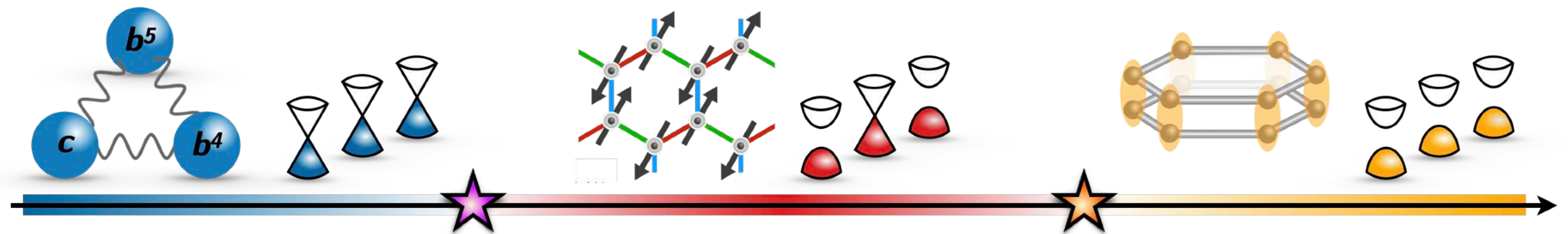


Gross-Neveu criticality in quantum magnets

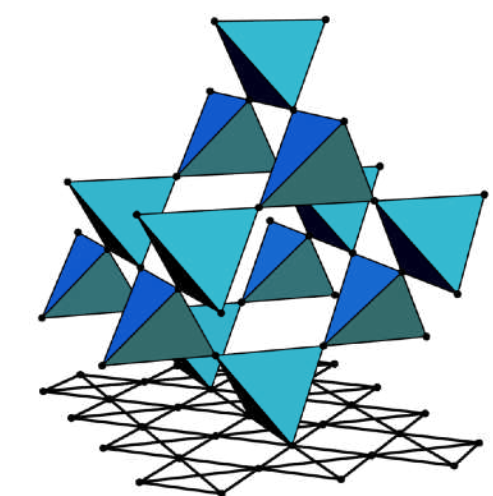
Lukas Janssen



ct.qmat

Complexity and Topology
in Quantum Matter

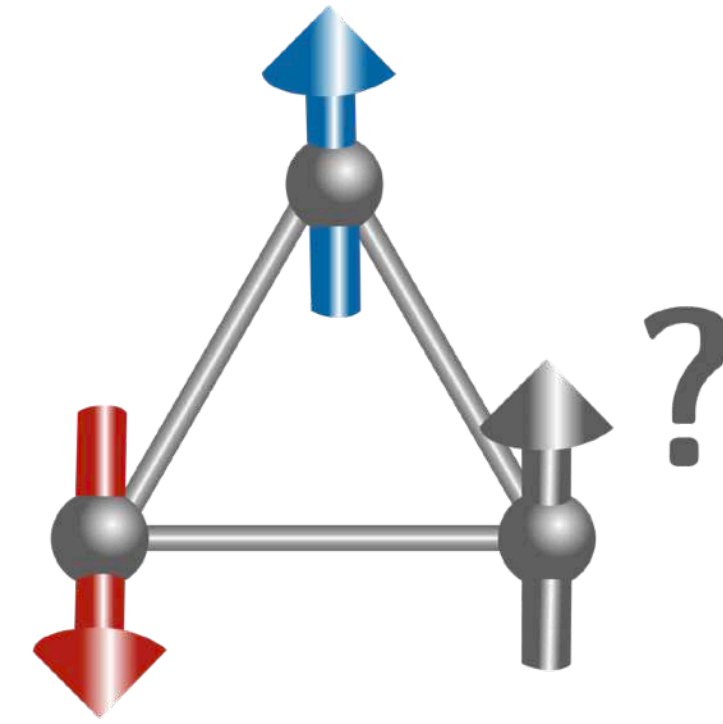
Würzburg-Dresden Cluster of Excellence



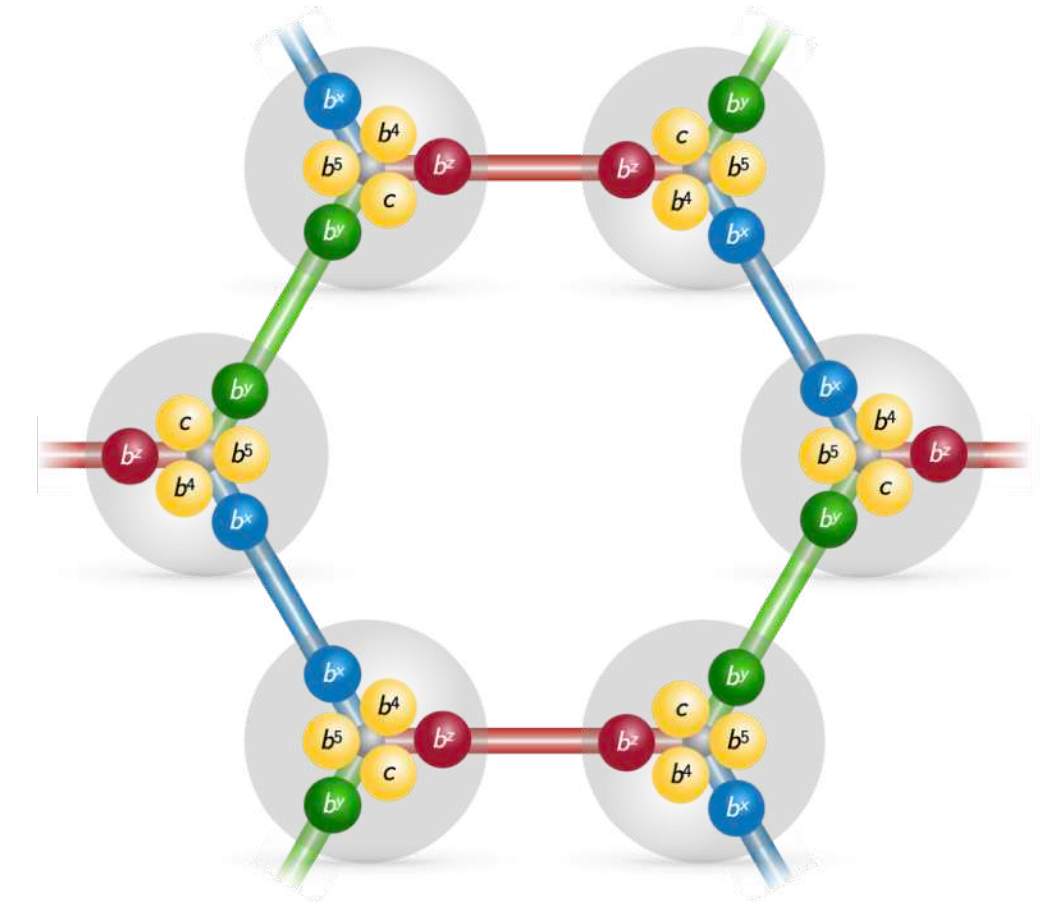
SFB 1143

Outline

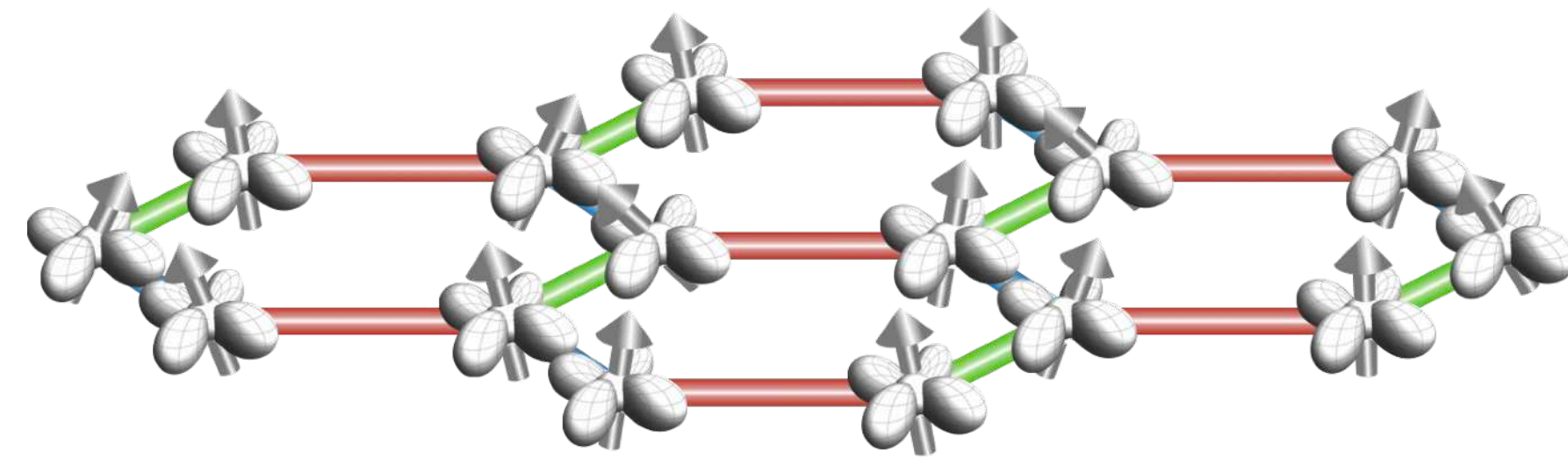
(1) Introduction



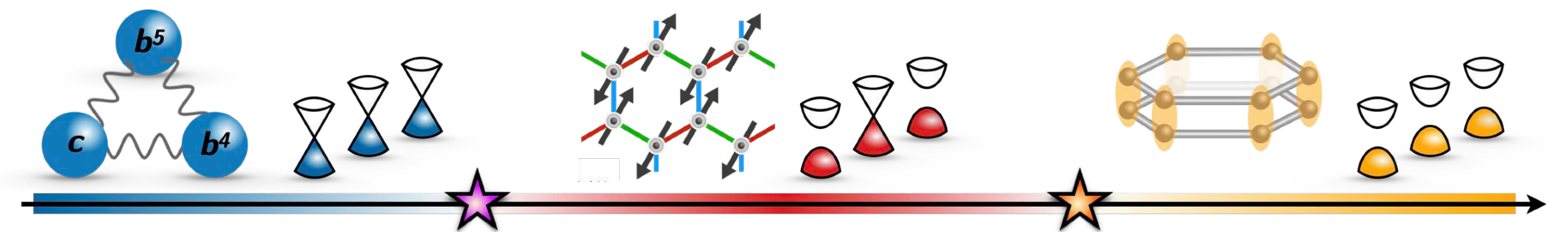
(2) Kitaev honeycomb model



(3) Kitaev-Heisenberg spin-orbital model

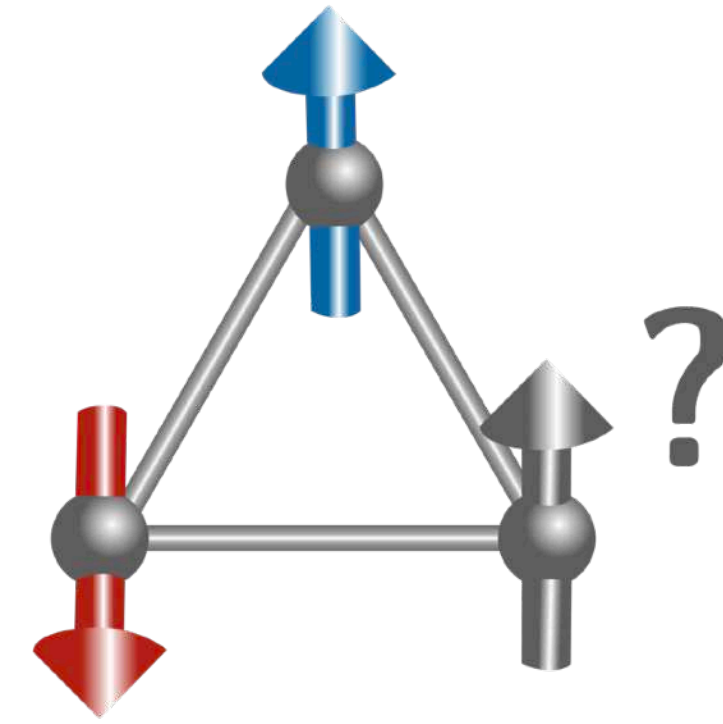


(4) Conclusions

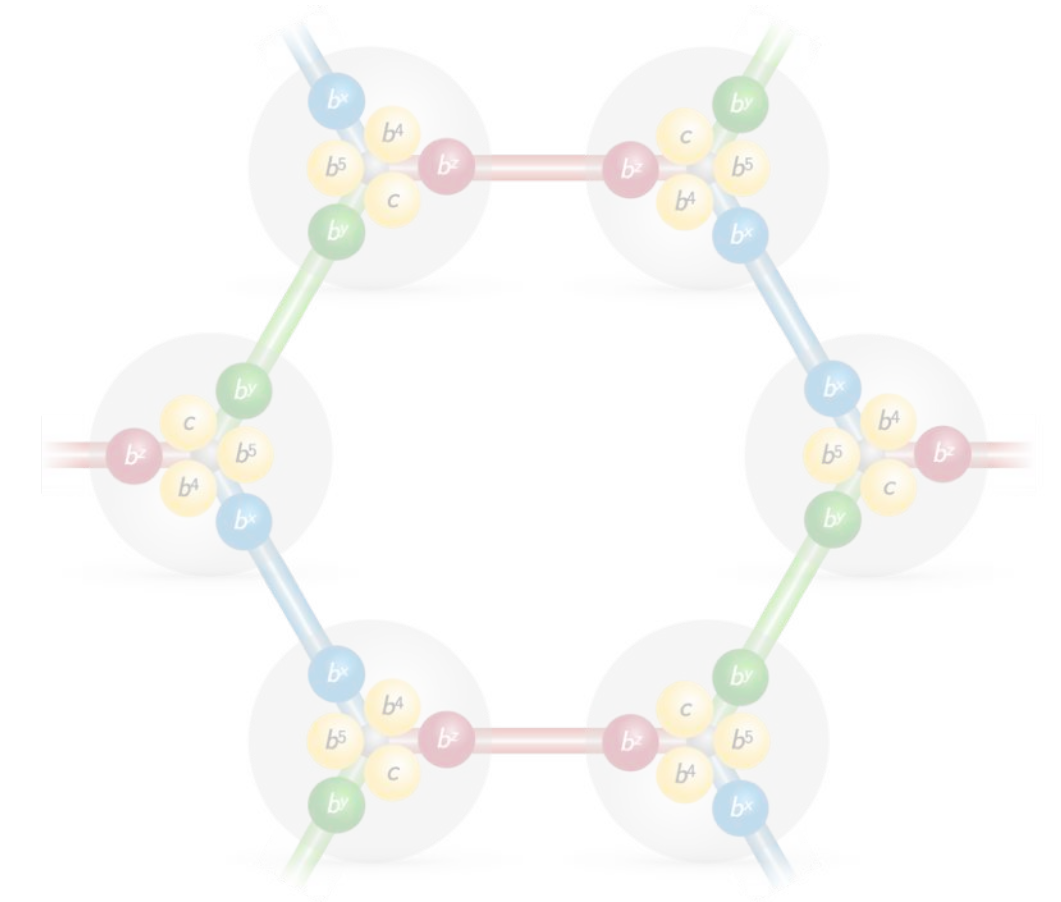


Outline

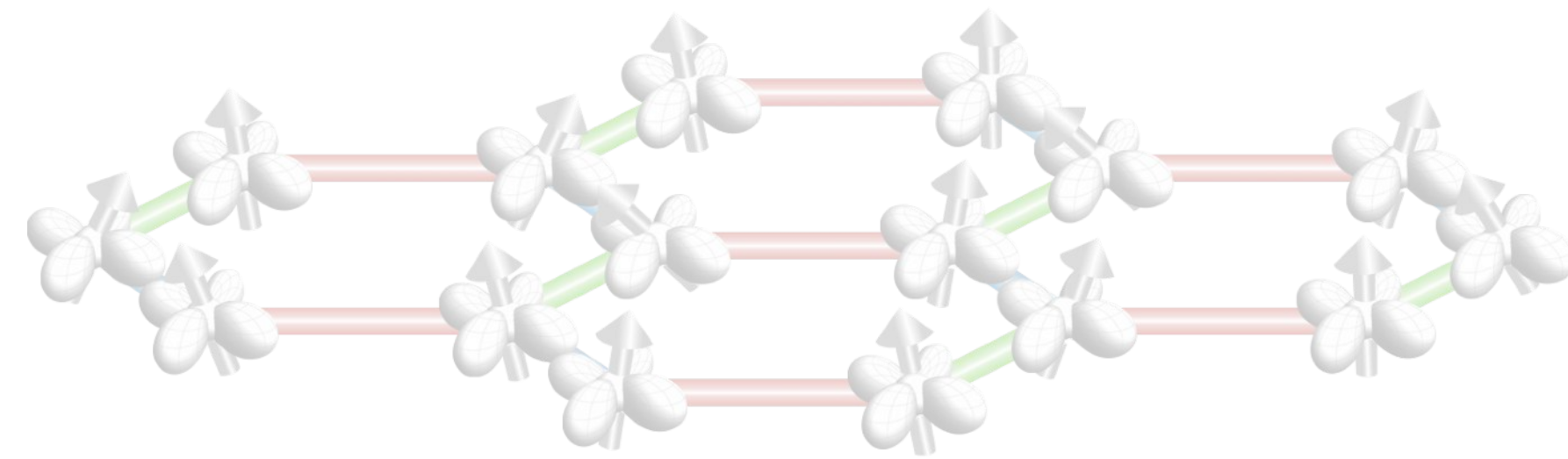
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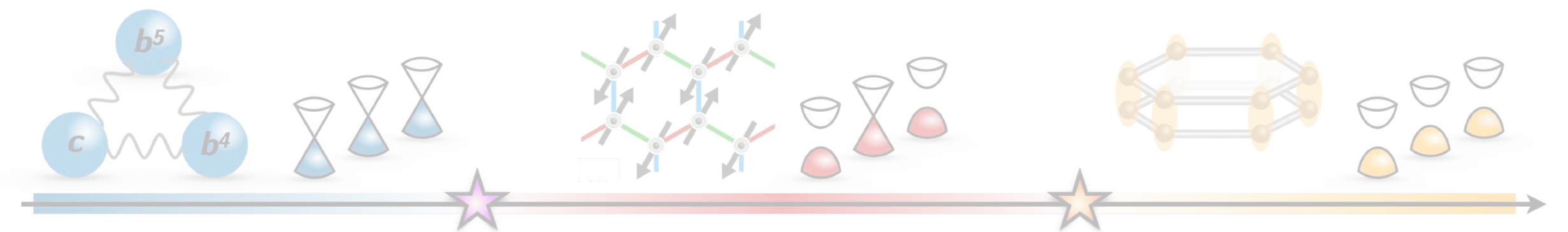
(2) Kitaev spin-1/2 model



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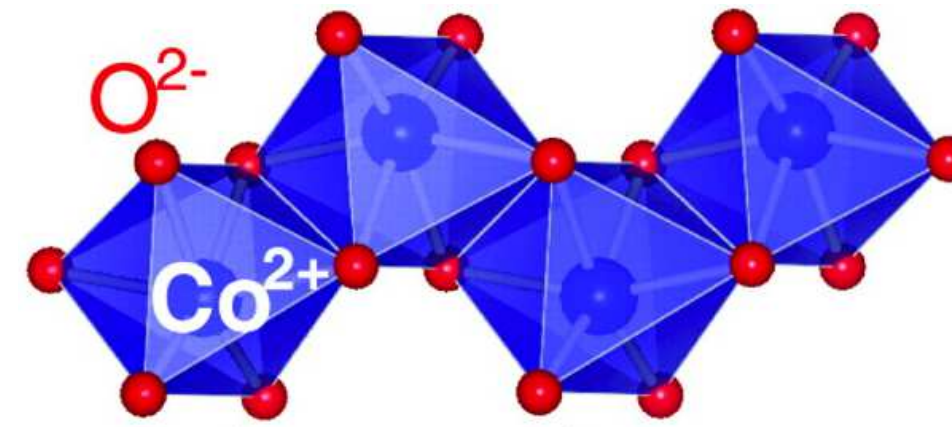
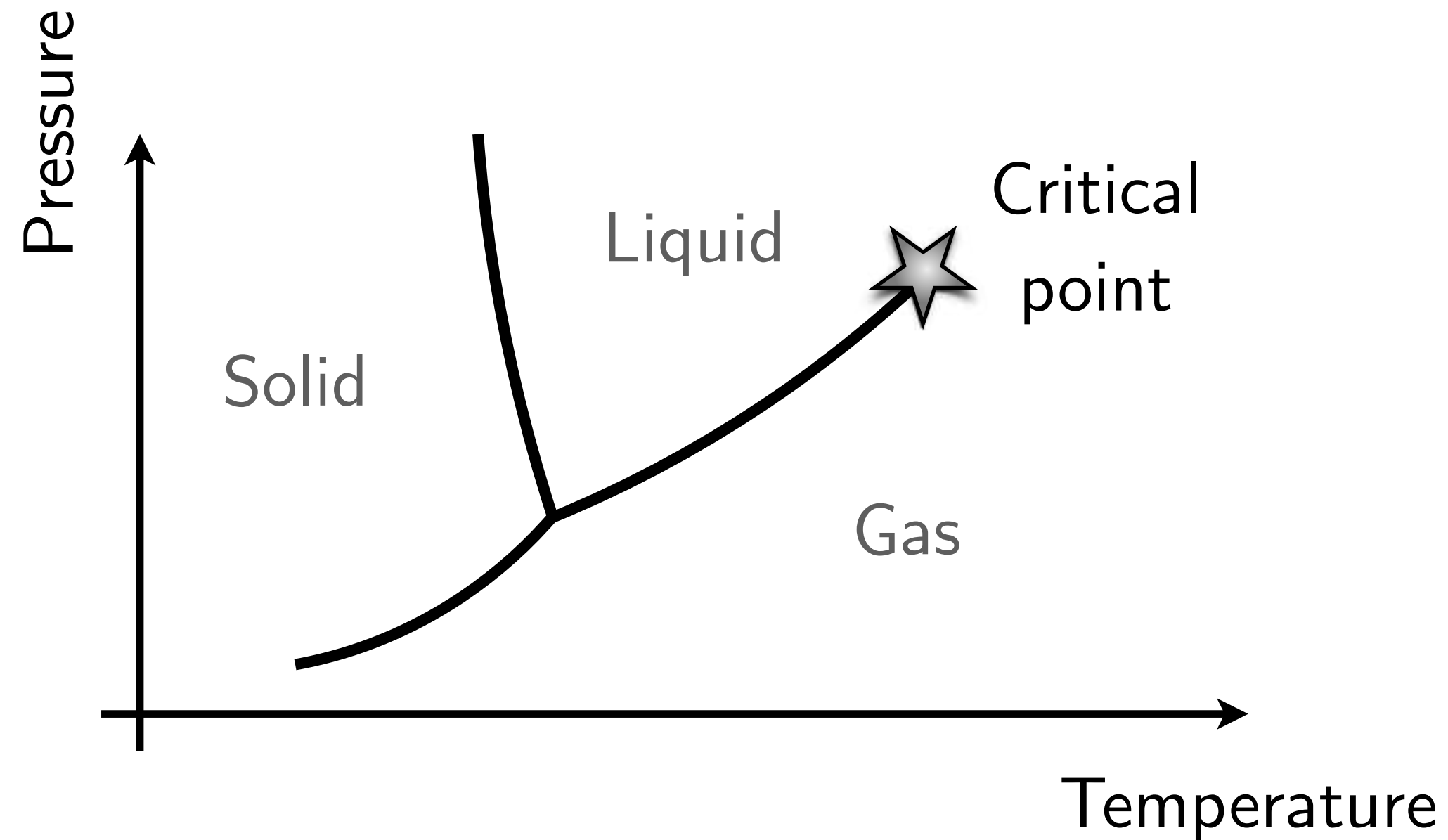
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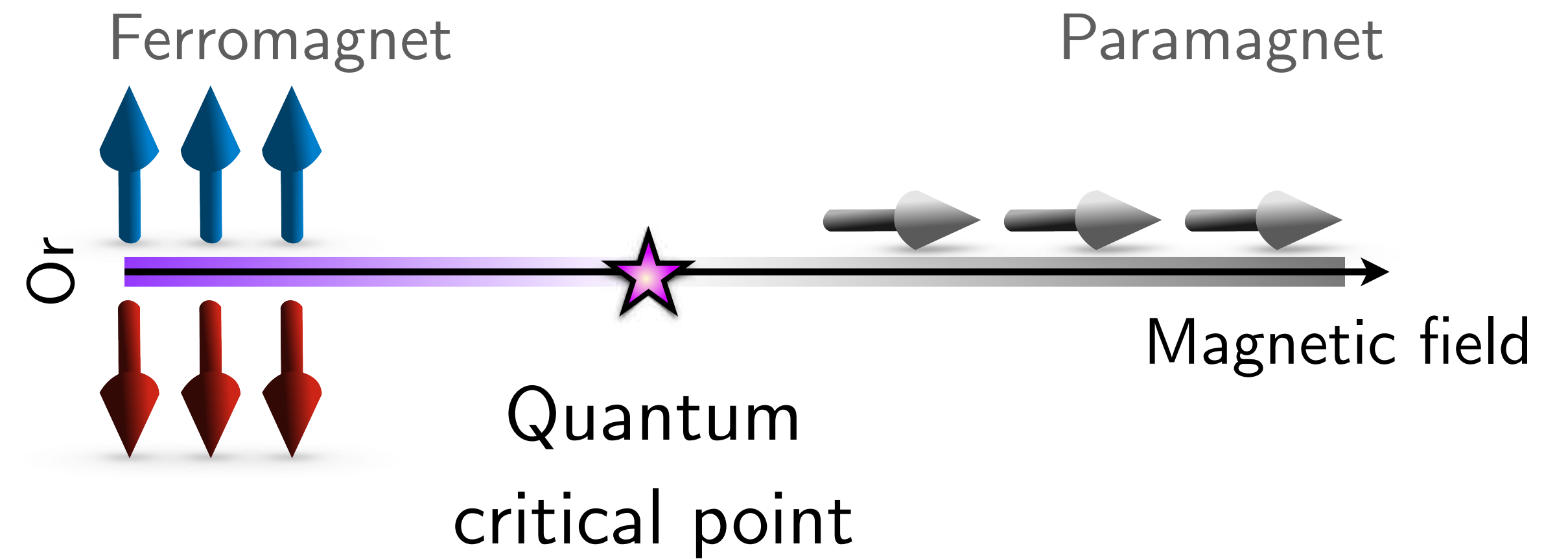
Classical vs quantum criticality



H_2O $T > 0$



CoNb_2O_6 $T \rightarrow 0$



[Coldea *et al.*, Science '10]

[Kinross *et al.*, PRX '14]

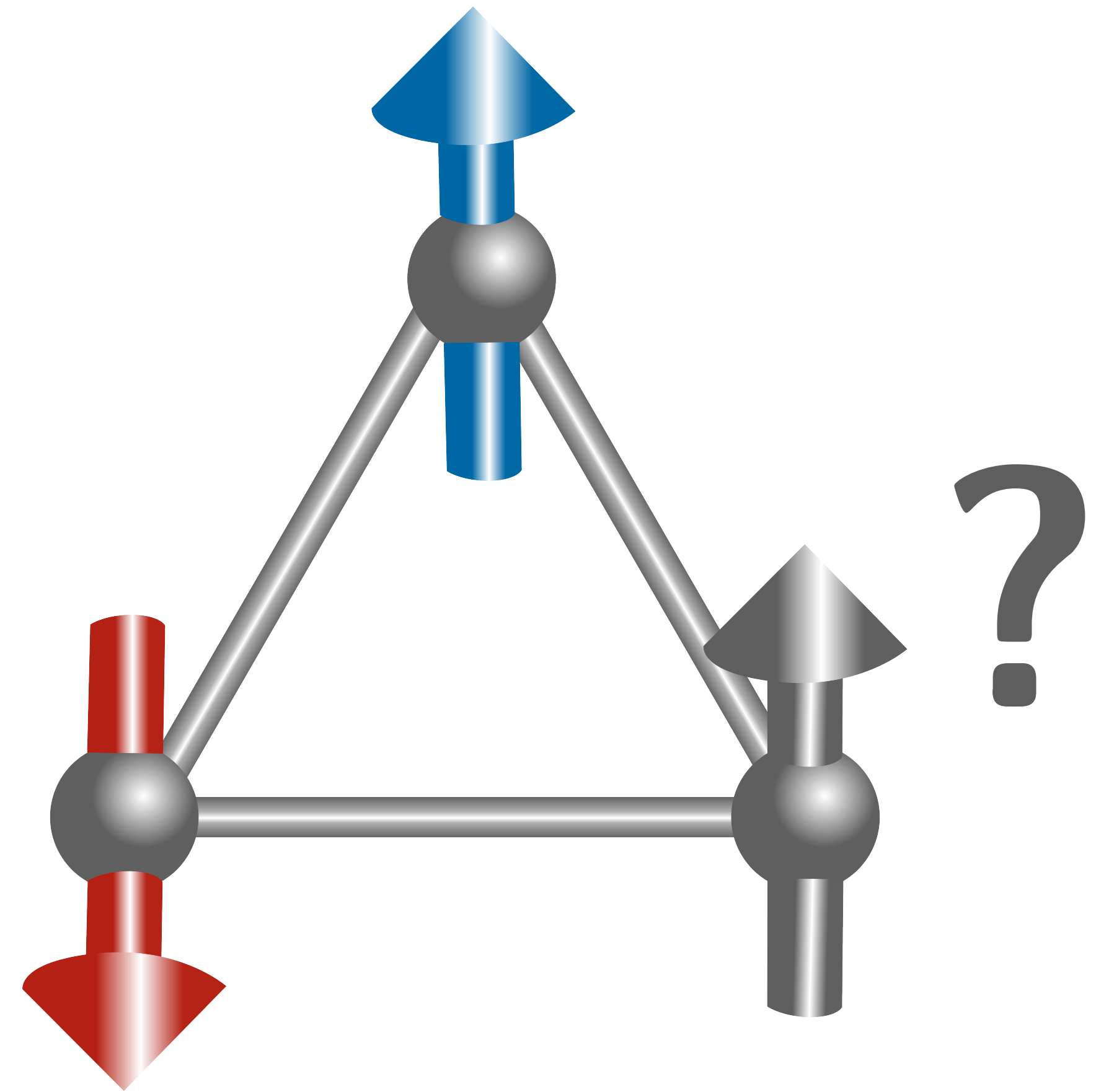
[Morris *et al.*, Kaul, Armitage, Nat. Phys. '21]

...

