

# Functional approaches to quantum magnets

Lukas Janssen



Shouryya Ray



Bernhard Ihrig



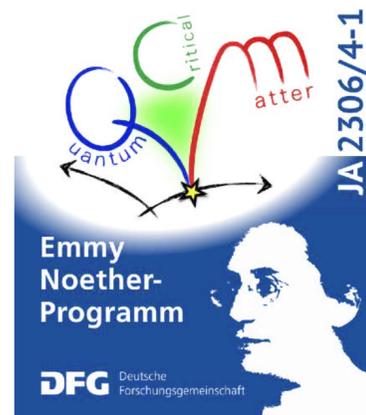
Daniel Kruti



John Gracey



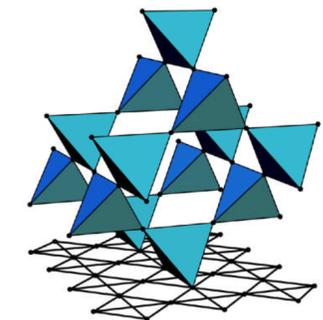
Michael Scherer



ct.qmat

Complexity and Topology  
in Quantum Matter

Würzburg-Dresden Cluster of Excellence

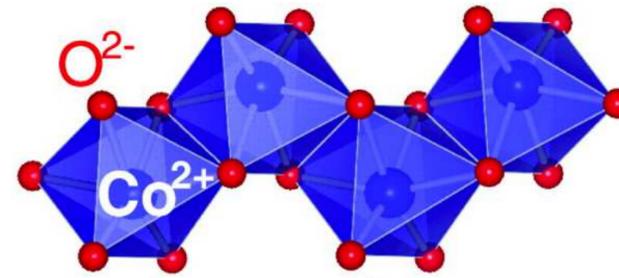
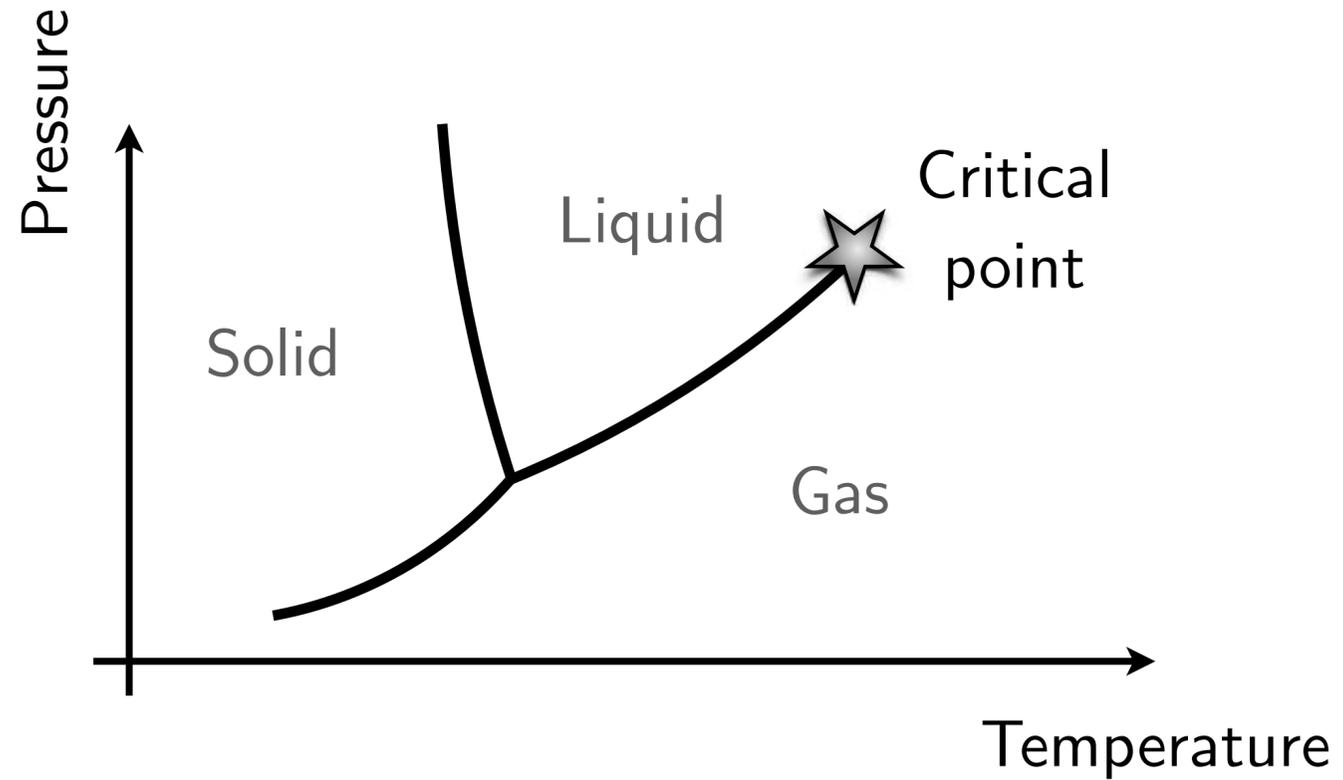


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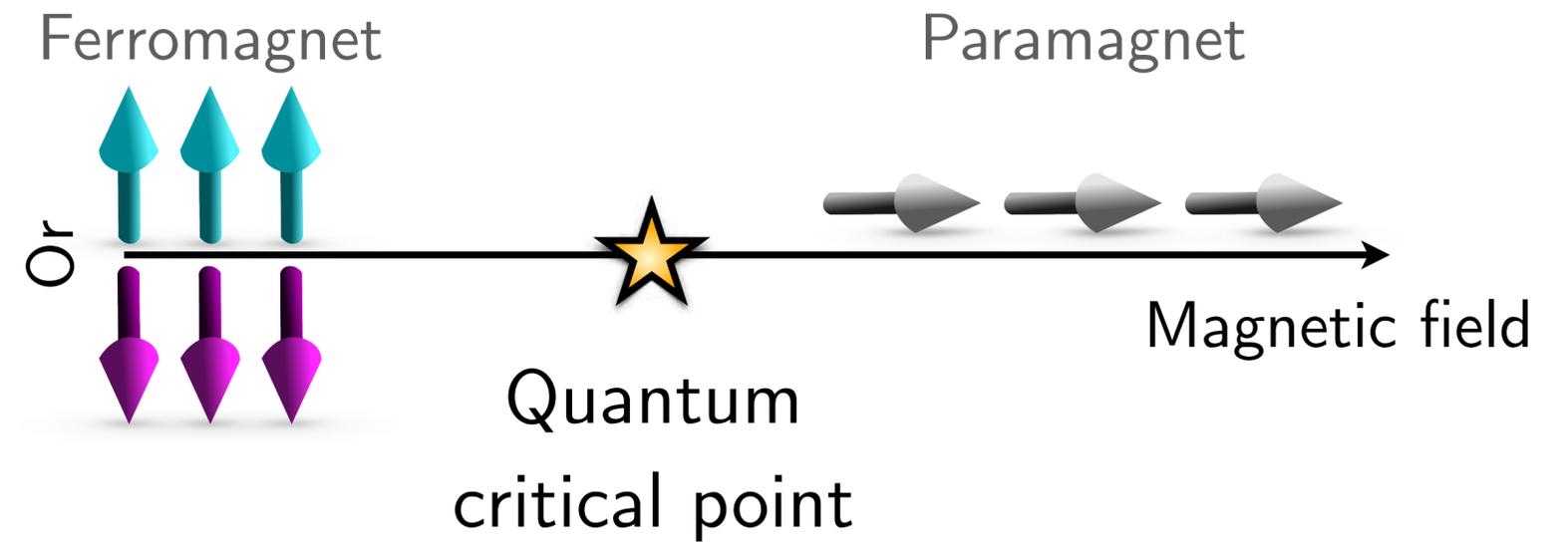
# Classical vs quantum criticality



H<sub>2</sub>O  $T > 0$



CoNb<sub>2</sub>O<sub>6</sub>  $T \rightarrow 0$



[Coldea *et al.*, Science '10]

[Kinross *et al.*, PRX '14]

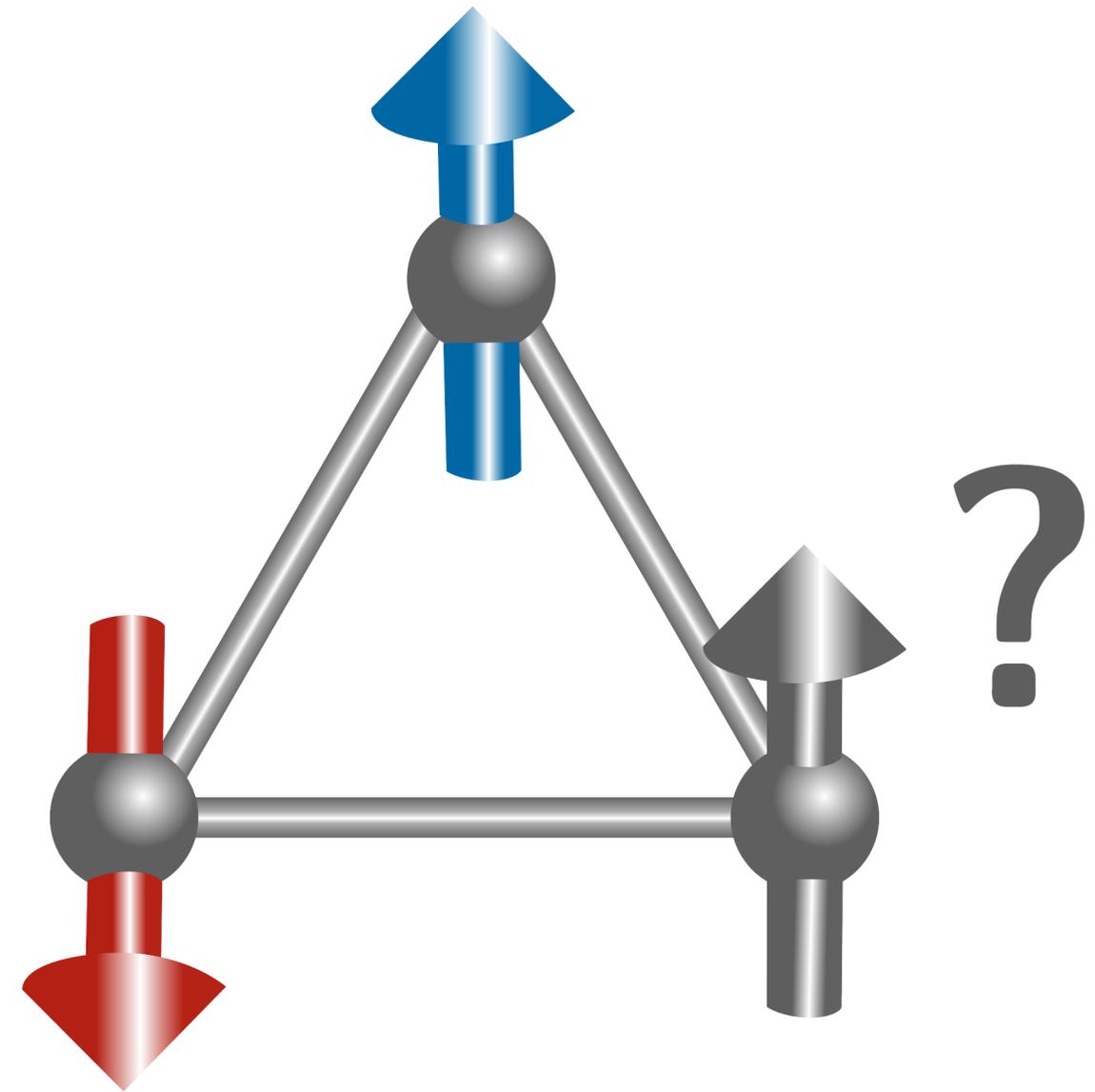
[Morris *et al.*, Kaul, Armitage, Nat. Phys. '21]

...

# Magnetic frustration

Antiferromagnetic interaction:

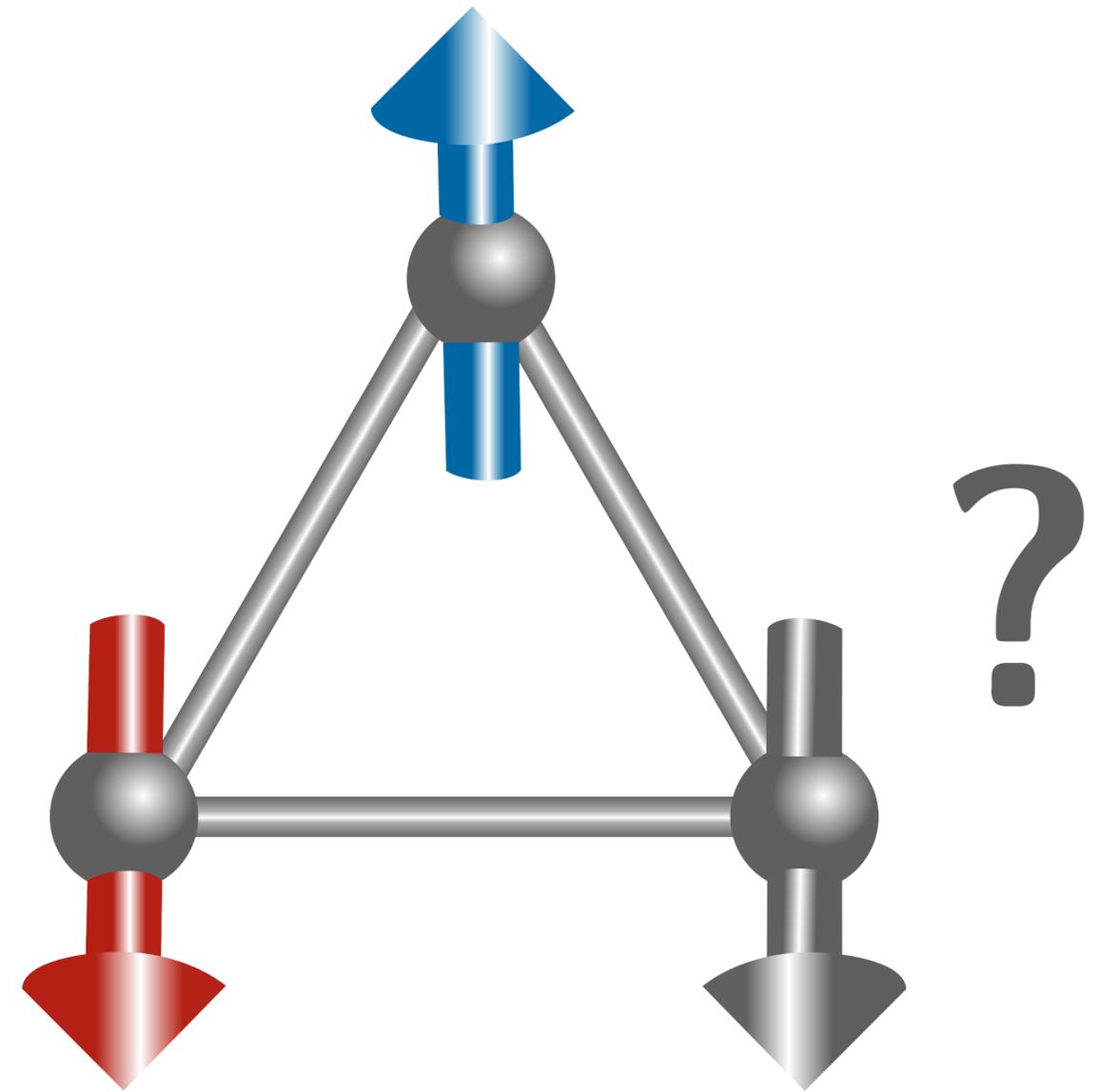
$$\mathcal{H}_{ij} = JS_i^z S_j^z \quad J > 0$$



# Magnetic frustration

Antiferromagnetic interaction:

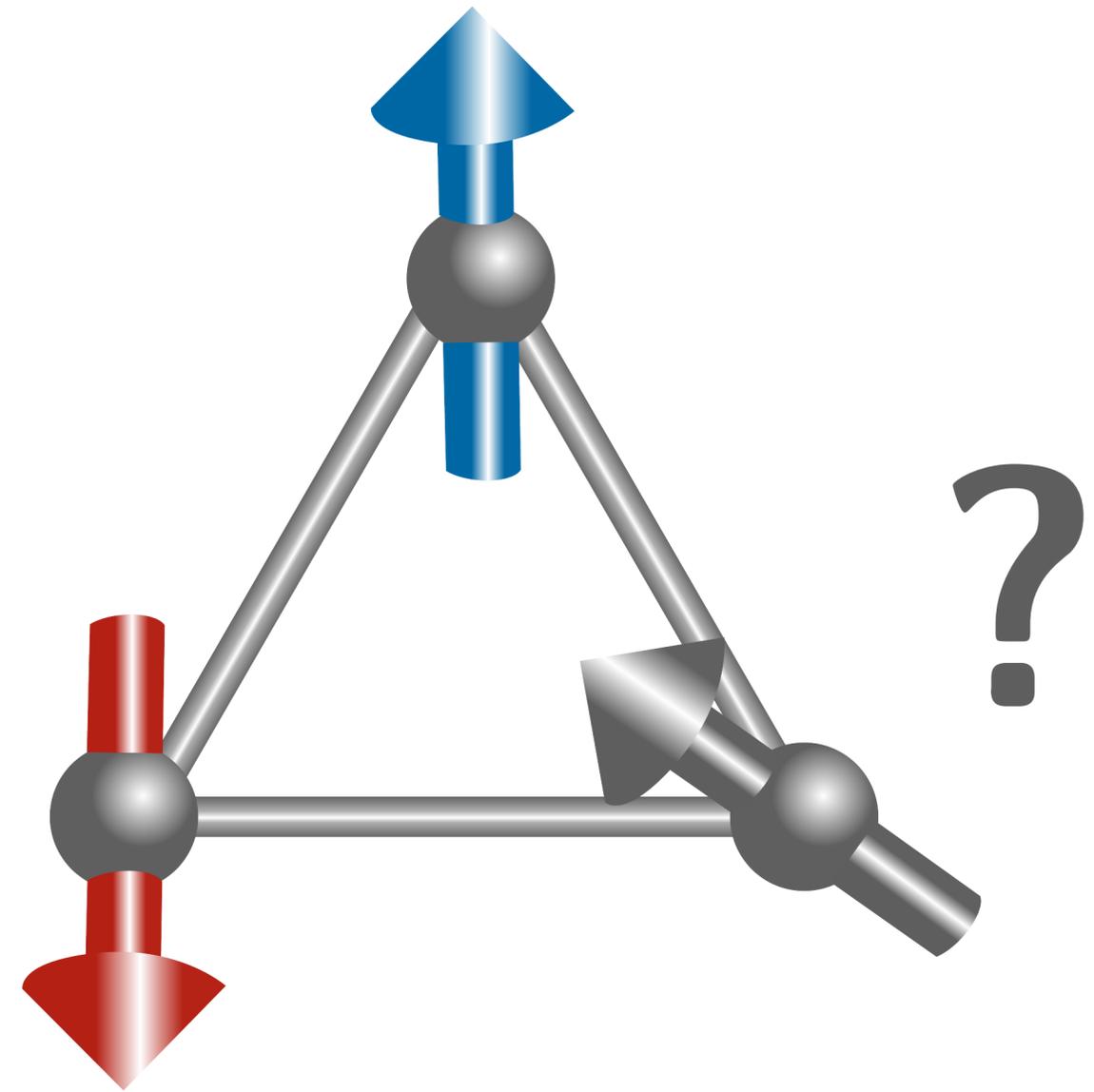
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# Magnetic frustration

