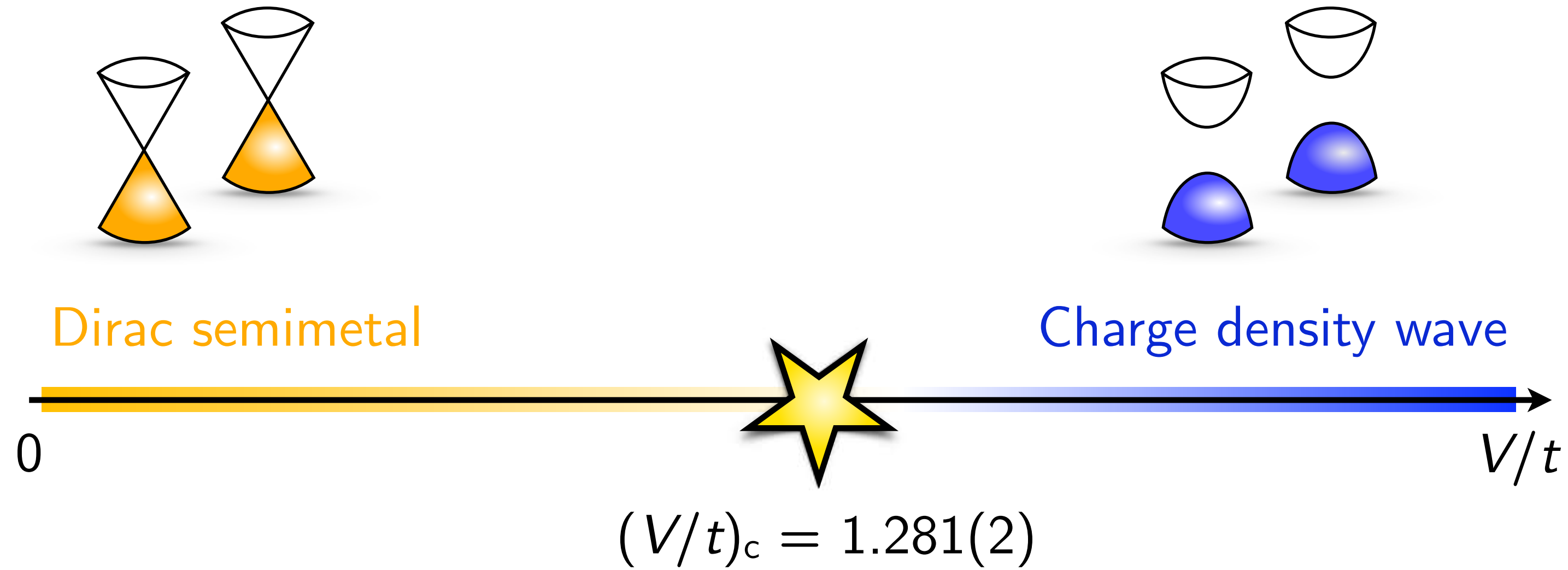
$$H \mapsto \sum_{\langle ij \rangle} \left[ 2K u_{ij} (f_i^\dagger f_j + f_j^\dagger f_i) + 4J^z (n_i - \frac{1}{2})(n_j - \frac{1}{2}) \right]$$

*hopping parameter  $t = 2K$*   
 *$\pi$  flux*  
*nearest-neighbor repulsion  $V = 4J^z$*   
 *$f = \frac{1}{2}(c^x + ic^y)$*   
*electron density  $f^\dagger f$*

Ground-state flux pattern:  
[Lieb, PRL '94]

Spin-orbital model  $\mapsto$  interacting fermions on  $\pi$ -flux lattice

# Spinless fermions on $\pi$ -flux lattice: QMC



[Wang, Corboz, Troyer, NJP '14]

[Li, Jiang, Yao, NJP '15]

[Huffman & Chandrasekharan, PRD '17; PRD '20]

Gross-Neveu- $\mathbb{Z}_2$  universality:

$$1/\nu = 1.12(1), \quad \eta_\phi = 0.51(3)$$

[Gracey, IJMP '94]

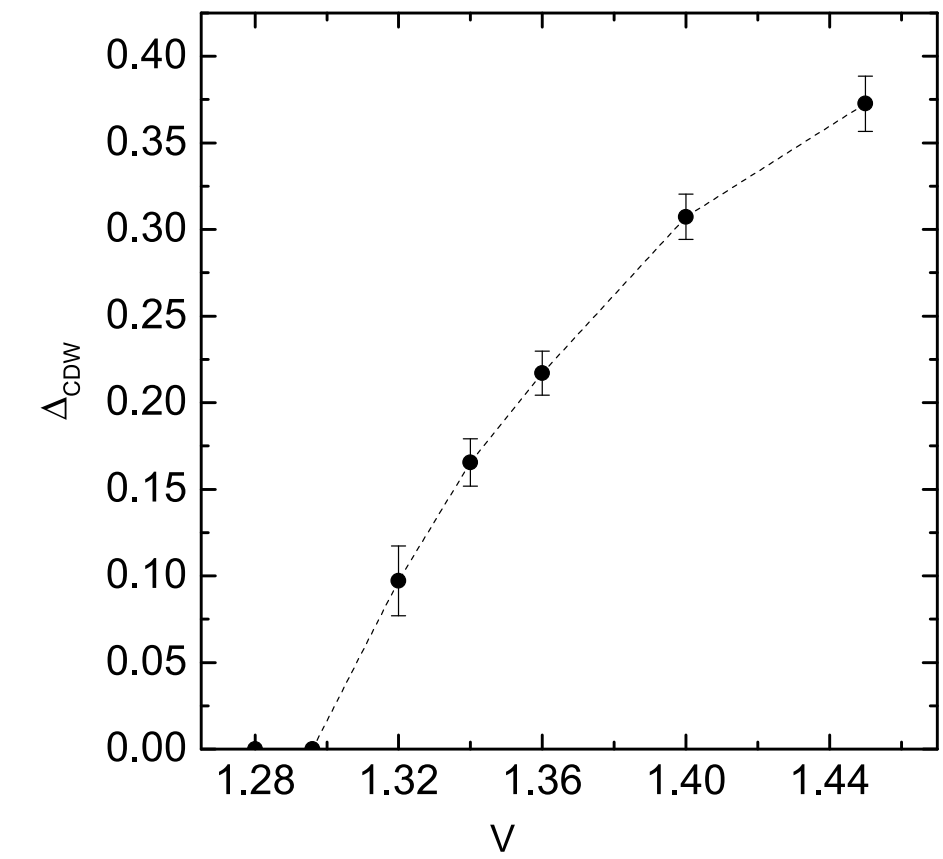
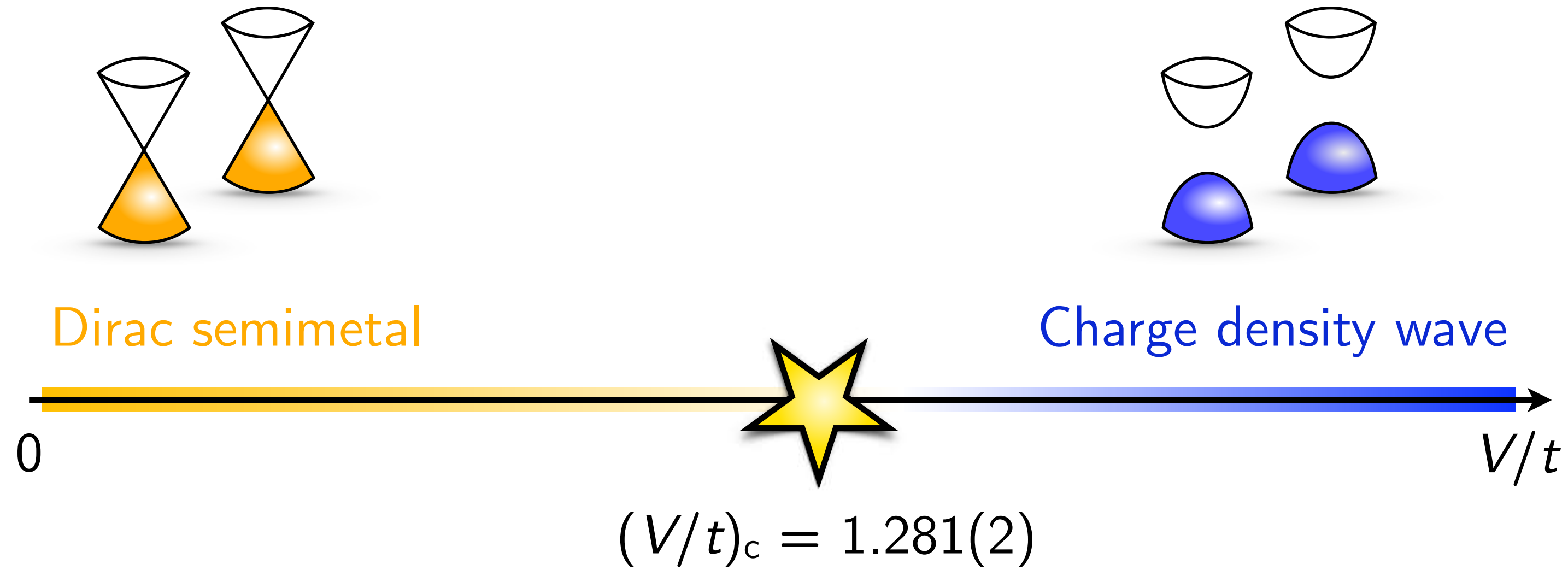
[LJ & Herbut, PRB '14]

[Iliesiu *et al.*, JHEP '18]

[Ihrig, Mihaila, Scherer, PRB '18]

...