Magnetic frustration

Antiferromagnetic interaction:

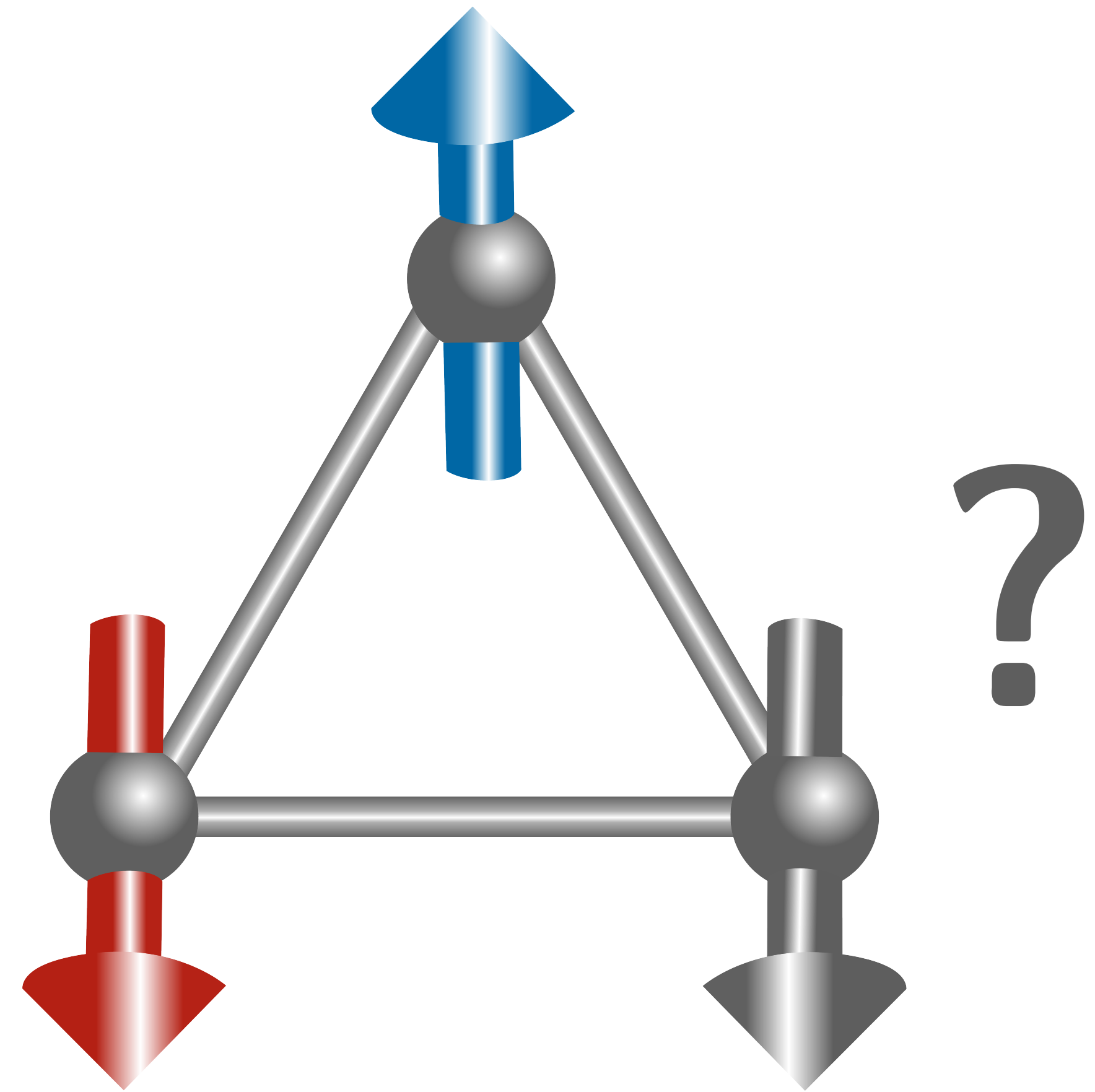
$$\mathcal{H}_{ij} = JS_i^z S_j^z \quad J > 0$$



Magnetic frustration

Antiferromagnetic interaction:

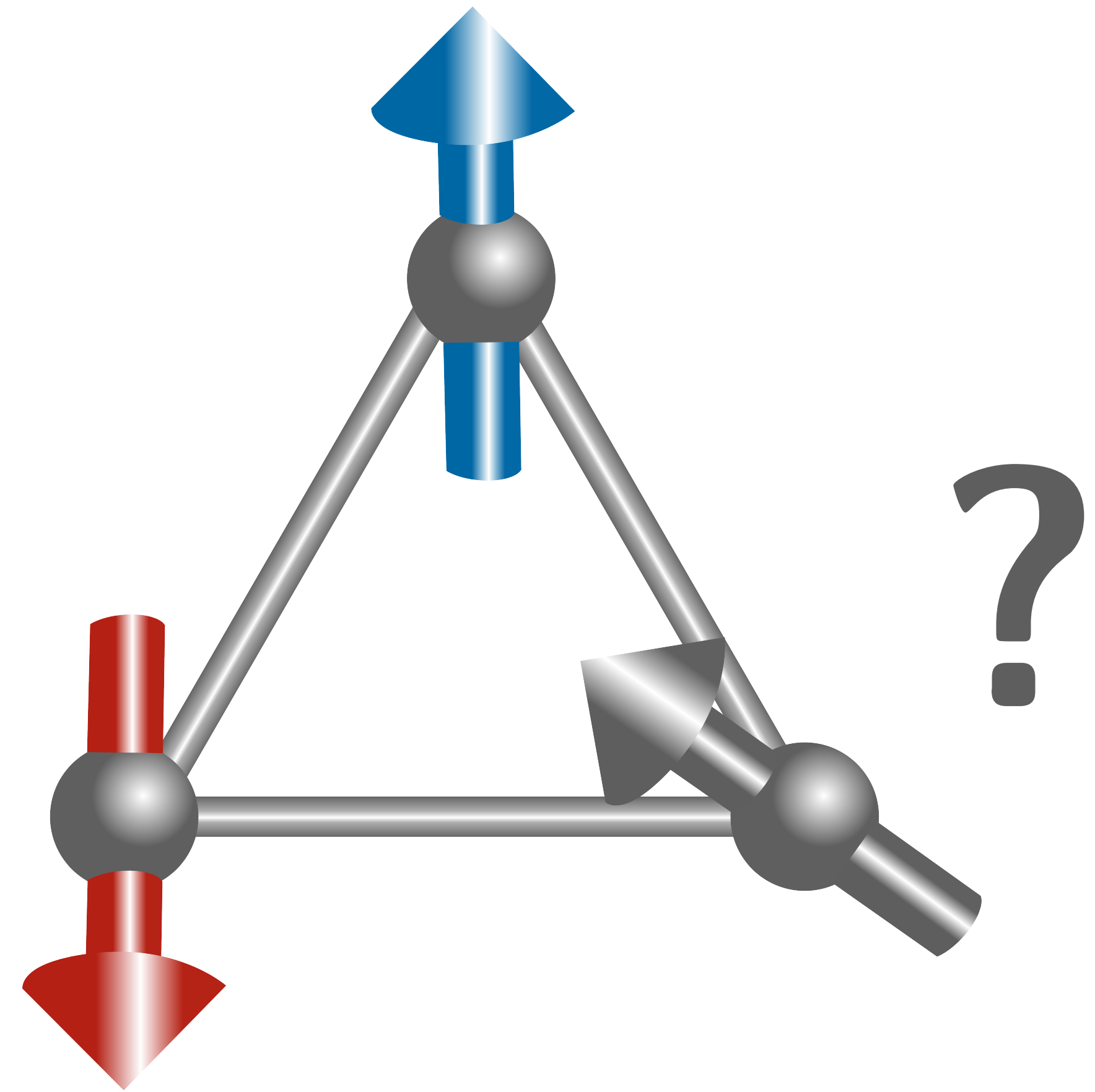
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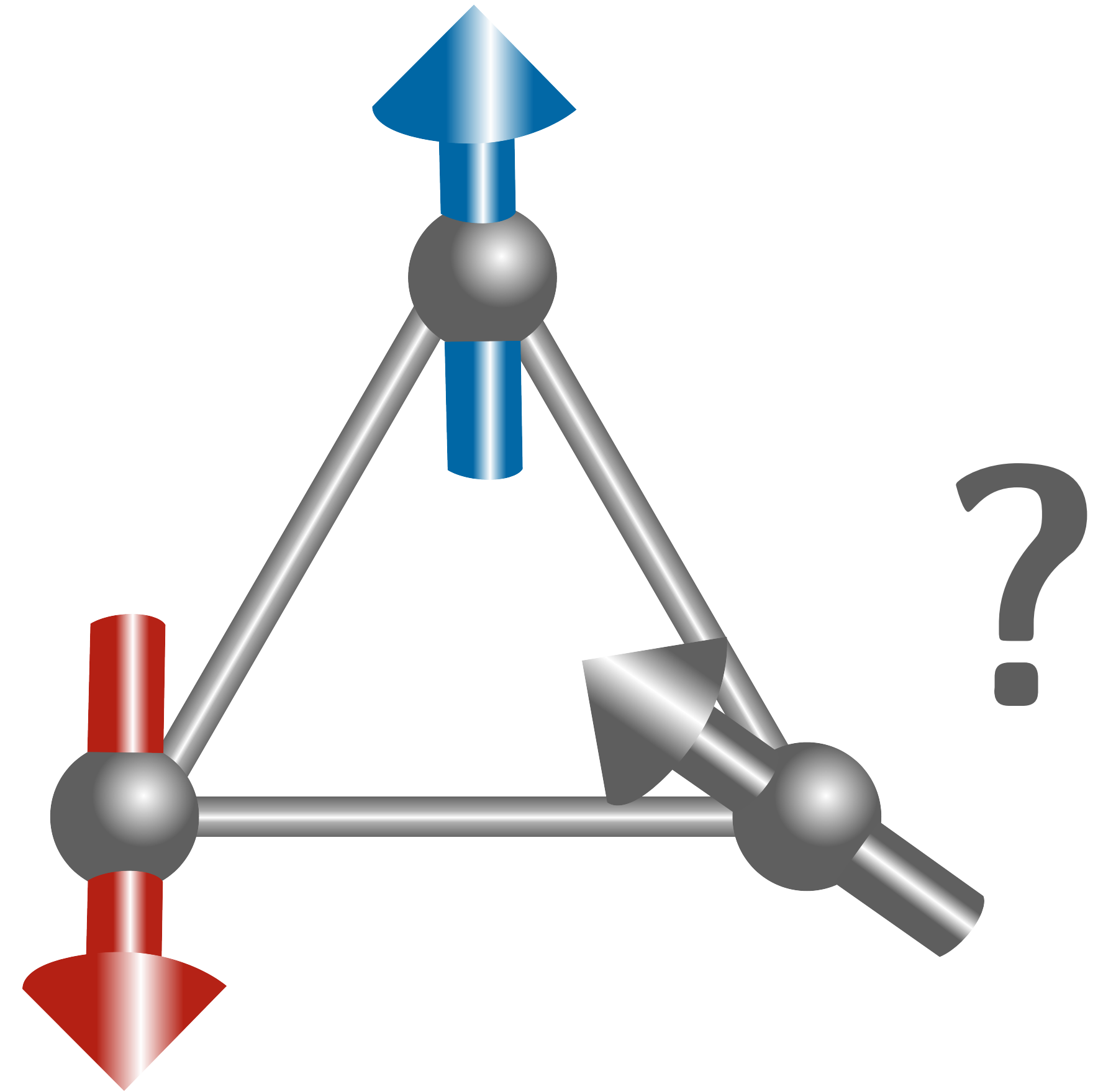
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Frustration:

Incompatible interactions



Magnetic frustration

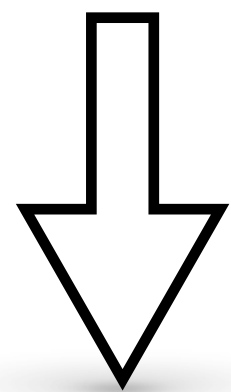
Antiferromagnetic interaction:

$$\mathcal{H}_{ij} = JS_i^z S_j^z$$

$$J > 0$$

Frustration:

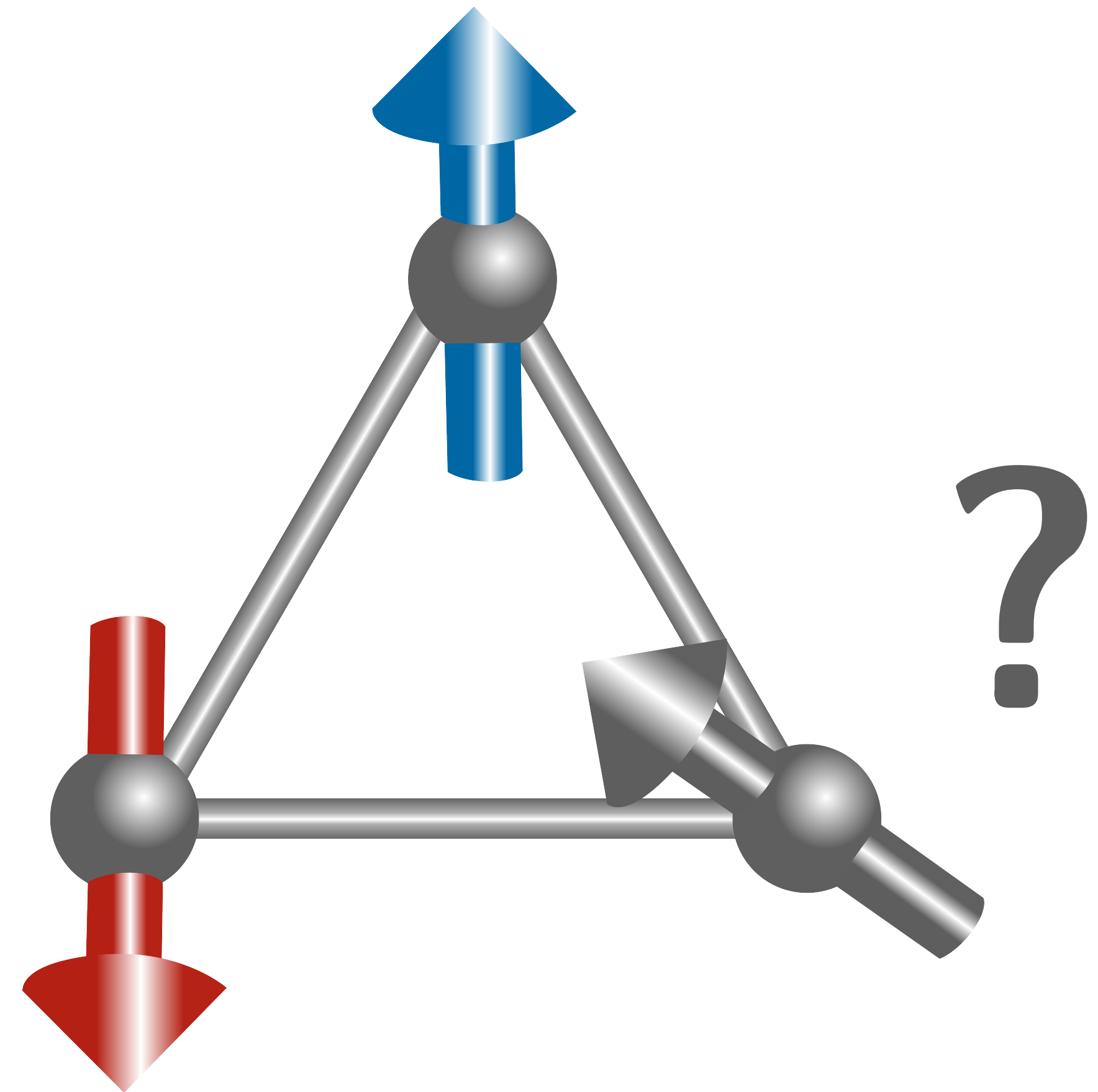
Incompatible interactions



New states of matter
with exotic excitations?

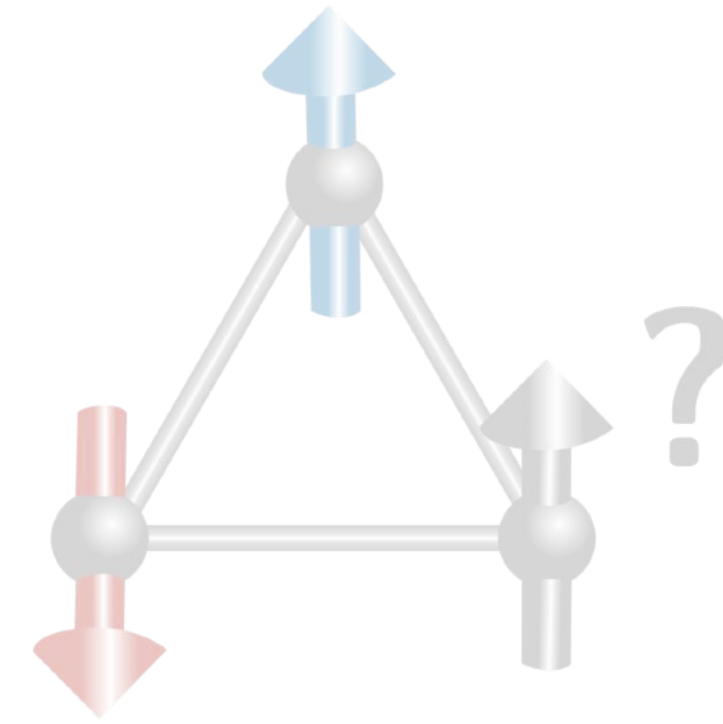


illustrationsource.com

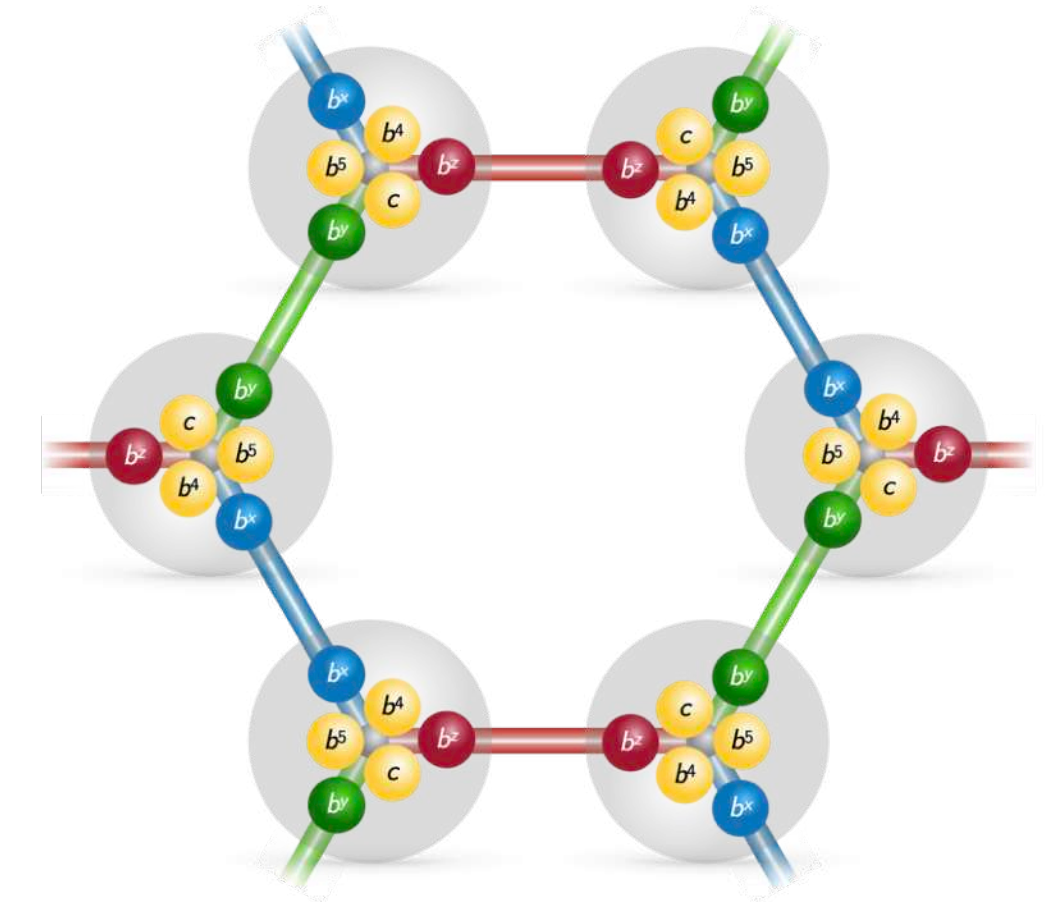


Outline

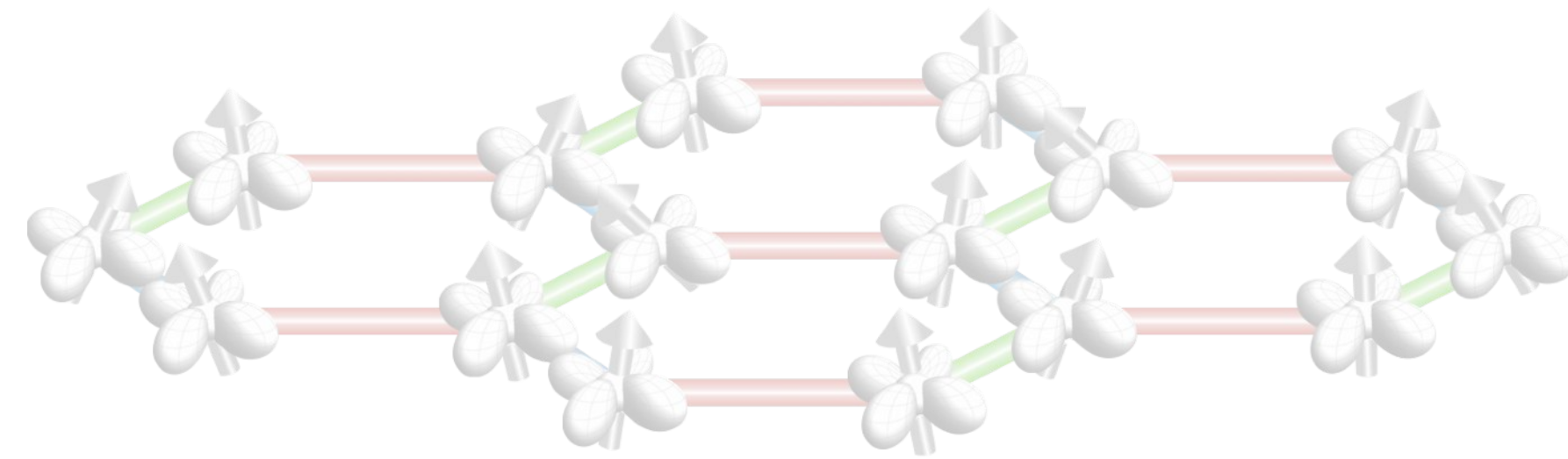
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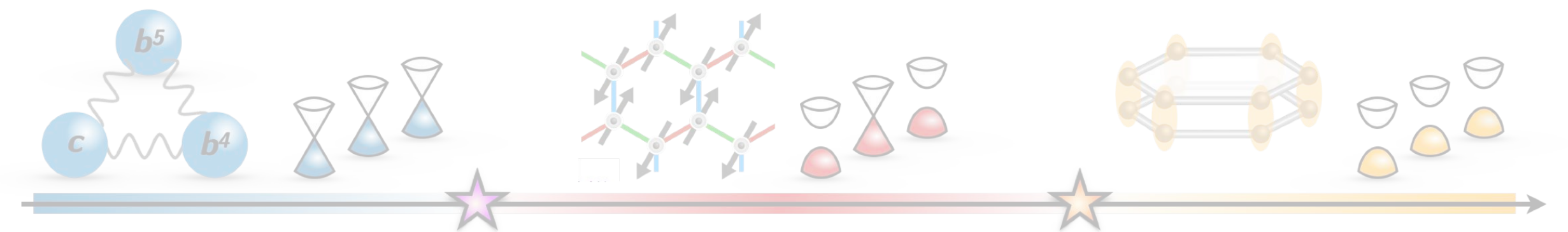
(2) Kitaev spin-1/2 model



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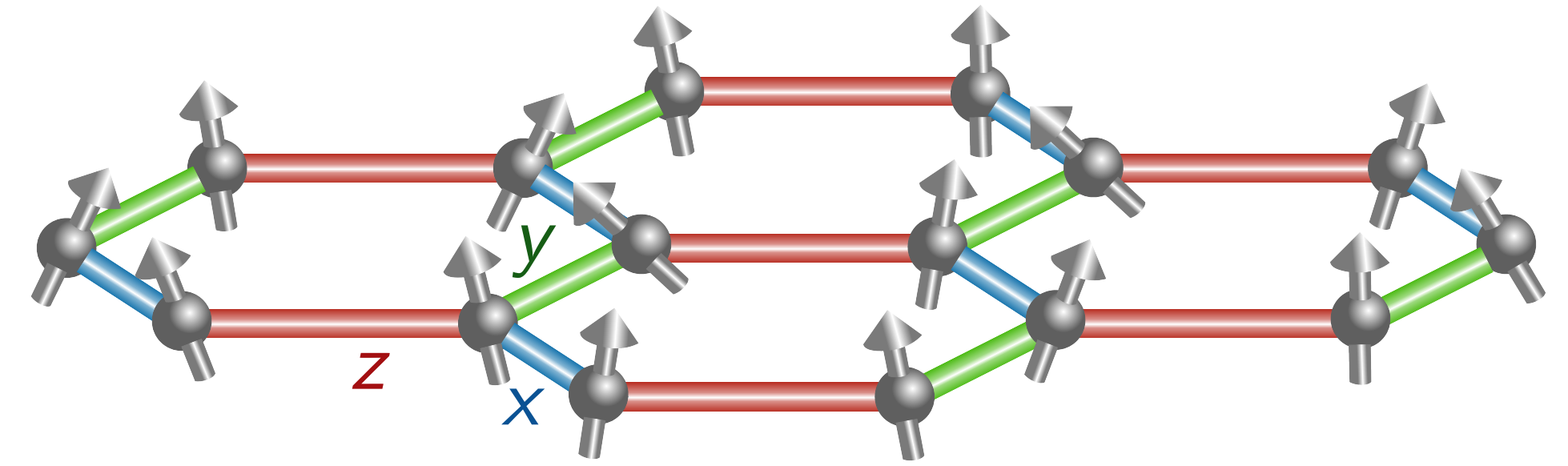
(4) Conclusions



Kitaev spin-1/2 model

Hamiltonian:

$$H = K \left(\sum_{\langle ij \rangle_x} \sigma_i^x \sigma_j^x + \sum_{\langle ij \rangle_y} \sigma_i^y \sigma_j^y + \sum_{\langle ij \rangle_z} \sigma_i^z \sigma_j^z \right)$$



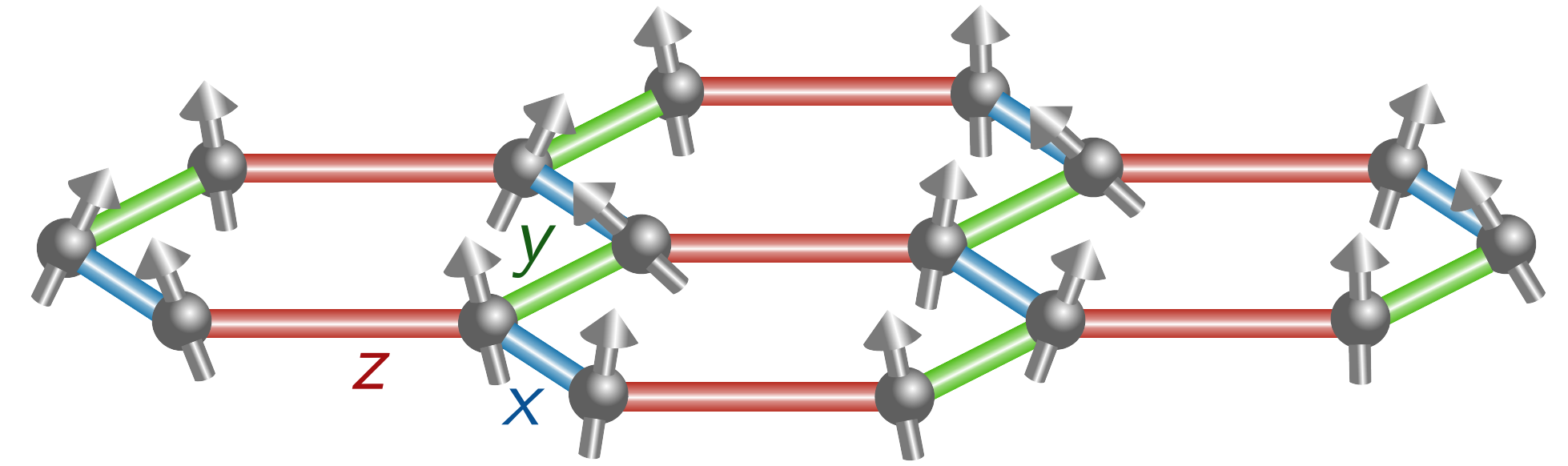
[Kitaev, Ann. Phys. '06]