Antiferromagnetic interaction:

$$\mathcal{H}_{ij} = JS_i^z S_j^z \quad J > 0$$



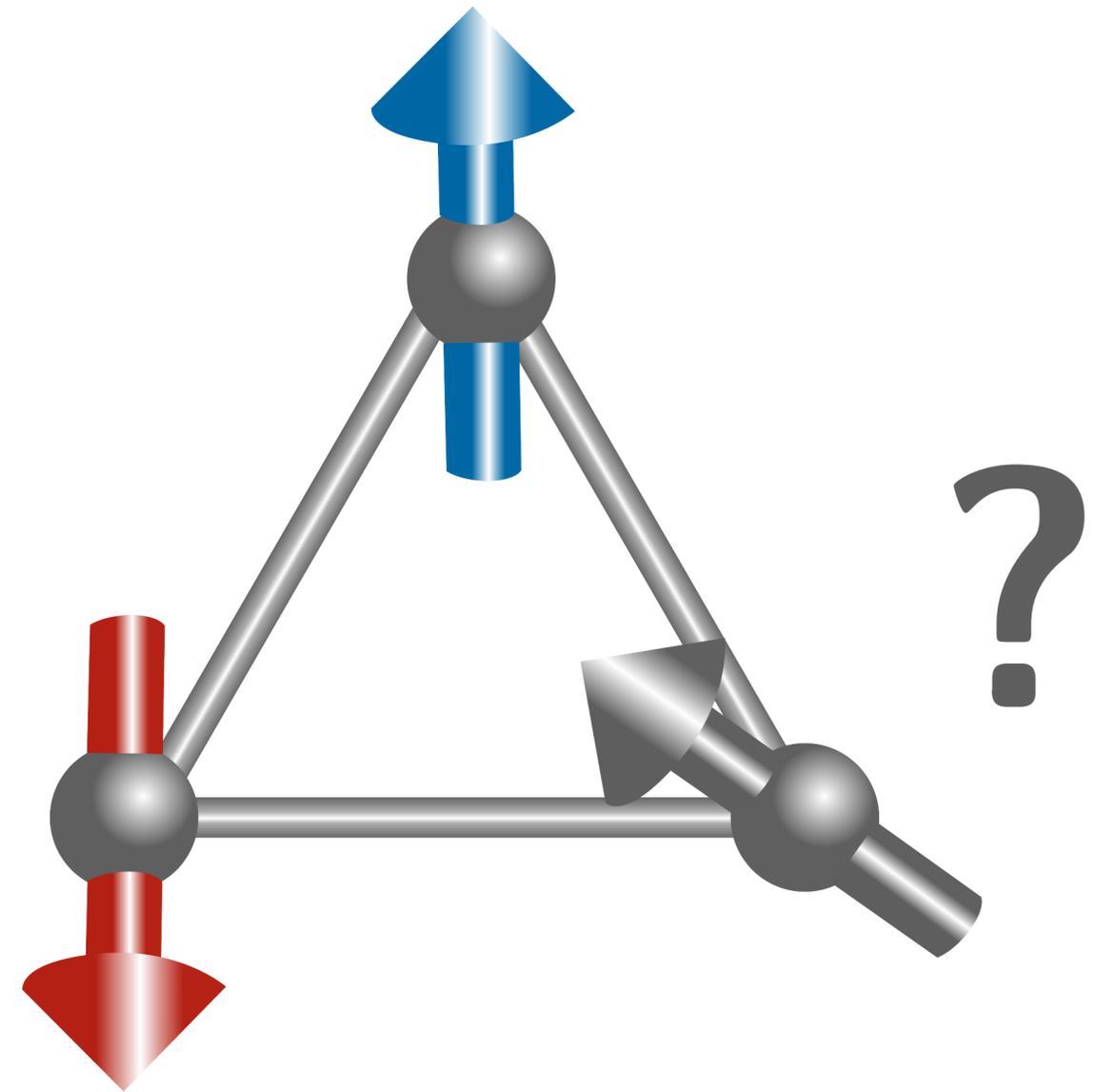
# Magnetic frustration

Antiferromagnetic interaction:

$$\mathcal{H}_{ij} = JS_i^z S_j^z \quad J > 0$$

Frustration:

Incompatible interactions



# Magnetic frustration

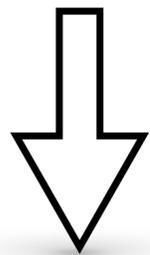
Antiferromagnetic interaction:

$$\mathcal{H}_{ij} = JS_i^z S_j^z$$

$$J > 0$$

Frustration:

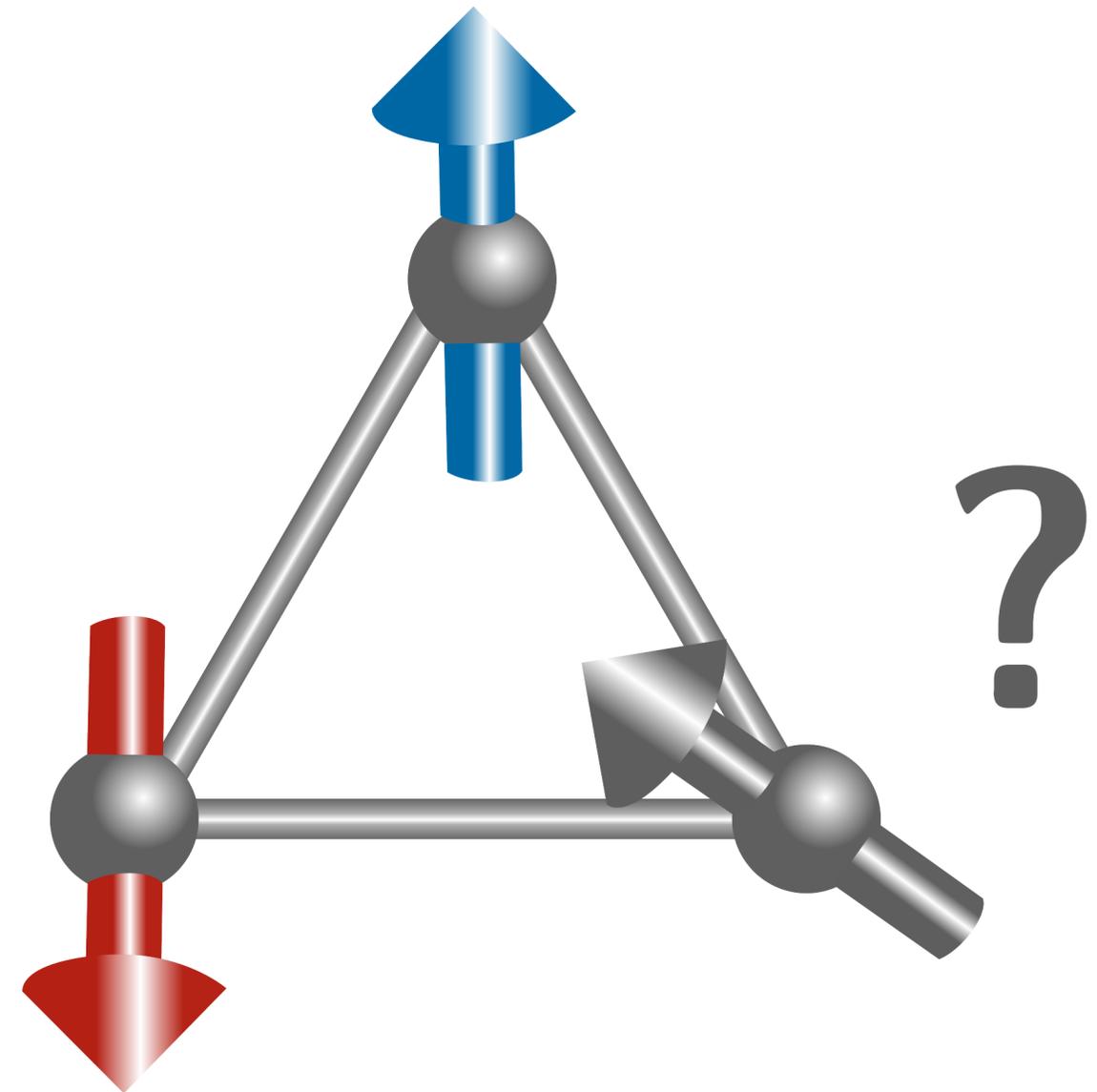
Incompatible interactions



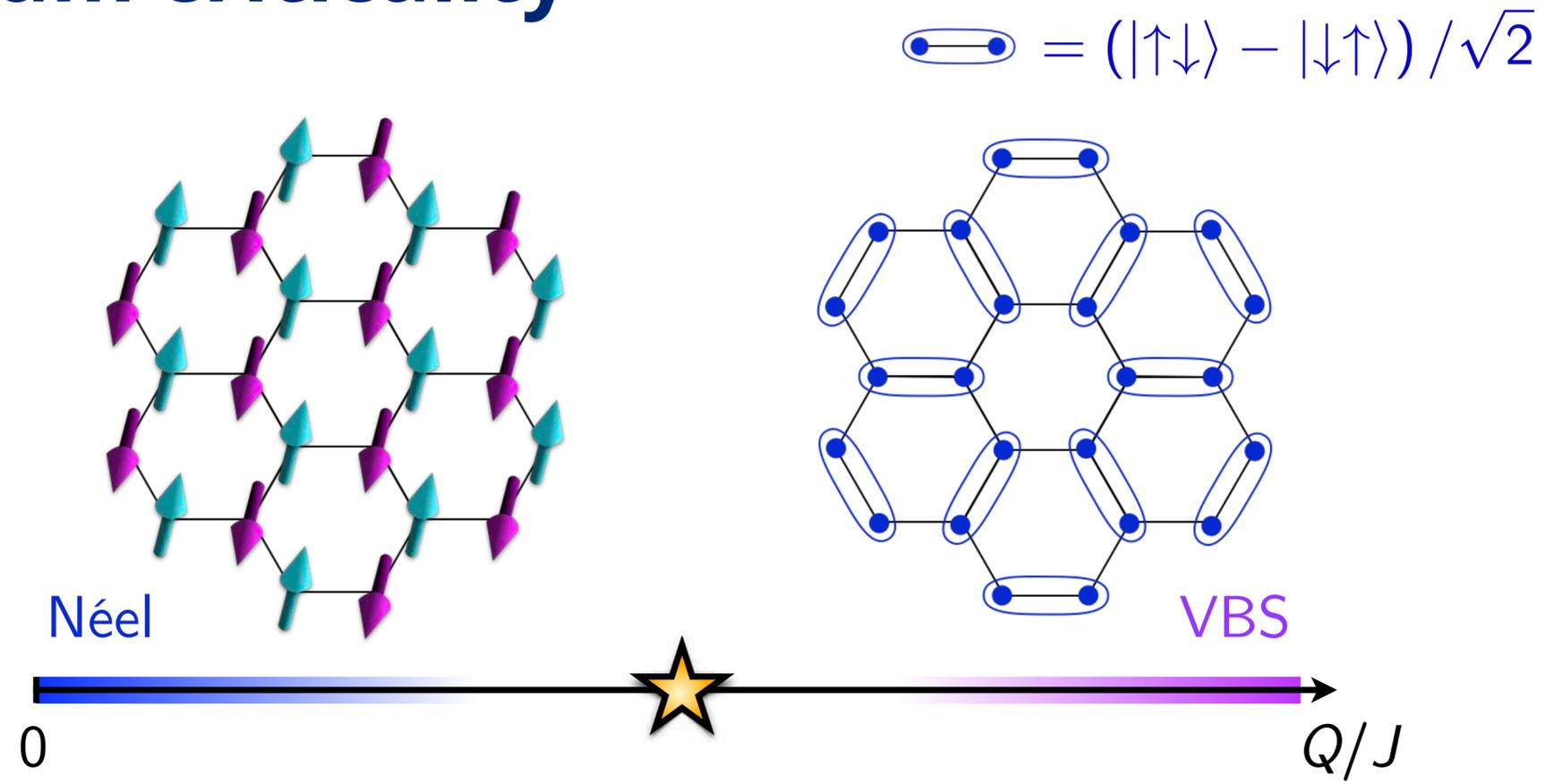
New states of matter  
with exotic excitations?



illustrationsource.com

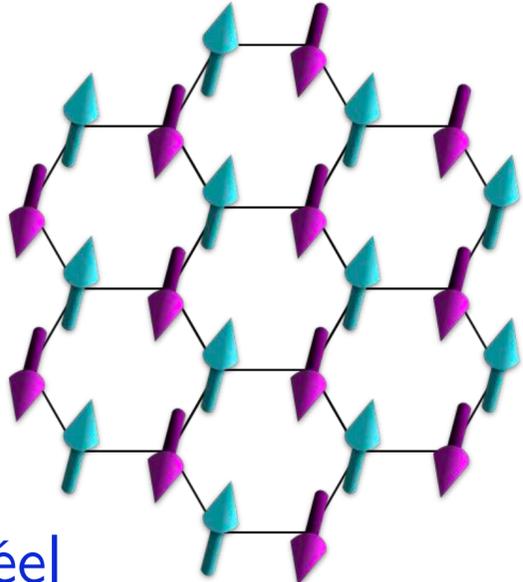


# Deconfined quantum criticality

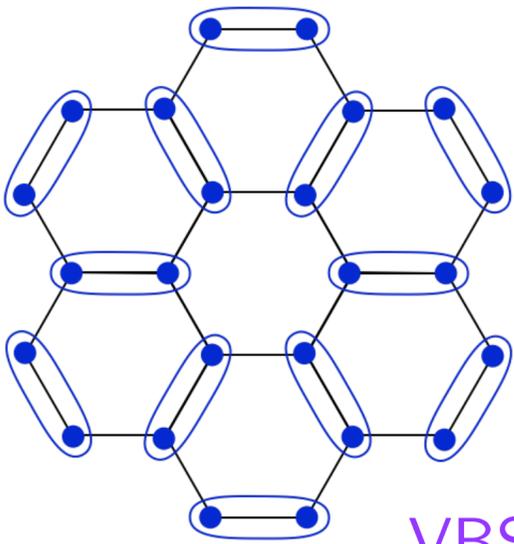


# Deconfined quantum criticality

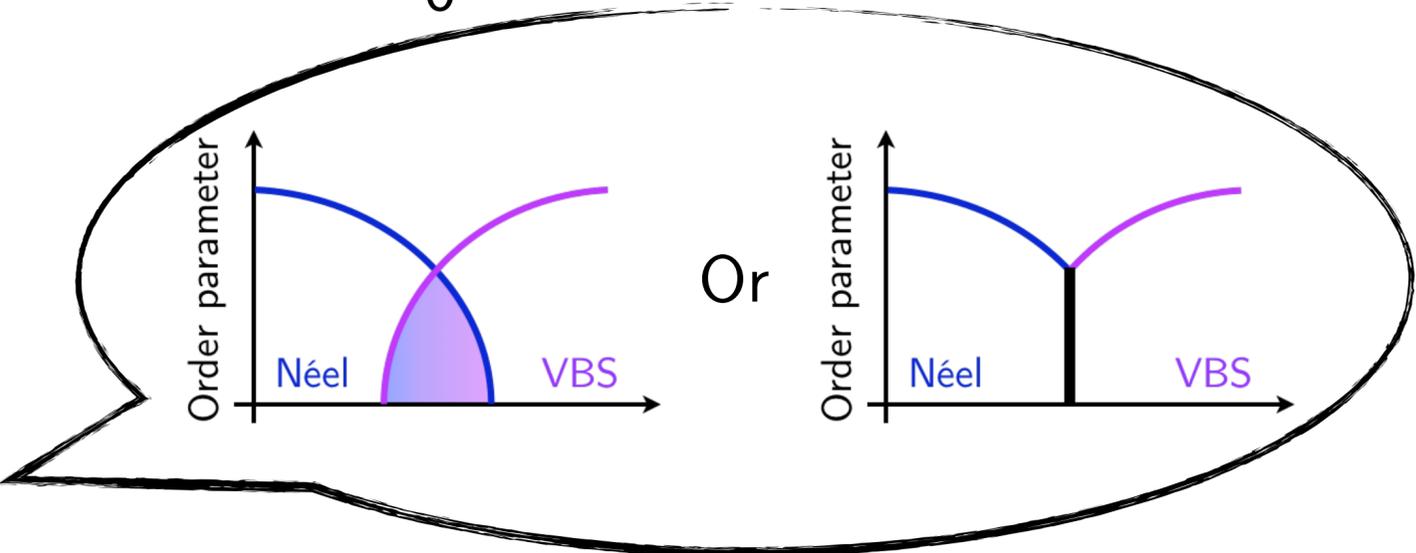
$$\text{---} = (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$$