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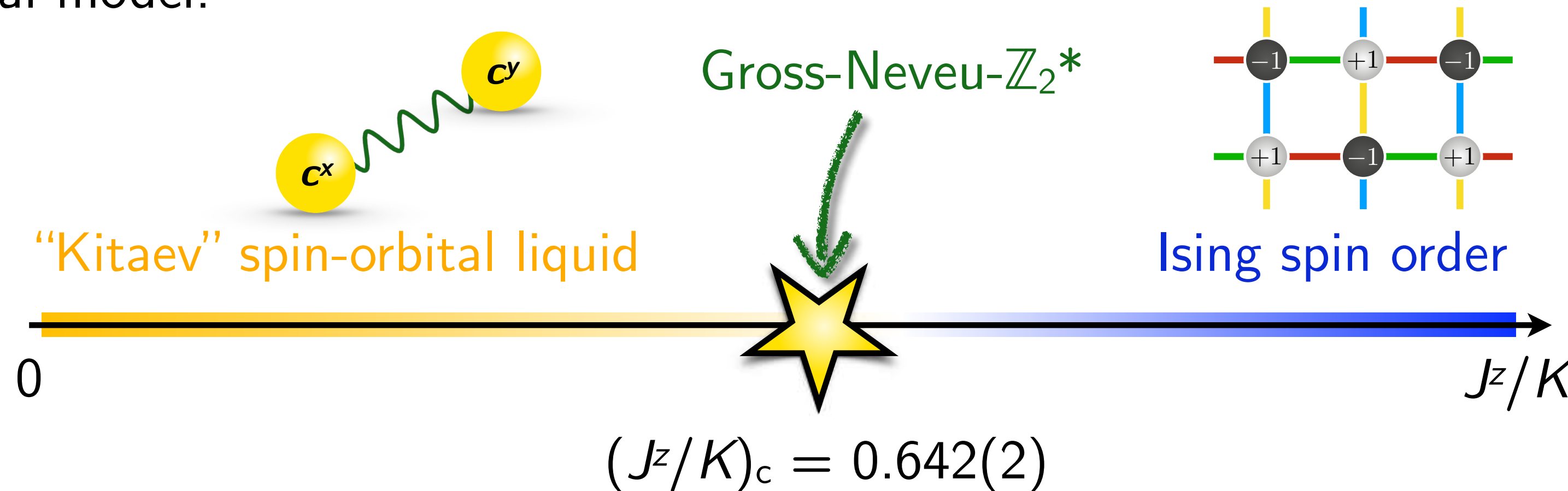
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Spin-orbital model:



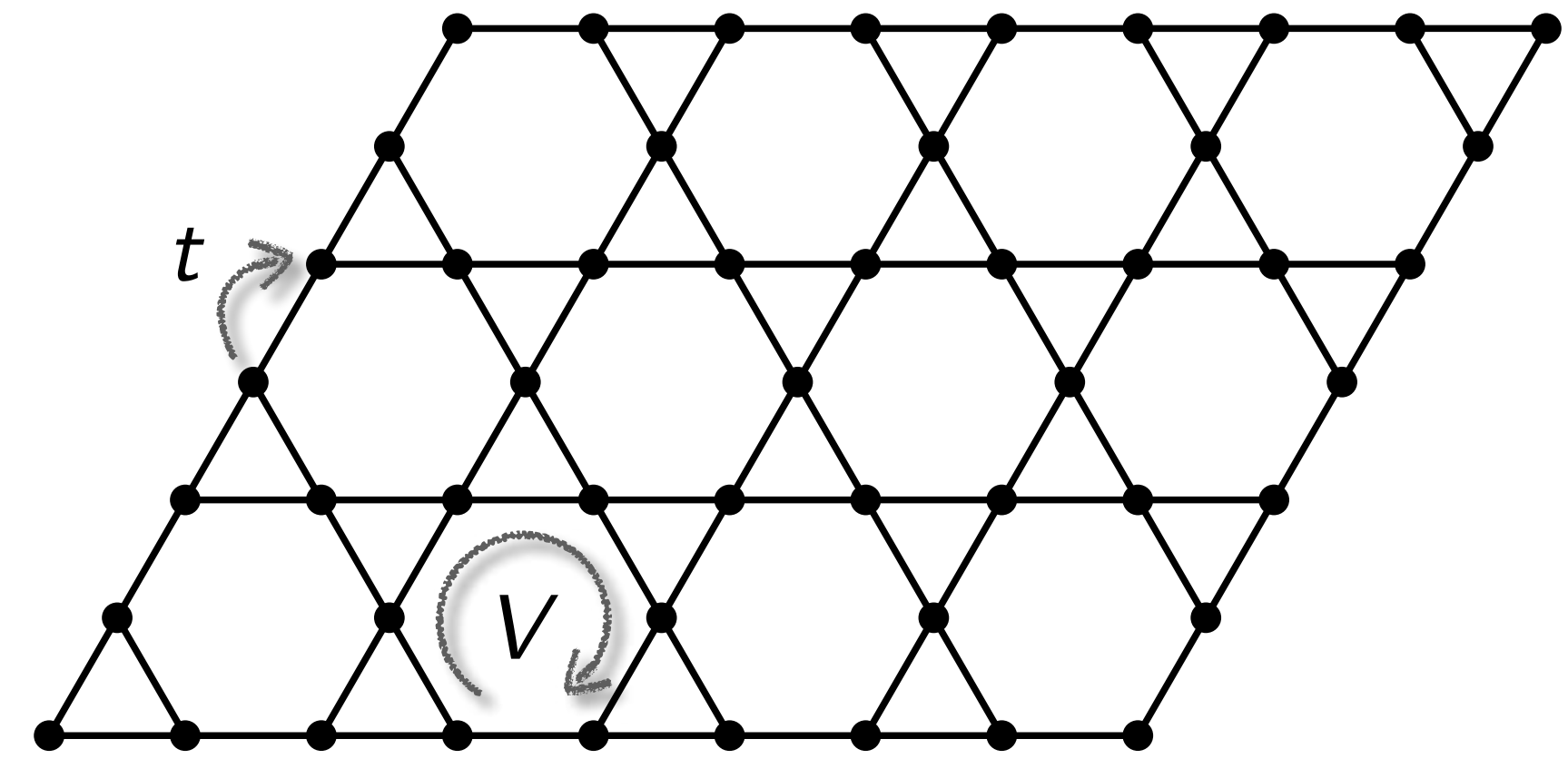
[Seifert, Dong, Chulliparambil, Vojta, Tu, LJ, PRL '20]

# Example: Kagome-lattice Bose-Hubbard model

Hamiltonian:

$$\mathcal{H} = -t \sum_{\langle ij \rangle} \left[ b_i^\dagger b_j + b_i b_j^\dagger \right] + V \sum_{\hexagon} (n_{\hexagon})^2$$