... can arise in material with strong spin-orbit coupling

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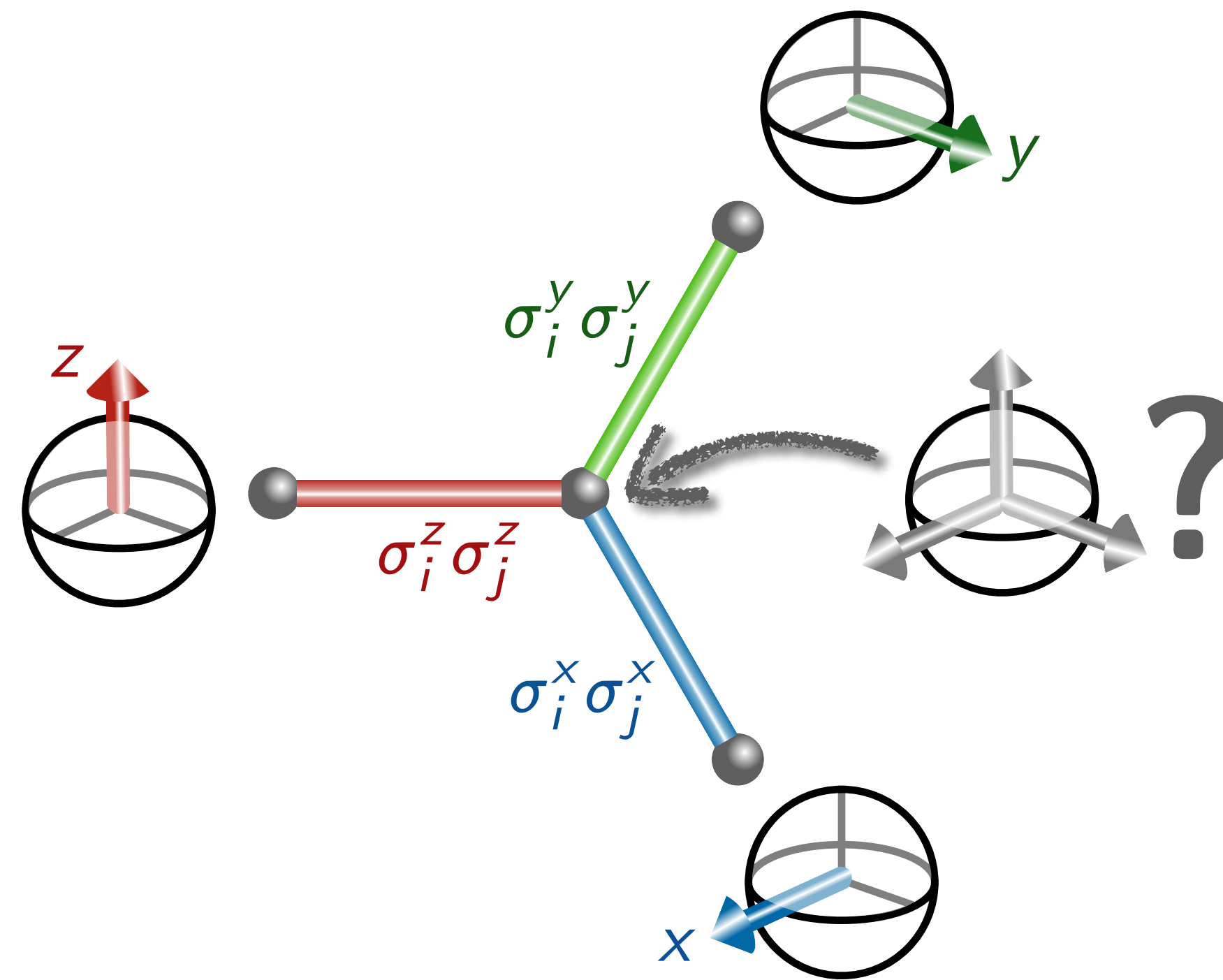
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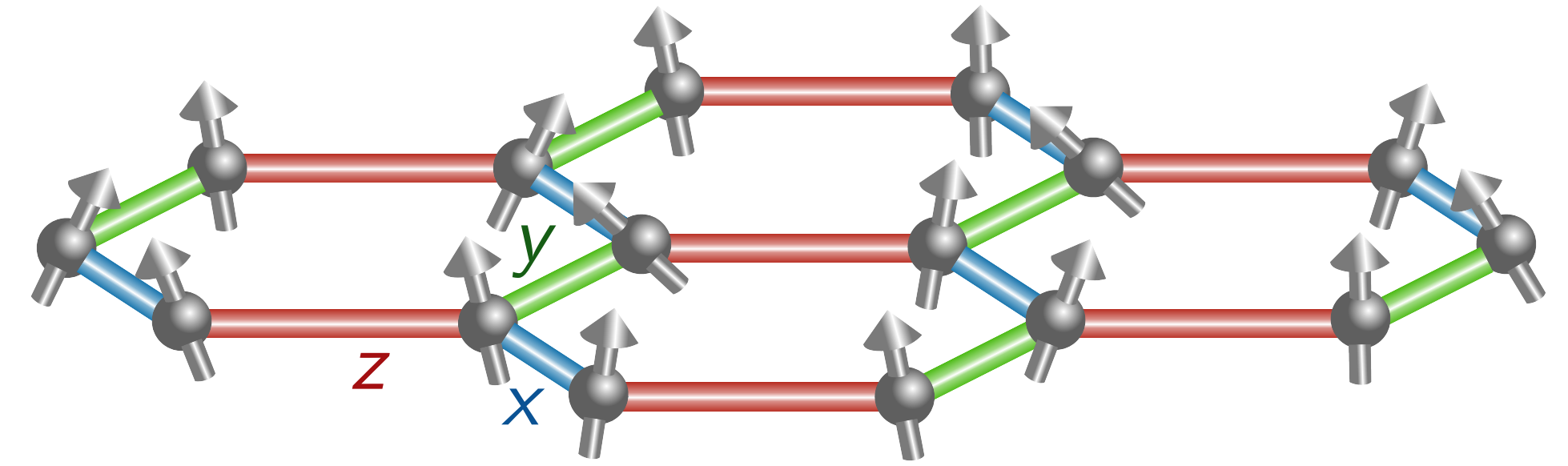
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Majorana fermions:

$$c_1 := a + a^\dagger,$$

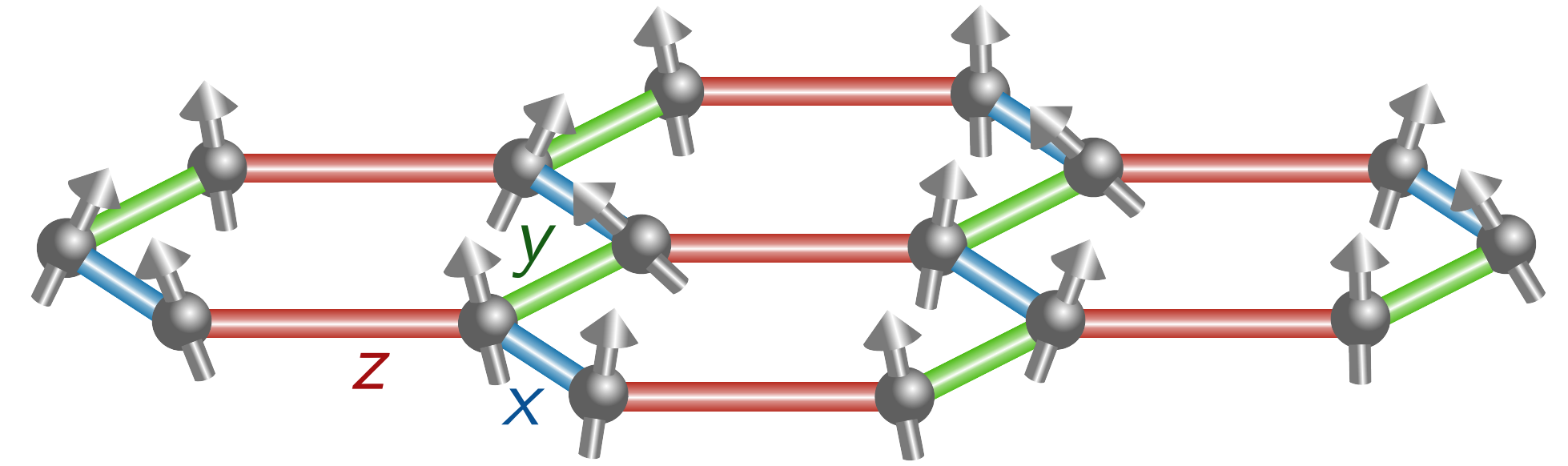
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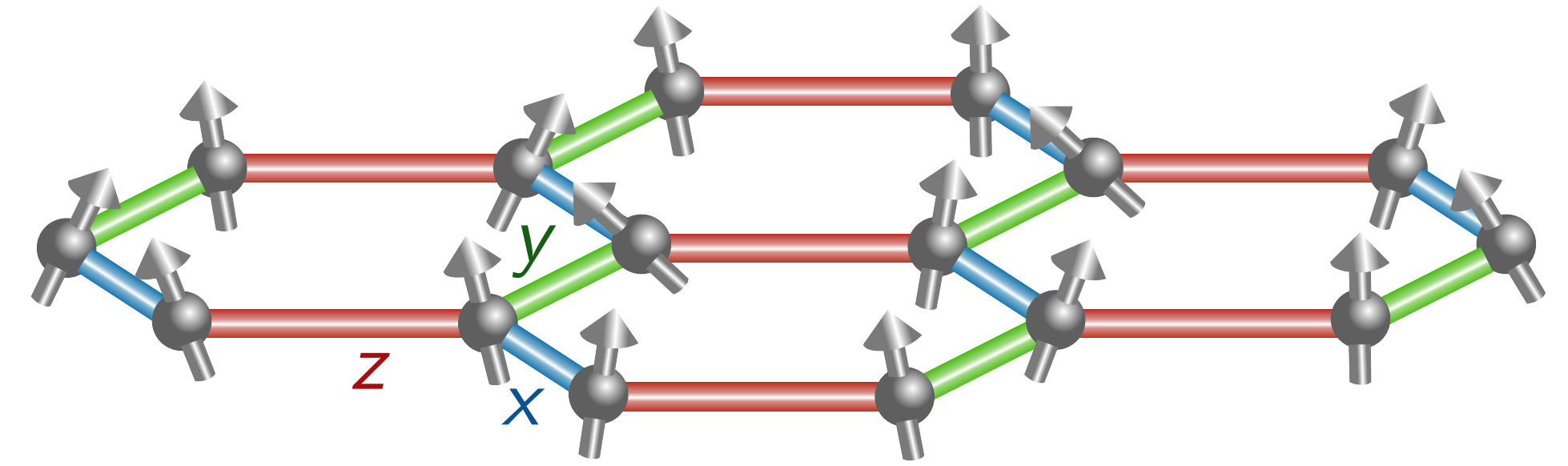
$$c_1^\dagger = c_1, \quad c_2^\dagger = c_2,$$

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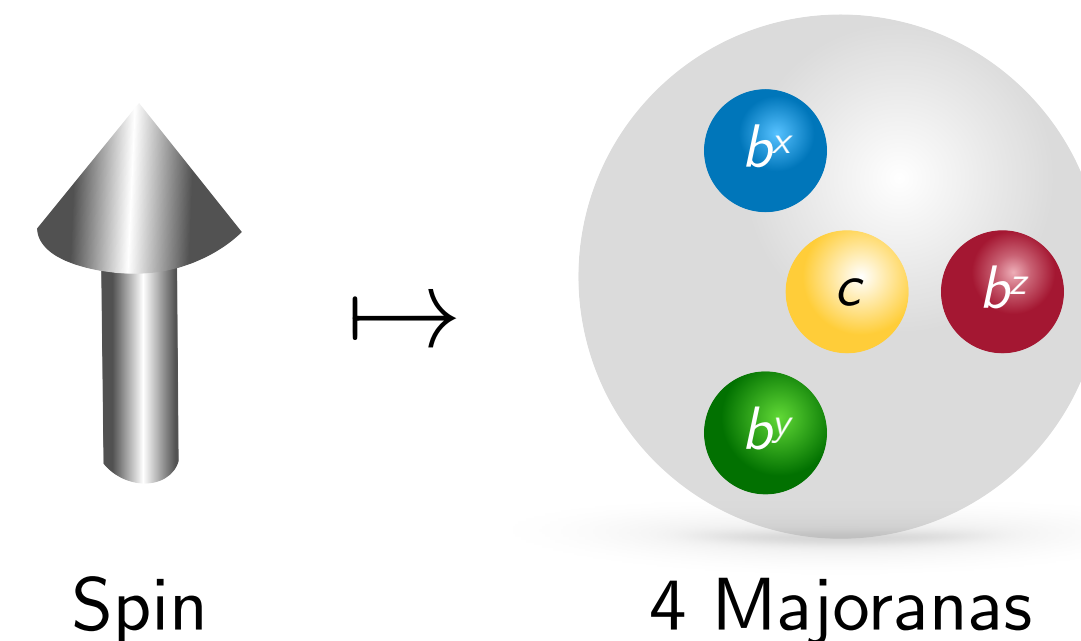
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Representation of single spin:

$$\sigma^x \mapsto \tilde{\sigma}^x = i b^x c$$

$$\sigma^y \mapsto \tilde{\sigma}^y = i b^y c$$

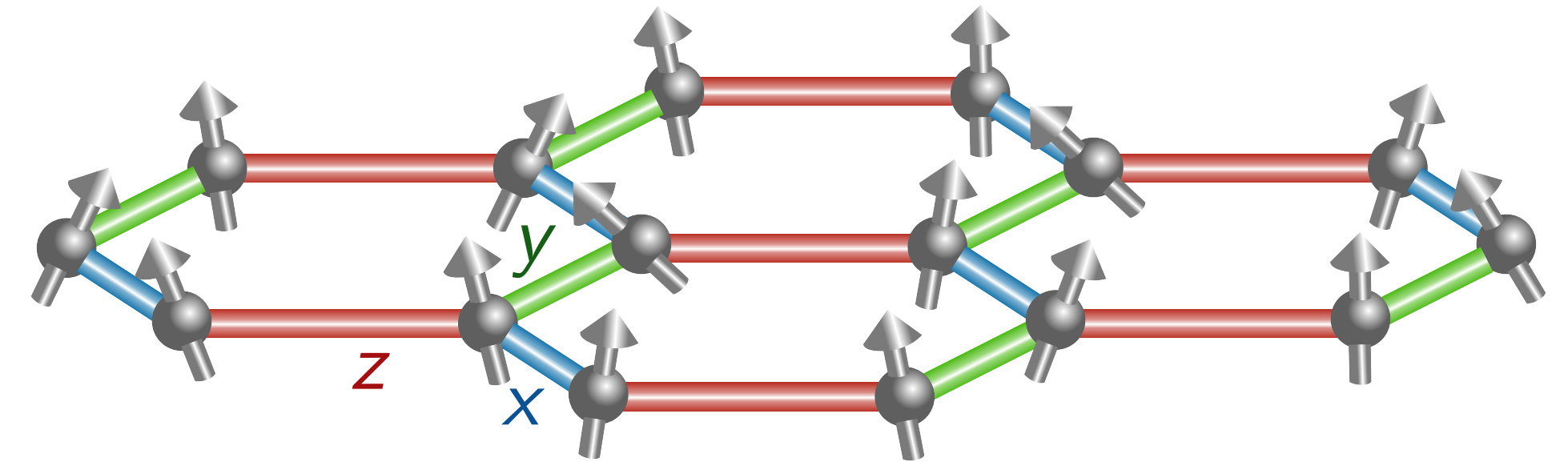
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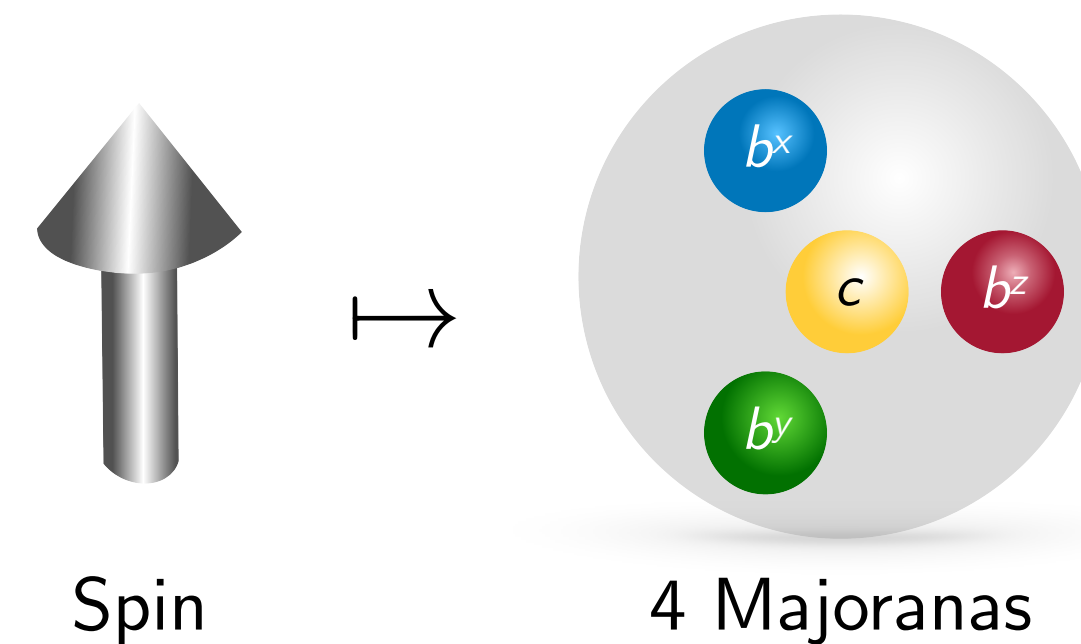
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$$\begin{aligned} \sigma^x &\mapsto \tilde{\sigma}^x = i b^x c \\ \sigma^y &\mapsto \tilde{\sigma}^y = i b^y c \\ \sigma^z &\mapsto \tilde{\sigma}^z = i b^z c \end{aligned} \quad \in \mathcal{L}(\tilde{\mathcal{H}})$$

... with $\dim \mathcal{H} = 2$ and $\dim \tilde{\mathcal{H}} = 4$

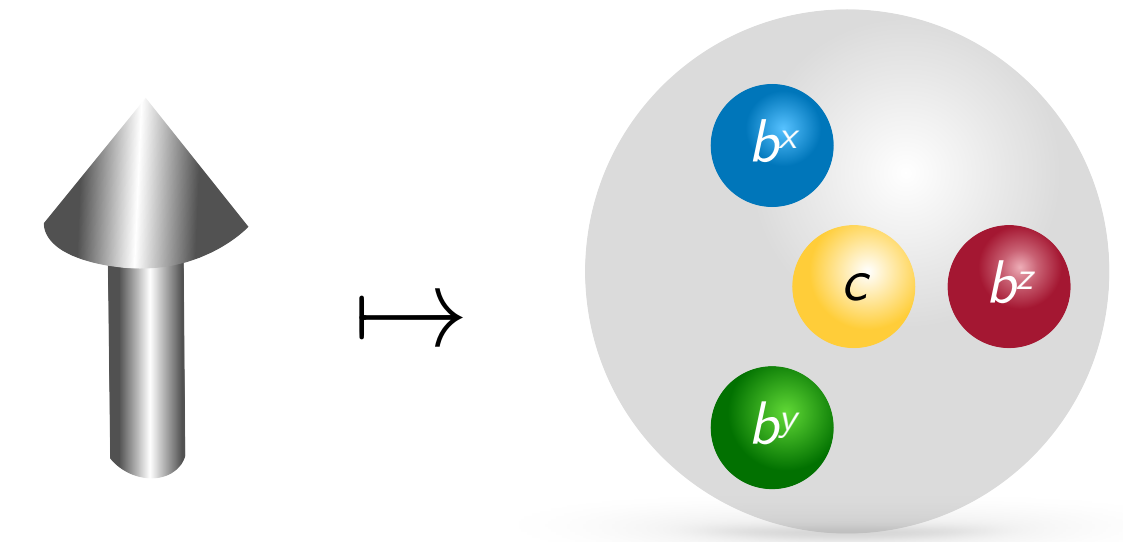


\mathbb{Z}_2 gauge constraint

Projection:

$$|\xi\rangle \in \mathcal{H} \subset \tilde{\mathcal{H}} \quad \Leftrightarrow \quad D|\xi\rangle = |\xi\rangle, \quad D = b^x b^y b^z c$$

 \mathbb{Z}_2 gauge transformation



Spin

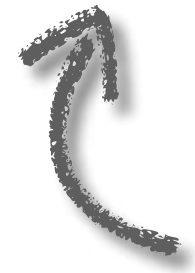
4 Majoranas
with gauge constraint

... with $D^\dagger = D$ and $D^2 = \mathbb{1}$

\mathbb{Z}_2 gauge constraint

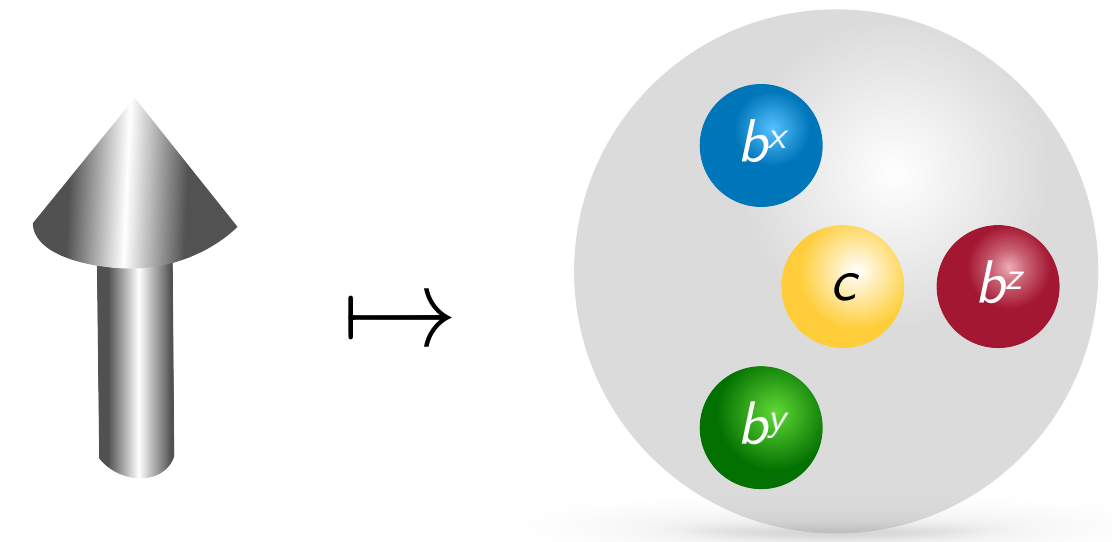
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Spin algebra:

$$[\tilde{\sigma}^\alpha, D] = 0$$



Spin

4 Majoranas
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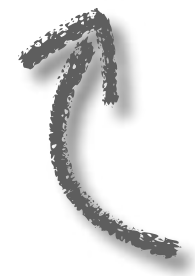
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... spin is gauge invariant

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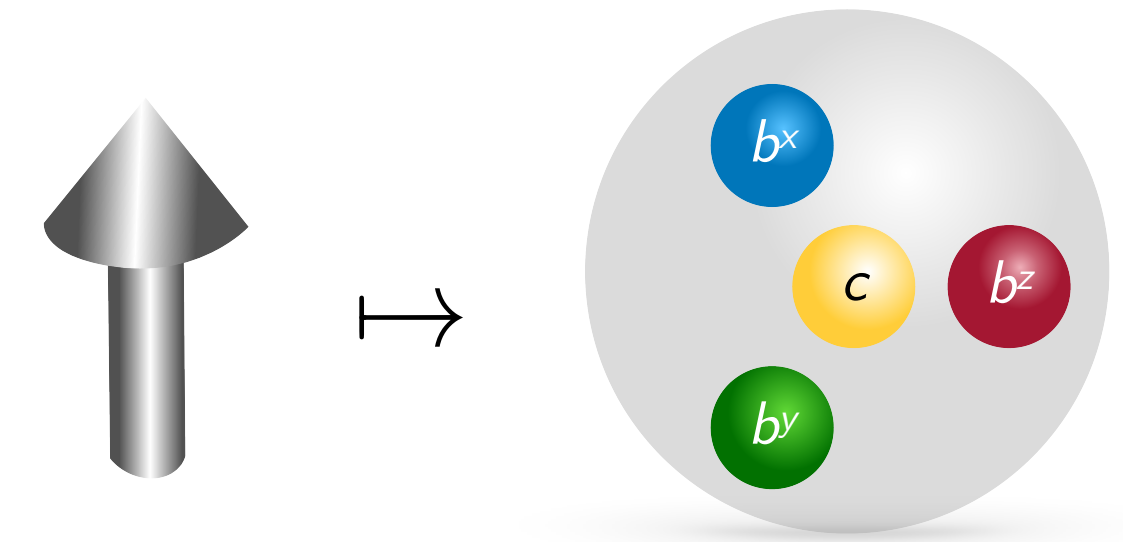
Spin algebra:

$$[\tilde{\sigma}^\alpha, D] = 0$$

$$(\tilde{\sigma}^\alpha)^\dagger = (i b^\alpha c)^\dagger = \tilde{\sigma}^\alpha, \quad \alpha = x, y, z$$

$$(\tilde{\sigma}^\alpha)^2 = i^2 b^\alpha c b^\alpha c = \mathbb{1}$$

$$\tilde{\sigma}^x \tilde{\sigma}^y \tilde{\sigma}^z = i^3 b^x c b^y c b^z c = i b^x b^y b^z c = iD$$



Spin

4 Majoranas
with gauge constraint

... with $D^\dagger = D$ and $D^2 = \mathbb{1}$

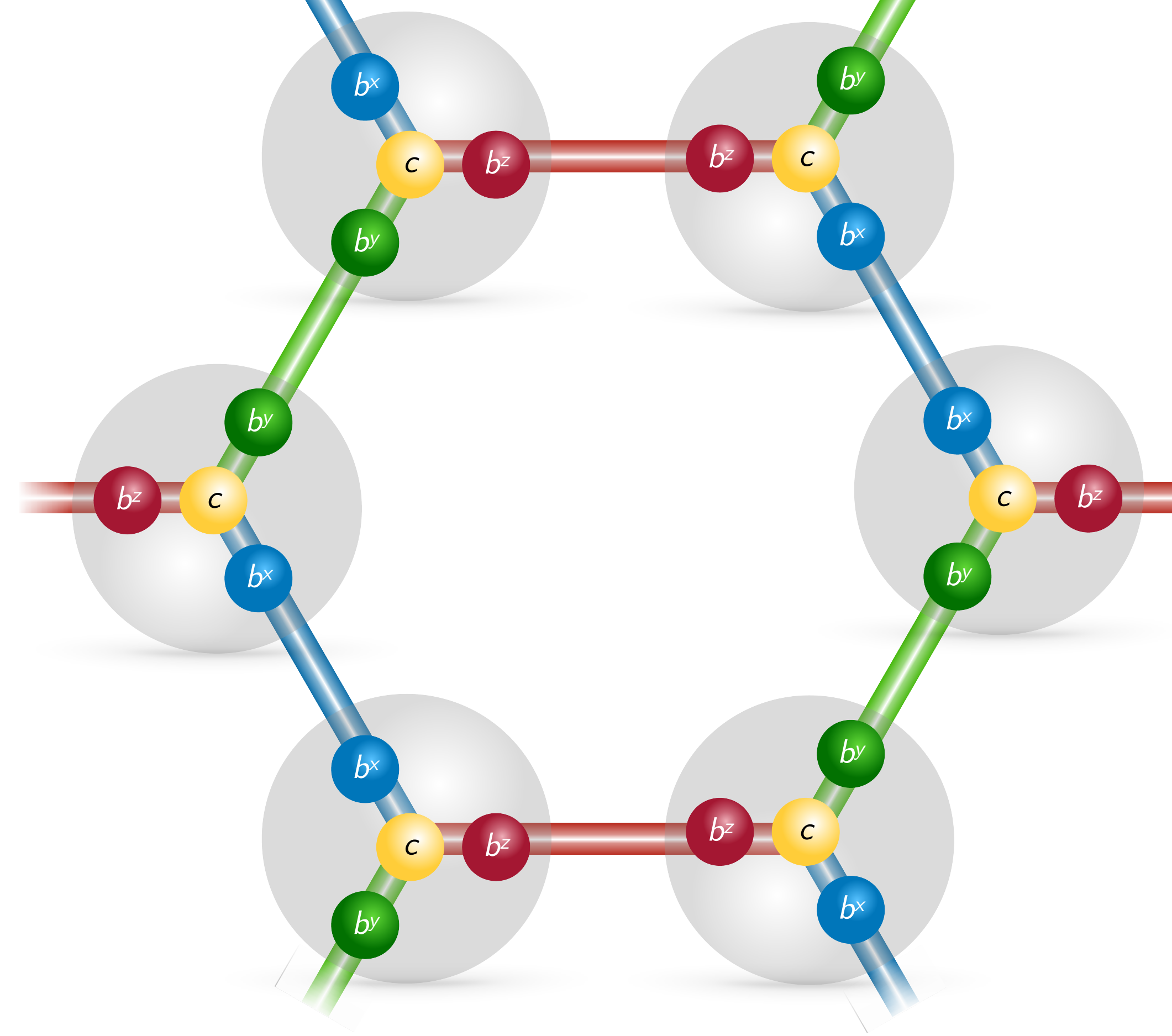
... spin is gauge invariant

... spin algebra preserved on gauge-invariant states

Gauge-theory representation

Representation of lattice model:

$$\sigma_i^\alpha \mapsto \tilde{\sigma}_i^\alpha = i b_i^\alpha c_i, \quad D_i = b_i^x b_i^y b_i^z c_i$$