Néel

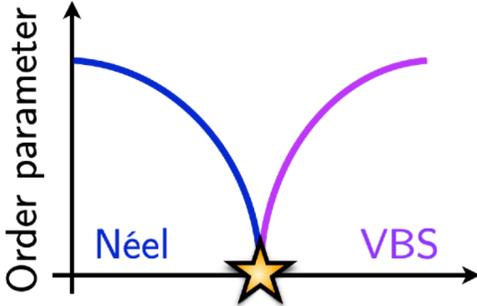
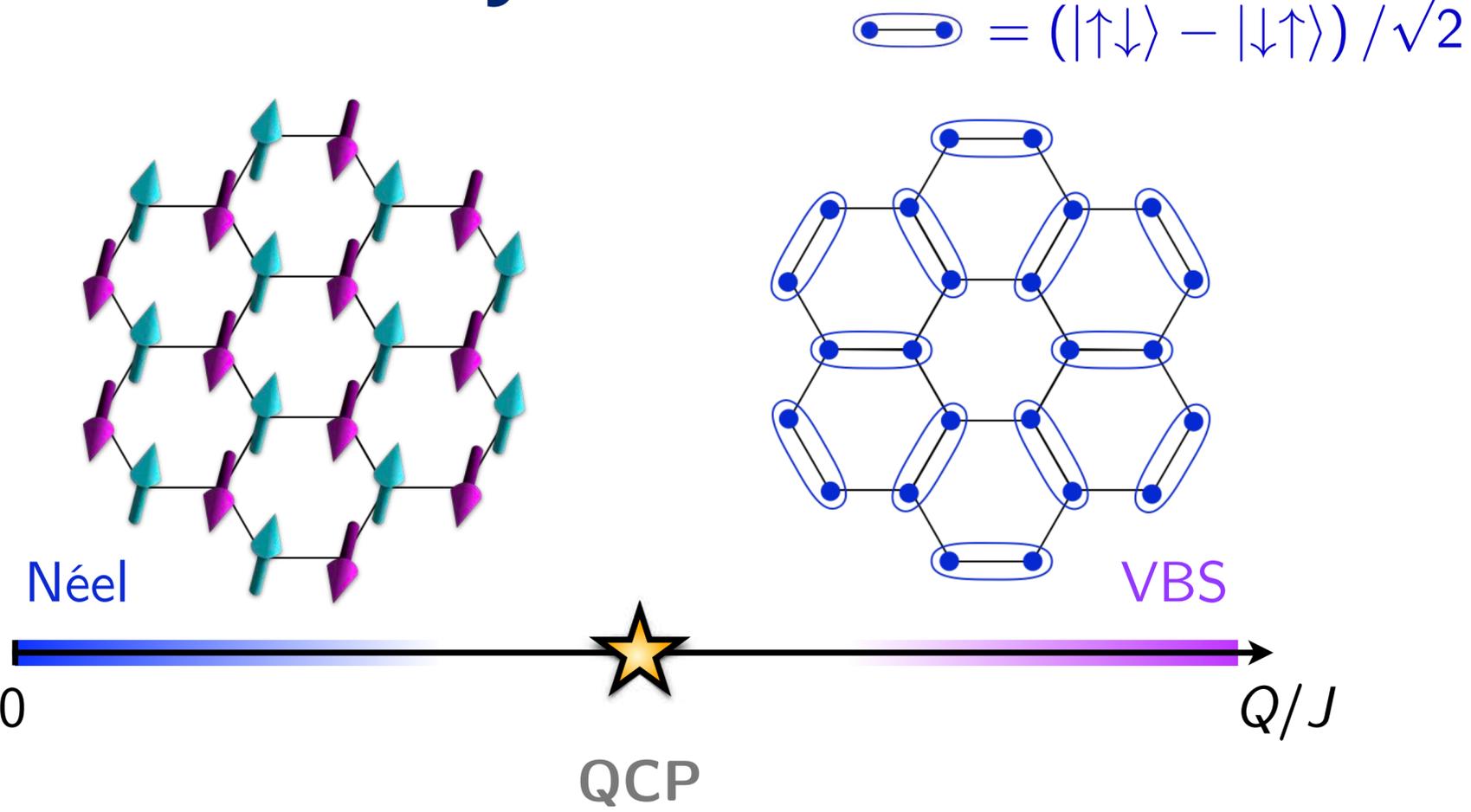


VBS



Landau

# Deconfined quantum criticality

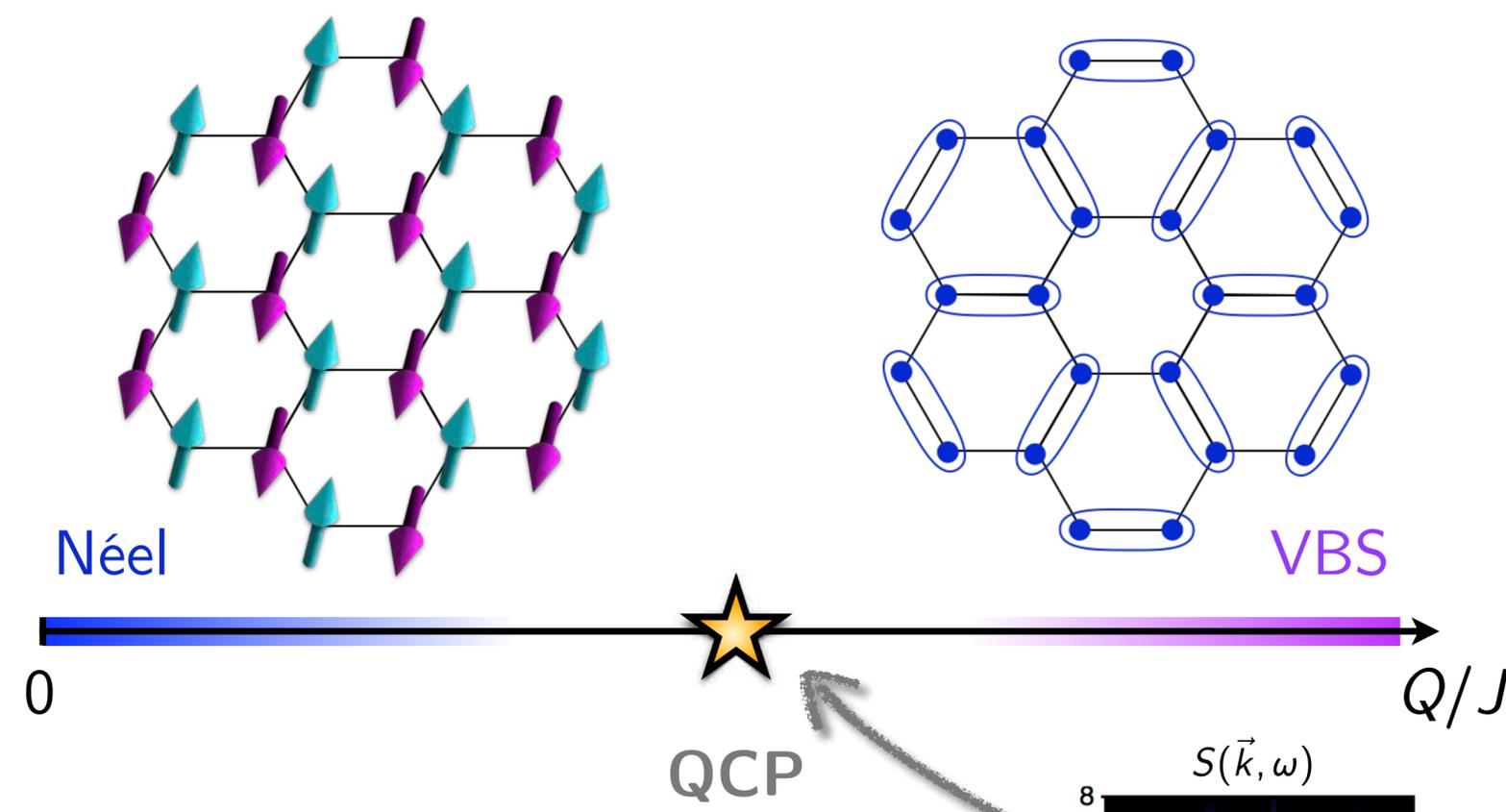


[Senthil *et al.*, Science '04]  
 [Pujari, Damle, Alet, PRL '13]  
 [Block, Melko, Kaul, PRL '13]  
 [Shao, Guo, Sandvik, Science '16]

...

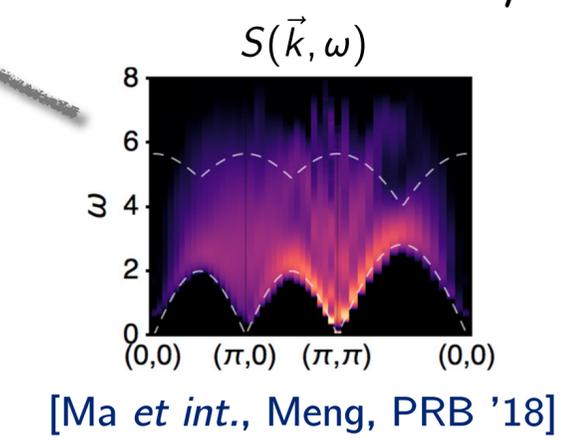
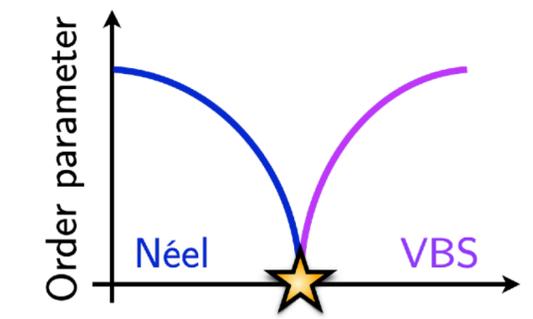
# Deconfined quantum criticality

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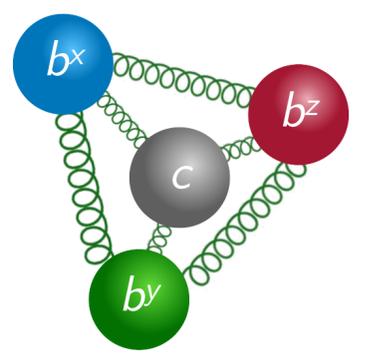
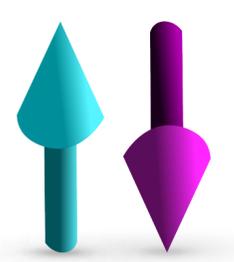
“Deconfined” quasiparticles

The diagram shows two yellow spheres labeled  $B$  and  $\bar{B}$  connected by a green wavy line, representing deconfined quasiparticles.



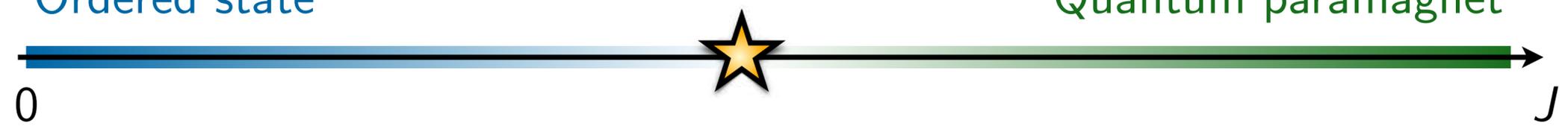
[Senthil *et al.*, Science '04]  
 [Pujari, Damle, Alet, PRL '13]  
 [Block, Melko, Kaul, PRL '13]  
 [Shao, Guo, Sandvik, Science '16]

# Fractionalized quantum criticality

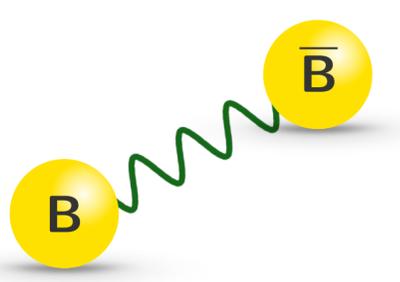
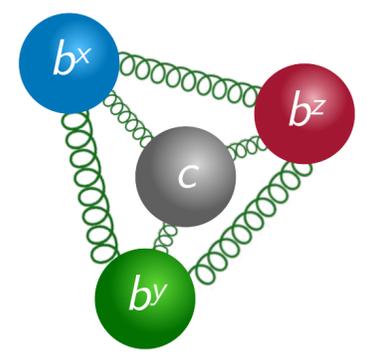


Ordered state

Quantum paramagnet

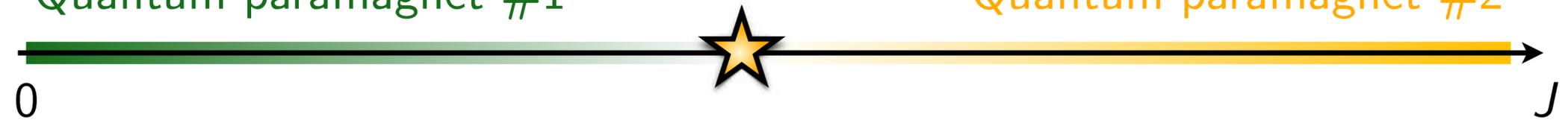


[Assaad & Grover, PRX '16]  
 [Xu,, Qi, Zhang, Assaad, Xu, Meng, PRX '19]  
 [LJ, Wang, Scherer, Meng, Xu, PRB '20]



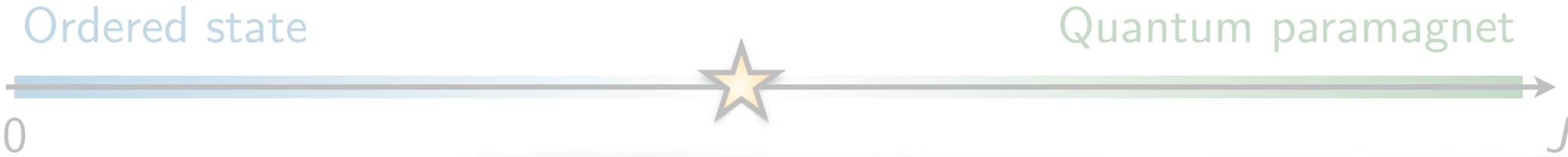
Quantum paramagnet #1

Quantum paramagnet #2



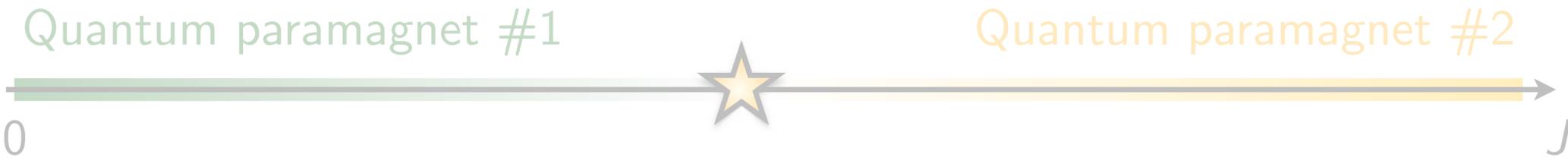
[Metlitski, Mross, Sachdev, Senthil, PRB '15]  
 [LJ & He, PRB '17]  
 [Boyack, Lin, Zerf, Rayyan, Maciejko, PRB '18]

# Fractionalized quantum criticality



[Assaad & Grover, PRX '16]  
 [Xu,, Qi, Zhang, Assaad, Xu, Meng, PRX '19]  
 [LJ, Wang, Scherer, Meng, Xu, PRB '20]

Functional approaches can help!

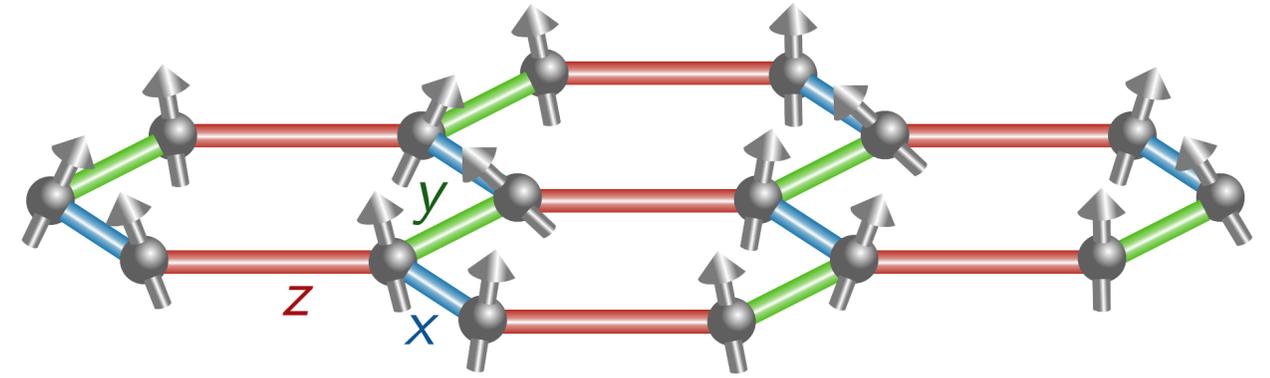


[Metlitski, Mross, Sachdev, Senthil, PRB '15]  
 [LJ & He, PRB '17]  
 [Boyack, Lin, Zerf, Rayyan, Maciejko, PRB '18]

# Kitaev spin-1/2 model

Hamiltonian:

$$\mathcal{H} = K \left( \sum_{\langle ij \rangle_x} \sigma_i^x \sigma_j^x + \sum_{\langle ij \rangle_y} \sigma_i^y \sigma_j^y + \sum_{\langle ij \rangle_z} \sigma_i^z \sigma_j^z \right)$$

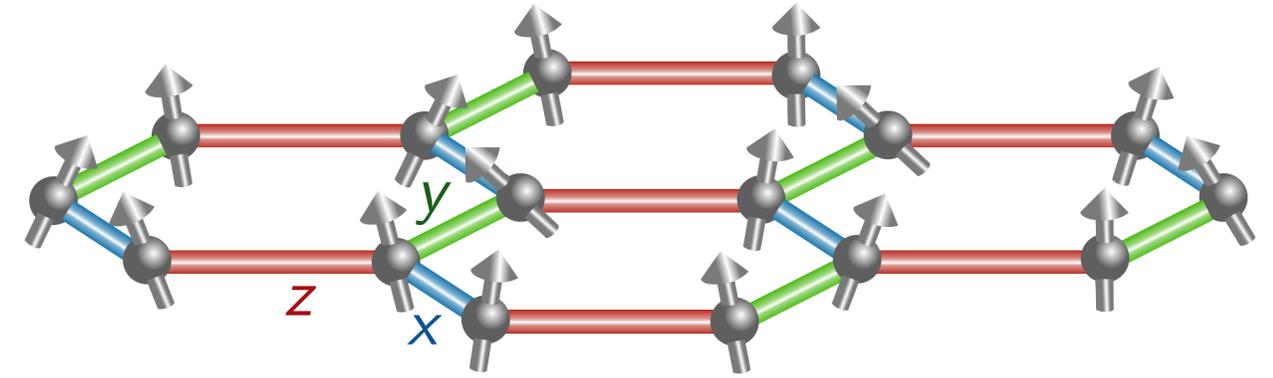


[Kitaev, Ann. Phys. '06]