...  $b_i$  hard-core bosons

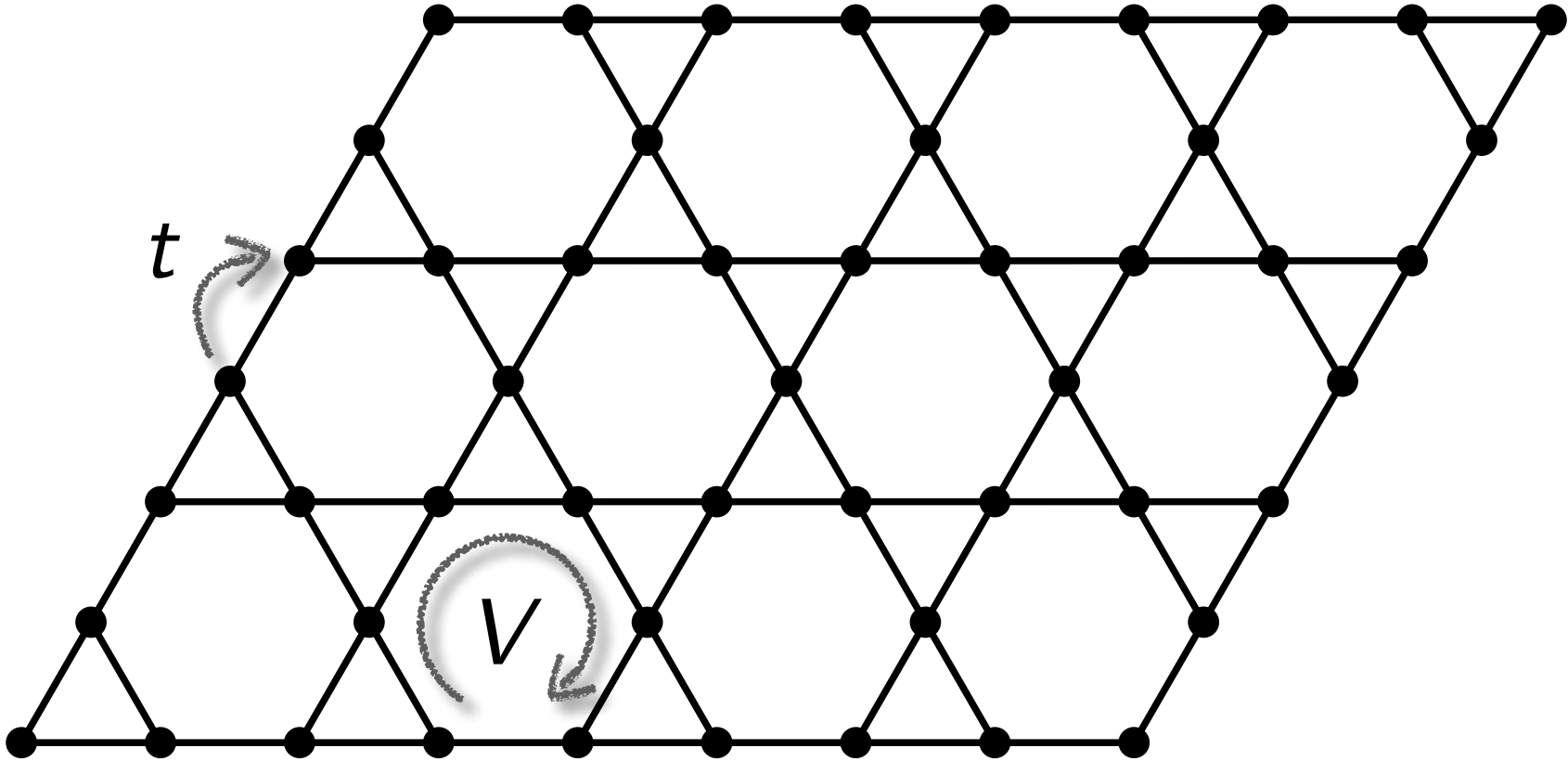


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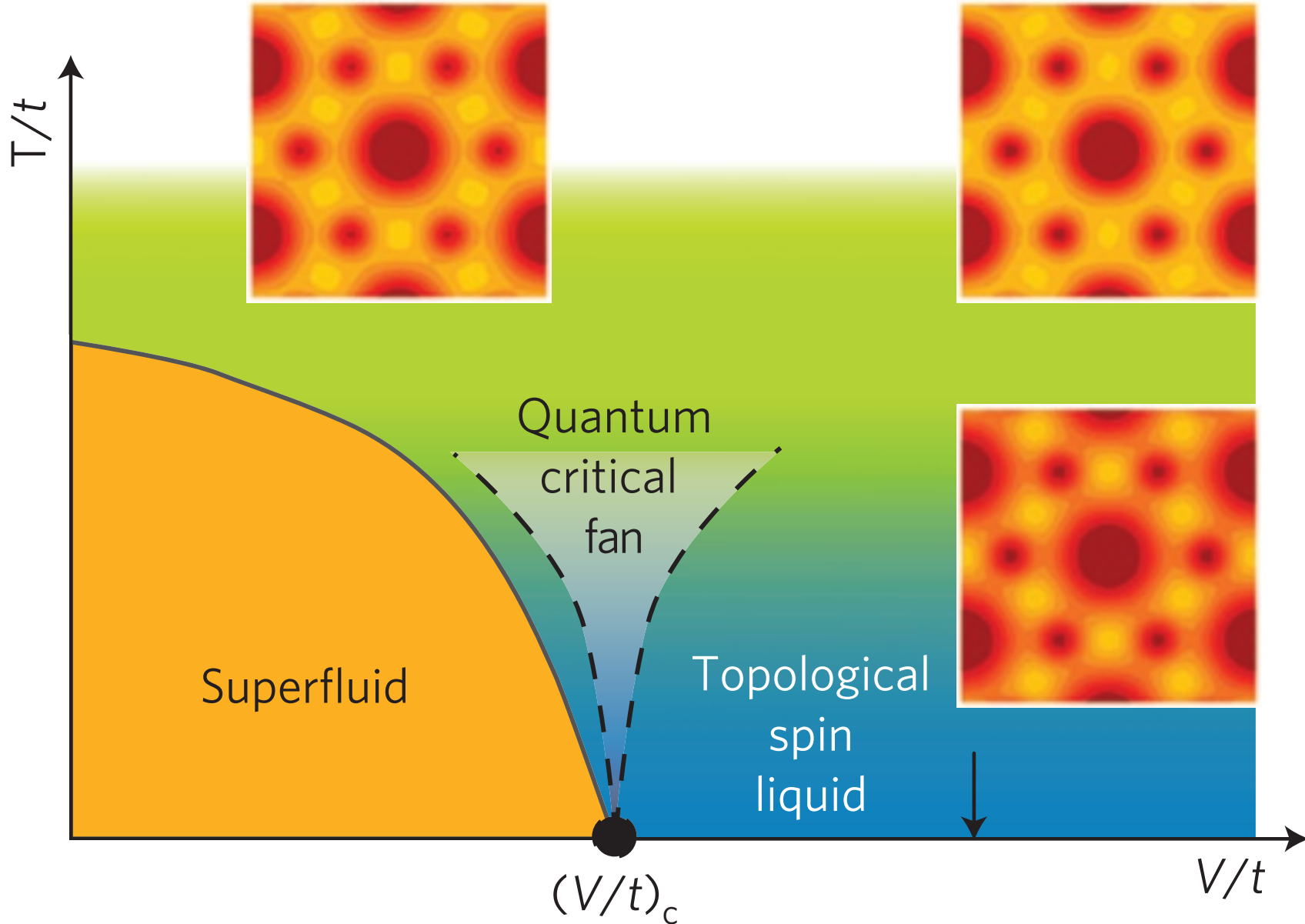
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Phase diagram:



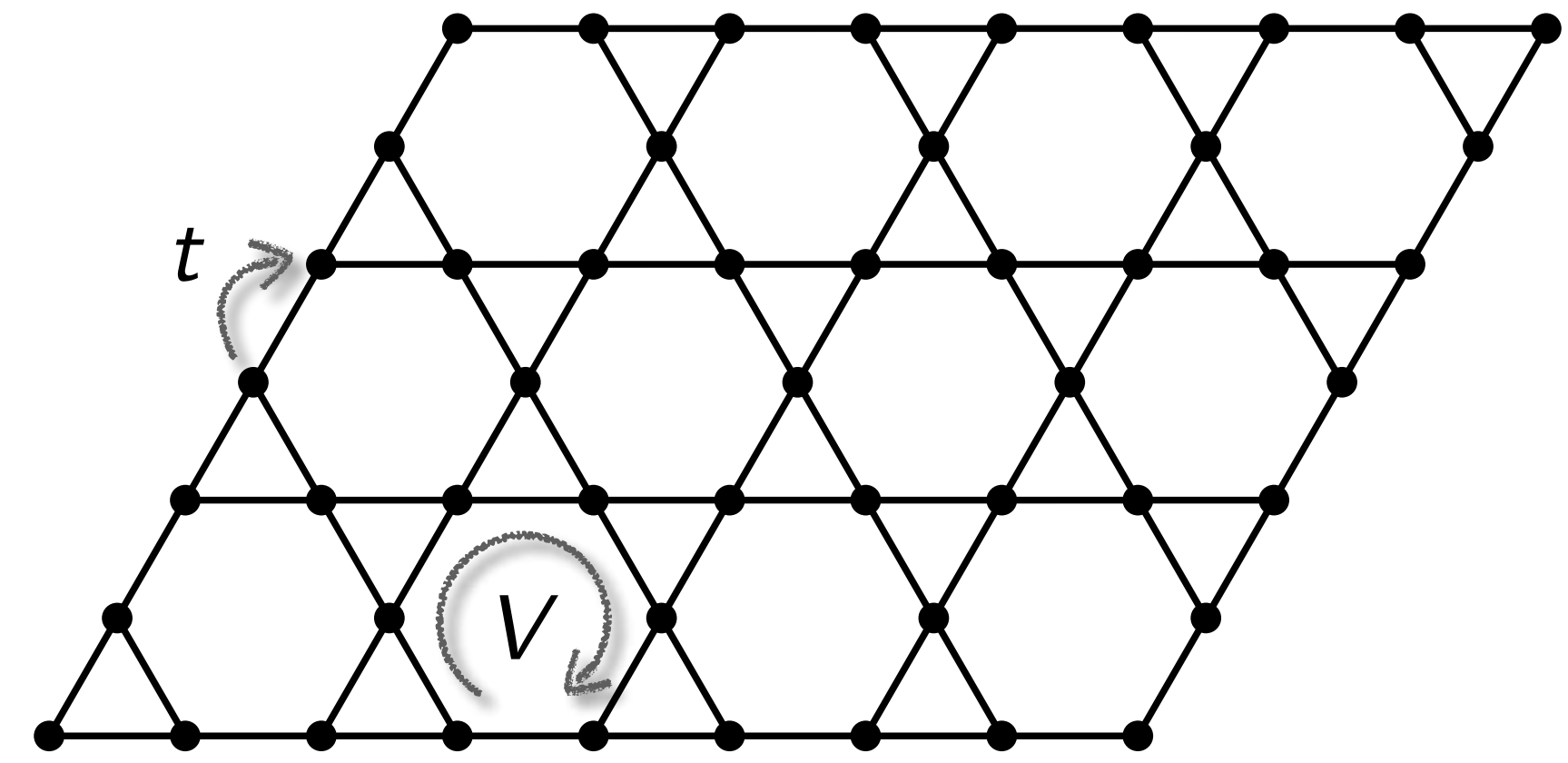
[Isakov, Hastings, Melko, Nat. Phys. '11]

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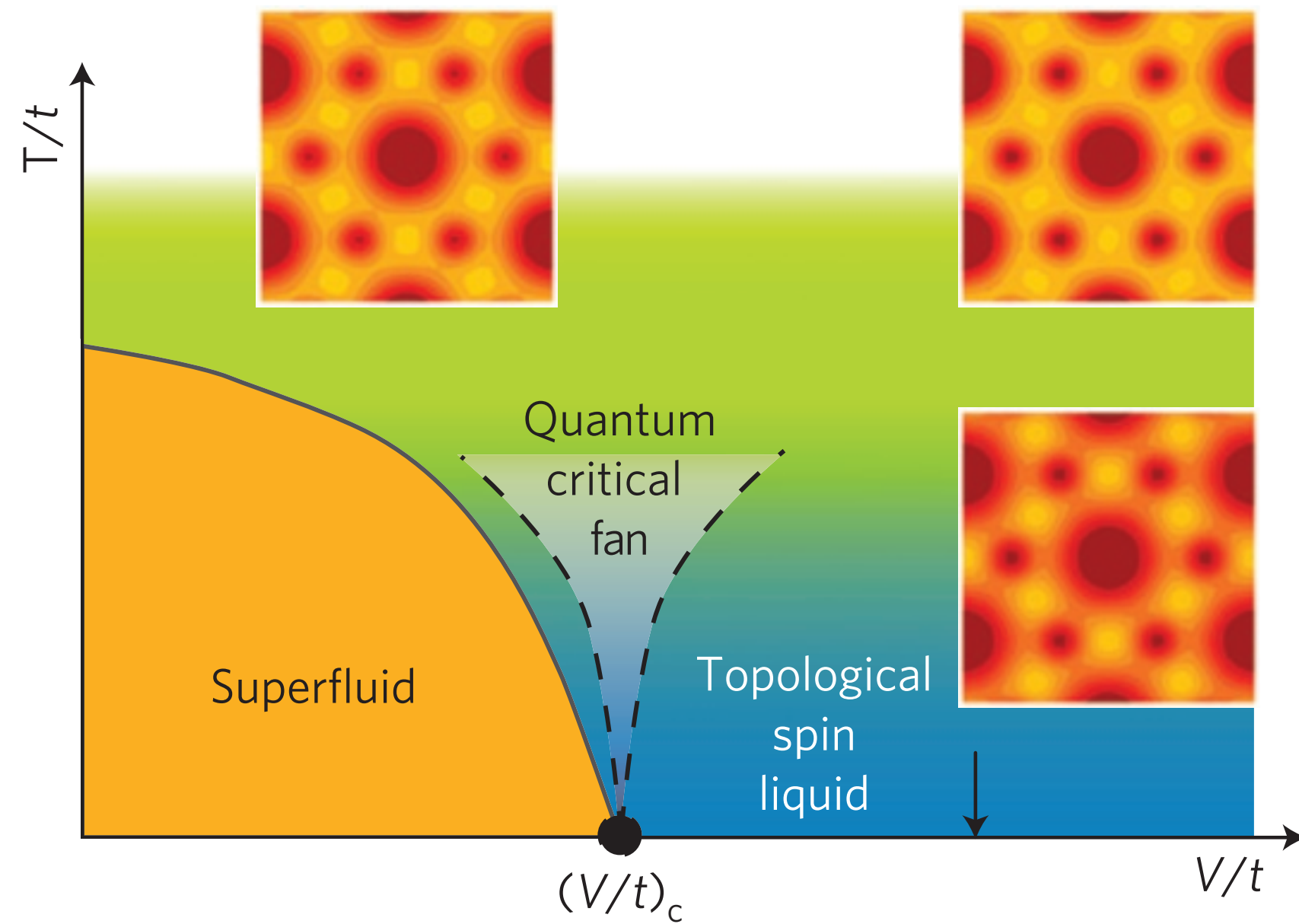
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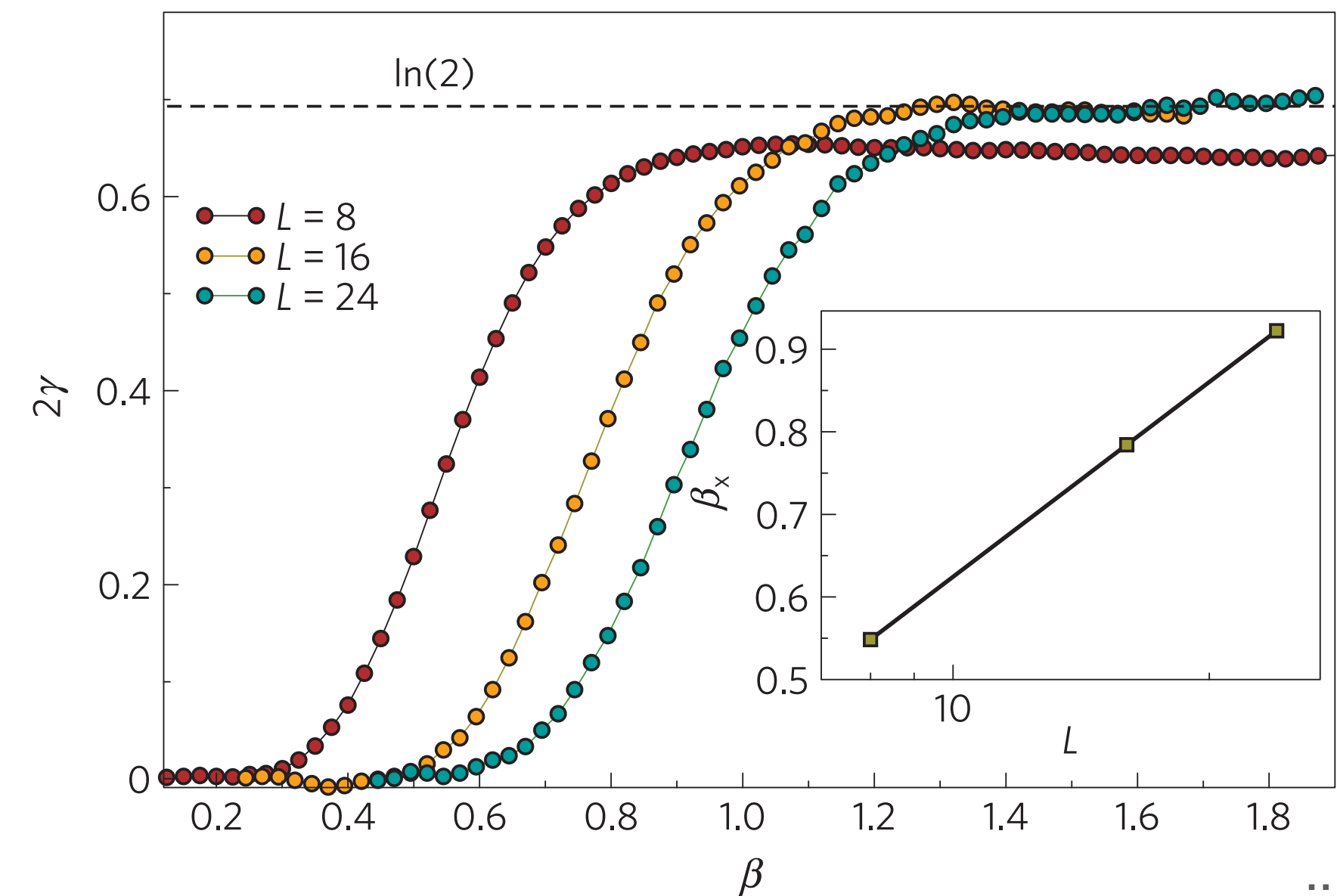
...  $b_i$  hard-core bosons