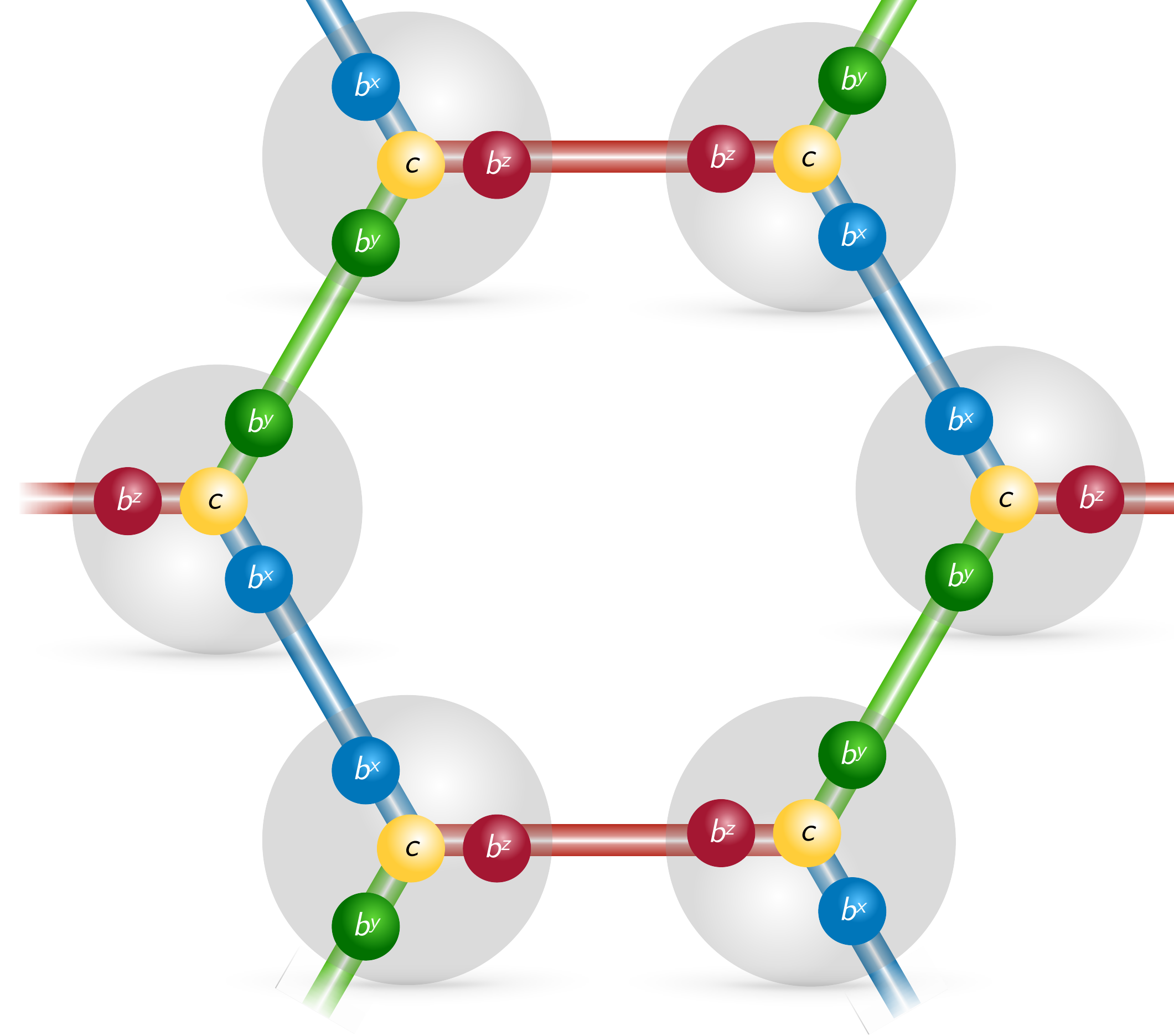
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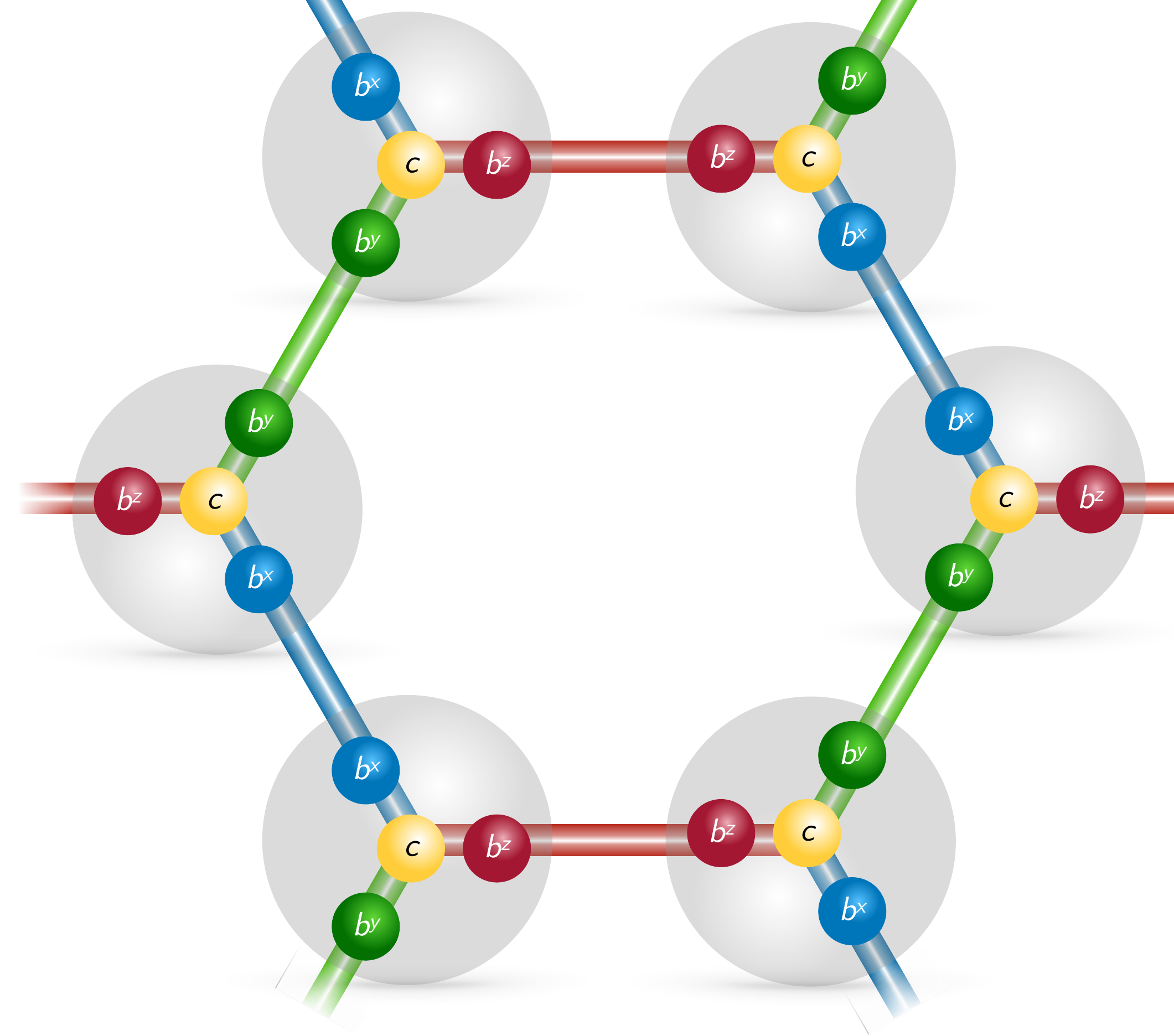
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Hamiltonian:

$$\tilde{\sigma}_i^\alpha \tilde{\sigma}_j^\alpha = (i b_i^\alpha c_i)(i b_j^\alpha c_j) = -i \underbrace{(i b_i^\alpha b_j^\alpha)}_{=: \hat{u}_{ij} = \hat{u}_{ij}^\dagger} c_i c_j$$



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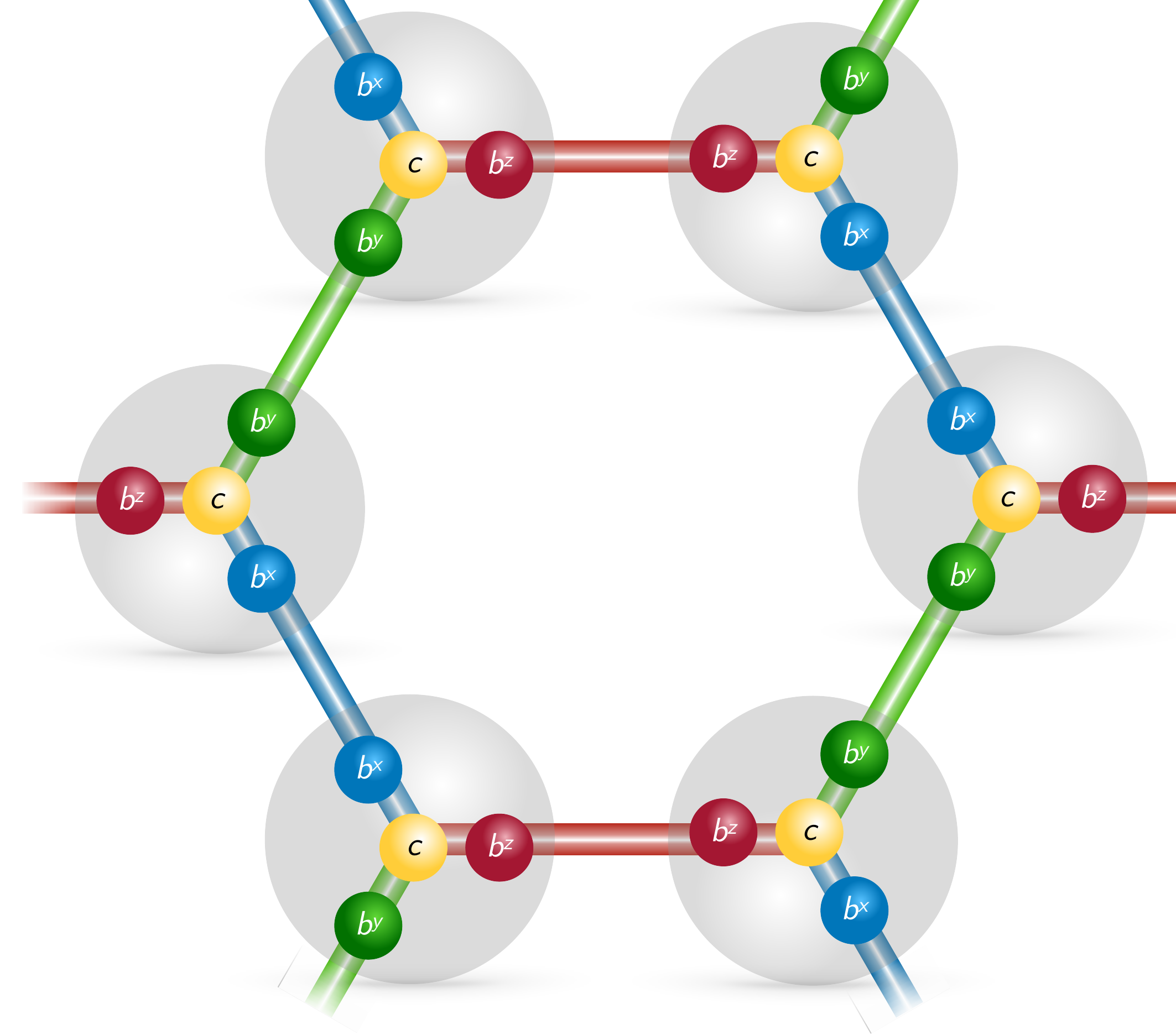
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$$\tilde{H} = -iK \sum_{\langle ij \rangle_\alpha} \hat{u}_{ij} c_i c_j$$



“ \mathbb{Z}_2 gauge theory with Majorana fermions”

Static gauge field

Hamiltonian:

$$\tilde{H} = -iK \sum_{\langle ij \rangle_\alpha} \hat{u}_{ij} c_i c_j$$

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$$u_{ij} = \pm 1 \quad \text{eigenvalues}$$

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$$[\hat{u}_{ij}, \hat{H}] = iK \sum_{\langle i'j' \rangle_\alpha} [\hat{u}_{ij}, \hat{u}_{i'j'} c_{i'} c_{j'}] = 0$$

... static gauge field, u_{jk} good quantum numbers

Majorana spectrum

Simultaneous diagonalization:

$$\tilde{H}_u = iK \sum_{\langle ij \rangle_\alpha} u_{ij} c_i c_j \quad \text{with } u_{ij} = \pm 1$$

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Lieb theorem (ground state):

$$u_{ij} = +1 \quad \forall(i, j) \quad \Rightarrow \quad \text{translation invariance}$$

[Lieb, PRL '94]

... up to gauge redundancy

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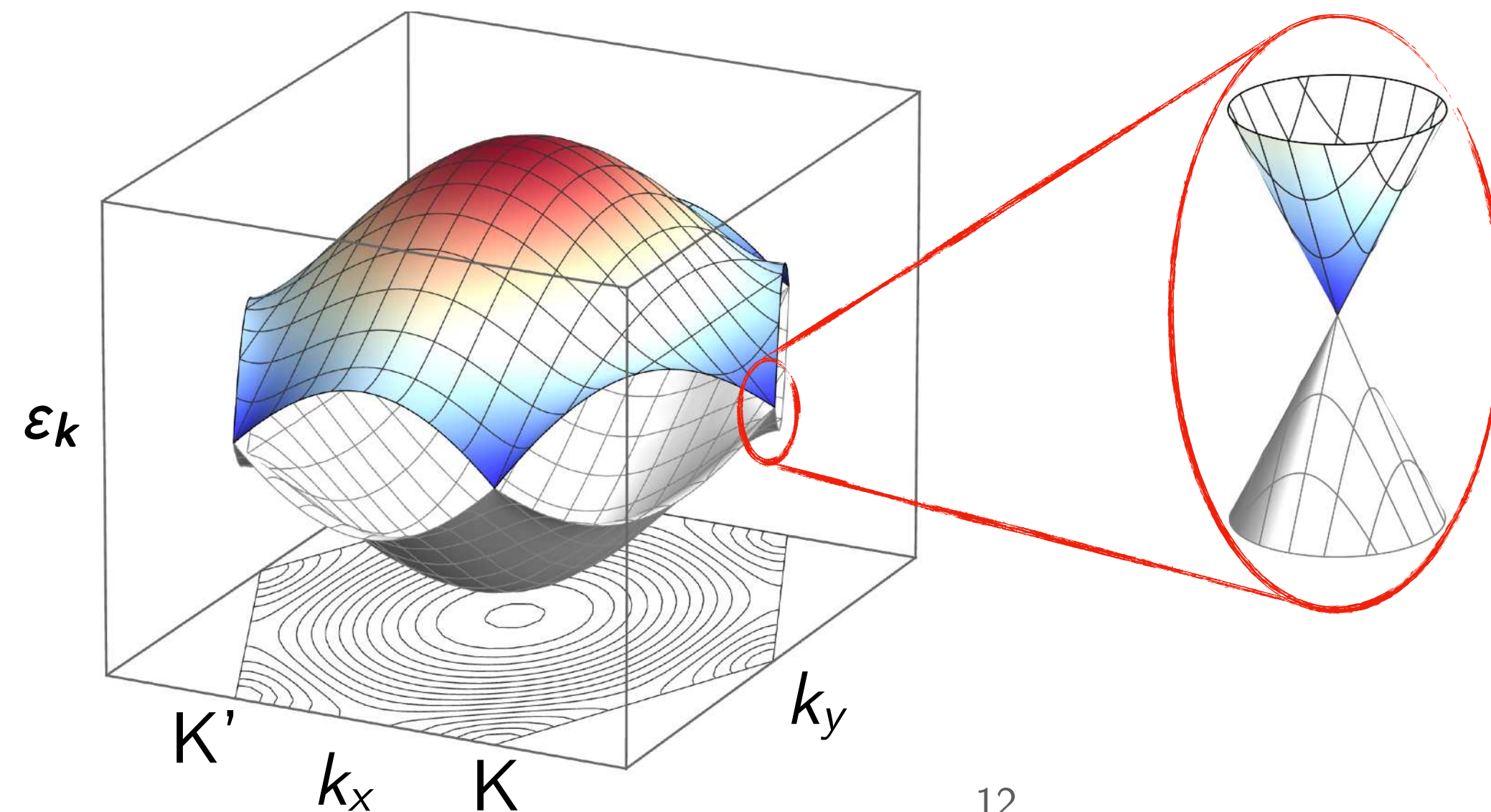
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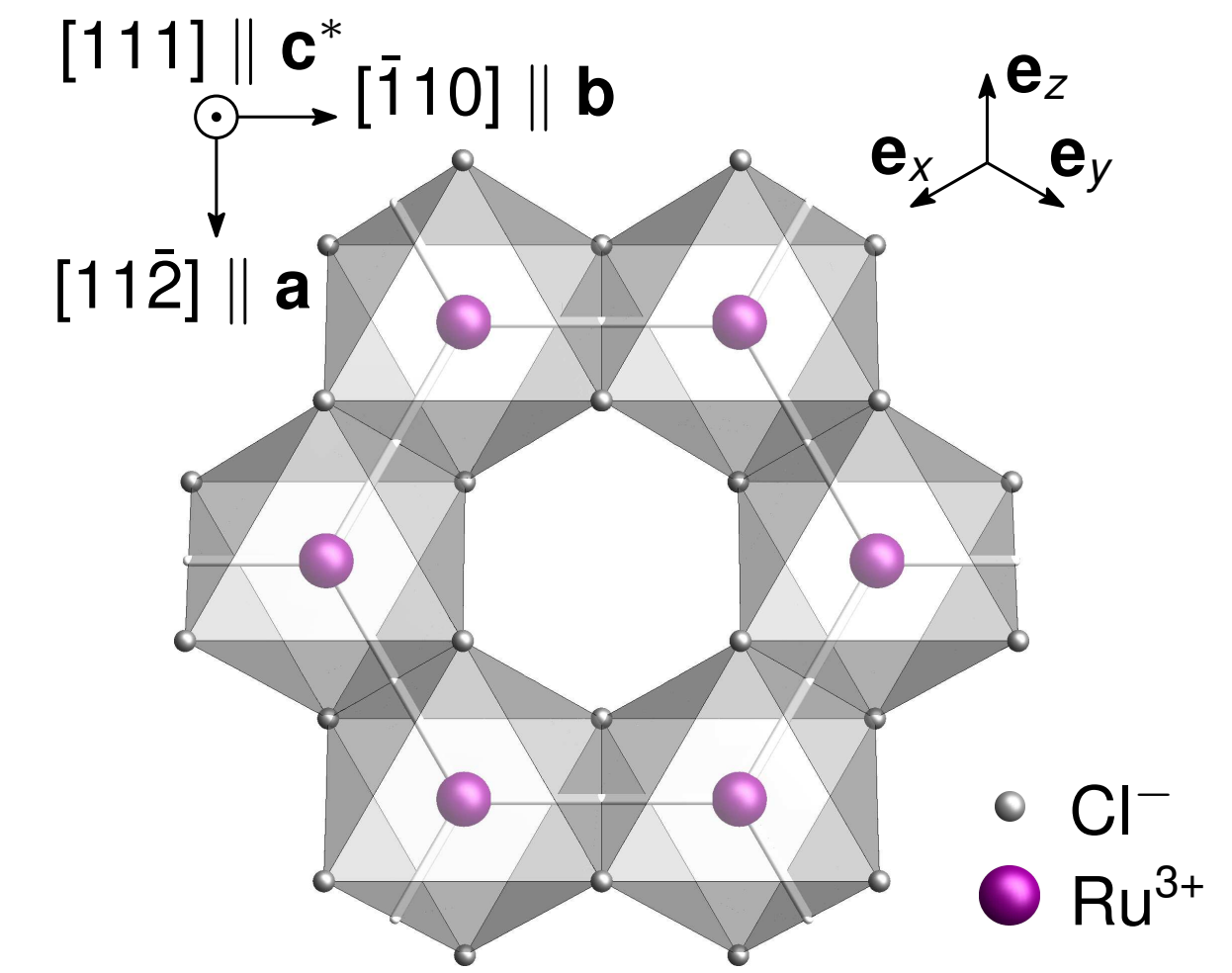


“1/2” of graphene!

Kitaev-Heisenberg spin-1/2 model

Hamiltonian:

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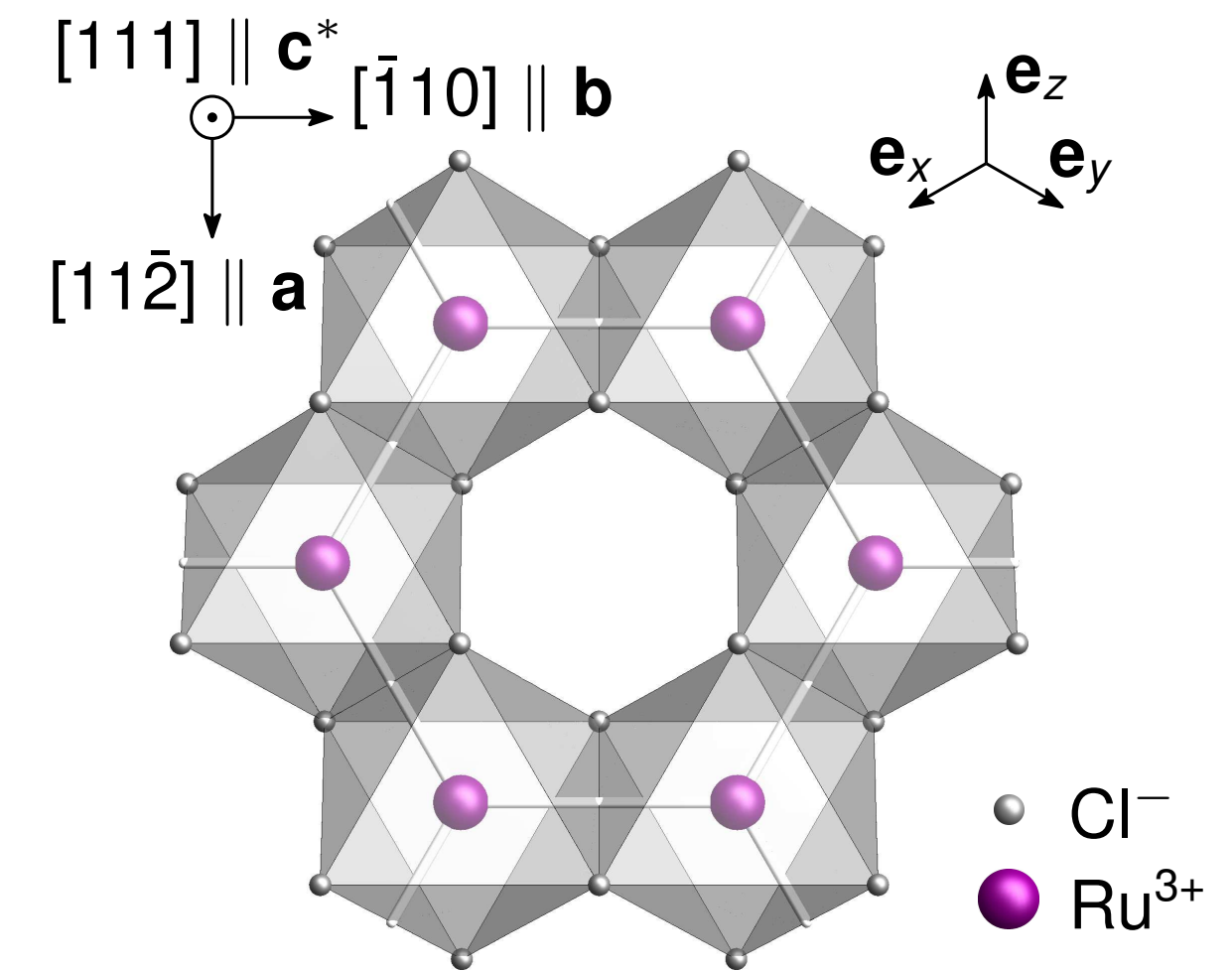
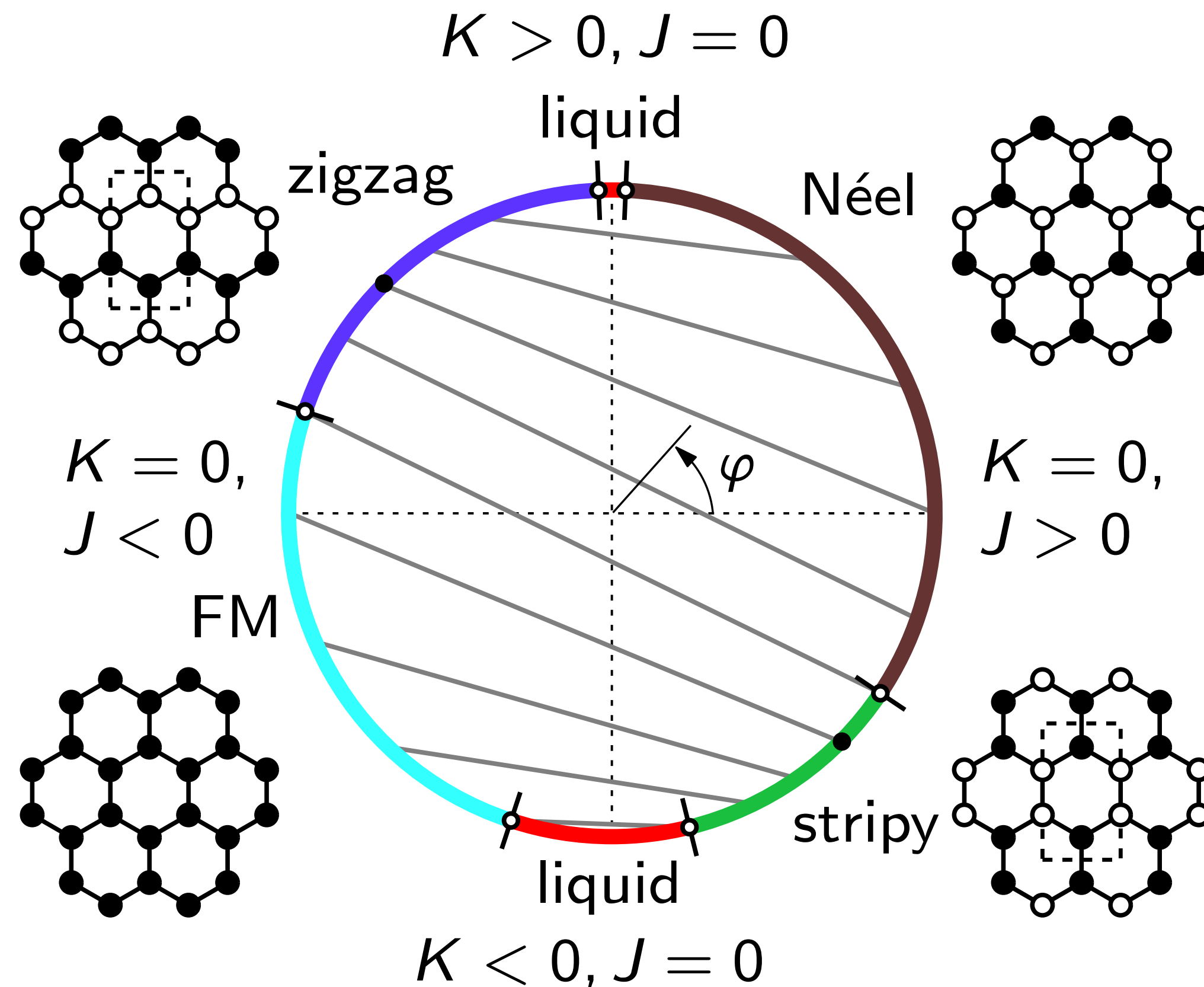
... possible relevance to α -RuCl₃, Na₂IrO₃, Na₂Co₂TeO₆, ...

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Phase diagram:



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$$J = A \cos \varphi$$

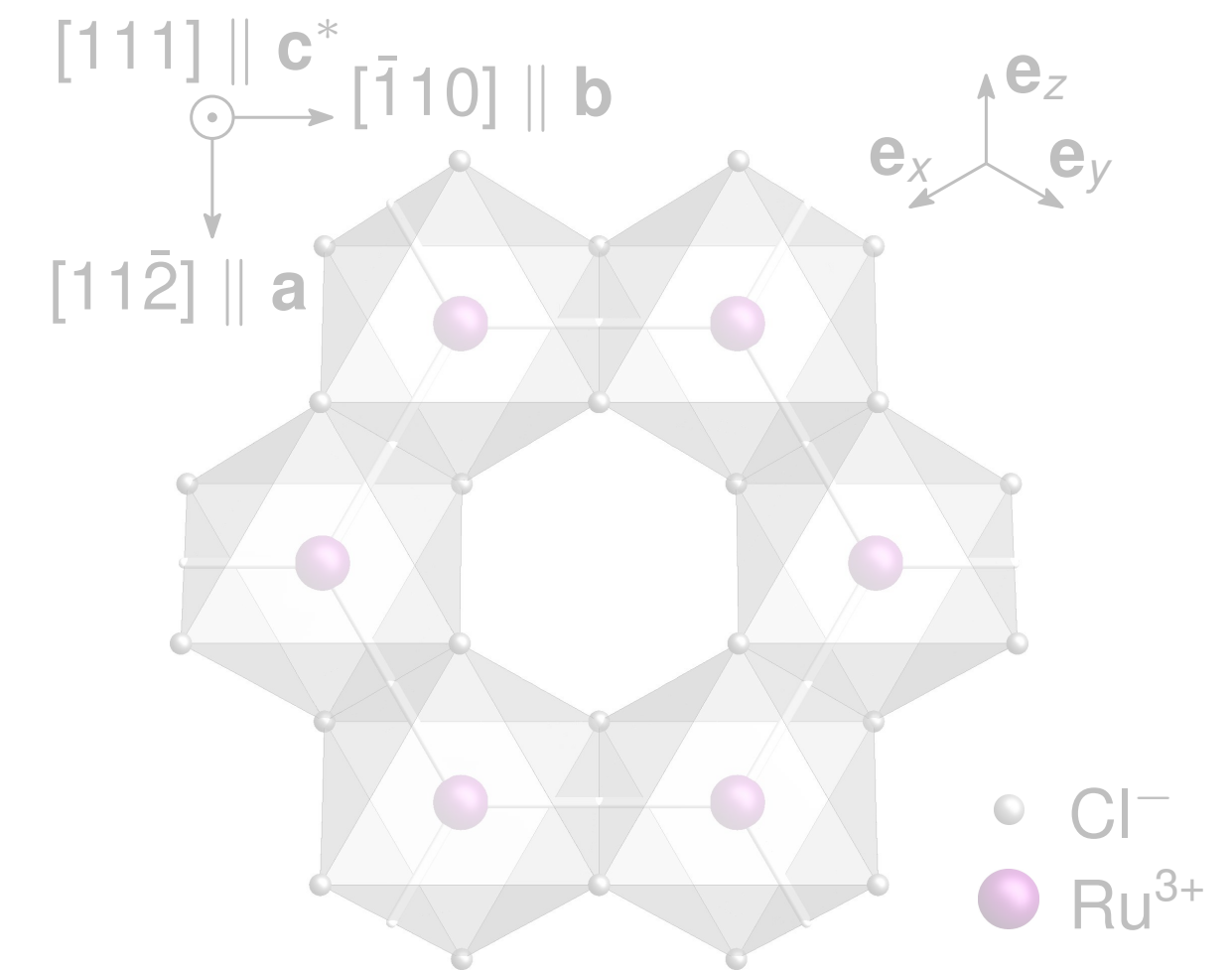
$$K = 2A \sin \varphi$$

... from 24-site ED: [Chaloupka, Jackeli, Khaliullin, PRL '13]

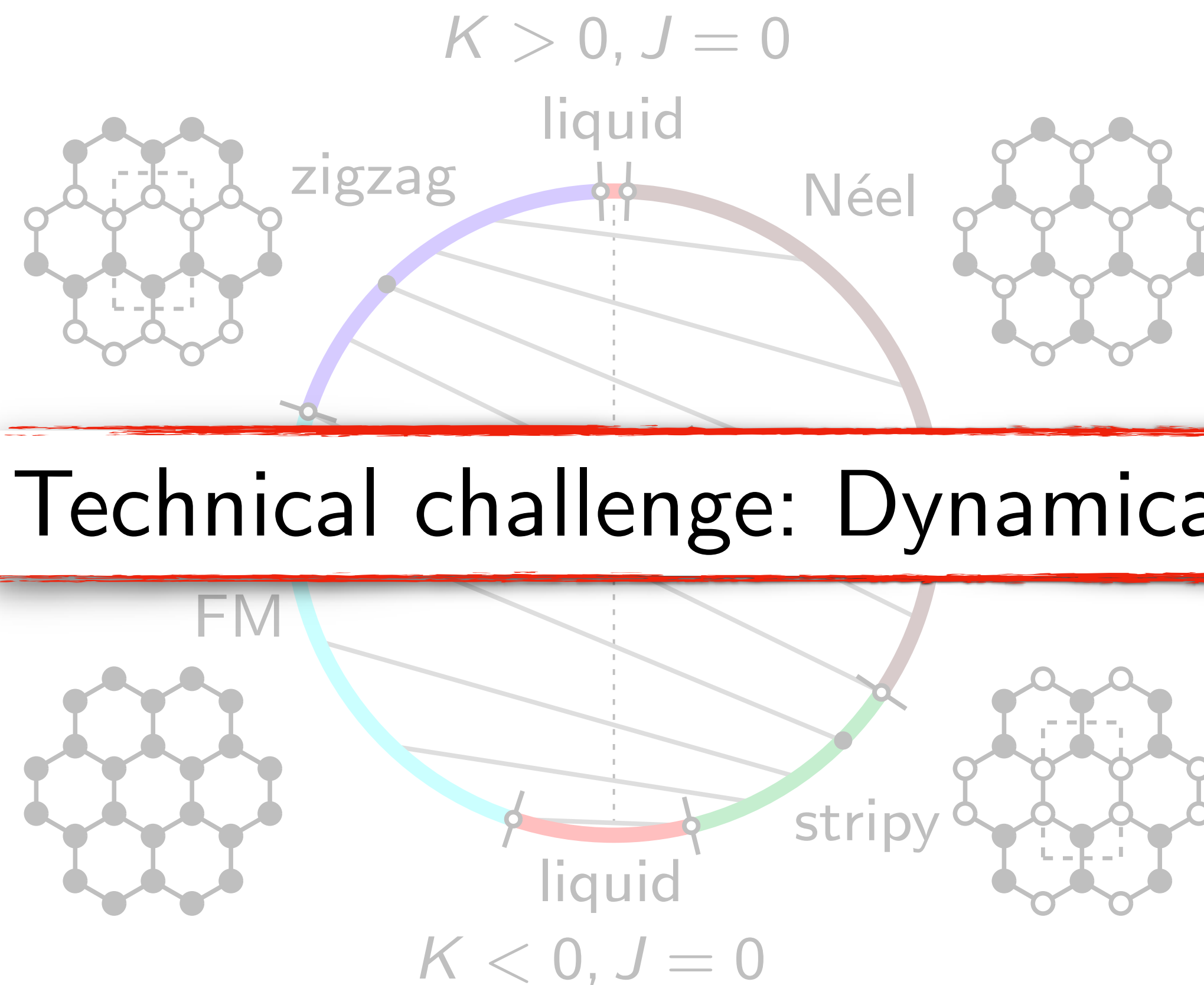
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$$H = K \sum_{\langle ij \rangle_\alpha} \sigma_i^\alpha \sigma_j^\alpha + J \sum_{\langle ij \rangle} \vec{\sigma}_i \cdot \vec{\sigma}_j$$



Phase diagram:



Technical challenge: Dynamical \mathbb{Z}_2 gauge field!