# Kitaev spin-1/2 model

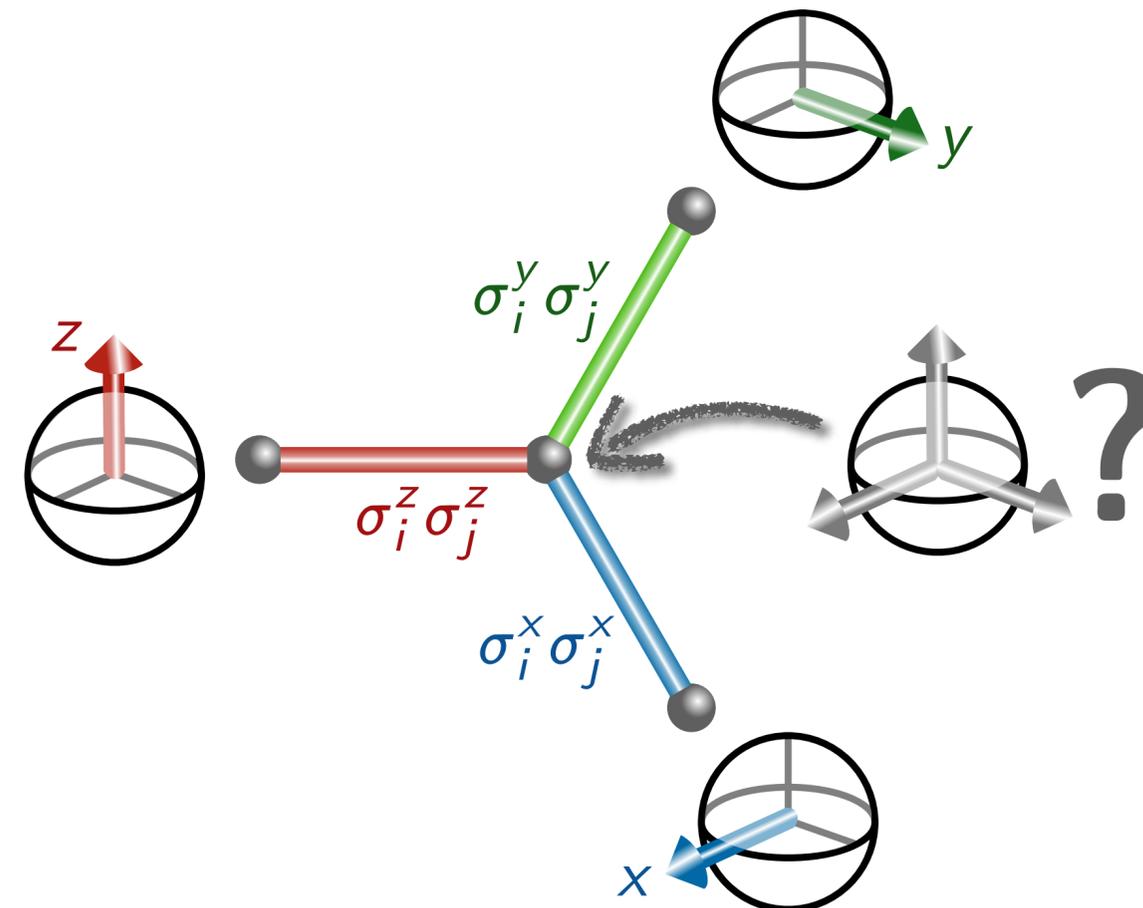
Hamiltonian:

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[Kitaev, Ann. Phys. '06]

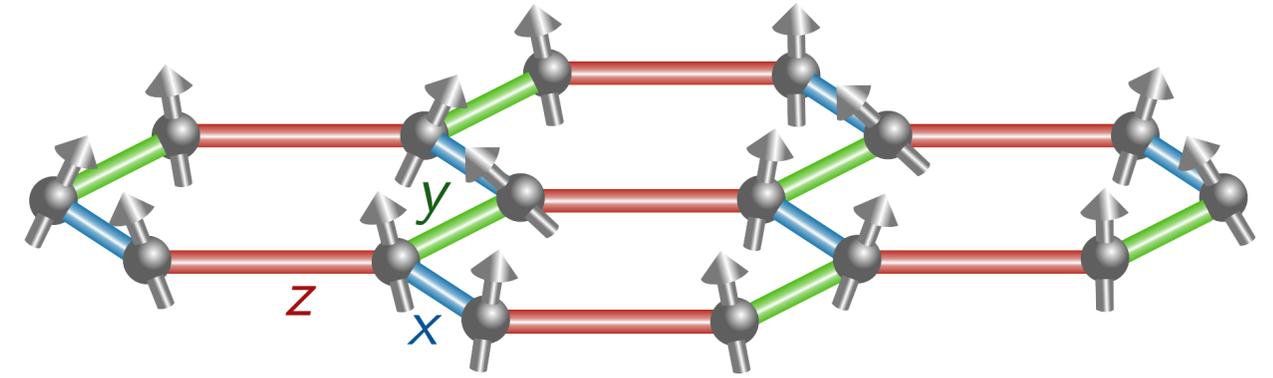
Frustration:



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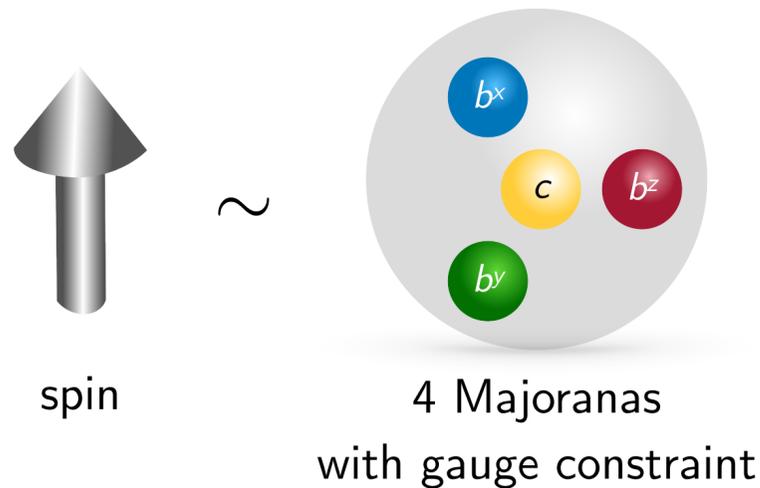
[Kitaev, Ann. Phys. '06]

Majorana representation:

$$\sigma^x \sim i b^x c$$

$$\sigma^y \sim i b^y c$$

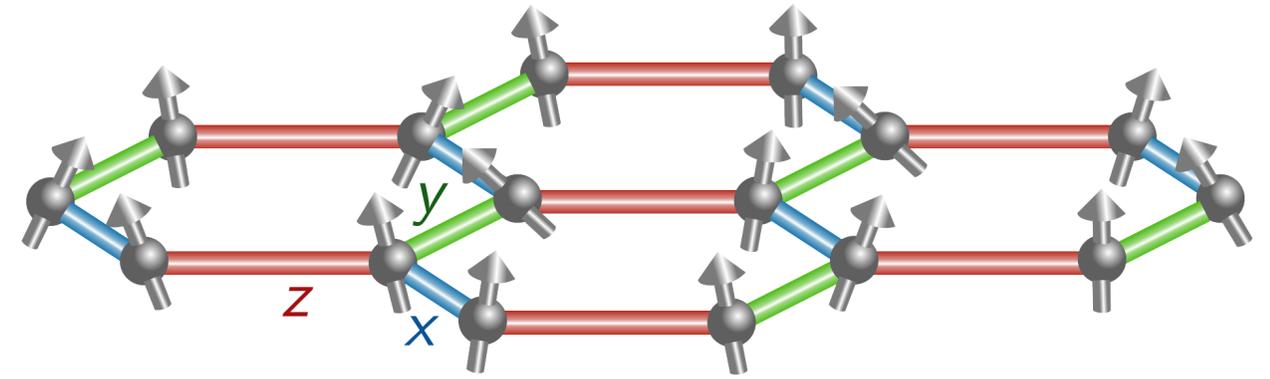
$$\sigma^z \sim i b^z c$$



# Kitaev spin-1/2 model

Hamiltonian:

$$\mathcal{H} = K \left( \sum_{\langle ij \rangle_x} \sigma_i^x \sigma_j^x + \sum_{\langle ij \rangle_y} \sigma_i^y \sigma_j^y + \sum_{\langle ij \rangle_z} \sigma_i^z \sigma_j^z \right)$$



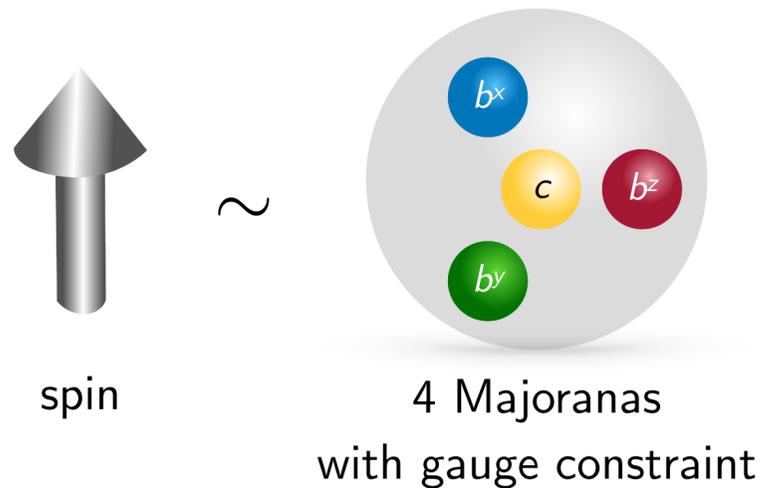
[Kitaev, Ann. Phys. '06]

Majorana representation:

$$\sigma^x \sim i b^x c$$

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Fractionalization:

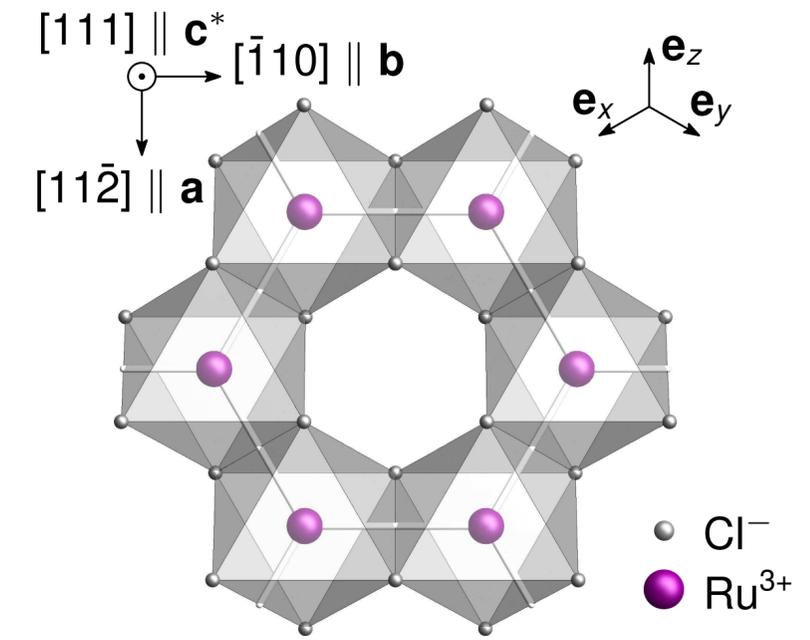
$$\mathcal{H} \sim iK \sum_{\langle ij \rangle_\alpha} \underbrace{(i b_i^\alpha b_j^\alpha)}_{\equiv \hat{u}_{ij} = \hat{u}_{ij}^\dagger} c_i c_j$$

with  $[\hat{u}_{ij}, \tilde{\mathcal{H}}] = 0 \Rightarrow$  static  $\mathbb{Z}_2$  gauge field!

# Kitaev-Heisenberg spin-1/2 model

Hamiltonian:

$$\mathcal{H} = K \sum_{\langle ij \rangle_{\alpha}} \sigma_i^{\alpha} \sigma_j^{\alpha} + J \sum_{\langle ij \rangle} \vec{\sigma}_i \cdot \vec{\sigma}_j$$



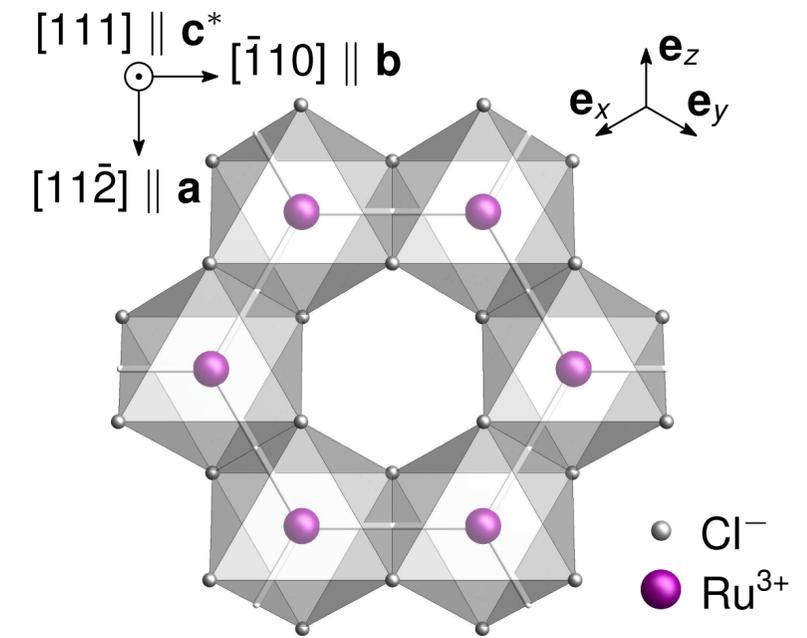
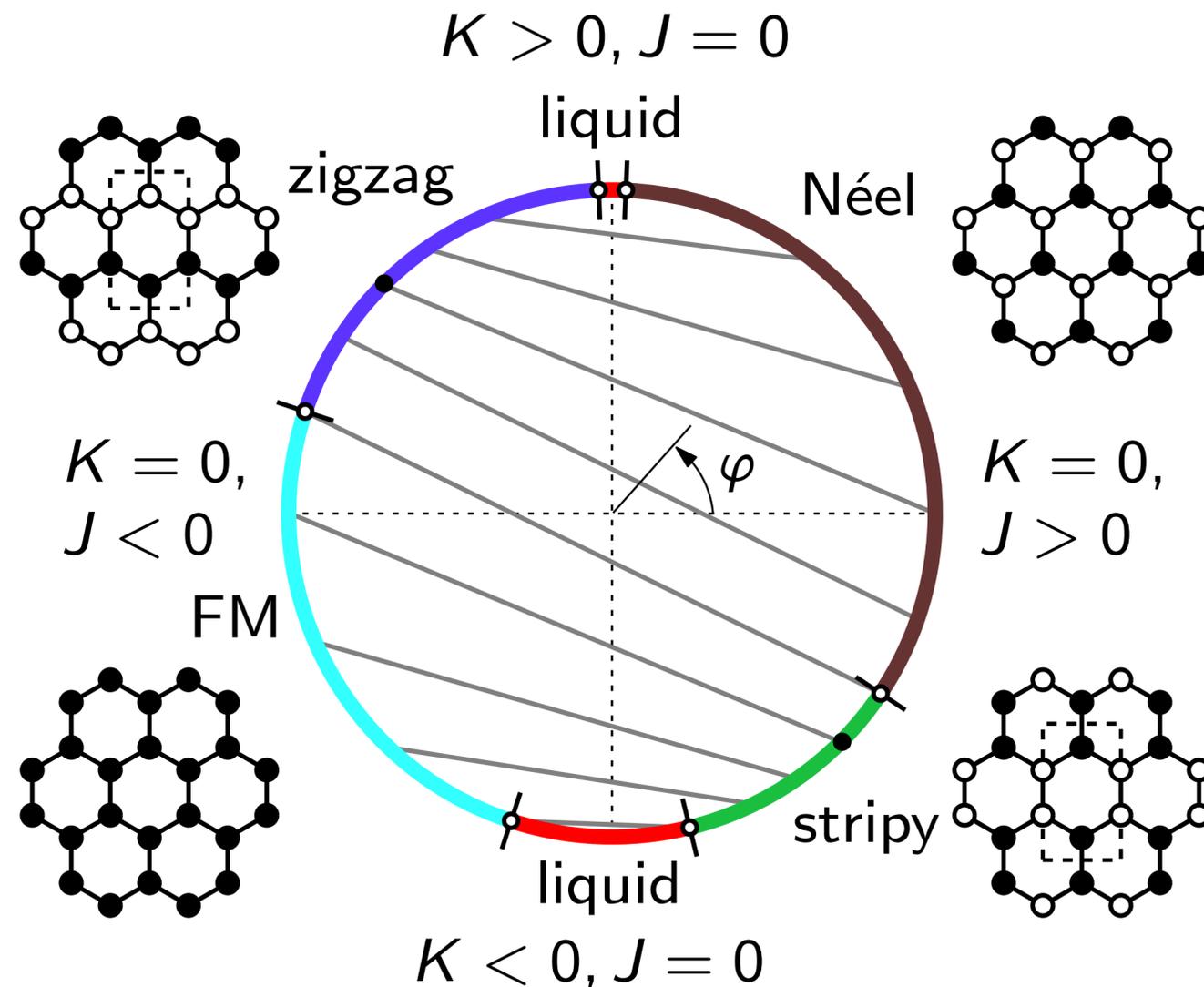
... possible relevance to  $\alpha$ -RuCl<sub>3</sub>, Na<sub>2</sub>IrO<sub>3</sub>, Na<sub>2</sub>Co<sub>2</sub>TeO<sub>6</sub>, ...