Phase diagram:



Entanglement entropy:  $S_n(A) = a\ell - \gamma + \dots$

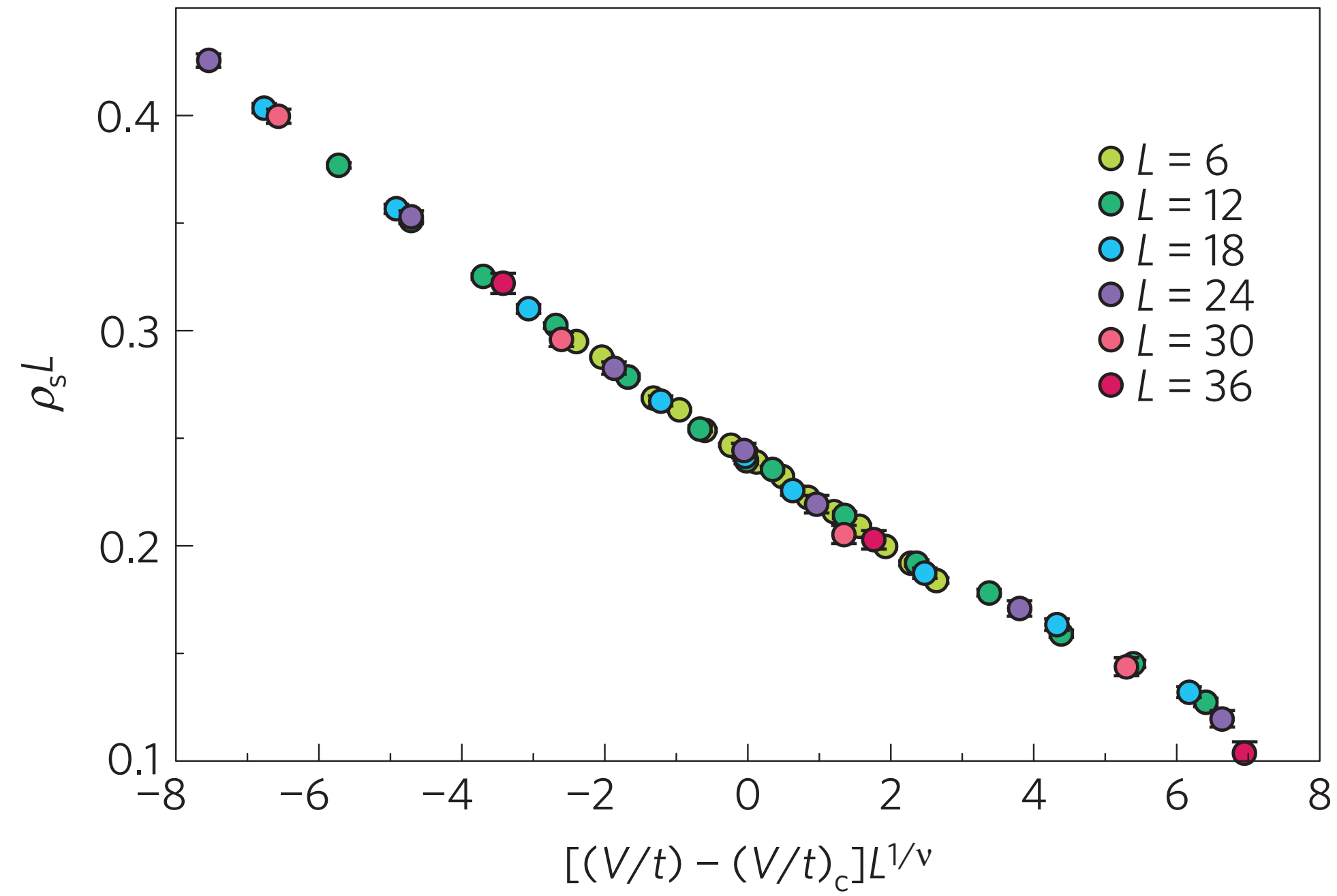


... in spin liquid phase

[Isakov, Hastings, Melko, Nat. Phys. '11]

# Quantum critical scaling: XY\*

Superfluid density:

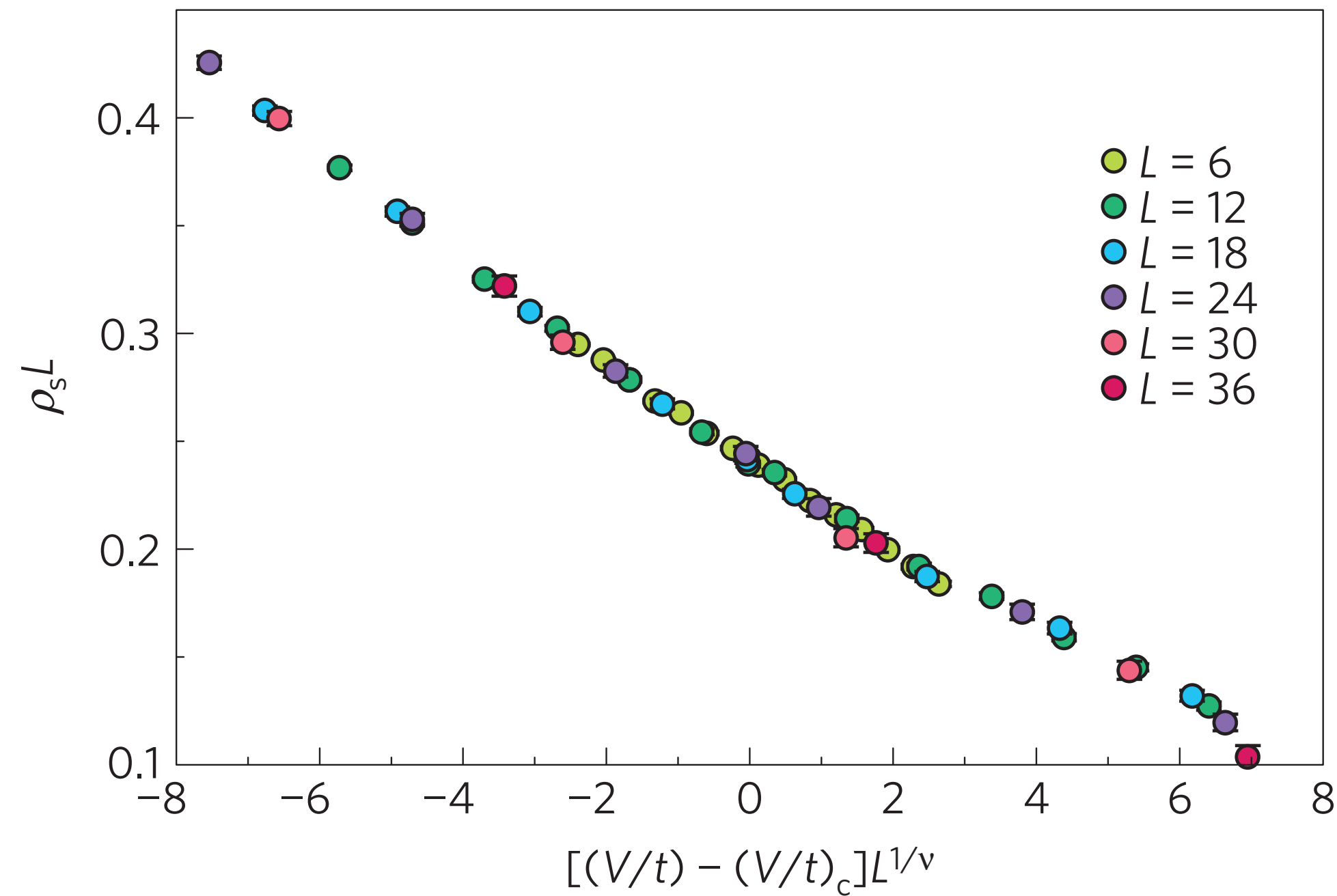


[Isakov, Hastings, Melko, Nat. Phys. '11]