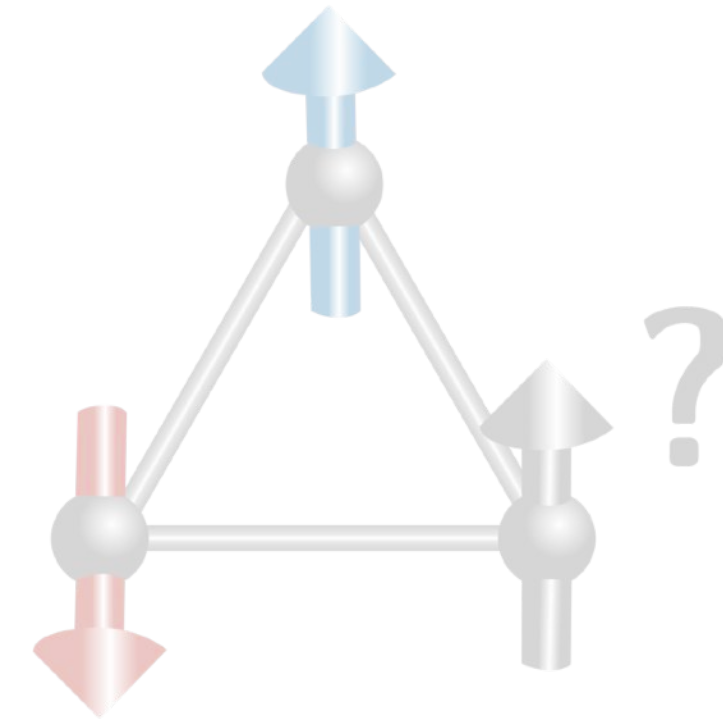
... possible relevance to $\alpha\text{-RuCl}_3$, Na_2IrO_3 , $\text{Na}_2\text{Co}_2\text{TeO}_6$, ...

... no sign-problem-free QMC available: [Sato & Assaad, PRB '21]

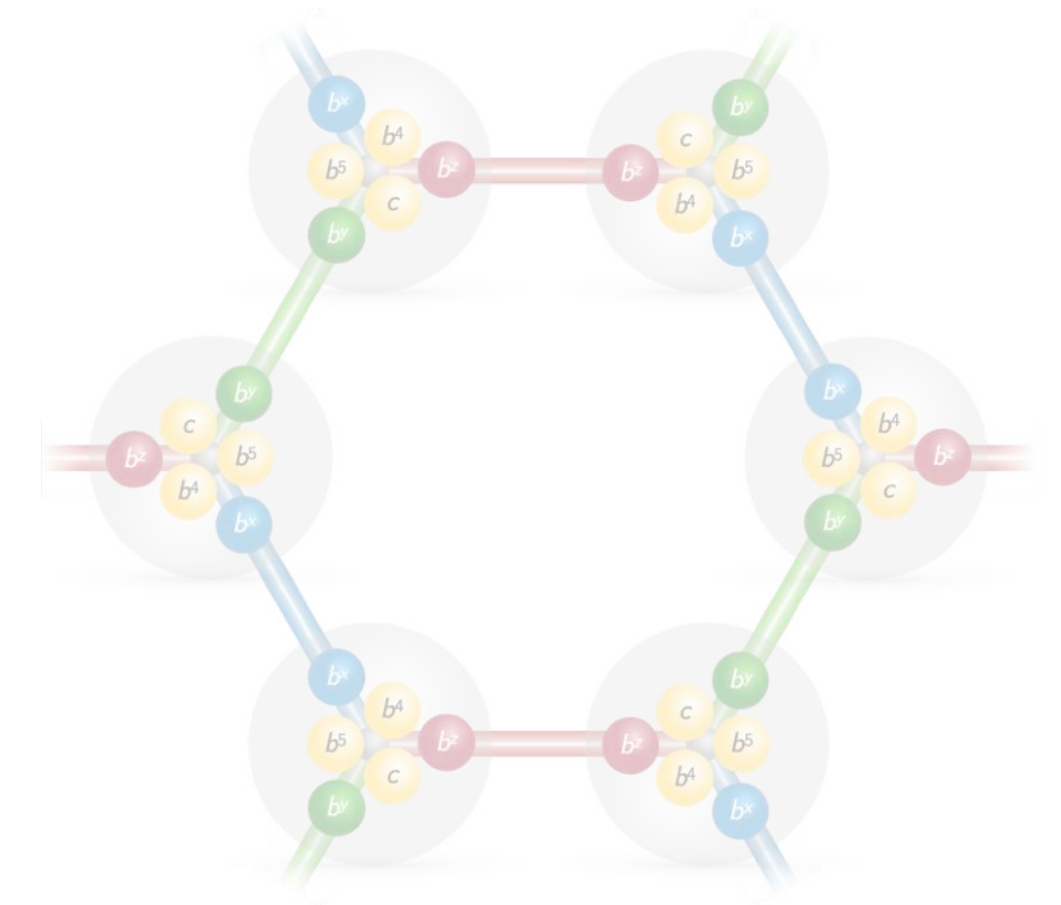
... from 24-site ED: [Chaloupka, Jackeli, Khaliullin, PRL '13]

Outline

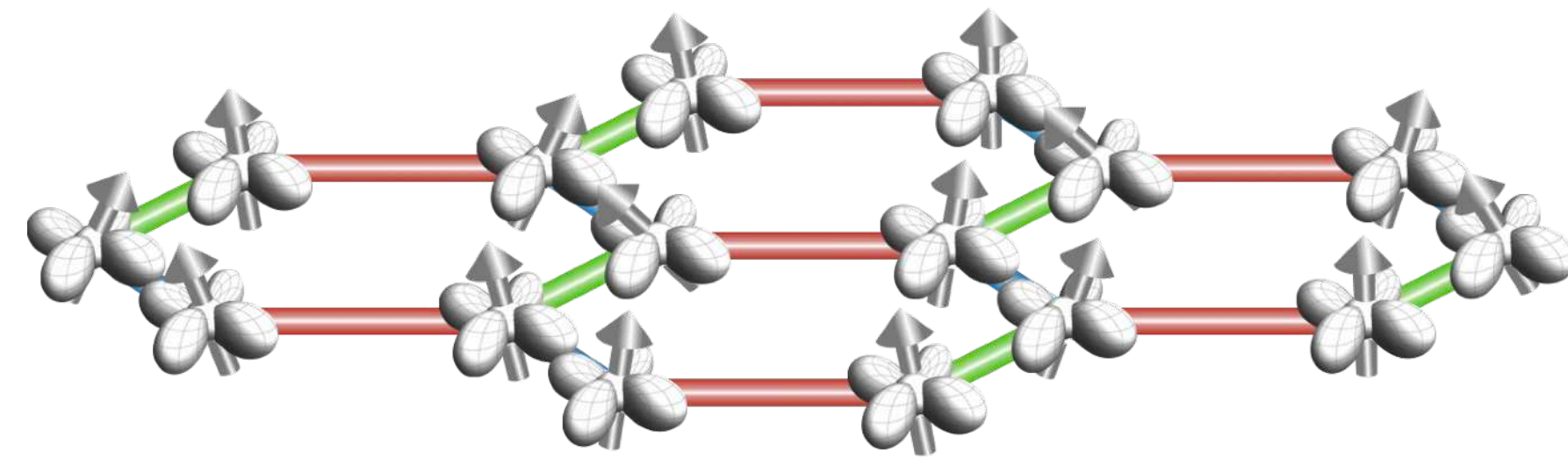
(1) Introduction



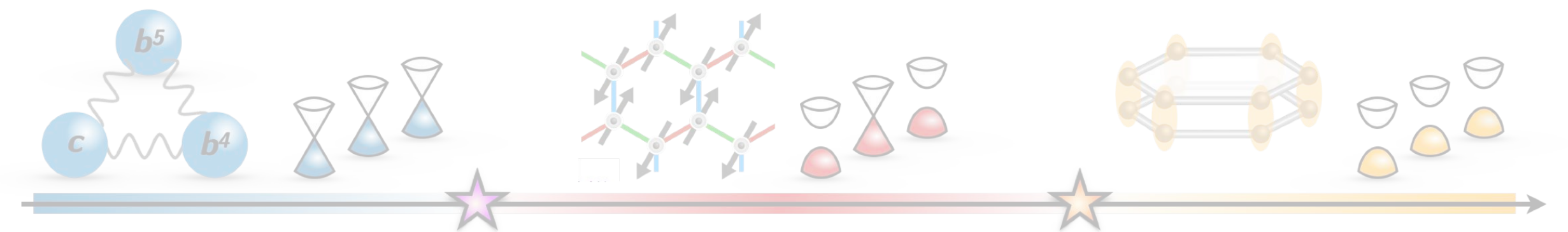
(2) Kitaev spin-1/2 model



(3) Kitaev-Heisenberg spin-orbital model



(4) Conclusions

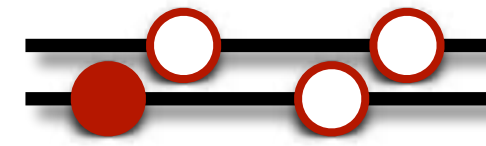
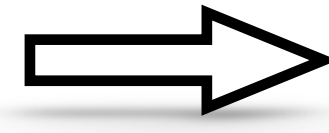


Beyond Kitaev spin-1/2

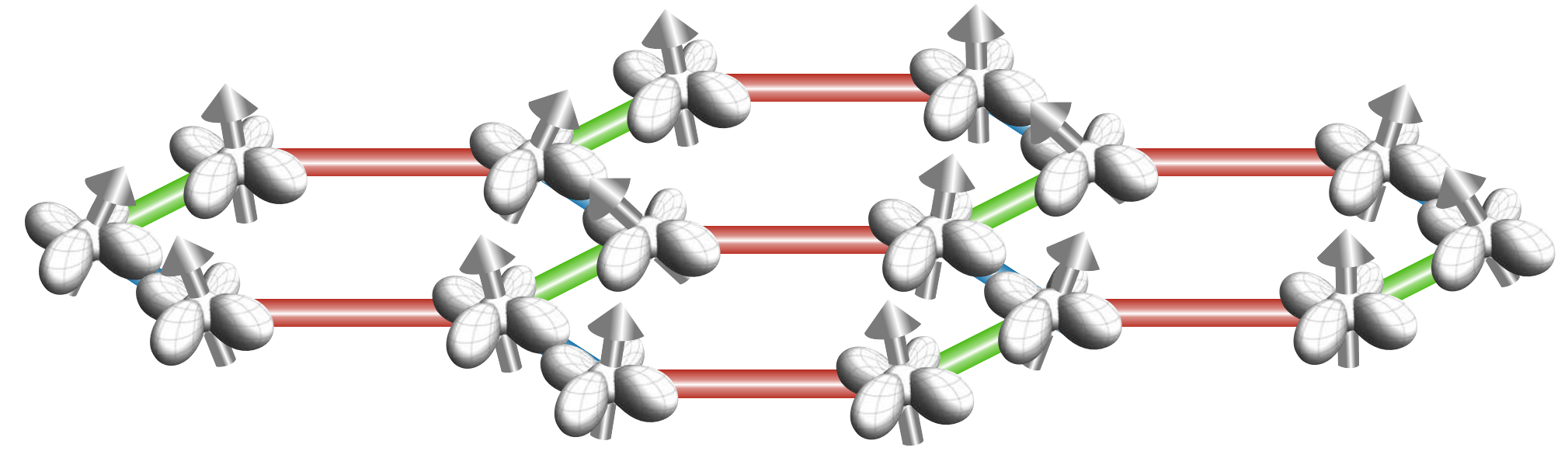
Spin-orbital generalization:



$$\sigma^\alpha \quad 2 \times 2$$

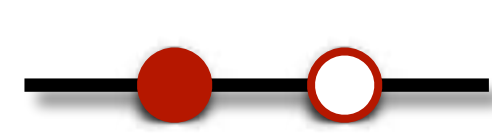
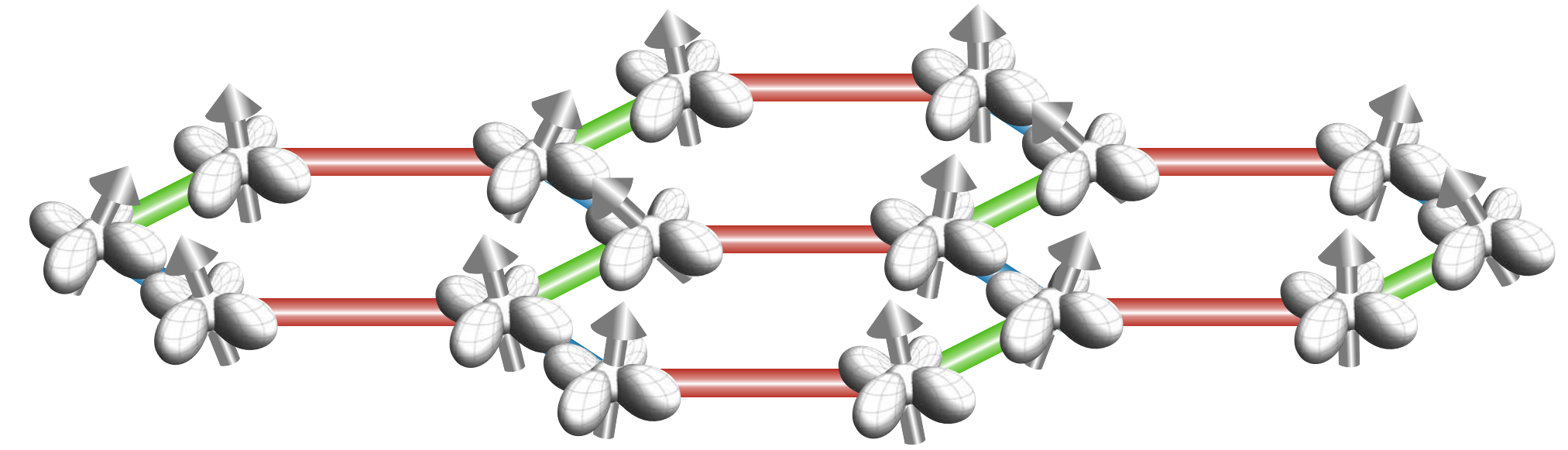


$$\gamma^\mu = \sigma^\alpha \otimes \tau^\beta \quad 4 \times 4$$

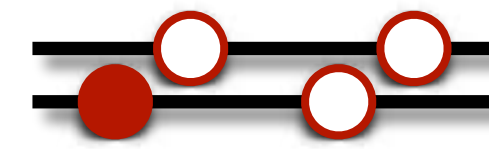
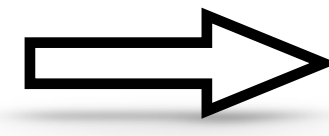


Beyond Kitaev spin-1/2

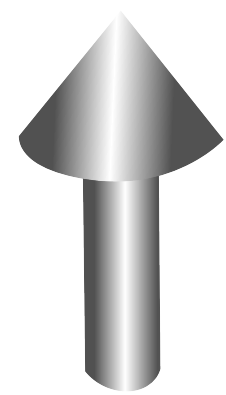
Spin-orbital generalization:



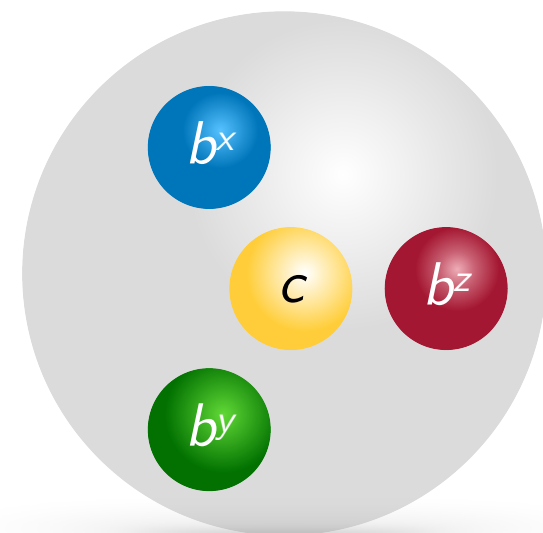
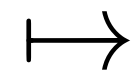
$$\sigma^\alpha \quad 2 \times 2$$



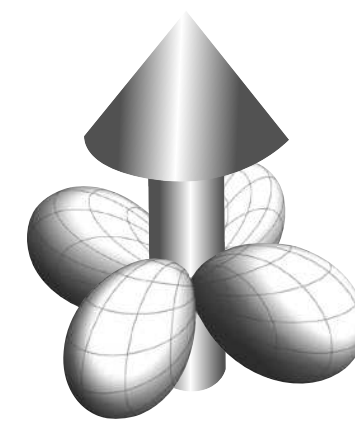
$$\gamma^\mu = \sigma^\alpha \otimes \tau^\beta \quad 4 \times 4$$



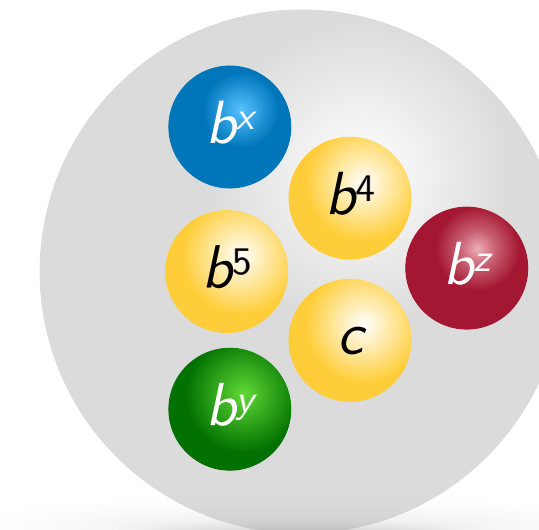
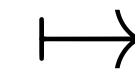
Spin



4 Majoranas
with gauge constraint




Spin + orbital



6 Majoranas
with gauge constraint

Kitaev spin-orbital models

Hamiltonian:

$$H = K \sum_{\langle ij \rangle_\alpha} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\alpha \tau_j^\alpha$$


The diagram shows the Hamiltonian equation with two arrows pointing upwards from labels below to terms in the equation. The label "Heisenberg spin" has an arrow pointing to the dot product $\vec{\sigma}_i \cdot \vec{\sigma}_j$. The label "Kitaev orbital" has an arrow pointing to the tensor product $\tau_i^\alpha \tau_j^\alpha$.

Kitaev spin-orbital models

Hamiltonian:

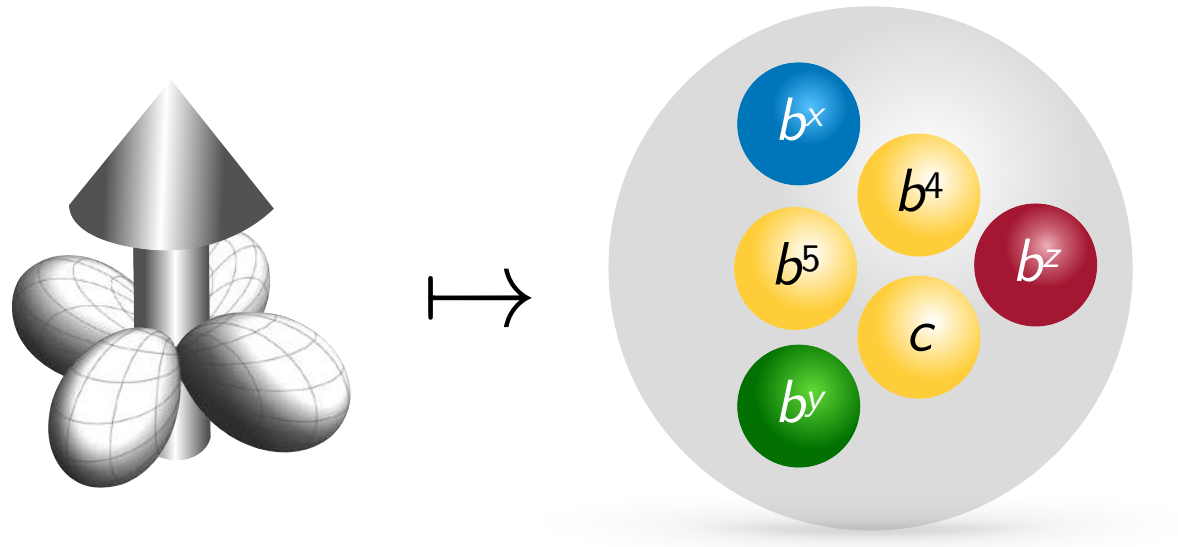
$$H = K \sum_{\langle ij \rangle_\alpha} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\alpha \tau_j^\alpha$$

↑ Heisenberg spin
↑ Kitaev orbital

$$\mapsto iK \sum_{\langle ij \rangle_\alpha} \hat{u}_{ij} (c_i c_j + b_i^4 b_j^4 + b_i^5 b_j^5)$$

Spin-orbital representation:

$$\begin{aligned} \gamma^1 &= \sigma^y \otimes \tau^x \mapsto i b^x c \\ \gamma^2 &= \sigma^y \otimes \tau^y \mapsto i b^y c \\ \gamma^3 &= \sigma^y \otimes \tau^z \mapsto i b^z c \\ \gamma^4 &= \sigma^x \otimes \mathbb{1} \mapsto i b^4 c \\ \gamma^5 &= \sigma^z \otimes \mathbb{1} \mapsto i b^5 c \end{aligned}$$



Spin + orbital 6 Majoranas with gauge constraint

Kitaev spin-orbital models

Hamiltonian:

$$H = K \sum_{\langle ij \rangle_\alpha} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\alpha \tau_j^\alpha$$

↑ Heisenberg spin
↑ Kitaev orbital

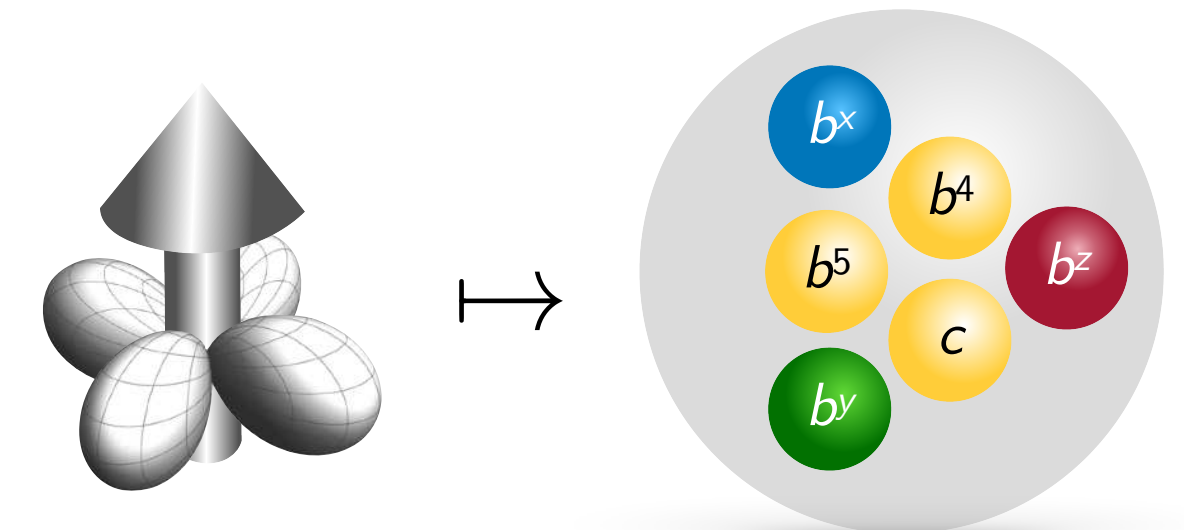
$$\mapsto iK \sum_{\langle ij \rangle_\alpha} \hat{u}_{ij} (c_i c_j + b_i^4 b_j^4 + b_i^5 b_j^5)$$

Gauge constraint:

$$|\xi\rangle \in \mathcal{H} \quad \Leftrightarrow \quad D|\xi\rangle = |\xi\rangle, \quad D = ib^x b^y b^z b^4 b^5 c$$

Spin-orbital representation:

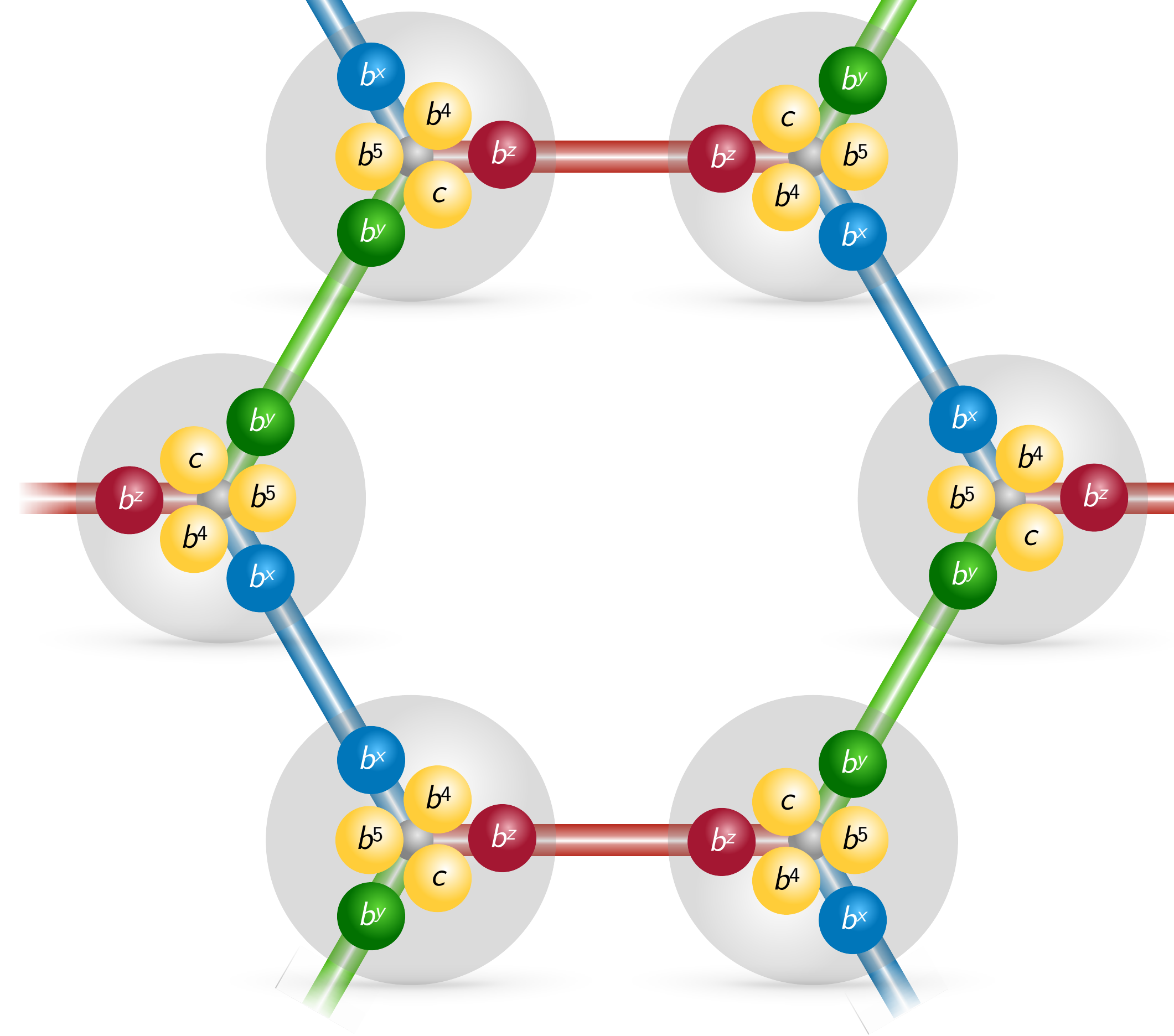
$$\begin{aligned} \gamma^1 &= \sigma^y \otimes \tau^x \mapsto ib^x c \\ \gamma^2 &= \sigma^y \otimes \tau^y \mapsto ib^y c \\ \gamma^3 &= \sigma^y \otimes \tau^z \mapsto ib^z c \\ \gamma^4 &= \sigma^x \otimes \mathbb{1} \mapsto ib^4 c \\ \gamma^5 &= \sigma^z \otimes \mathbb{1} \mapsto ib^5 c \end{aligned}$$