# Kitaev-Heisenberg spin-1/2 model

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$$\mathcal{H} = K \sum_{\langle ij \rangle_\alpha} \sigma_i^\alpha \sigma_j^\alpha + J \sum_{\langle ij \rangle} \vec{\sigma}_i \cdot \vec{\sigma}_j$$

Phase diagram:



... possible relevance to  $\alpha$ -RuCl<sub>3</sub>, Na<sub>2</sub>IrO<sub>3</sub>, Na<sub>2</sub>Co<sub>2</sub>TeO<sub>6</sub>, ...

$$J = A \cos \varphi$$

$$K = 2A \sin \varphi$$

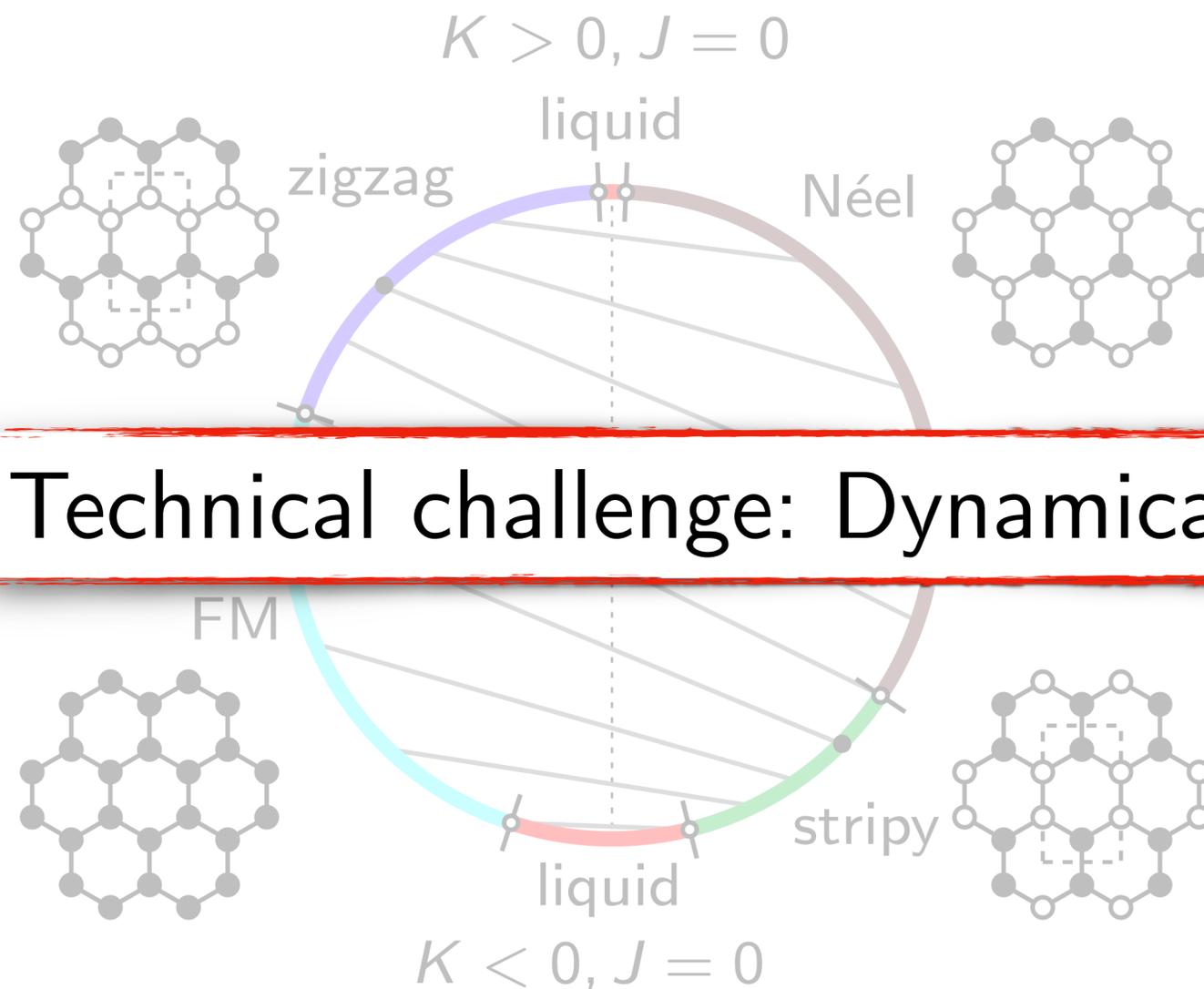
... from 24-site ED: [Chaloupka, Jackeli, Khaliullin, PRL '13]

# Kitaev-Heisenberg spin-1/2 model

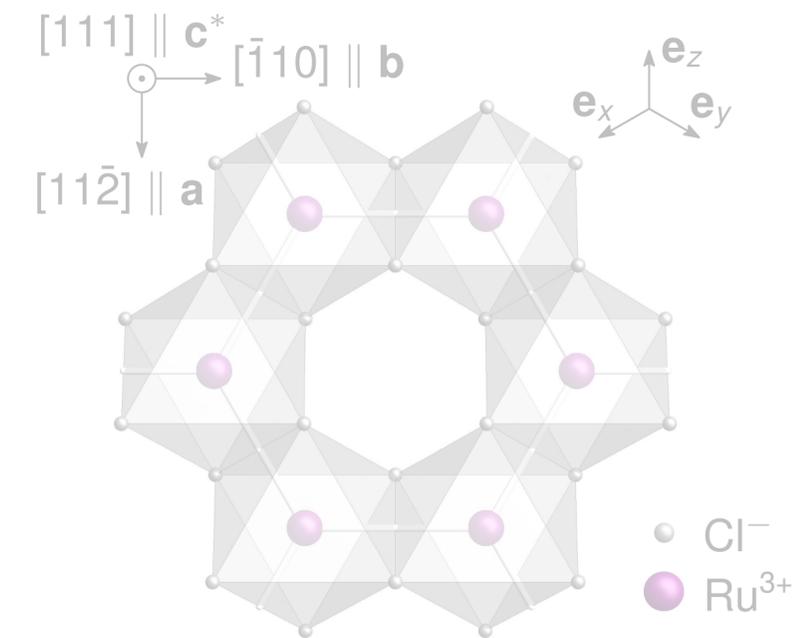
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Phase diagram:



**Technical challenge: Dynamical  $\mathbb{Z}_2$  gauge field!**



... possible relevance to  $\alpha\text{-RuCl}_3$ ,  $\text{Na}_2\text{IrO}_3$ ,  $\text{Na}_2\text{Co}_2\text{TeO}_6$ , ...

... no sign-problem-free QMC available: [Sato & Assaad, PRB '21]

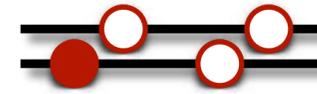
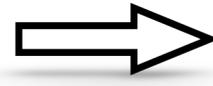
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# Beyond Kitaev spin-1/2

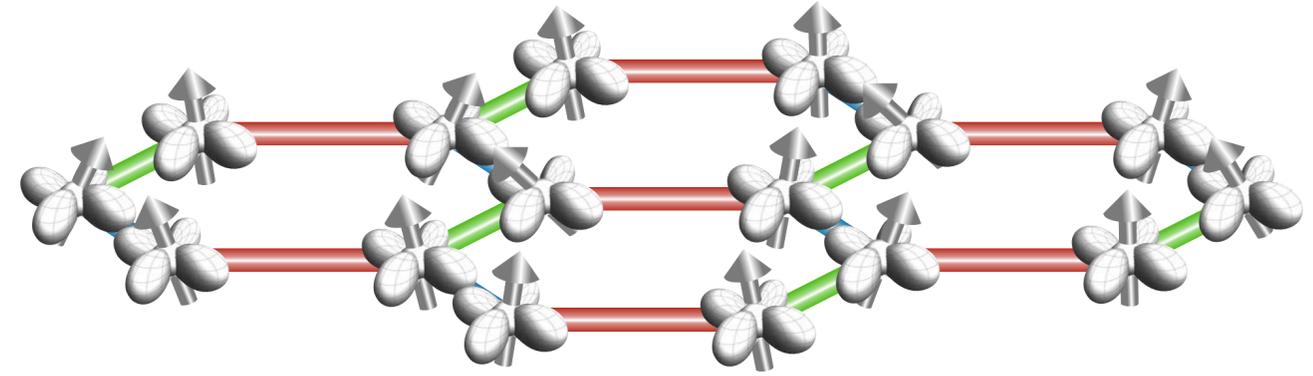
Spin-orbital generalization:



$$\sigma^\alpha \quad 2 \times 2$$

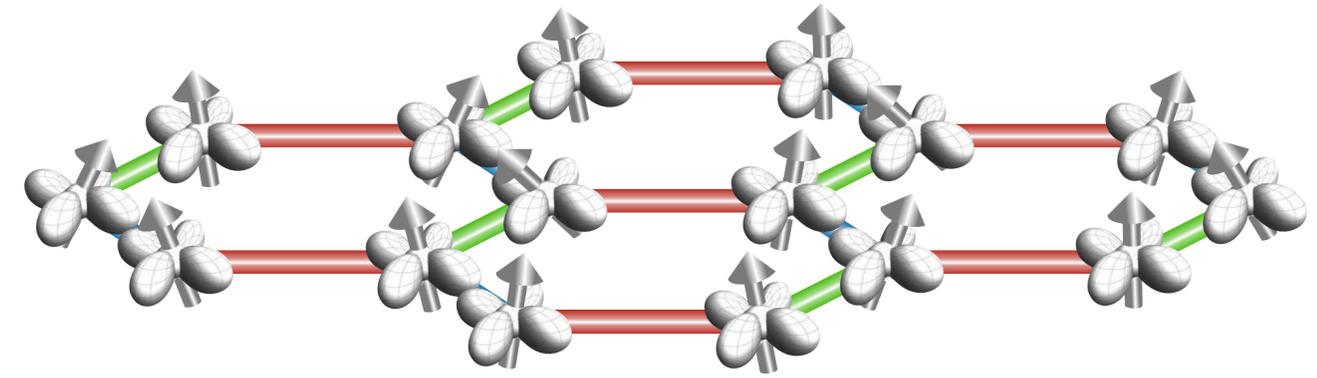


$$\gamma^i = \sigma^\alpha \otimes \tau^\beta \quad 4 \times 4$$

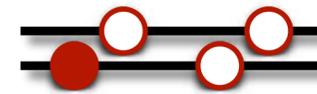
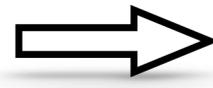


# Beyond Kitaev spin-1/2

Spin-orbital generalization:



$$\sigma^\alpha \quad 2 \times 2$$

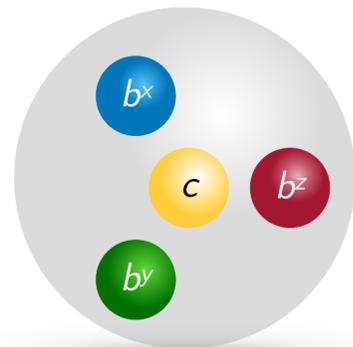


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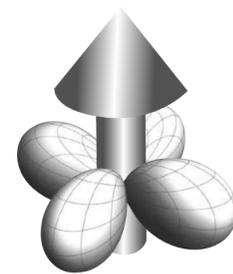


spin

~

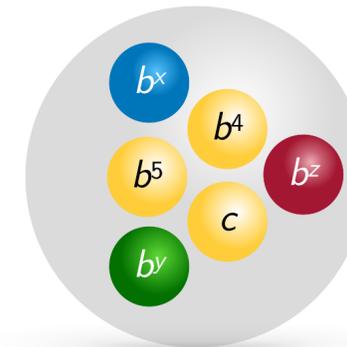


4 Majoranas  
with gauge constraint



spin + orbital

~



6 Majoranas  
with gauge constraint

# Kitaev spin-orbital models

Hamiltonian:

$$\mathcal{H} = K \sum_{\langle ij \rangle_\alpha} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\alpha \tau_j^\alpha$$


Heisenberg spin

Kitaev orbital

# Kitaev spin-orbital models

Hamiltonian:

$$\mathcal{H} = K \sum_{\langle ij \rangle_\alpha} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\alpha \tau_j^\alpha$$

*Heisenberg spin*      *Kitaev orbital*

$$\mapsto iK \sum_{\langle ij \rangle_\gamma} \hat{u}_{ij} (c_i c_j + b_i^4 b_j^4 + b_i^5 b_j^5)$$

Spin-orbital representation:

$$\begin{aligned} \gamma^1 &= \sigma^y \otimes \tau^x \mapsto i b^x c \\ \gamma^2 &= \sigma^y \otimes \tau^y \mapsto i b^y c \\ \gamma^3 &= \sigma^y \otimes \tau^z \mapsto i b^z c \\ \gamma^4 &= \sigma^x \otimes \mathbb{1} \mapsto i b^4 c \\ \gamma^5 &= \sigma^z \otimes \mathbb{1} \mapsto i b^5 c \end{aligned}$$

# Kitaev spin-orbital models

Hamiltonian:

$$\mathcal{H} = K \sum_{\langle ij \rangle_\alpha} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\alpha \tau_j^\alpha$$
$$\mapsto iK \sum_{\langle ij \rangle_\gamma} \hat{u}_{ij} (c_i c_j + b_i^4 b_j^4 + b_i^5 b_j^5)$$

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Ground state:

$$\hat{u}_{ij} \mapsto u_{ij} \equiv 1 \quad \Rightarrow \quad \mathcal{H} \sim iK \sum_{\langle ij \rangle} \mathbf{c}_i^\top \mathbf{c}_j$$

[Lieb, PRL '94]

with  $\mathbf{c}_i \equiv \begin{pmatrix} c_i \\ b_i^4 \\ b_i^5 \end{pmatrix}$

# Kitaev spin-orbital models

Hamiltonian:

$$\mathcal{H} = K \sum_{\langle ij \rangle_\alpha} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\alpha \tau_j^\alpha$$

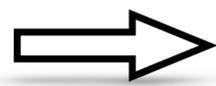
↑ Heisenberg spin      ↑ Kitaev orbital

$$\mapsto iK \sum_{\langle ij \rangle_\gamma} \hat{u}_{ij} (c_i c_j + b_i^4 b_j^4 + b_i^5 b_j^5)$$

Ground state:

$$\hat{u}_{ij} \mapsto u_{ij} \equiv 1$$

[Lieb, PRL '94]



$$\mathcal{H} \sim iK \sum_{\langle ij \rangle} \mathbf{c}_i^\top \mathbf{c}_j$$

$$\text{with } \mathbf{c}_i \equiv \begin{pmatrix} c_i \\ b_i^4 \\ b_i^5 \end{pmatrix}$$

Spin-orbital representation:

$$\gamma^1 = \sigma^y \otimes \tau^x \mapsto i b^x c$$

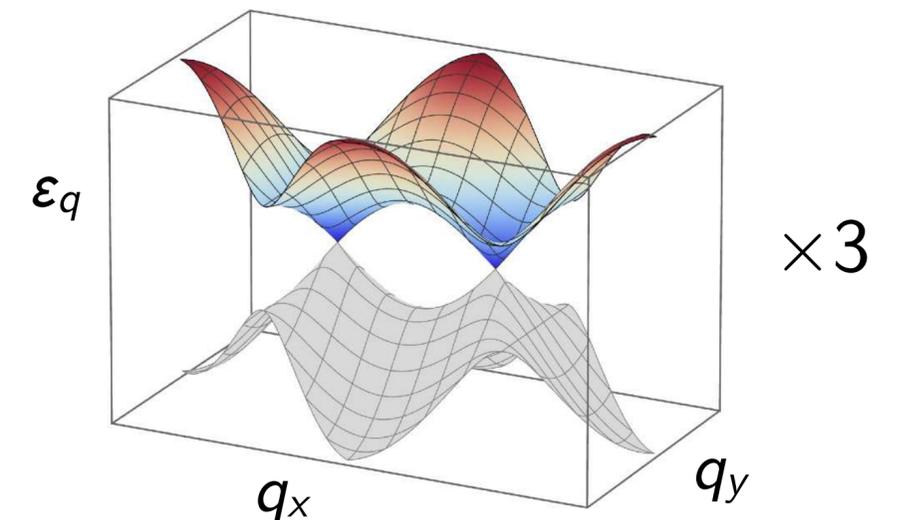
$$\gamma^2 = \sigma^y \otimes \tau^y \mapsto i b^y c$$

$$\gamma^3 = \sigma^y \otimes \tau^z \mapsto i b^z c$$

$$\gamma^4 = \sigma^x \otimes \mathbb{1} \mapsto i b^4 c$$

$$\gamma^5 = \sigma^z \otimes \mathbb{1} \mapsto i b^5 c$$

Majorana spectrum:



# Kitaev-Heisenberg spin-orbital model

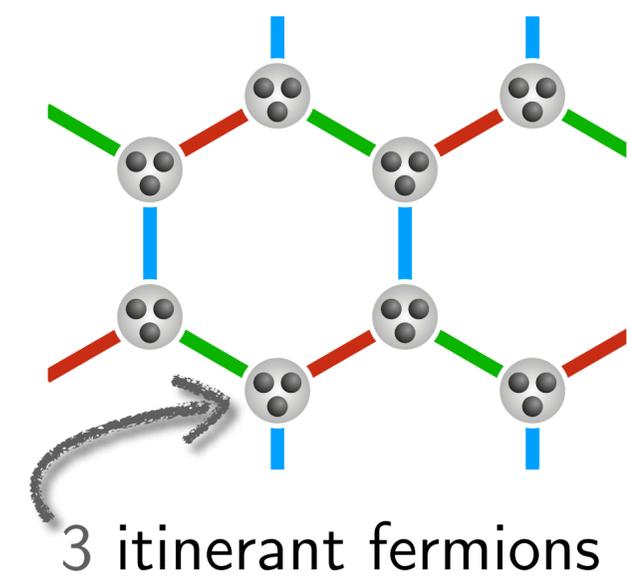
Hamiltonian:

$$\mathcal{H} = K \sum_{\langle ij \rangle_\gamma} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\gamma \tau_j^\gamma + J \sum_{\langle ij \rangle} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \mathbb{1}_i \mathbb{1}_j$$

# Kitaev-Heisenberg spin-orbital model

Hamiltonian:

$$\mathcal{H} = K \sum_{\langle ij \rangle_\gamma} \underbrace{\vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\gamma \tau_j^\gamma}_{\mapsto \hat{u}_{ij} \mathbf{c}_i^\top \mathbf{c}_j} + J \sum_{\langle ij \rangle} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \mathbb{1}_i \mathbb{1}_j$$



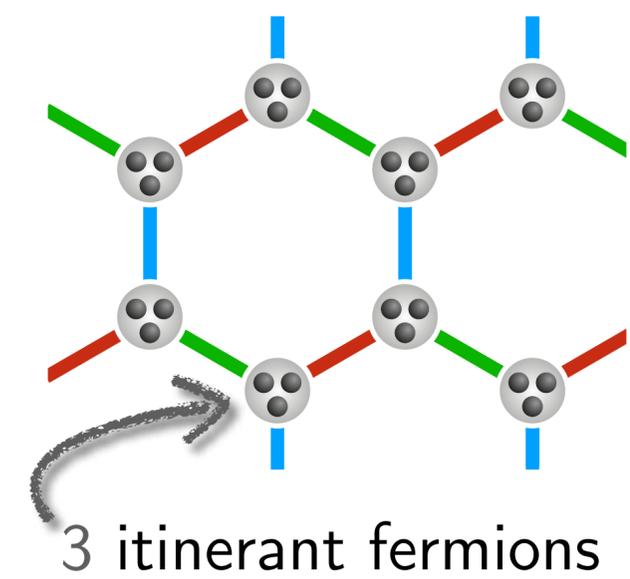
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*spin-1 matrices*

with  $[\hat{u}_{ij}, \mathcal{H}] = 0$  still static!