$$\nu \approx 0.67 = \nu_{XY}$$

# Quantum critical scaling: XY\*

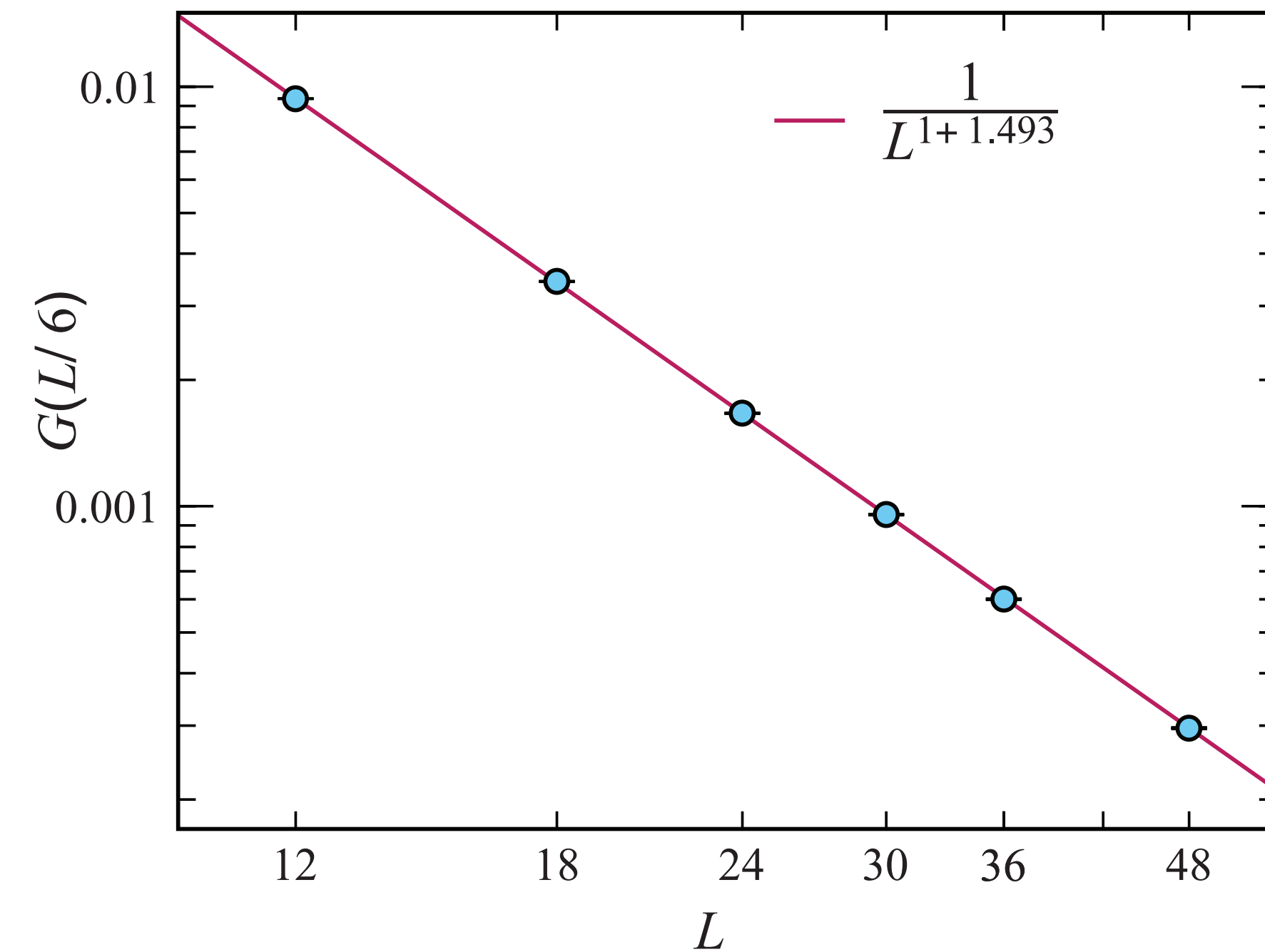
Superfluid density:



[Isakov, Hastings, Melko, Nat. Phys. '11]

$$\nu \approx 0.67 = \nu_{XY}$$

Two-point superfluid correlator:



[Isakov, Melko, Hastings, Science '12]

$$\eta \approx 1.49 \neq \eta_{XY} \approx 0.038$$

Order parameter *composite* of fractionalized particles!

... cf.  $\eta_T \approx 1.54$  from field theory  
[Chubukov, Sachdev, Senthil, NPB '94]



# Finite-size spectroscopy: Ising vs Ising\*

Transverse-field Ising:

$$\mathcal{H} = -J \sum_{\langle ij \rangle} \sigma_i^z \sigma_j^z - h \sum_i \sigma_i^x$$

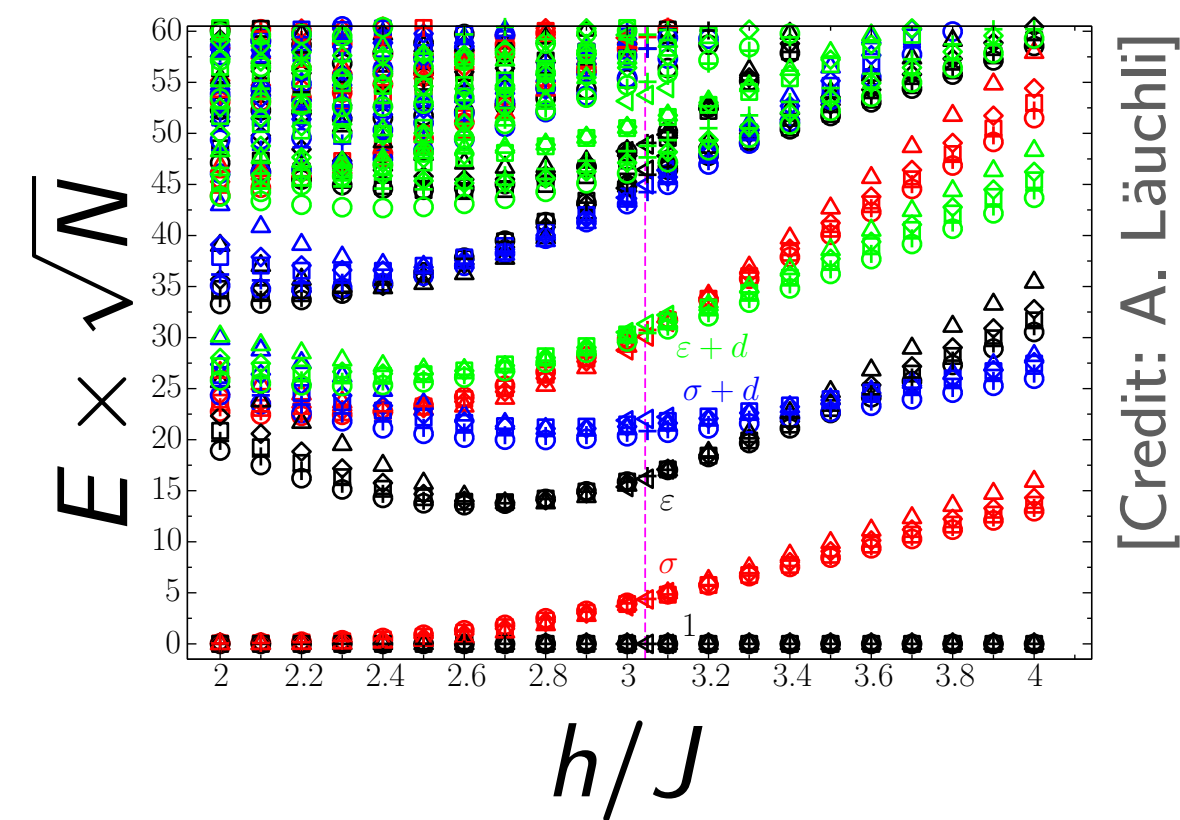
Transverse-field toric code:

$$\mathcal{H} = -J \sum_s \prod_{i \in s} \sigma_i^x - J \sum_p \prod_{i \in p} \sigma_i^z - h \sum_i \sigma_i^x$$