Spin + orbital

6 Majoranas
with gauge constraint

Gauge-theory representation

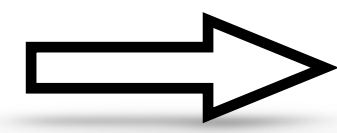


Gauge-theory representation

Ground state:

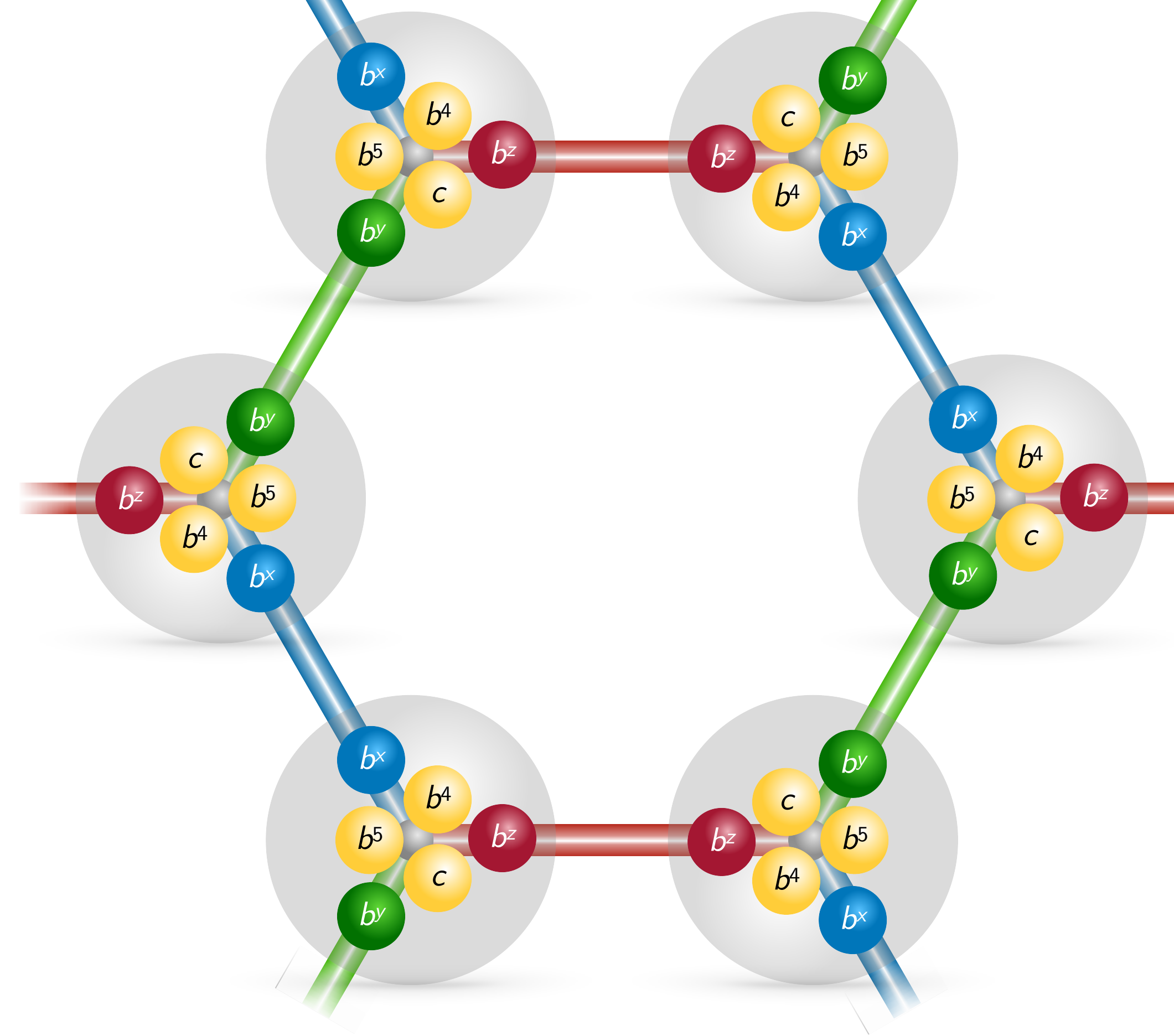
$$\hat{u}_{ij} \mapsto u_{ij} \equiv 1$$

[Lieb, PRL '94]



$$\tilde{H}_u = iK \sum_{\langle ij \rangle} \mathbf{c}_i^\top \mathbf{c}_j$$

$$\text{with } \mathbf{c}_j \equiv \begin{pmatrix} c_j \\ b_j^4 \\ b_j^5 \end{pmatrix}$$

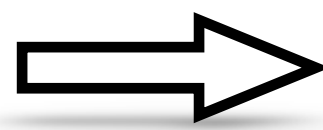


Gauge-theory representation

Ground state:

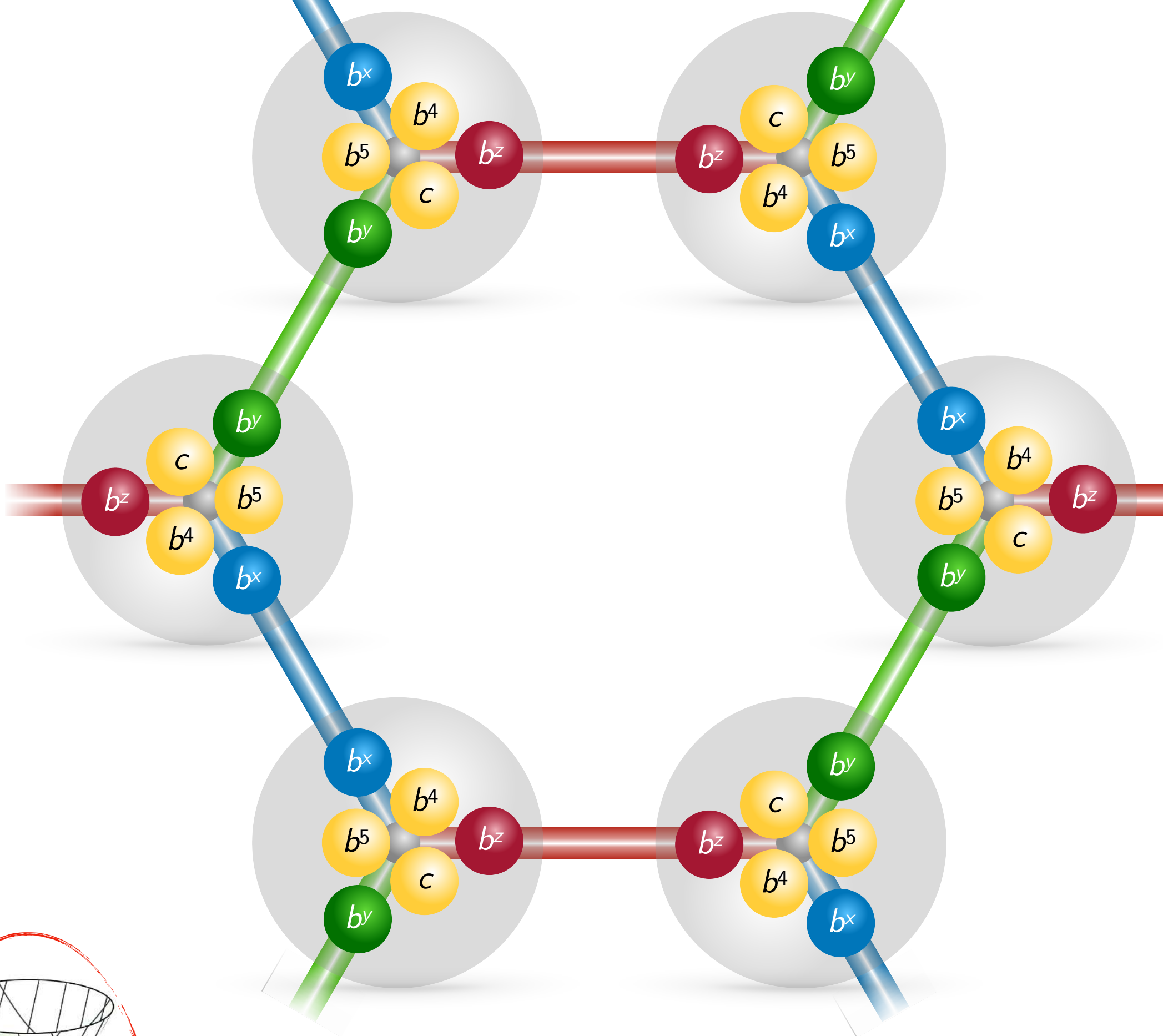
$$\hat{u}_{ij} \mapsto u_{ij} \equiv 1$$

[Lieb, PRL '94]

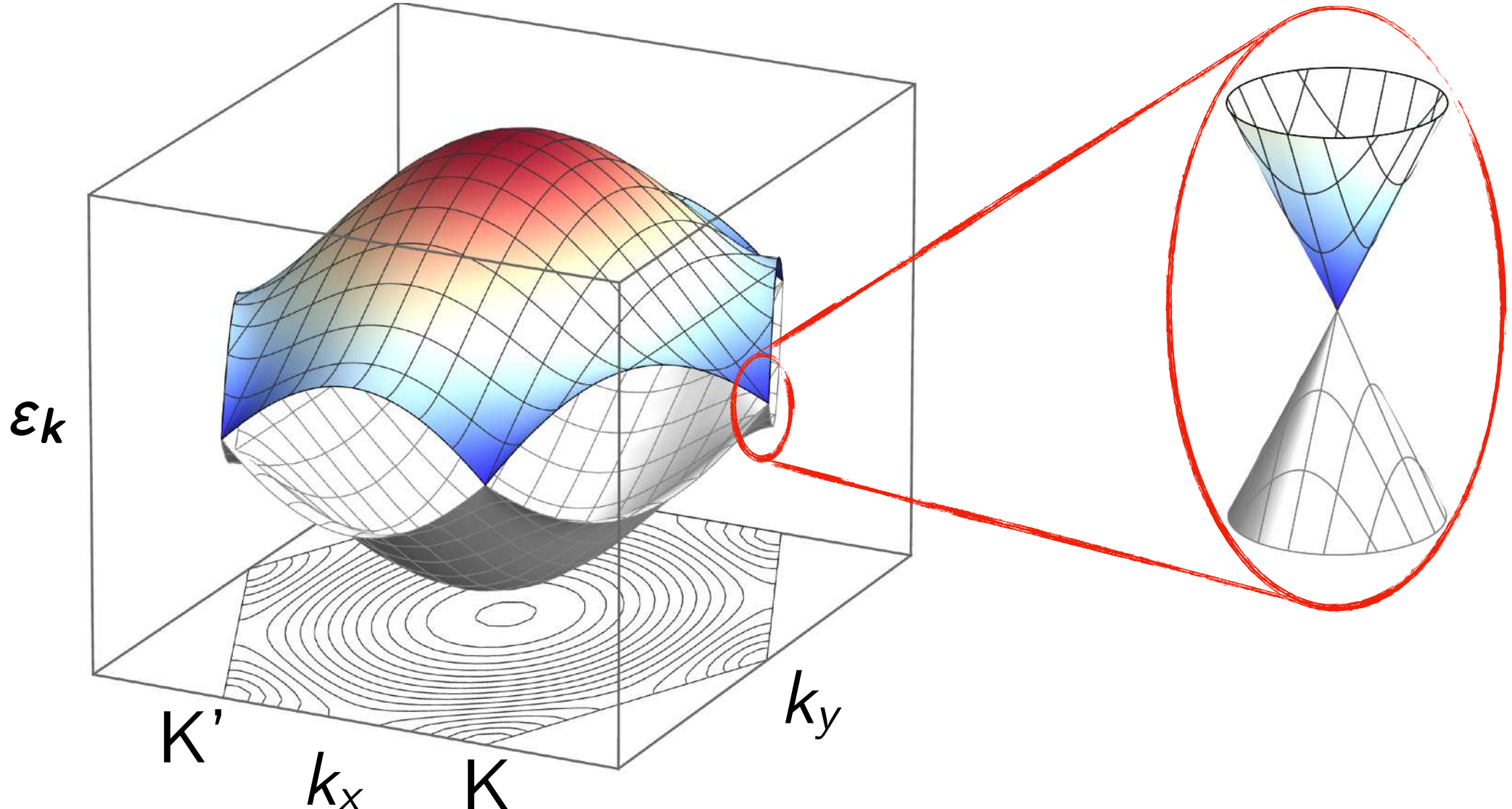


$$\tilde{H}_u = iK \sum_{\langle ij \rangle} \mathbf{c}_i^\top \mathbf{c}_j$$

$$\text{with } \mathbf{c}_j \equiv \begin{pmatrix} c_j \\ b_j^4 \\ b_j^5 \end{pmatrix}$$



Majorana spectrum:



×3

“3/2” of graphene!

Kitaev-Heisenberg spin-orbital model

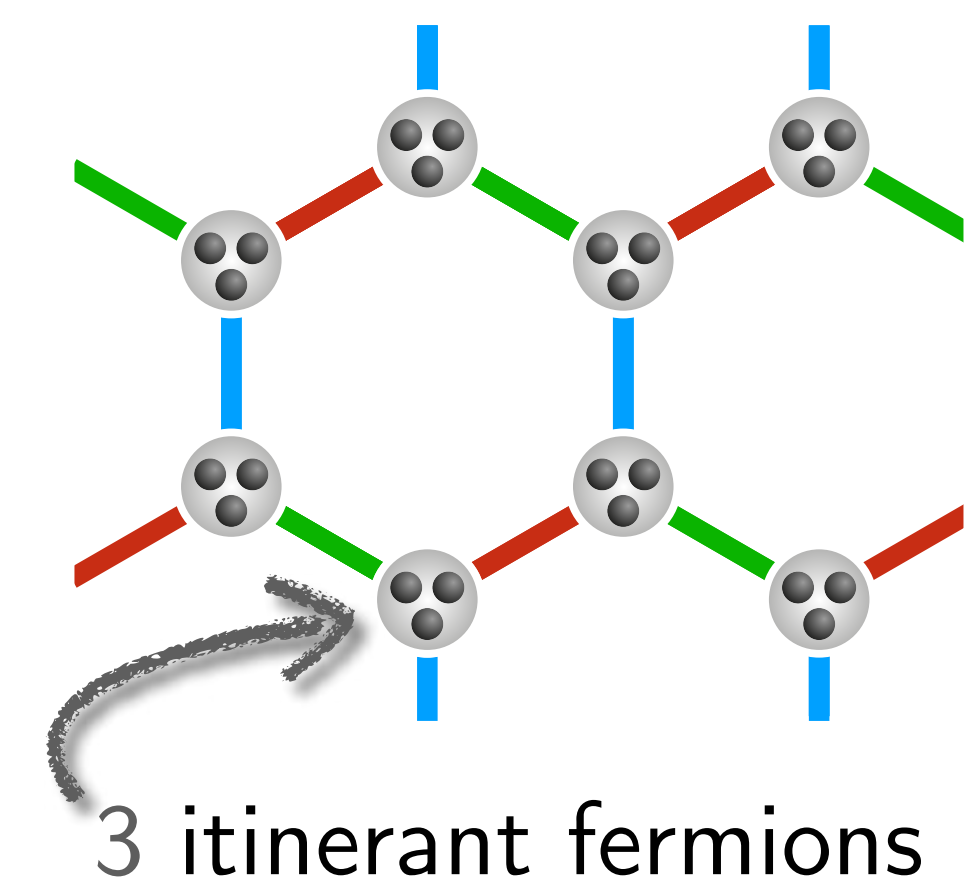
Hamiltonian:

$$H = K \sum_{\langle ij \rangle_\alpha} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\alpha \tau_j^\alpha + J \sum_{\langle ij \rangle} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \mathbb{1}_i \mathbb{1}_j$$

Kitaev-Heisenberg spin-orbital model

Hamiltonian:

$$H = K \sum_{\langle ij \rangle_\alpha} \underbrace{\vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\alpha \tau_j^\alpha}_{\mapsto \hat{u}_{ij} \mathbf{c}_i^\top \mathbf{c}_j} + J \sum_{\langle ij \rangle} \vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \mathbb{1}_i \mathbb{1}_j$$



Kitaev-Heisenberg spin-orbital model

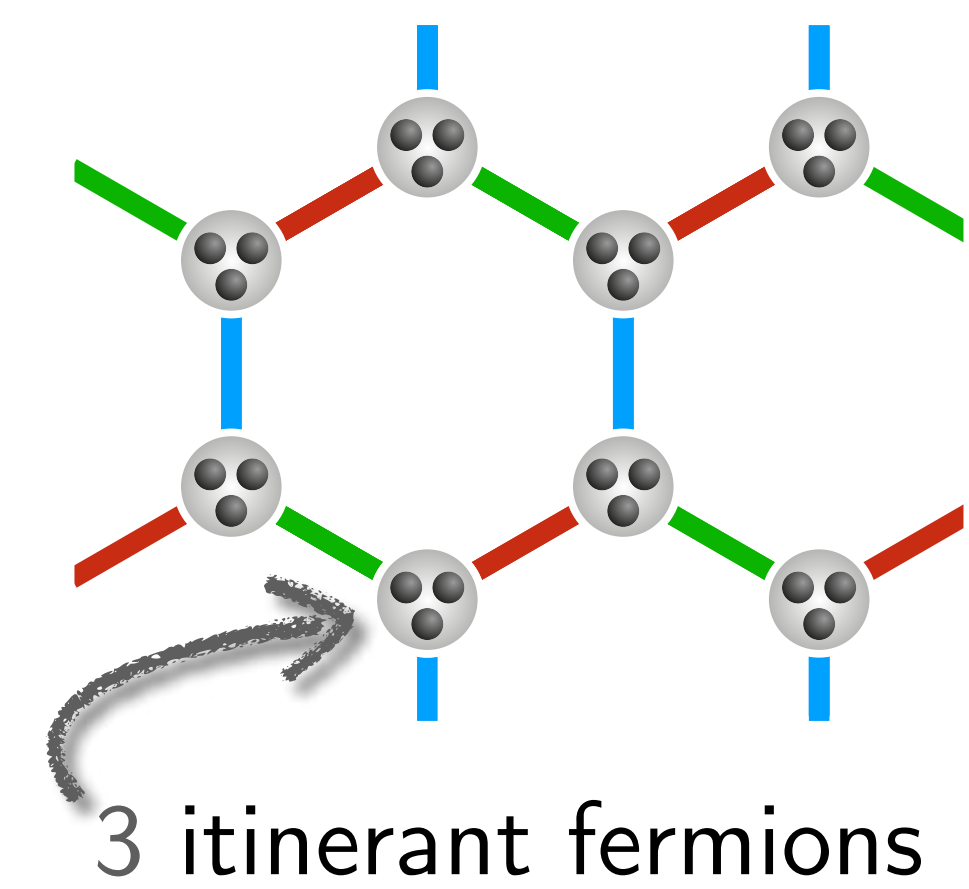
Hamiltonian:

$$H = K \sum_{\langle ij \rangle_\alpha} \underbrace{\vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\alpha \tau_j^\alpha}_{\text{spin-1 matrices}} + J \sum_{\langle ij \rangle} \underbrace{\vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \mathbb{1}_i \mathbb{1}_j}_{\text{spin-1 matrices}}$$

$$\mapsto \hat{u}_{ij} \mathbf{c}_i^\top \mathbf{c}_j$$

$$\mapsto \frac{1}{4} (\mathbf{c}_i^\top \vec{L} \mathbf{c}_i) \cdot (\mathbf{c}_j^\top \vec{L} \mathbf{c}_j)$$

with $[\hat{u}_{ij}, H] = 0$ still static!

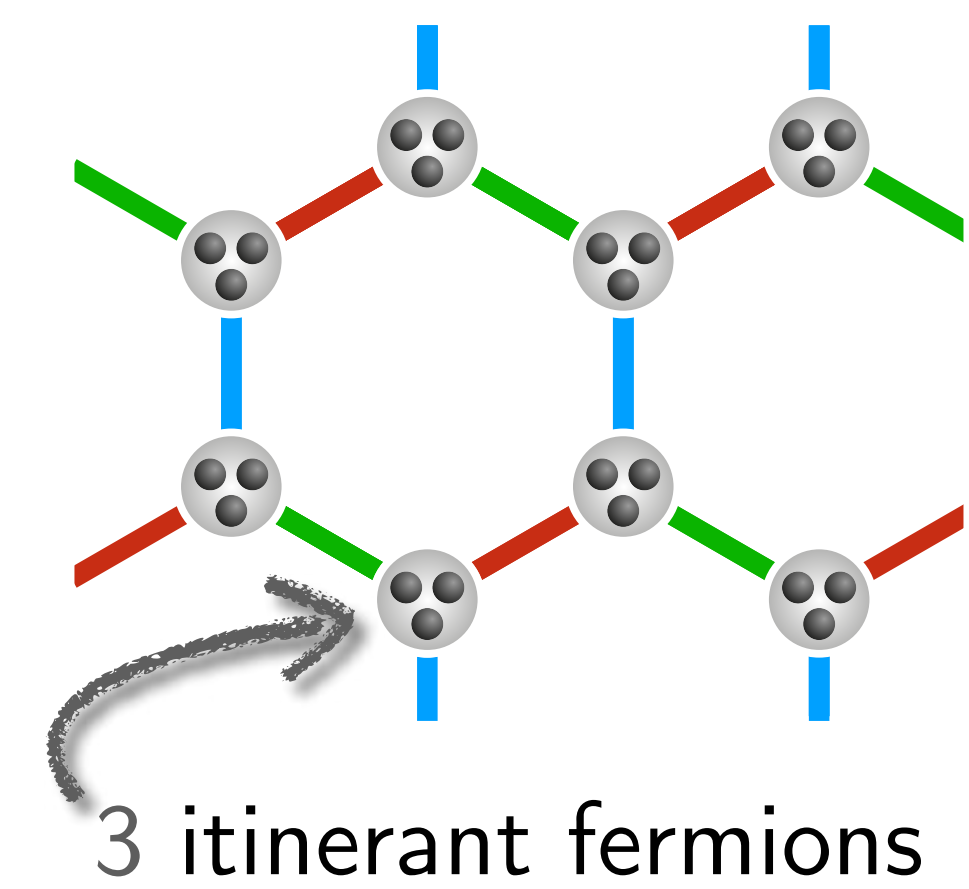


Kitaev-Heisenberg spin-orbital model

Hamiltonian:

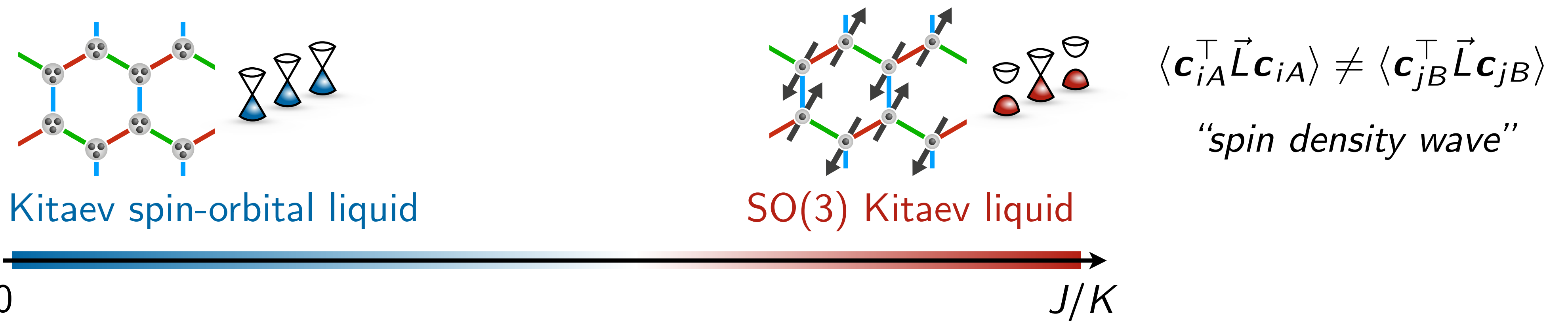
$$H = K \sum_{\langle ij \rangle_\alpha} \underbrace{\vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \tau_i^\alpha \tau_j^\alpha}_{\mapsto \hat{u}_{ij} \mathbf{c}_i^\top \mathbf{c}_j} + J \sum_{\langle ij \rangle} \underbrace{\vec{\sigma}_i \cdot \vec{\sigma}_j \otimes \mathbb{1}_i \mathbb{1}_j}_{\mapsto \frac{1}{4} (\mathbf{c}_i^\top \vec{L} \mathbf{c}_i) \cdot (\mathbf{c}_j^\top \vec{L} \mathbf{c}_j)}$$

spin-1 matrices ↙



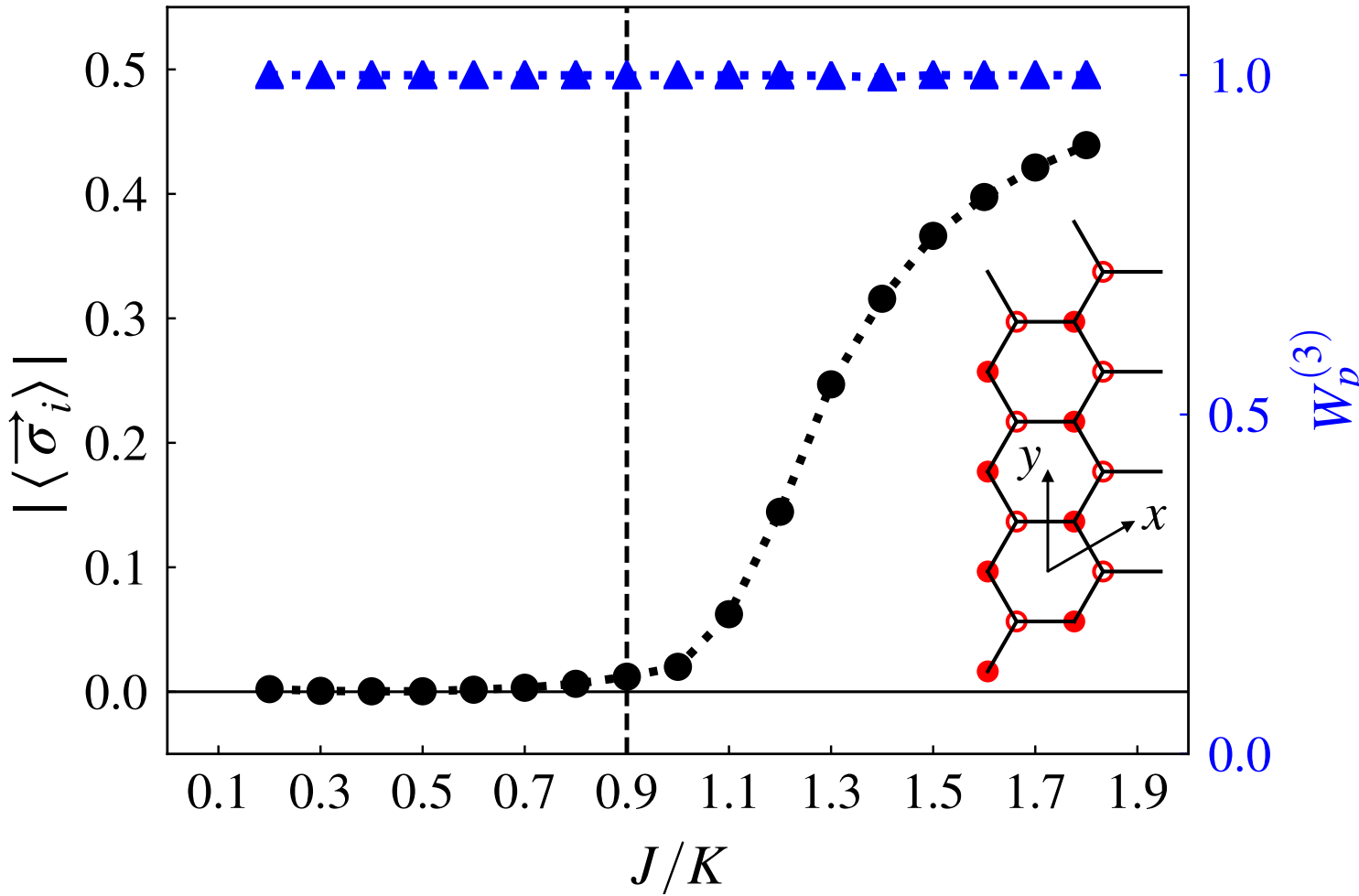
with $[\hat{u}_{ij}, H] = 0$ still static!