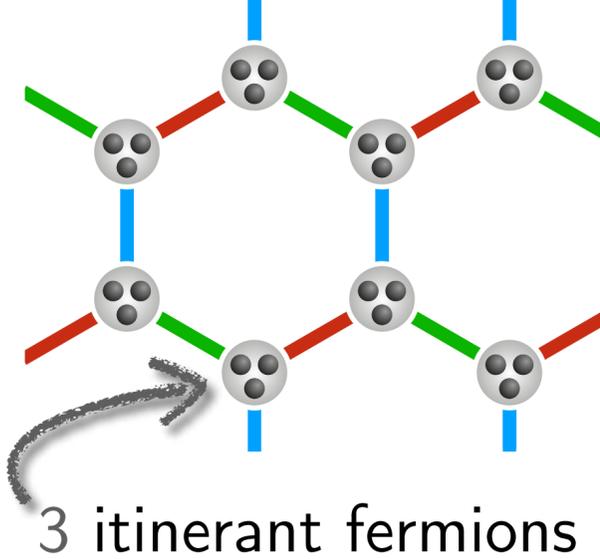


# Kitaev-Heisenberg spin-orbital model

Hamiltonian:

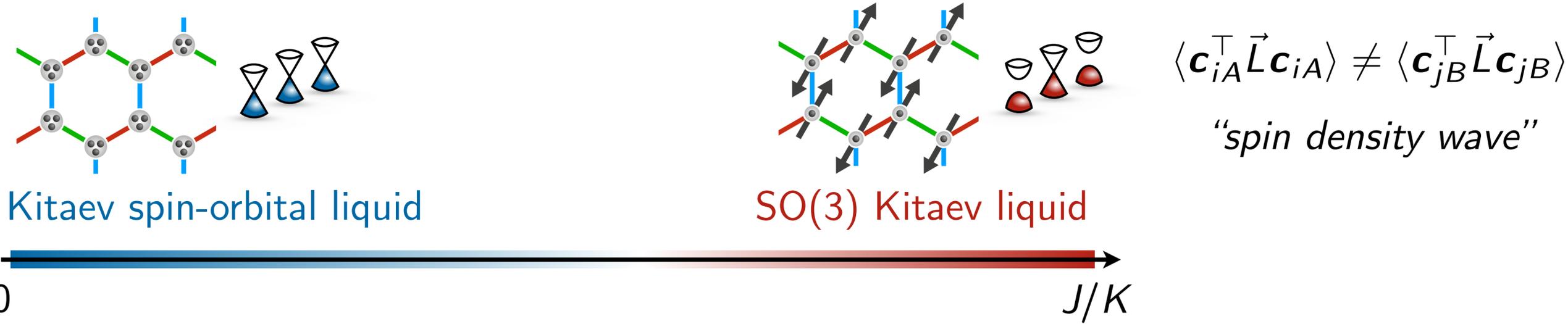
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spin-1 matrices



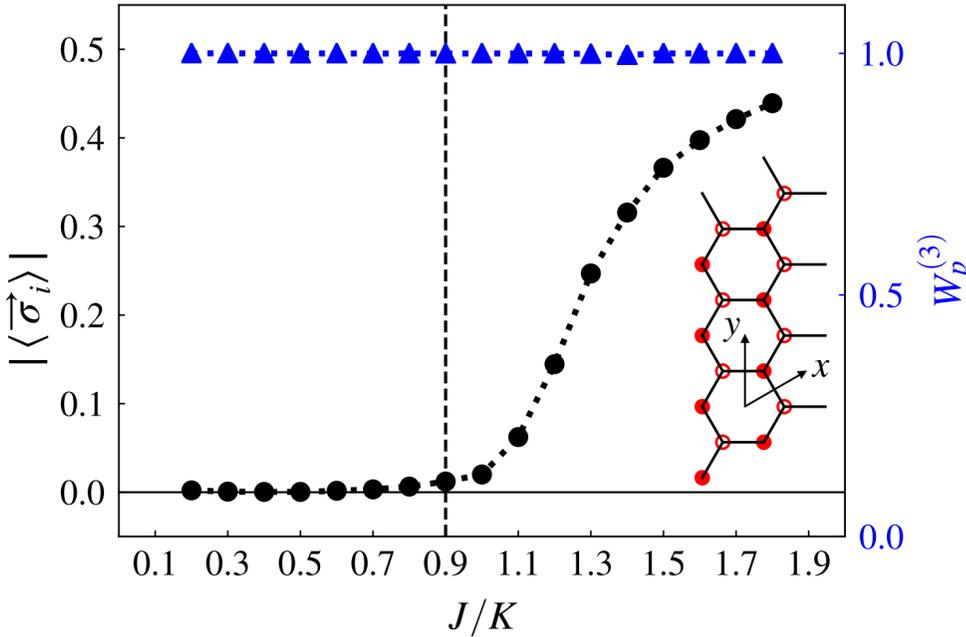
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Phase diagram:



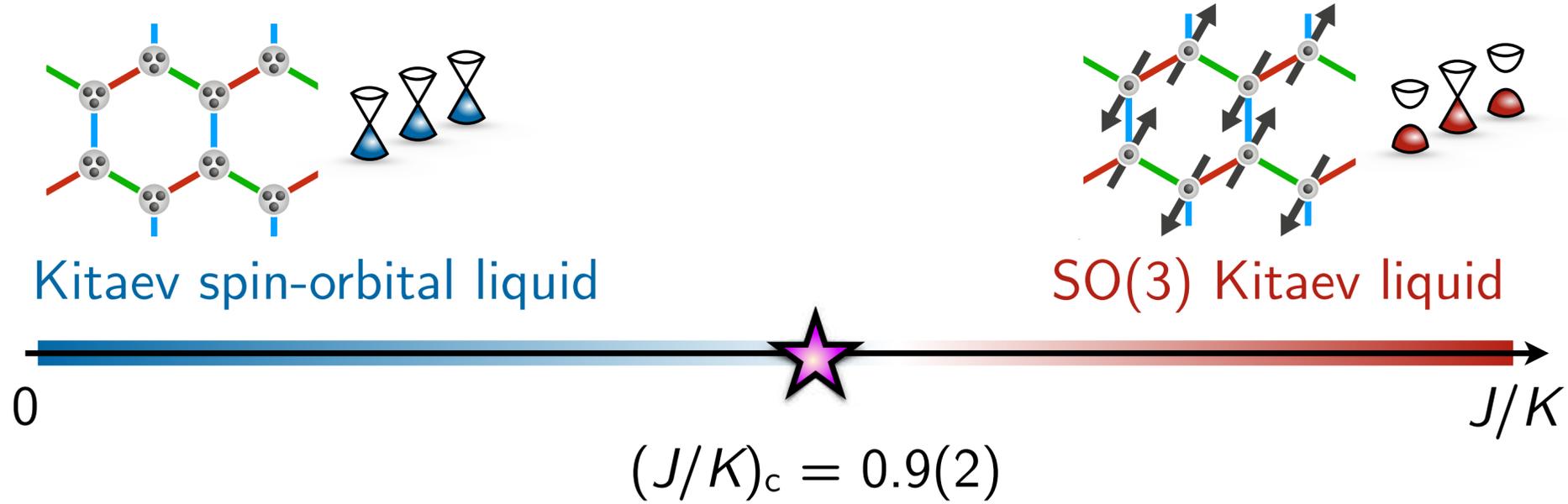
# Fractionalized fermionic quantum criticality

iDMRG:



... on cylinder with  $L_y = 4$  unit cells

Phase diagram:



*“Fractionalized fermionic quantum critical point”*

[Seifert, Dong, Chulliparambil, Vojta, Tu, LJ, PRL '20]

# Effective field theory

Gradient expansion:

$$\mathcal{S} = \int d^2\vec{x}d\tau \left[ \bar{\psi}\gamma^\mu\partial_\mu\psi + g\vec{\varphi} \cdot \bar{\psi}(\mathbb{1}_2 \otimes \vec{L})\psi \right] \quad \text{“Gross-Neveu-SO(3)”}$$

# Effective field theory

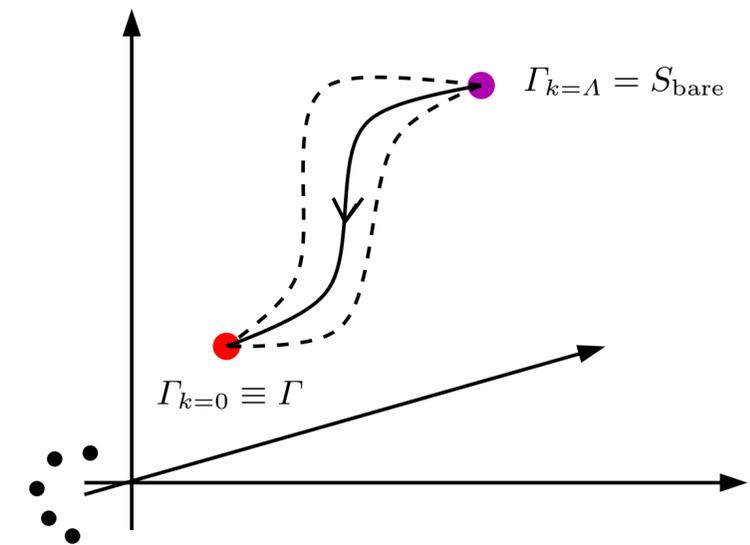
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Wetterich equation:

$$\partial_k\Gamma_k = \frac{1}{2} \text{STr} \frac{\partial_k R_k}{\Gamma_k^{(2)} + R_k}$$



[Gies, Lect. Notes Phys. '12]

# Effective field theory

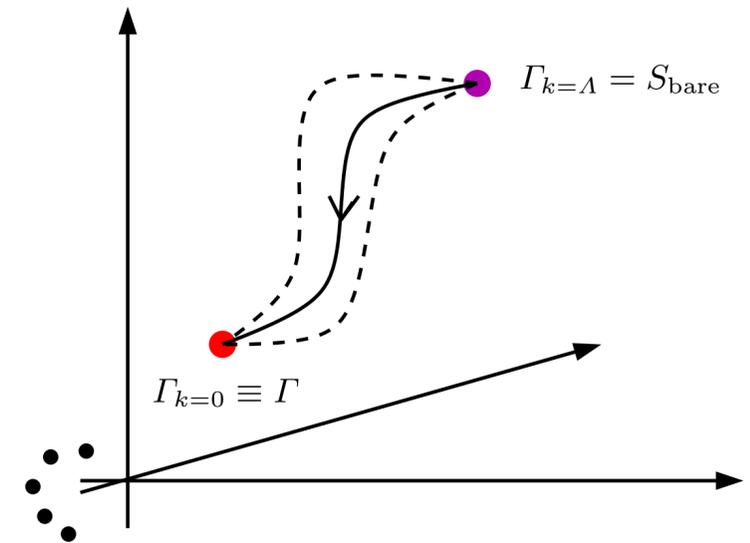
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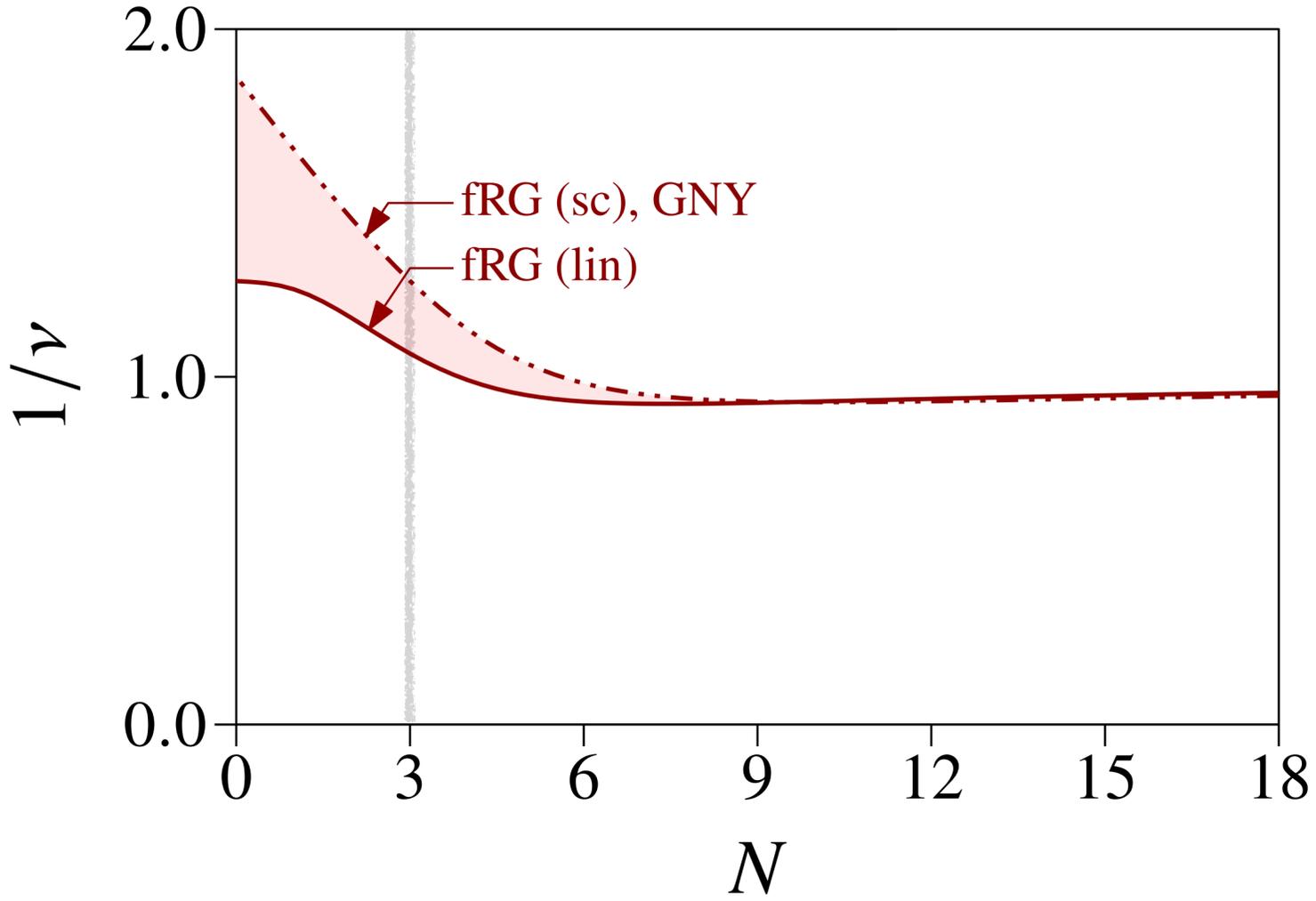
[Gies, Lect. Notes Phys. '12]

Effective action (LPA'):

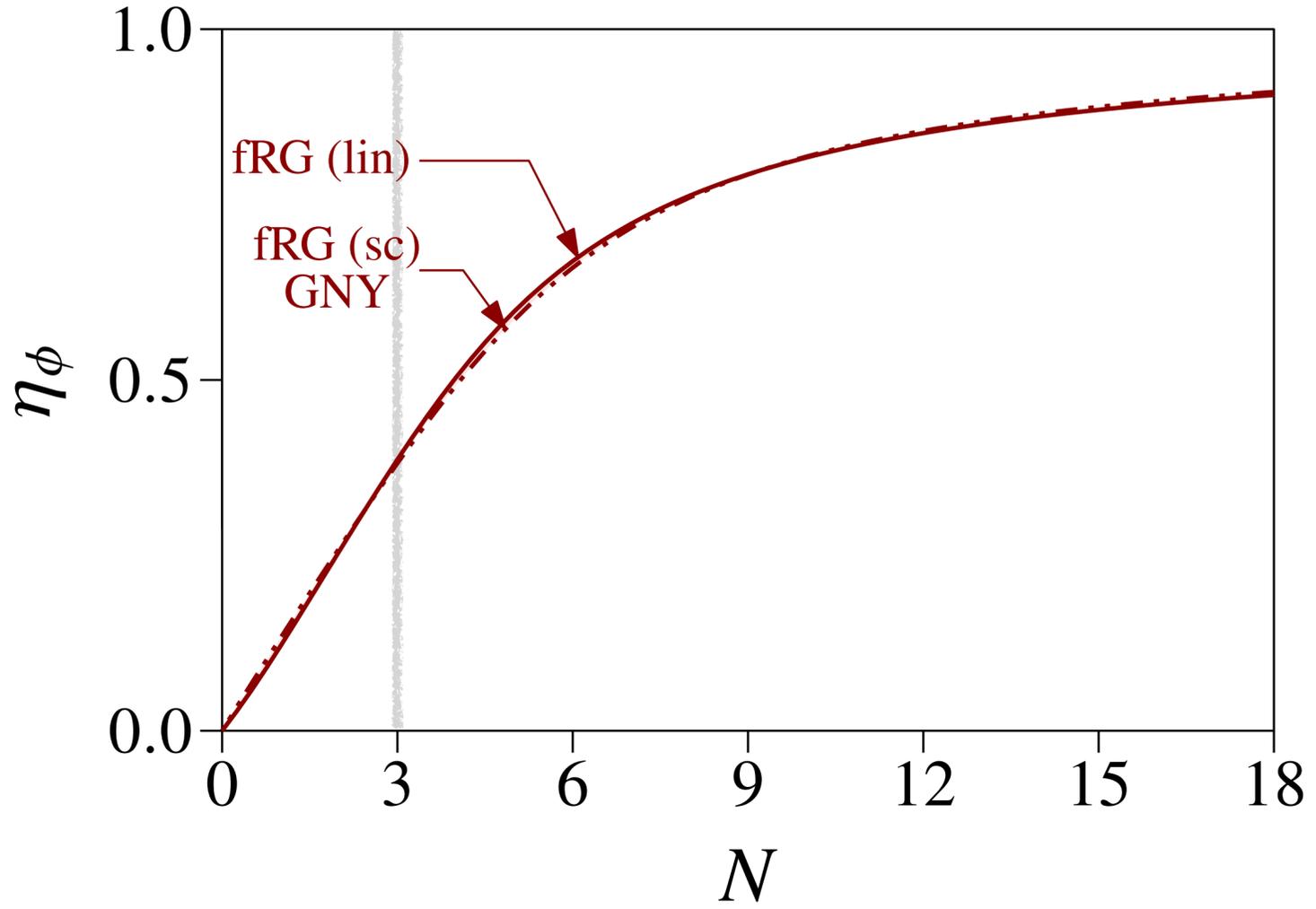
$$\Gamma_k = \int d^{2+1}x \left[ Z_{\psi,k} \bar{\psi}\gamma^\mu\partial_\mu\psi + \frac{1}{2} Z_{\varphi,k} (\partial_\mu\vec{\varphi})^2 - g_k\vec{\varphi} \cdot \bar{\psi}\vec{L}\psi + U_k(\varrho) \right]$$