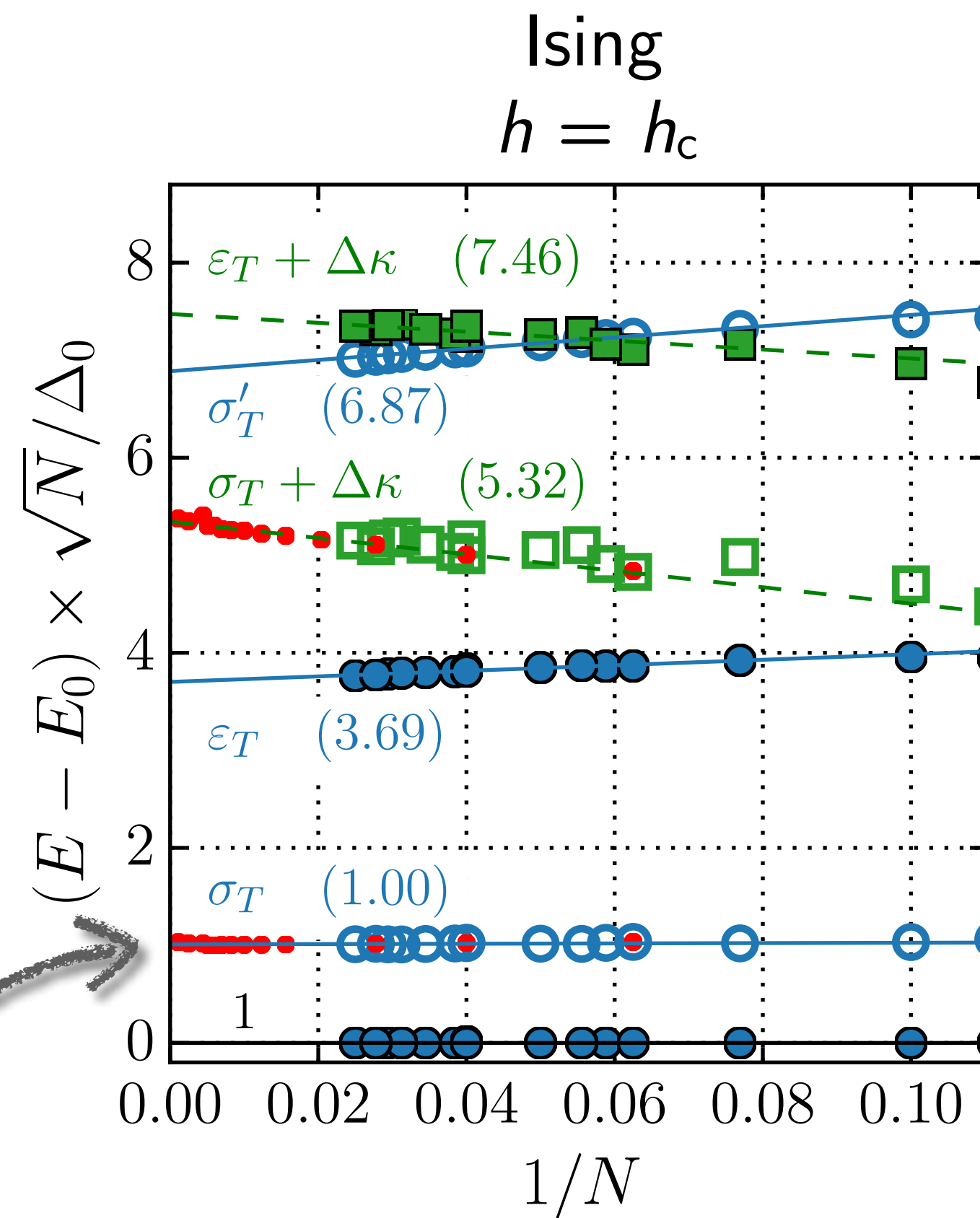
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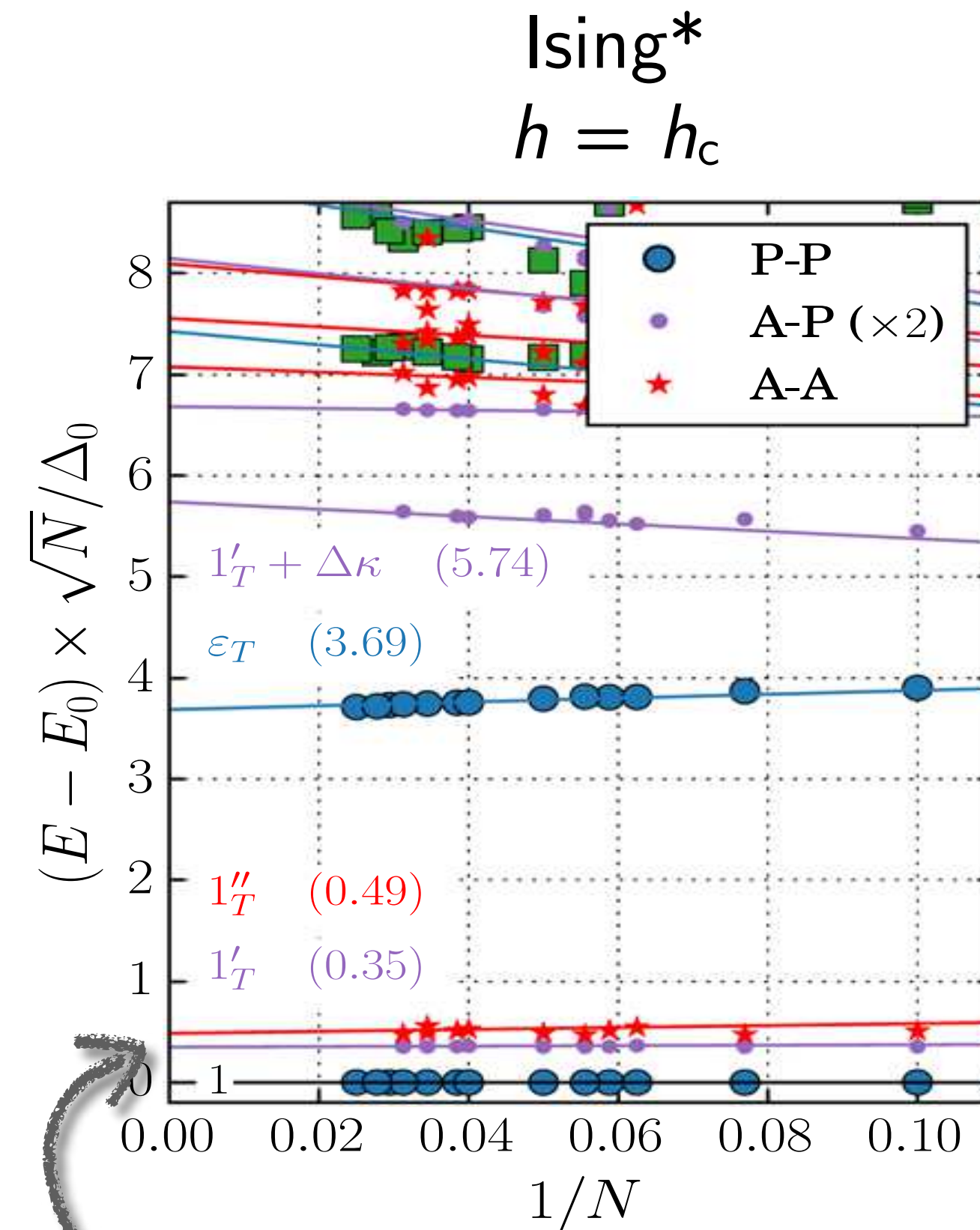
[Credit: A. Läuchli]



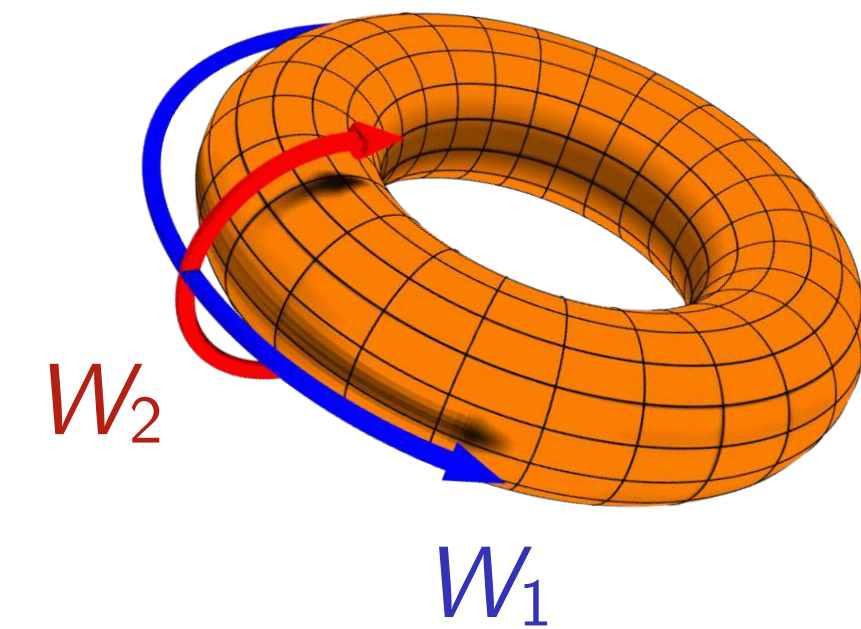
missing in Ising\*

Transverse-field toric code:

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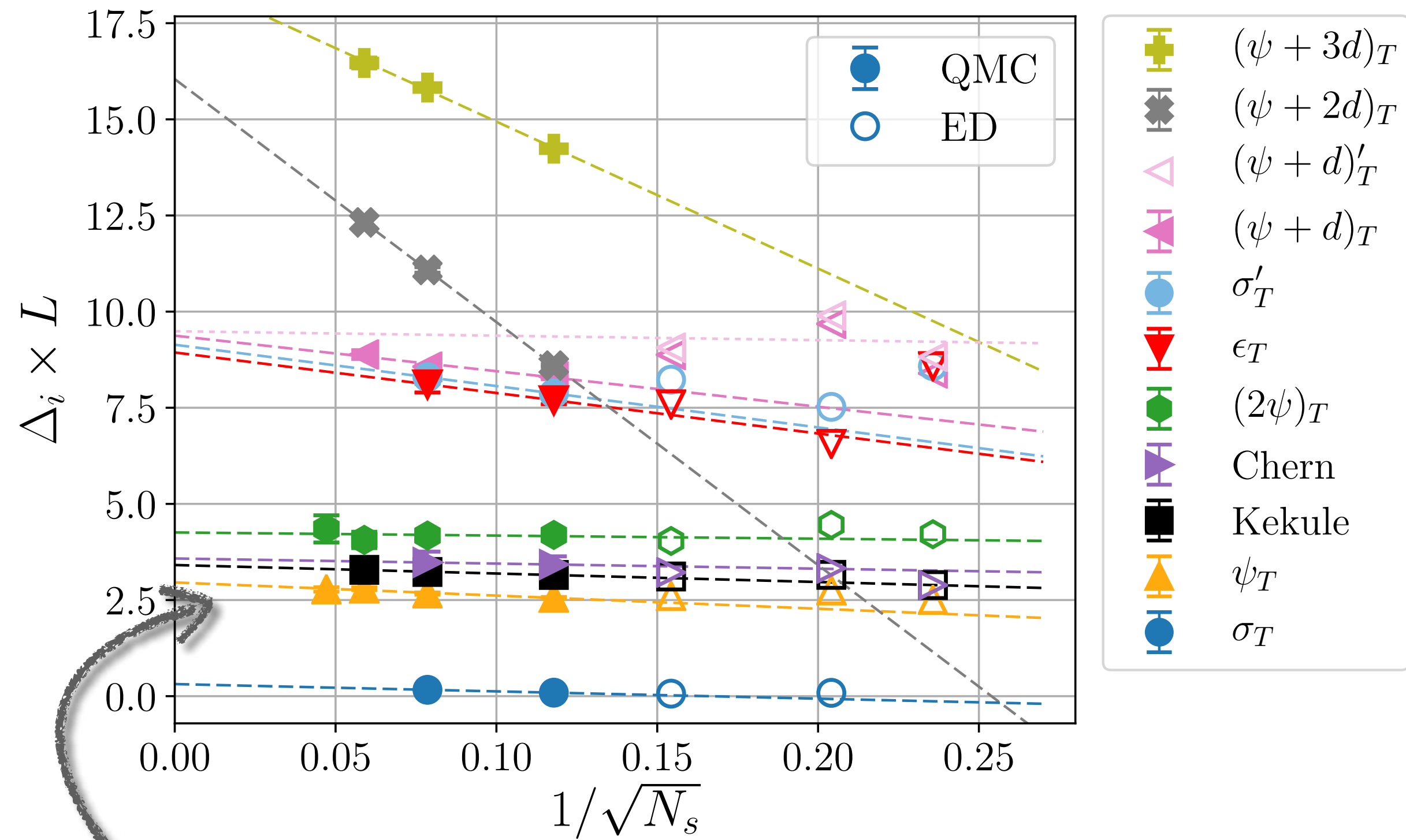
topological "copies"



[Schuler, Whitsitt, Henry, Sachdev, Läuchli, PRL '16]

# Gross-Neveu vs Gross-Neveu\*

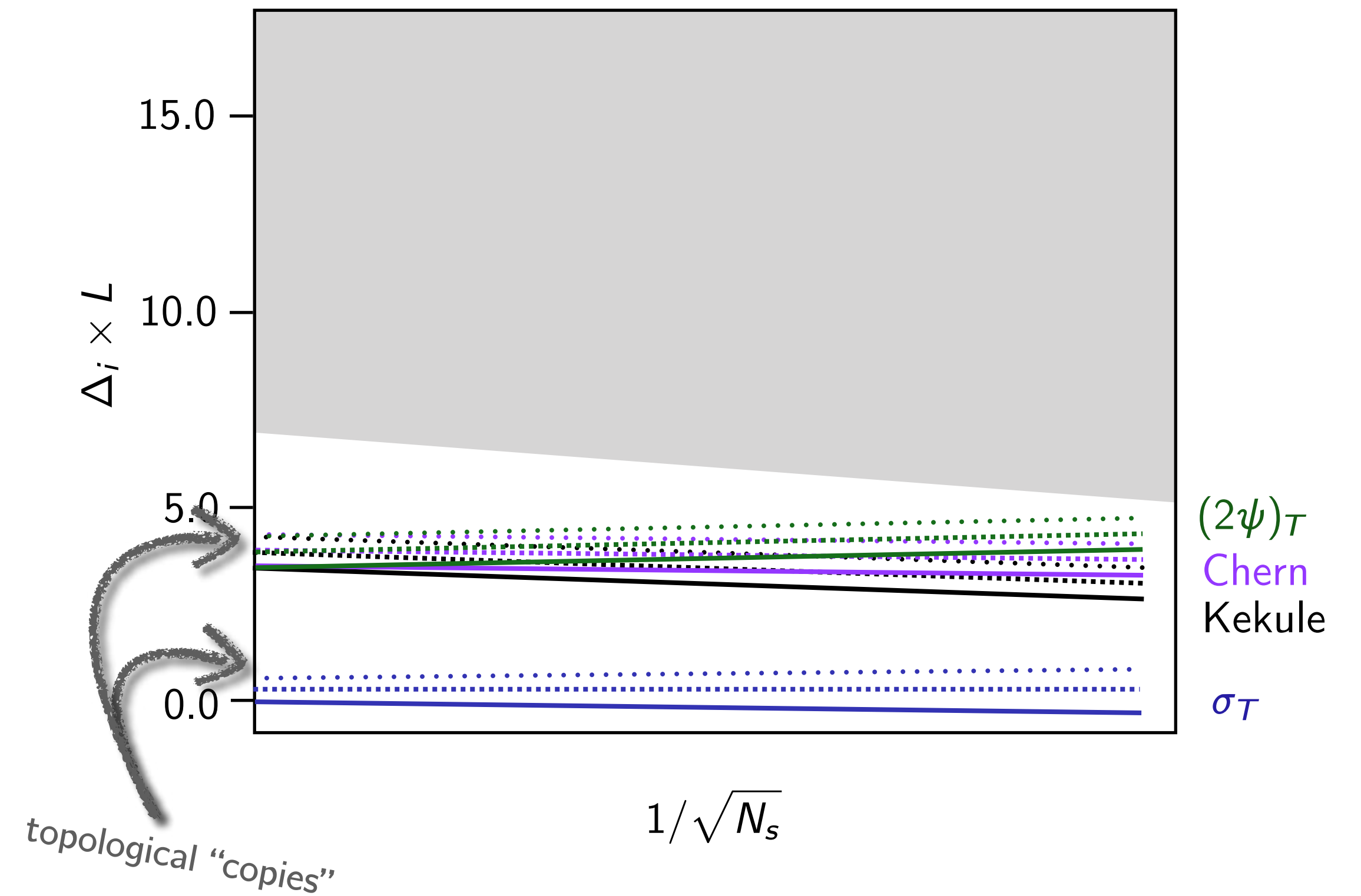
Gross-Neveu- $\mathbb{Z}_2$



missing in GN\*

[Schuler, Hesselmann, Whitsitt, Lang, Wessel, Läuchli, PRB '21]

Gross-Neveu- $\mathbb{Z}_2^*$  (schematic)



... testable in future simulations