# Fractionalized Gross-Neveu-SO(3) criticality

Correlation length exponent:



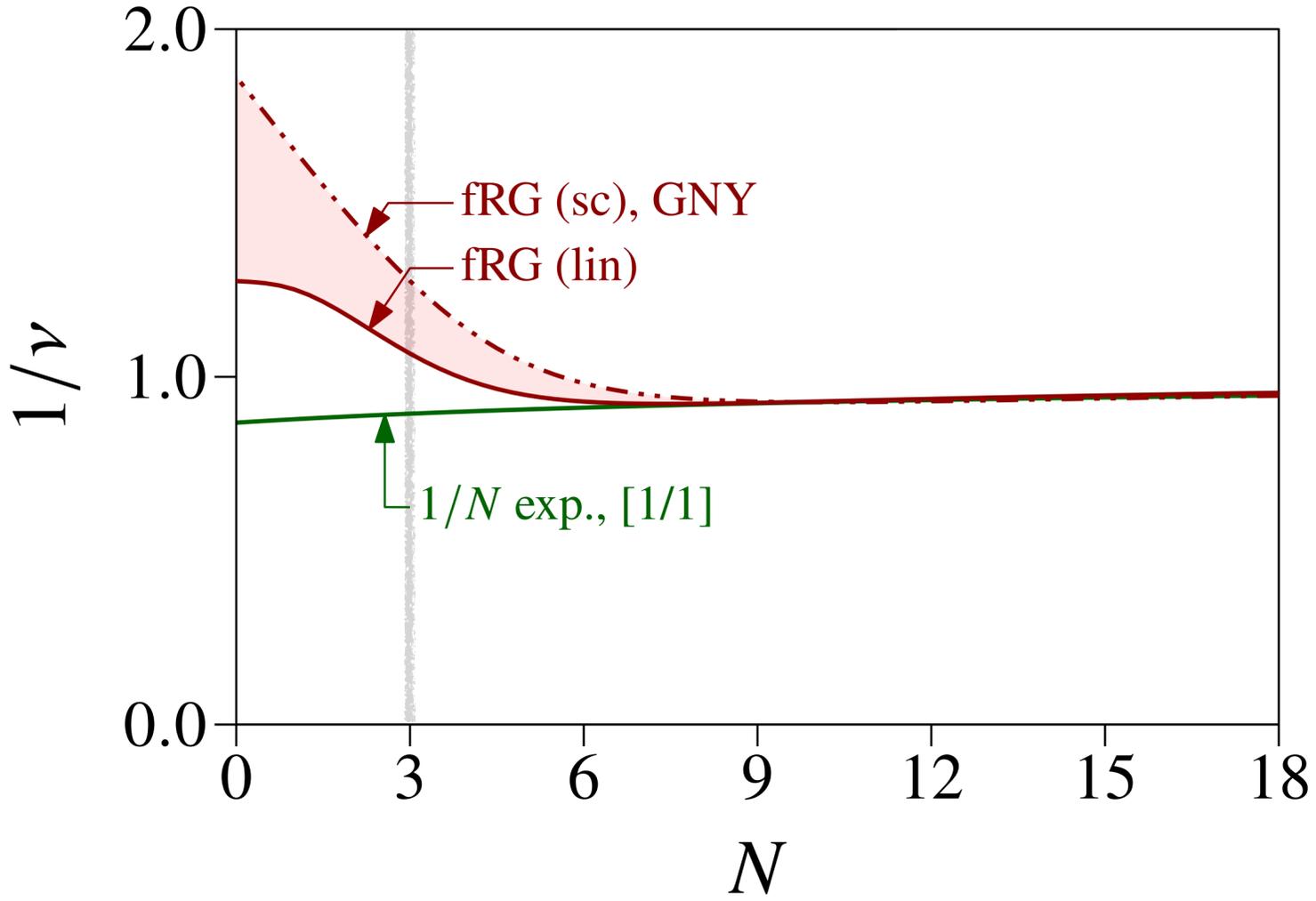
Anomalous dimension:



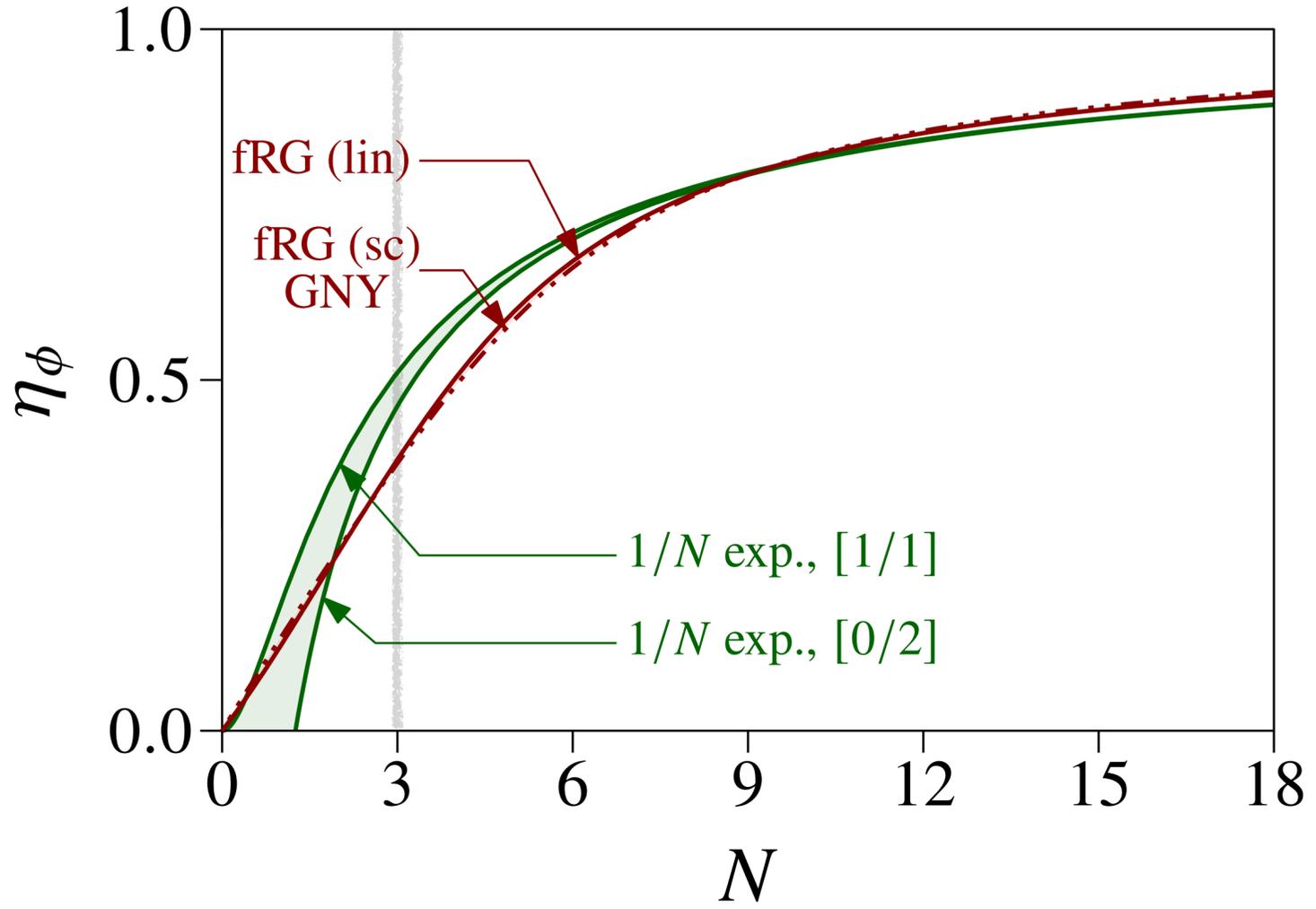
Levels of approximation:  
• Functional RG @ LPA'

# Fractionalized Gross-Neveu-SO(3) criticality

Correlation length exponent:



Anomalous dimension:

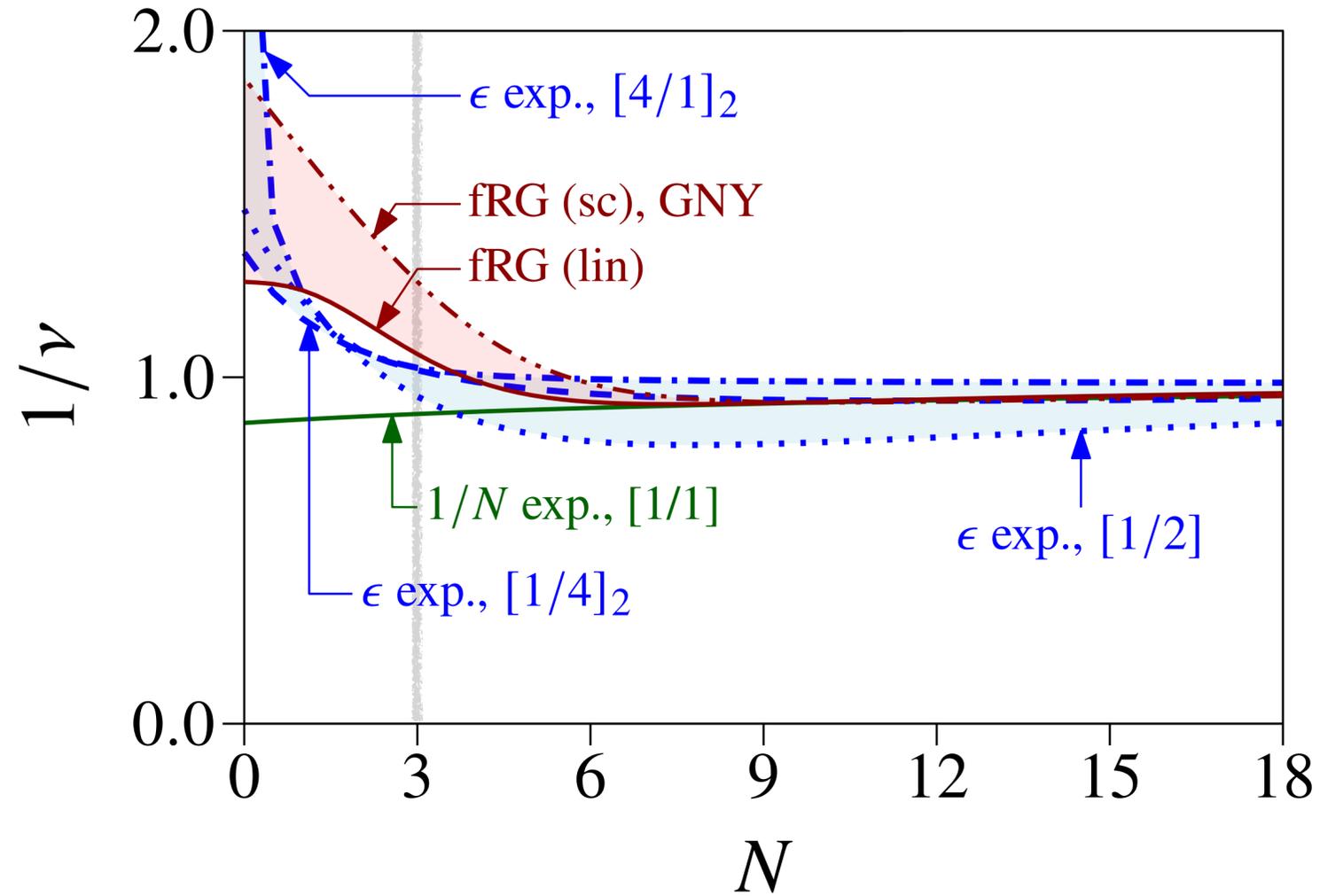


- Levels of approximation:
- Functional RG @ LPA'
  - $1/N$  expansion @  $O(1/N^2)$

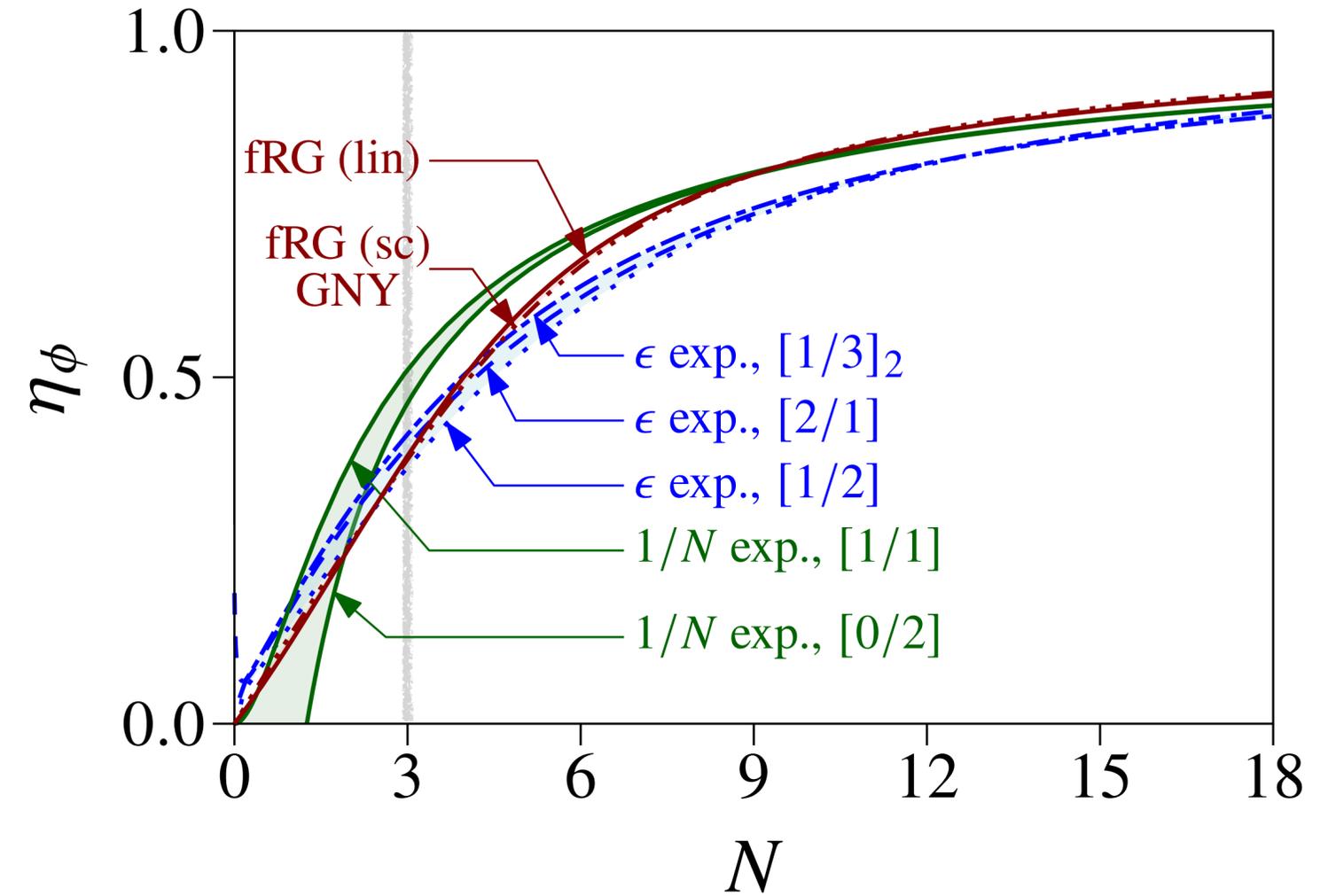
[Ray, Ihrig, Kruti, Gracey, Scherer, LJ, PRB '21]

# Fractionalized Gross-Neveu-SO(3) criticality

Correlation length exponent:



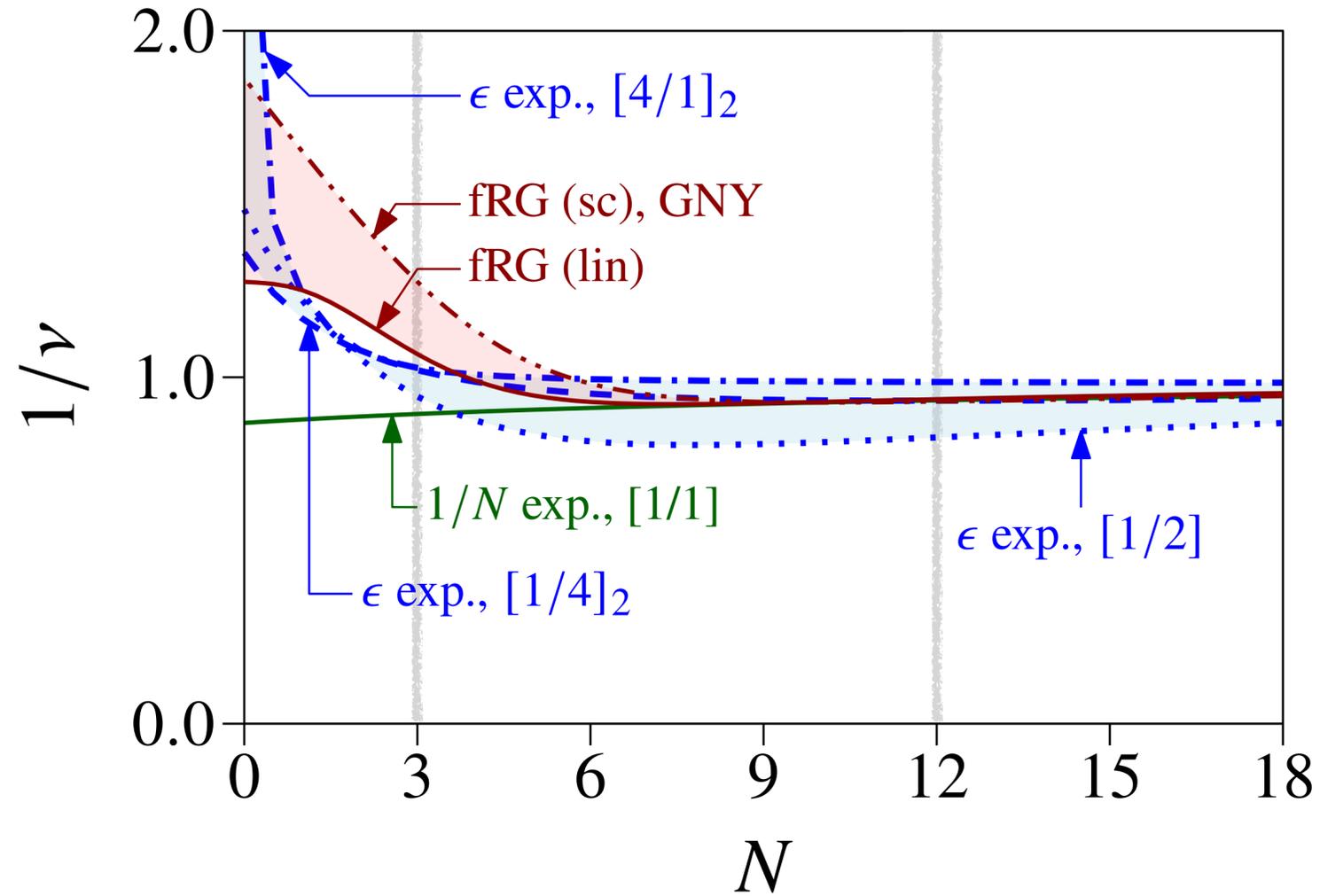
Anomalous dimension:



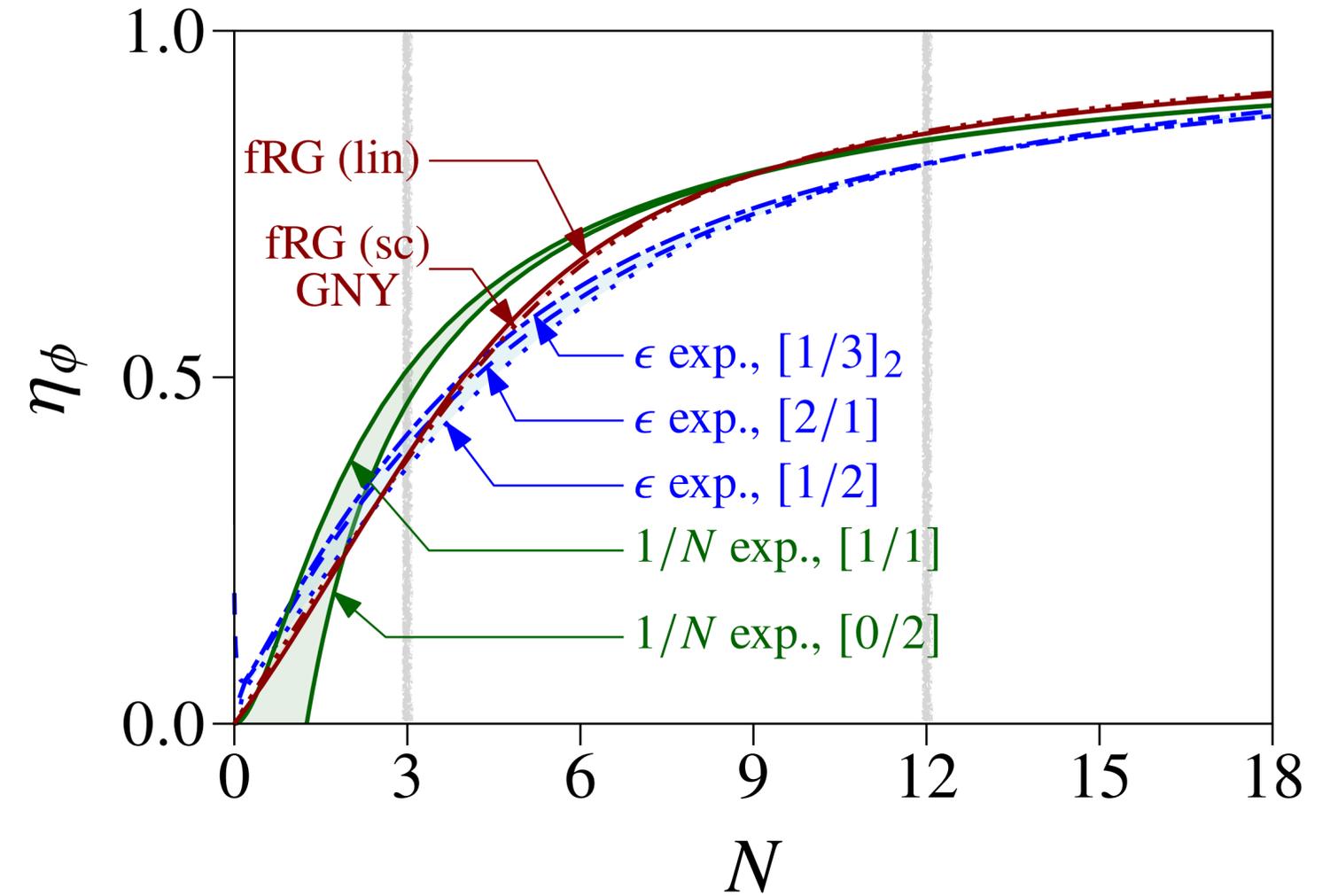
- Levels of approximation:
- Functional RG @ LPA'
  - $1/N$  expansion @  $O(1/N^2)$
  - 4 -  $\epsilon$  expansion @ 3 loop

# Fractionalized Gross-Neveu-SO(3) criticality

Correlation length exponent:



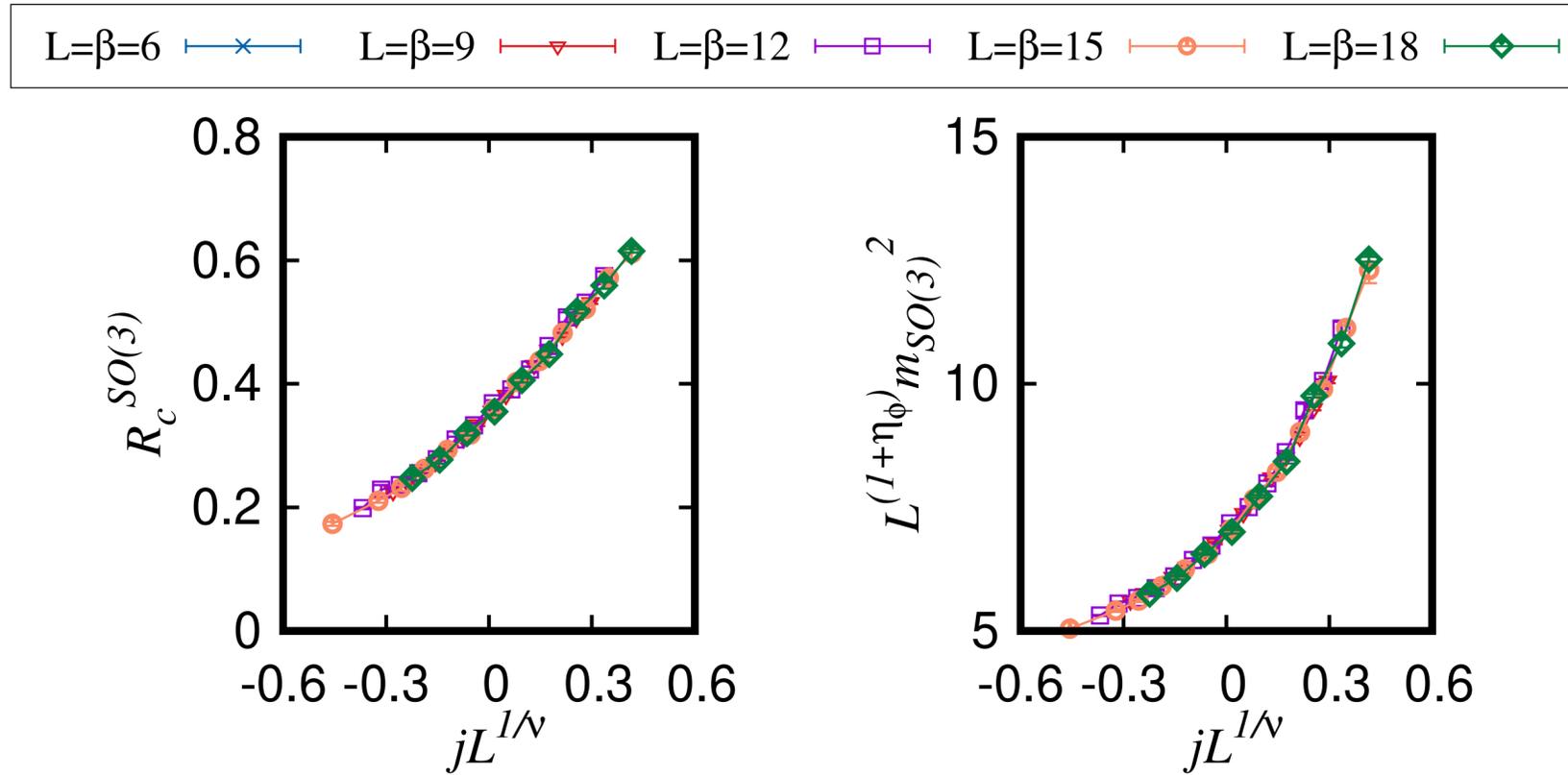
Anomalous dimension:



- Levels of approximation:
- Functional RG @ LPA'
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# QMC simulations ( $N = 12$ )

Scaling collapse:



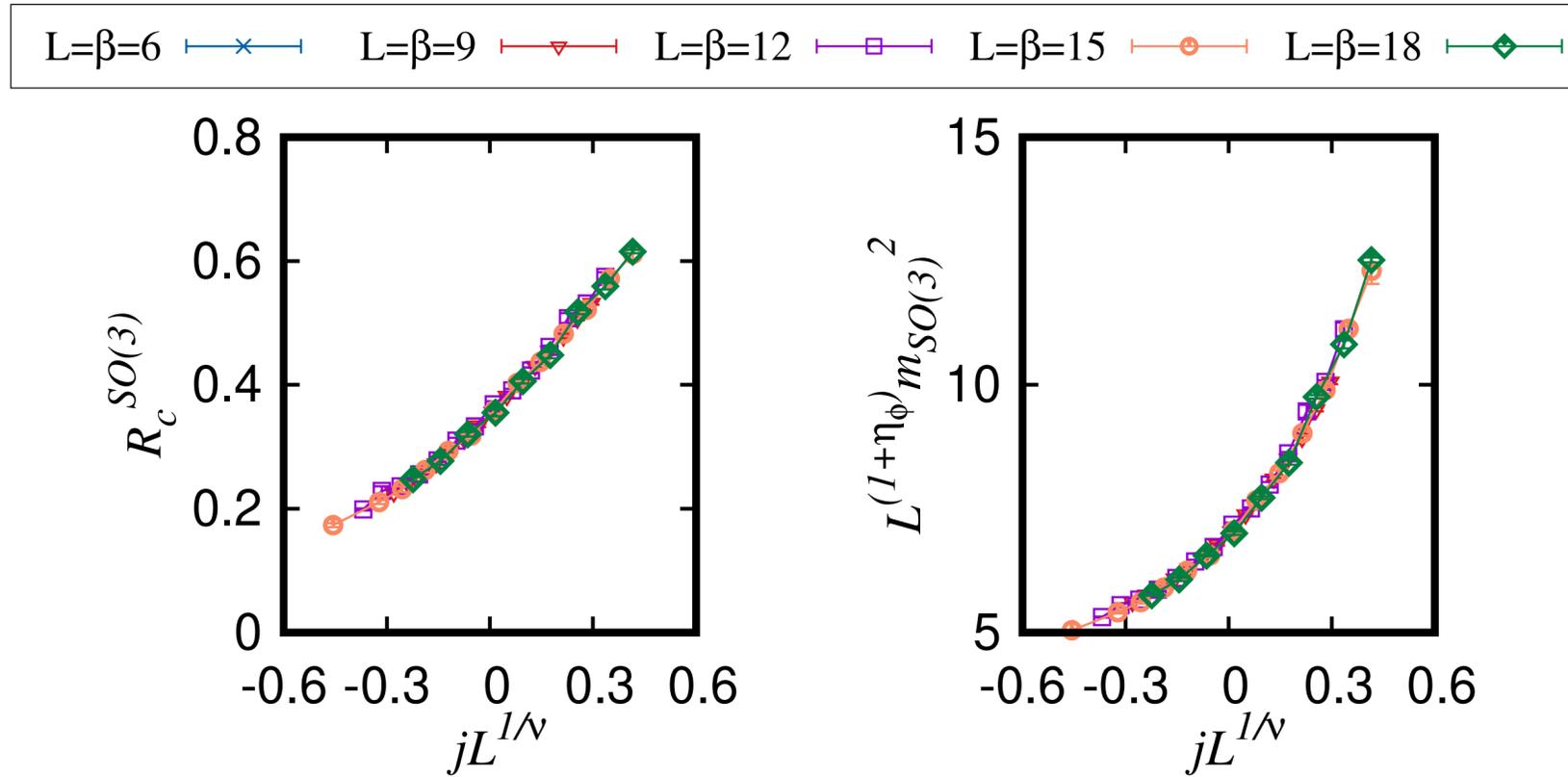
Zihong Liu

# QMC simulations ( $N = 12$ )

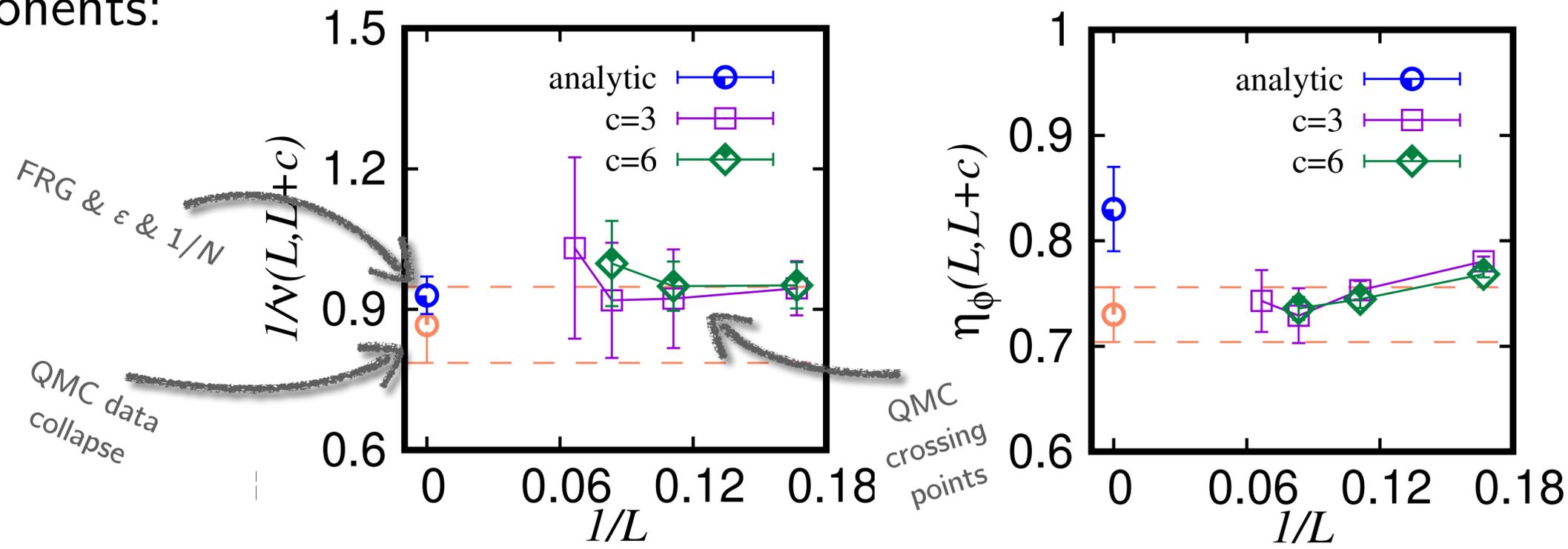


Zihong Liu

Scaling collapse:

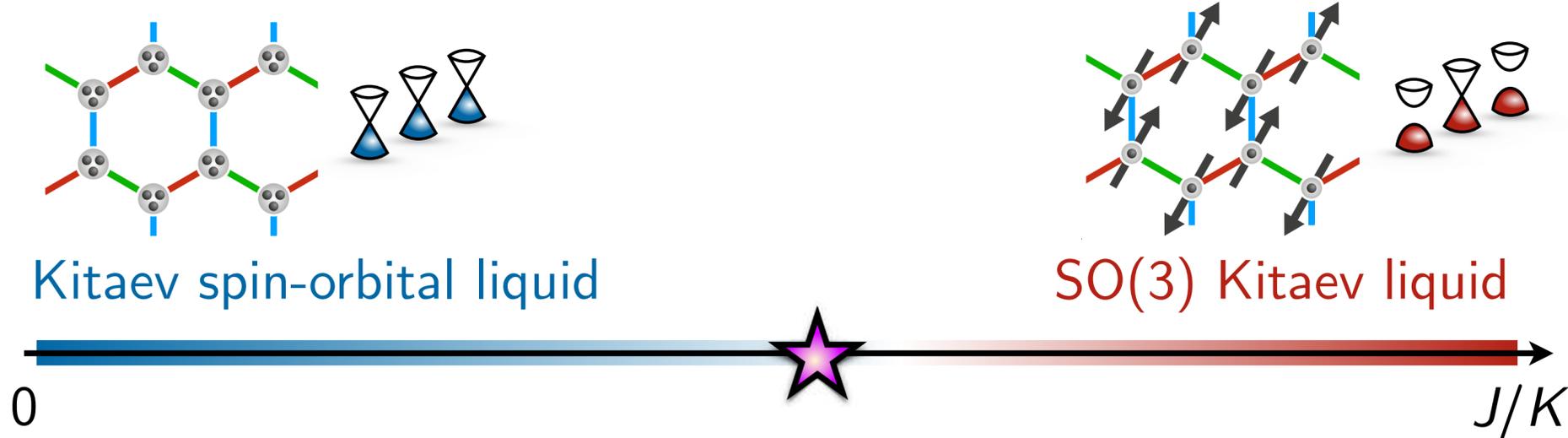


Critical exponents:



[Liu, Vojta, Assaad, LJ, PRL '22]  
[Liu, Vojta, Assaad, LJ, in preparation]

# Conclusions



Kitaev spin-orbital liquid

SO(3) Kitaev liquid

*“Fractionalized fermionic quantum critical point”*

[Seifert, Dong, Chulliparambil, Vojta, Tu, LJ, PRL '20]

[Ray, Ihrig, Kruti, Gracey, Scherer, LJ, PRB '21]

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