Phase diagram:



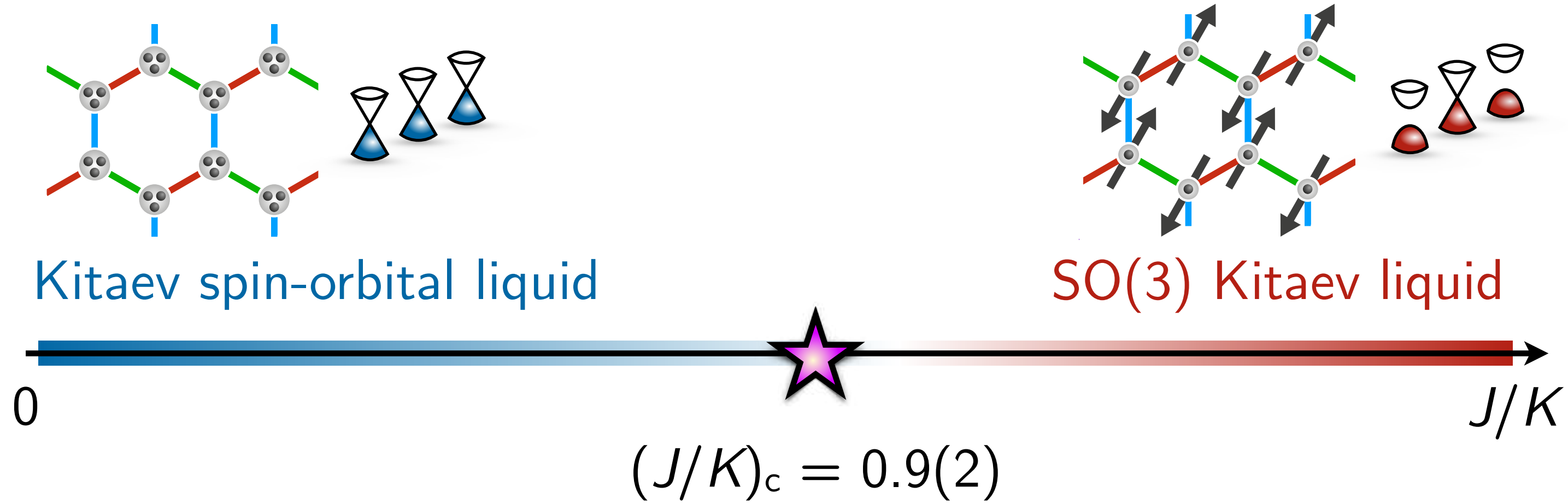
Fractionalized fermionic quantum criticality

iDMRG:



... on cylinder with $L_y = 4$ unit cells

Phase diagram:



“Fractionalized fermionic quantum critical point”

[Seifert, Dong, Chulliparambil, Vojta, Tu, LJ, PRL '20]

Effective field theory

Gradient expansion:

$$\mathcal{S} = \int d^2\vec{x}d\tau \left[\bar{\psi}\gamma^\mu\partial_\mu\psi + g\vec{\varphi} \cdot \bar{\psi}(\mathbb{1}_2 \otimes \vec{L})\psi \right] \quad \text{“Gross-Neveu-SO(3)”}$$

Effective field theory

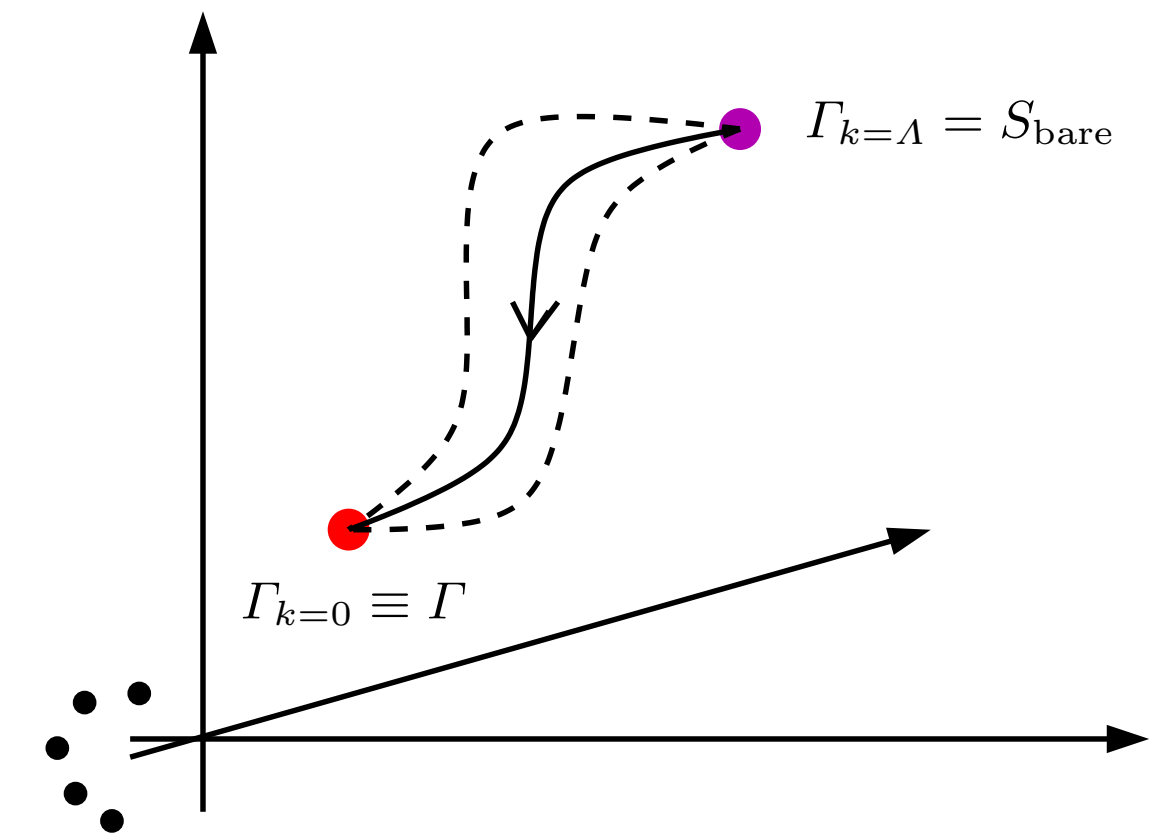
Gradient expansion:

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“Gross-Neveu-SO(3)”

Wetterich equation:

$$\partial_k\Gamma_k = \frac{1}{2} \text{STr} \frac{\partial_k R_k}{\Gamma_k^{(2)} + R_k}$$



[Gies, Lect. Notes Phys. '12]

Effective field theory

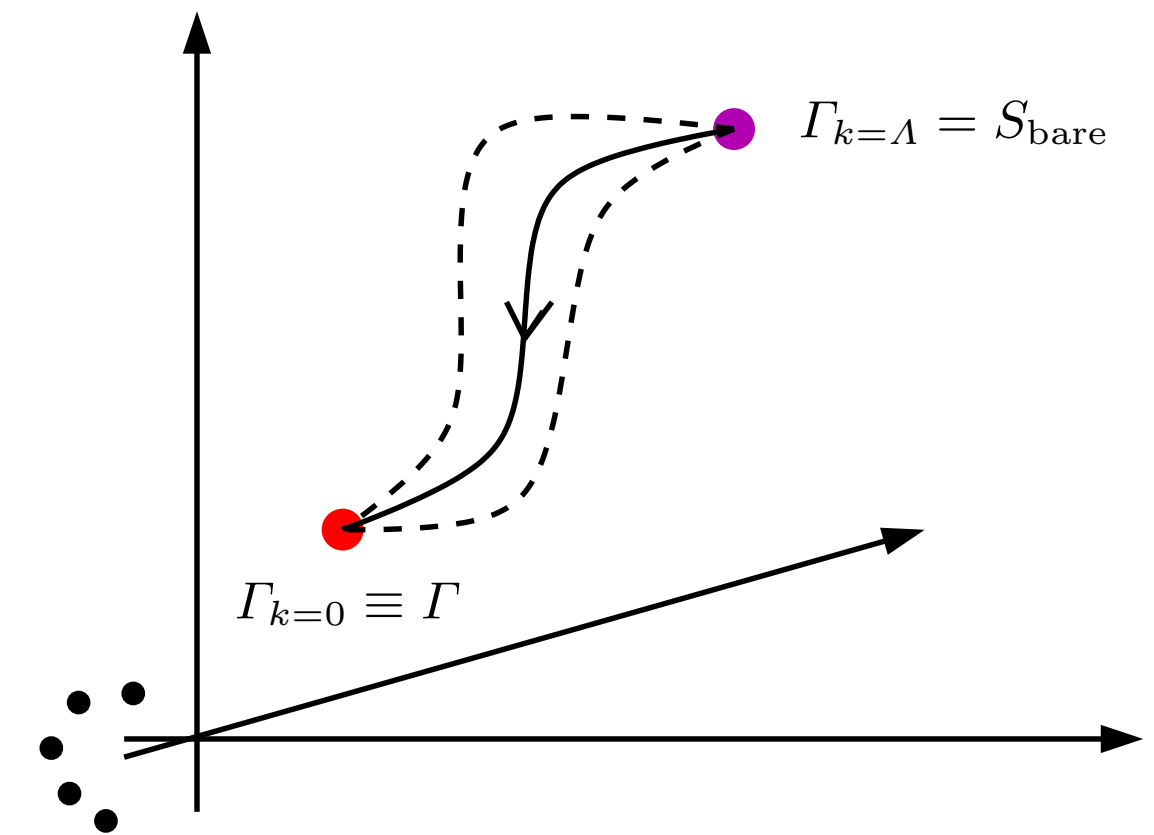
Gradient expansion:

$$\mathcal{S} = \int d^2\vec{x}d\tau \left[\bar{\psi}\gamma^\mu\partial_\mu\psi + g\vec{\varphi} \cdot \bar{\psi}(\mathbb{1}_2 \otimes \vec{L})\psi \right]$$

“Gross-Neveu-SO(3)”

Wetterich equation:

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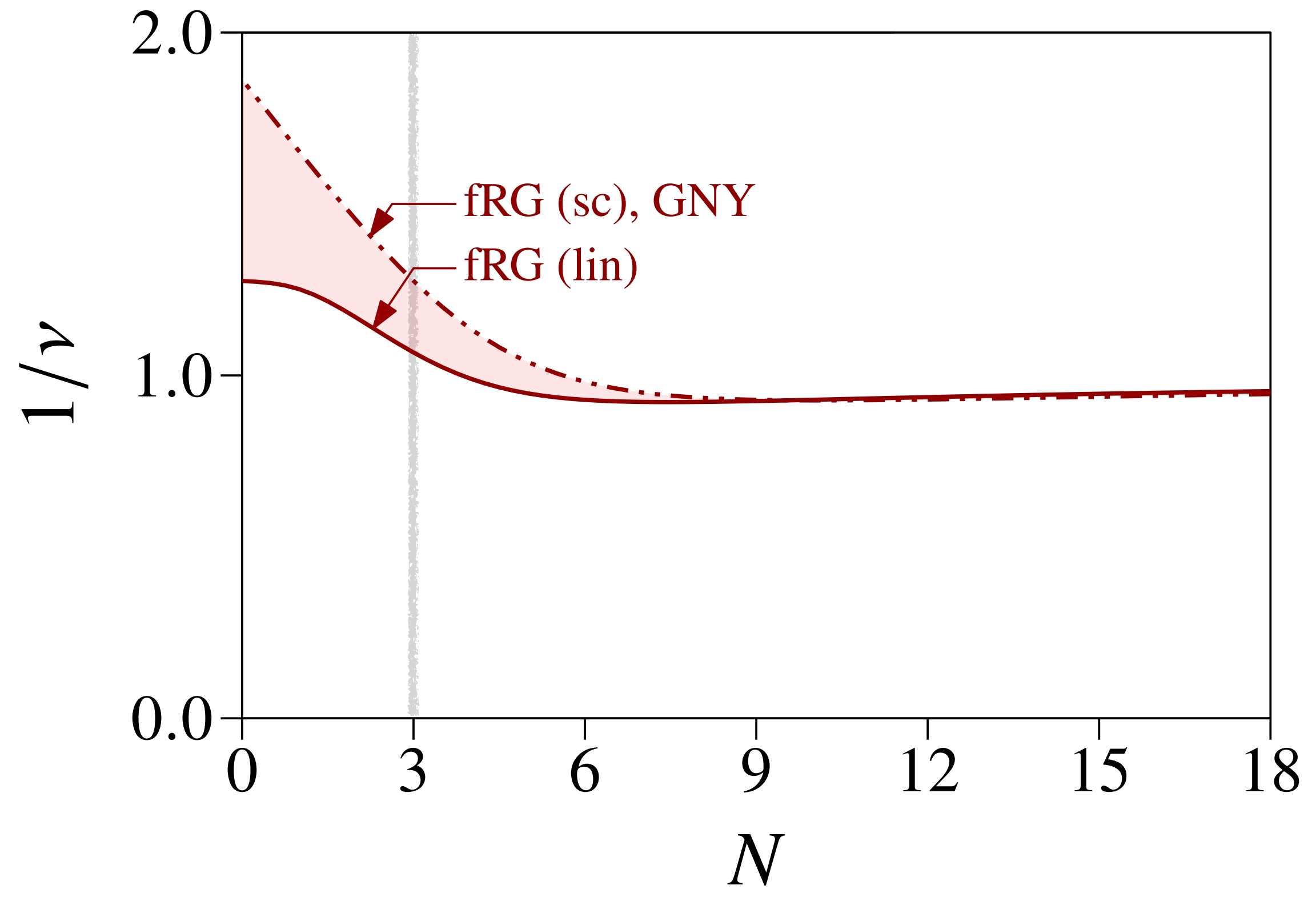
[Gies, Lect. Notes Phys. '12]

Effective action (LPA’):

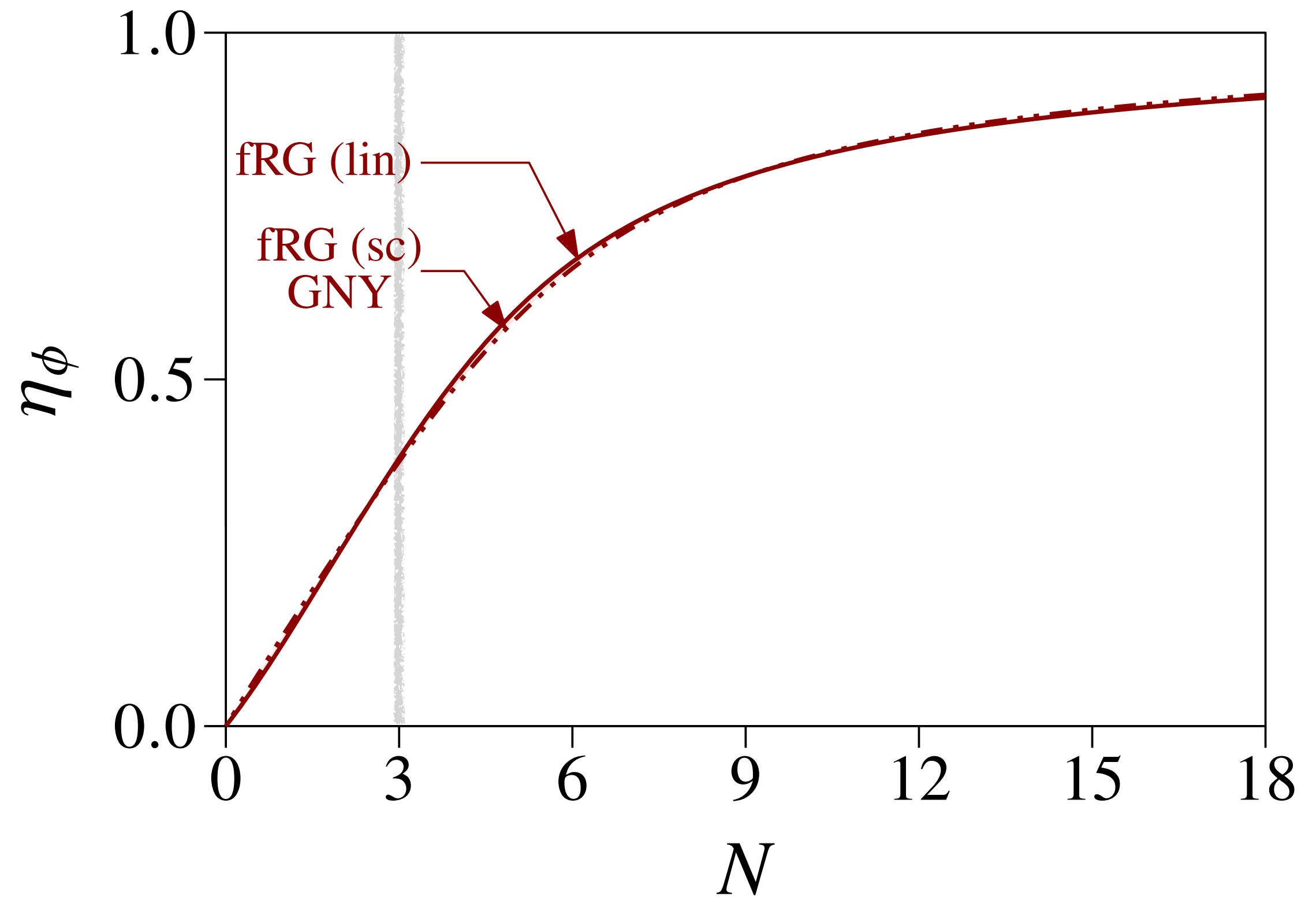
$$\Gamma_k = \int d^{2+1}x \left[Z_{\psi,k} \bar{\psi}\gamma^\mu\partial_\mu\psi + \frac{1}{2} Z_{\varphi,k} (\partial_\mu\vec{\varphi})^2 - g_k\vec{\varphi} \cdot \bar{\psi}\vec{L}\psi + U_k(\varrho) \right]$$

Fractionalized Gross-Neveu-SO(3) criticality

Correlation length exponent:



Anomalous dimension:

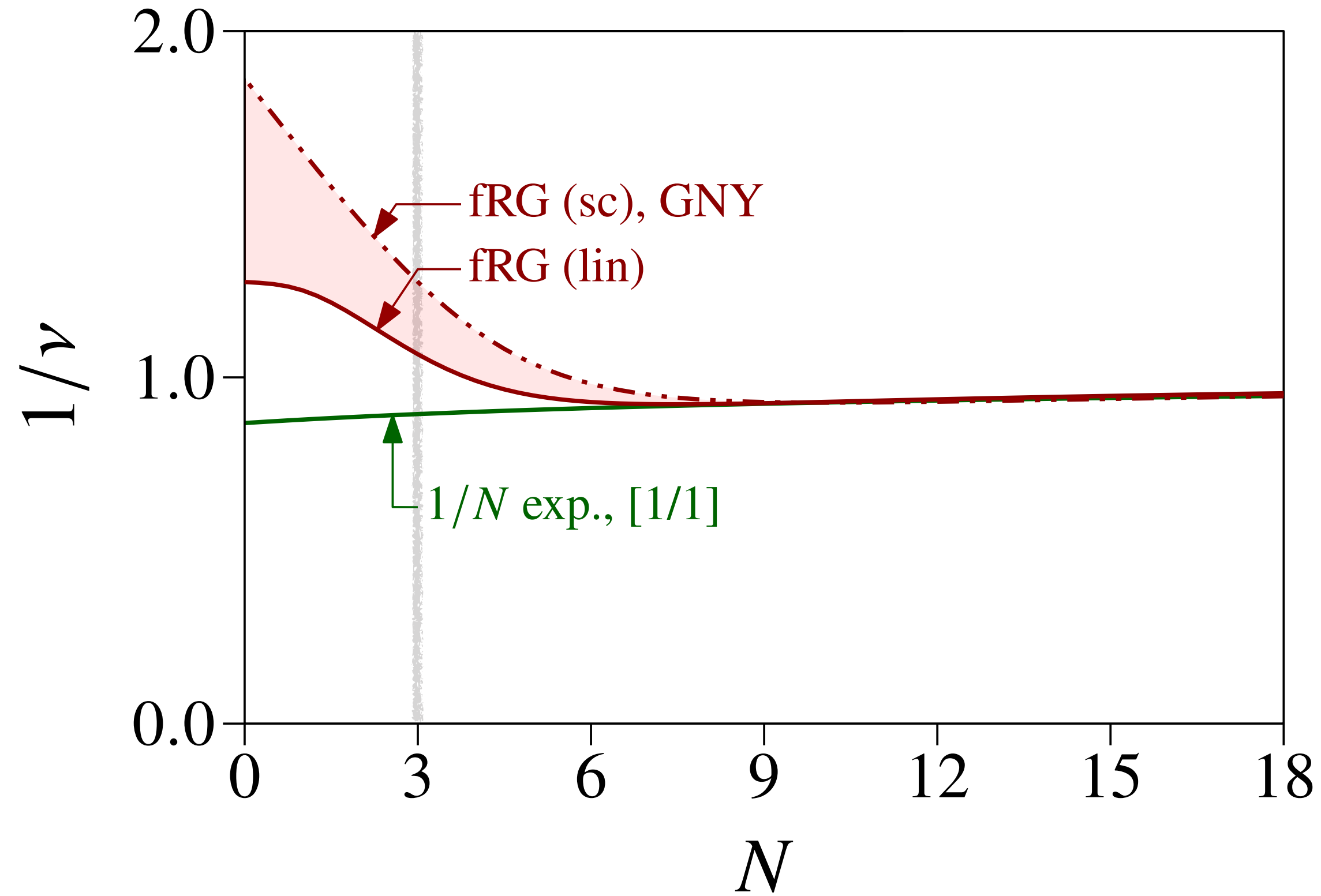


Levels of approximation:
 • Functional RG @ LPA'

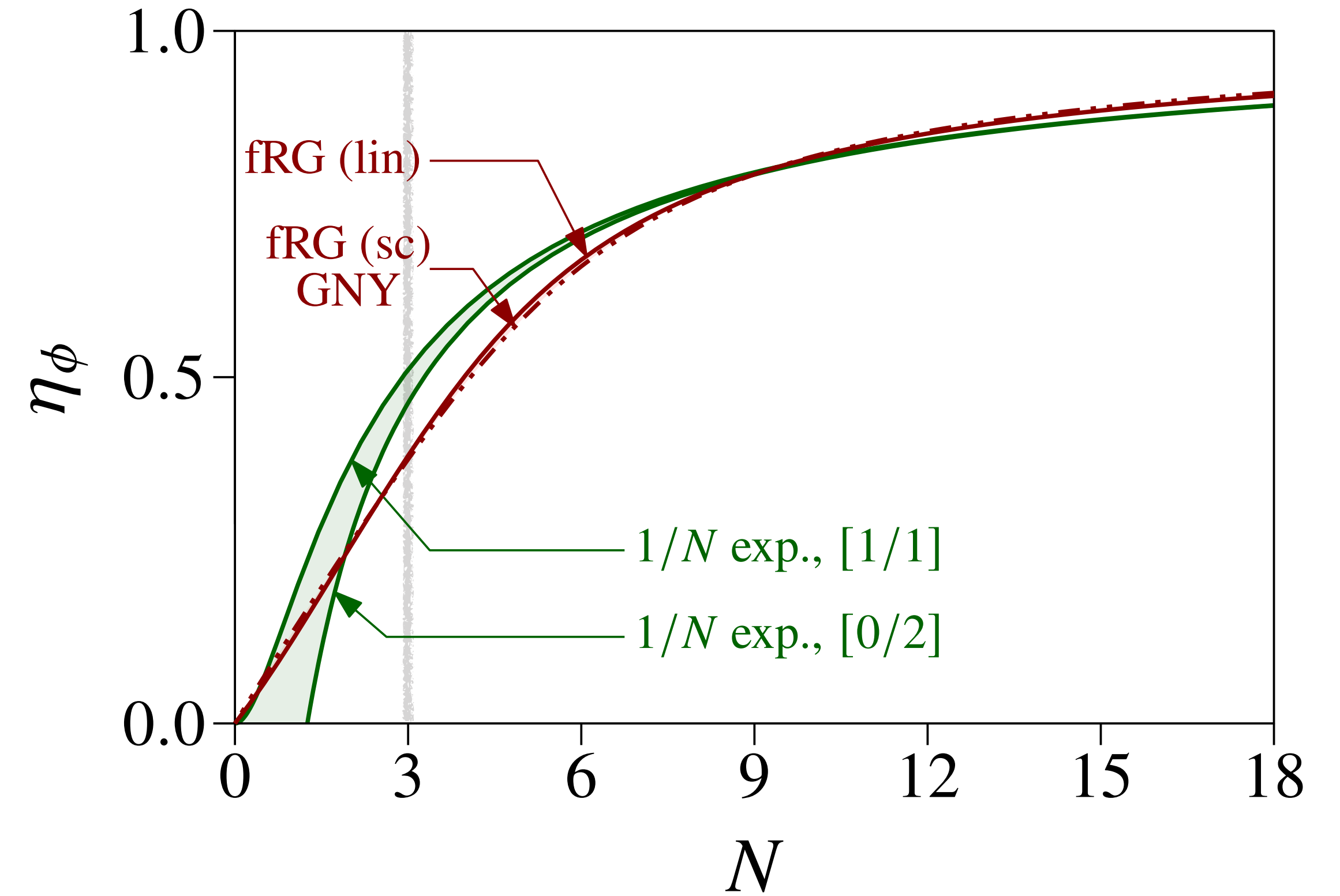
[Ray, Ihrig, Kruti, Gracey, Scherer, LJ, PRB '21]

Fractionalized Gross-Neveu-SO(3) criticality

Correlation length exponent:



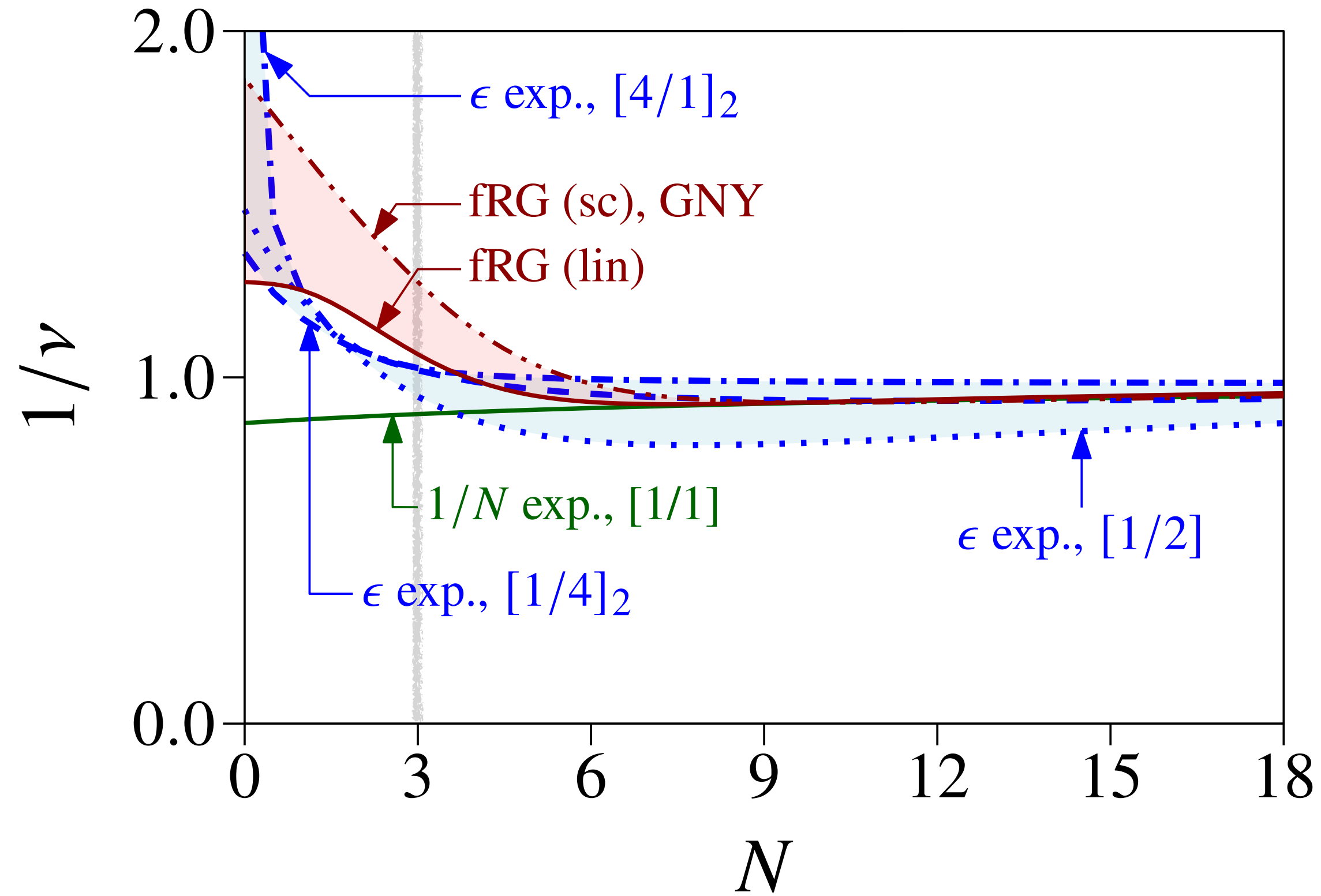
Anomalous dimension:



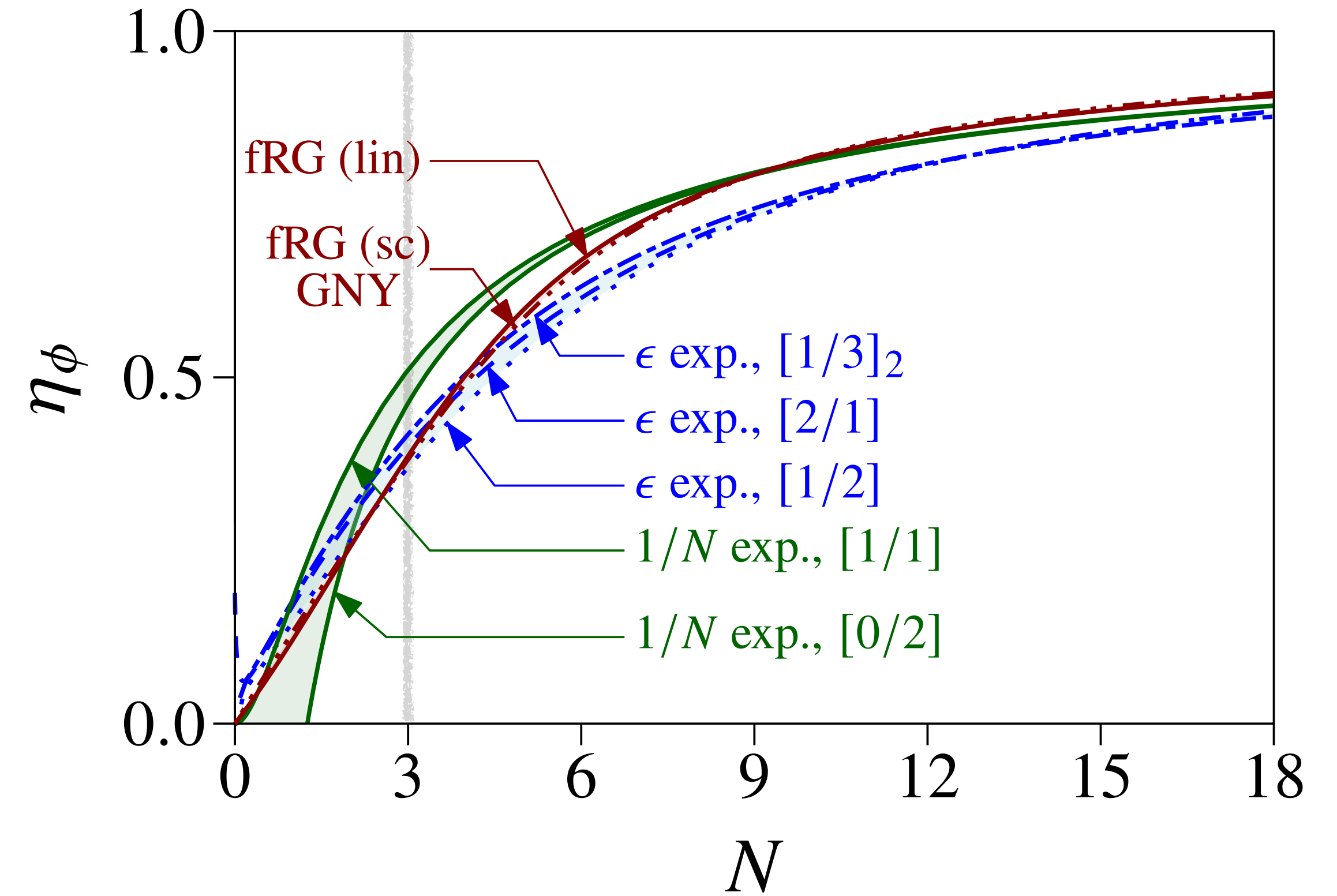
- Levels of approximation:
- Functional RG @ LPA'
 - $1/N$ expansion @ $O(1/N^2)$

Fractionalized Gross-Neveu-SO(3) criticality

Correlation length exponent:



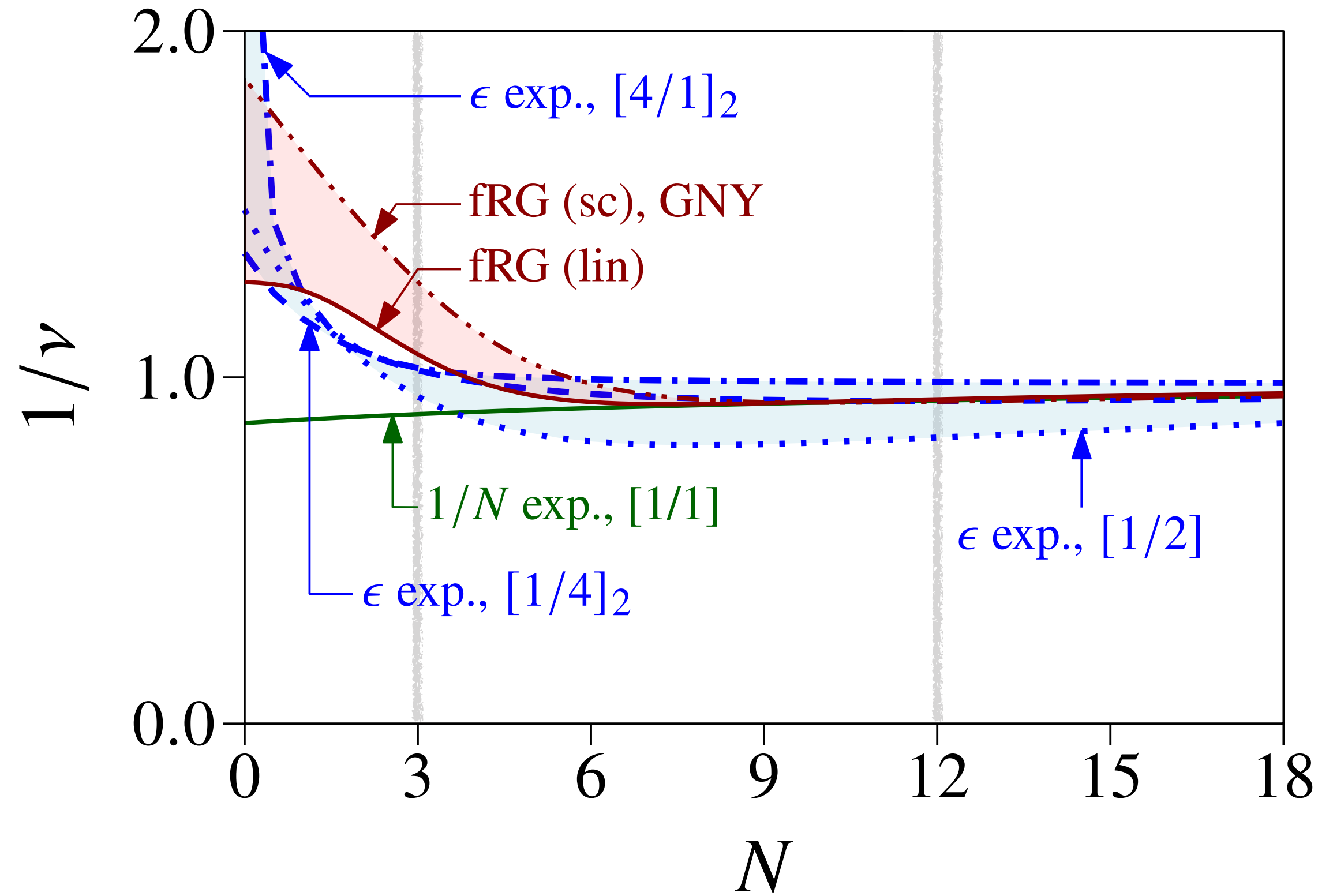
Anomalous dimension:



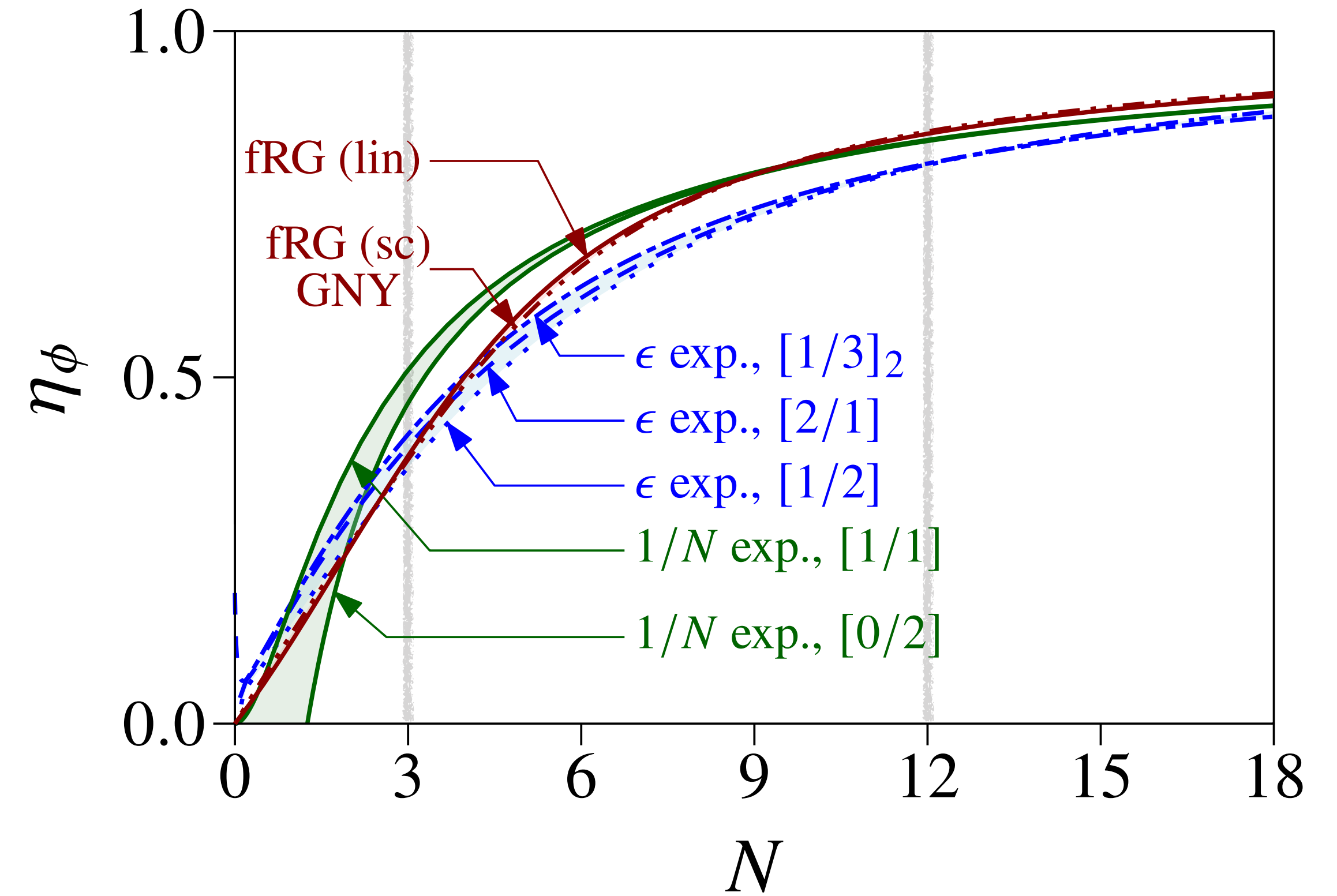
- Levels of approximation:
- Functional RG @ LPA'
 - $1/N$ expansion @ $O(1/N^2)$
 - 4 - ϵ expansion @ 3 loop

Fractionalized Gross-Neveu-SO(3) criticality

Correlation length exponent:



Anomalous dimension:

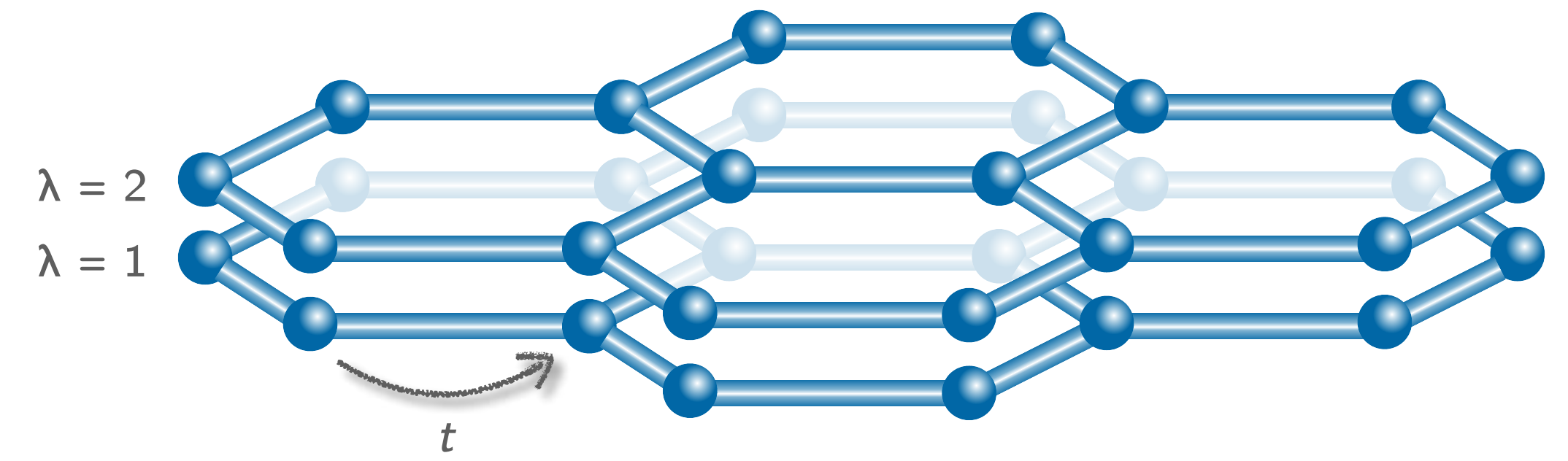


- Levels of approximation:
- Functional RG @ LPA'
 - $1/N$ expansion @ $O(1/N^2)$
 - 4 - ϵ expansion @ 3 loop

Sign-problem-free bilayer model

Hamiltonian:

$$H = -t \sum_{\langle ij \rangle} c_{i\lambda}^\dagger c_{j\lambda} - J \sum_i \left(c_{i\lambda}^\dagger \vec{L} \tau_{\lambda\lambda'}^z c_{i\lambda'} \right)^2$$

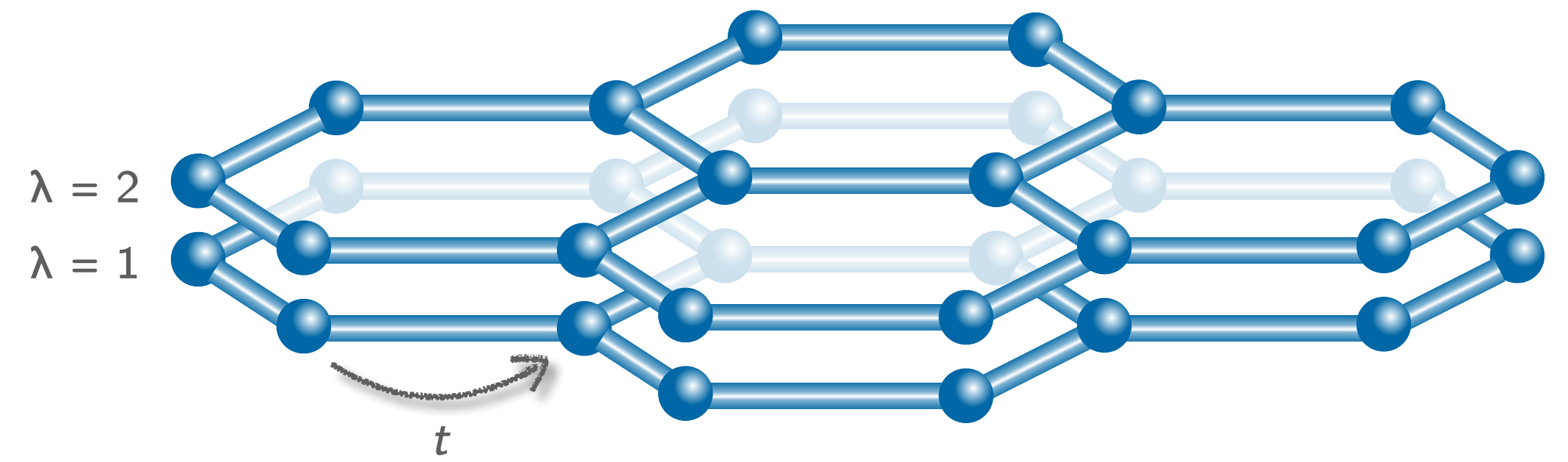


... with $SO(3) \times U_\lambda(1) \times U_c \times \mathbb{Z}_2$ symmetry

Sign-problem-free bilayer model

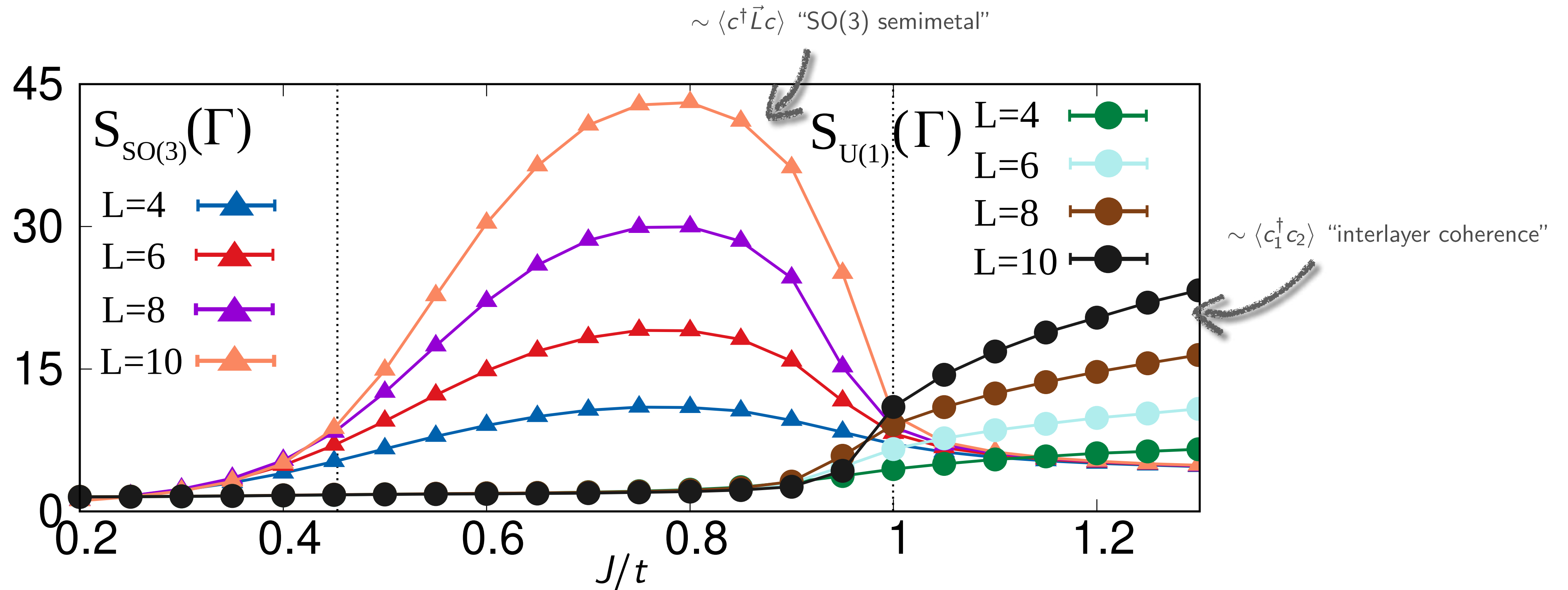
Hamiltonian:

$$H = -t \sum_{\langle ij \rangle} c_{i\lambda}^\dagger c_{j\lambda} - J \sum_i \left(c_{i\lambda}^\dagger \vec{L} \tau_{\lambda\lambda'}^z c_{i\lambda'} \right)^2$$



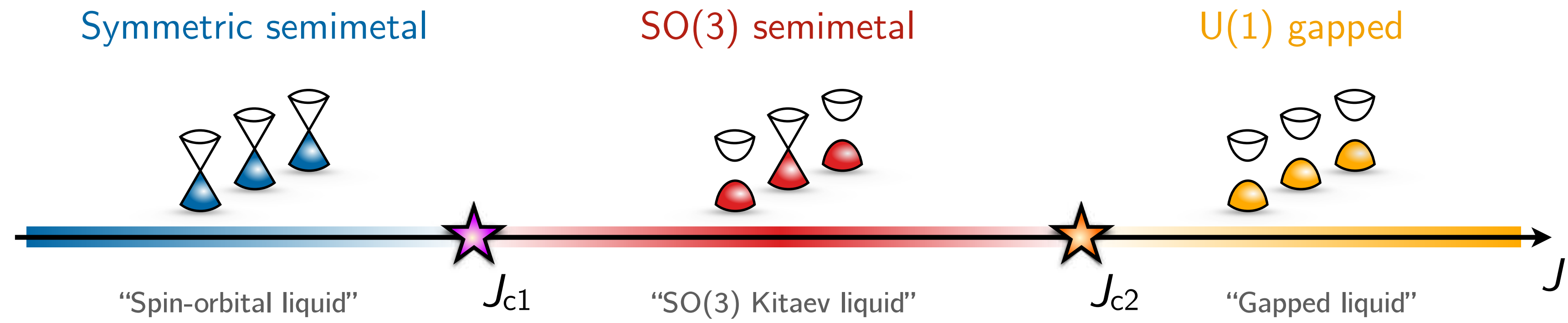
... with $SO(3) \times U_\lambda(1) \times U_c \times \mathbb{Z}_2$ symmetry

QMC structure factors:



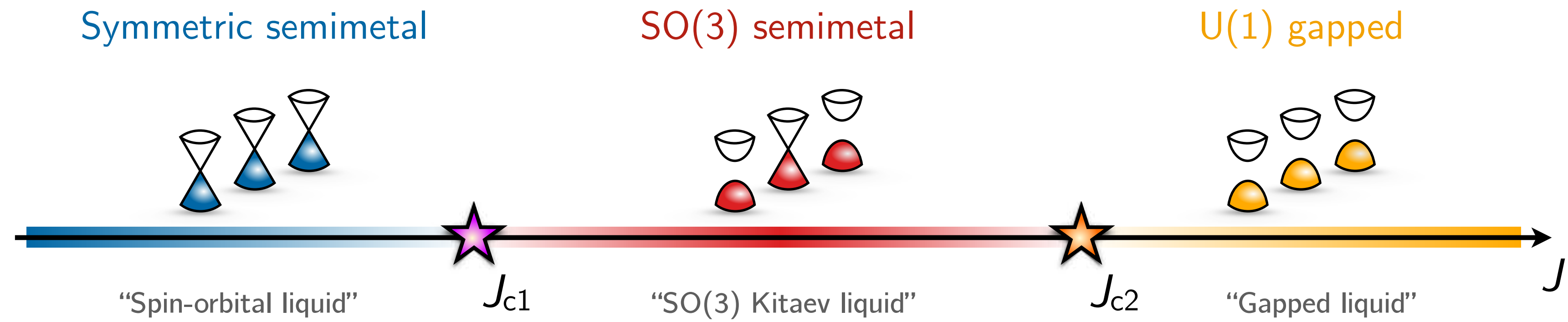
Sign-problem-free bilayer model

Phase diagram:

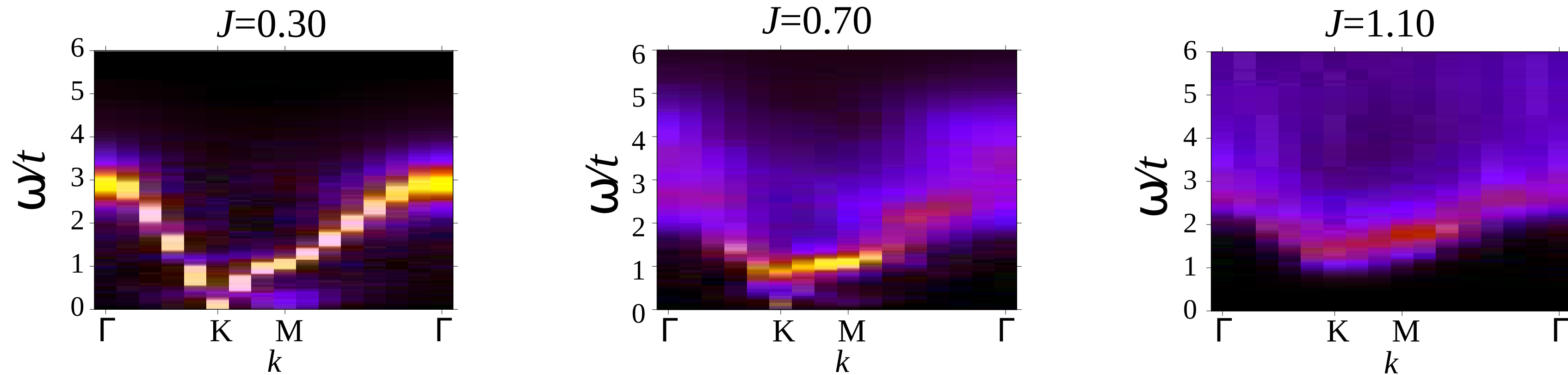


Sign-problem-free bilayer model

Phase diagram:

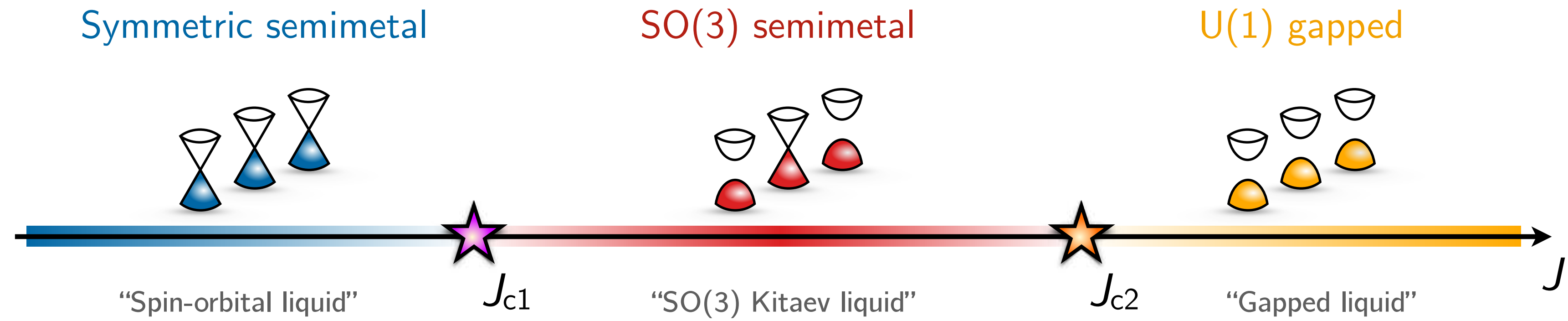


Fermion spectral function:

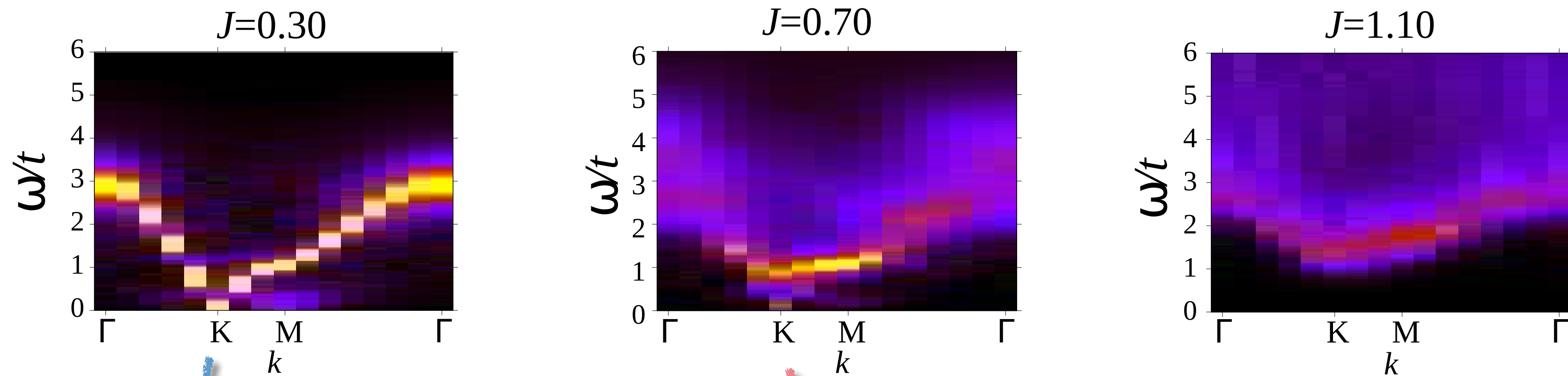


Sign-problem-free bilayer model

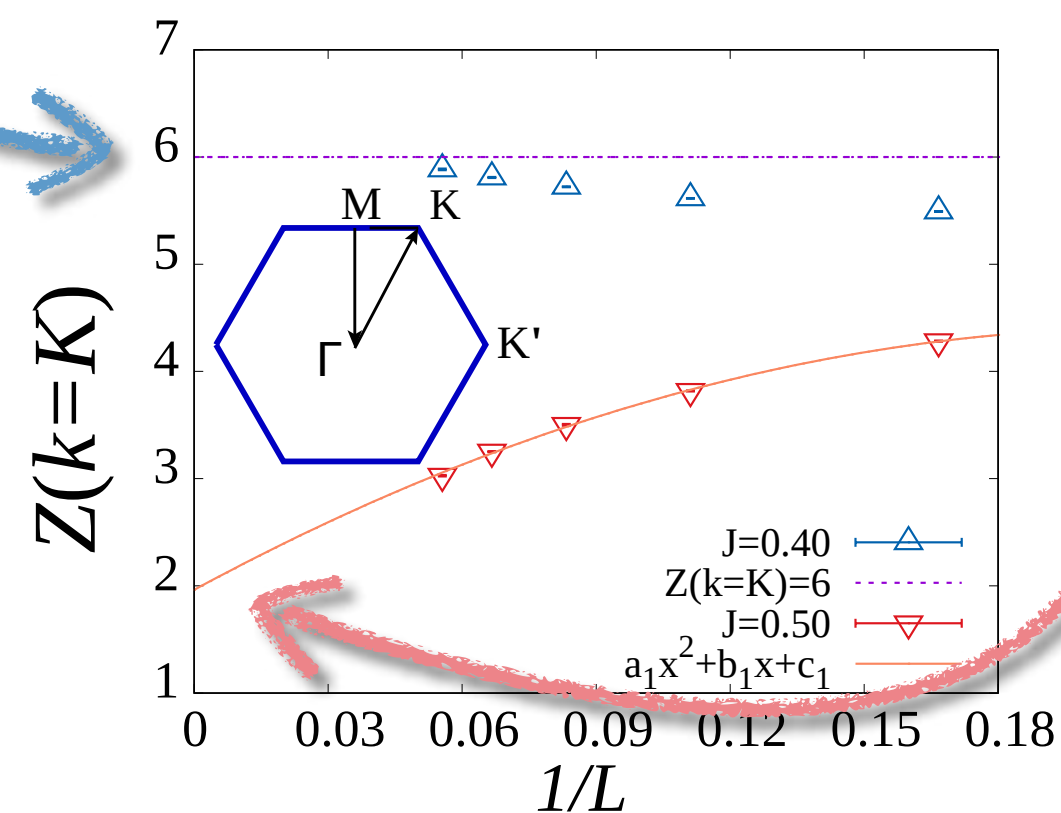
Phase diagram:



Fermion spectral function:

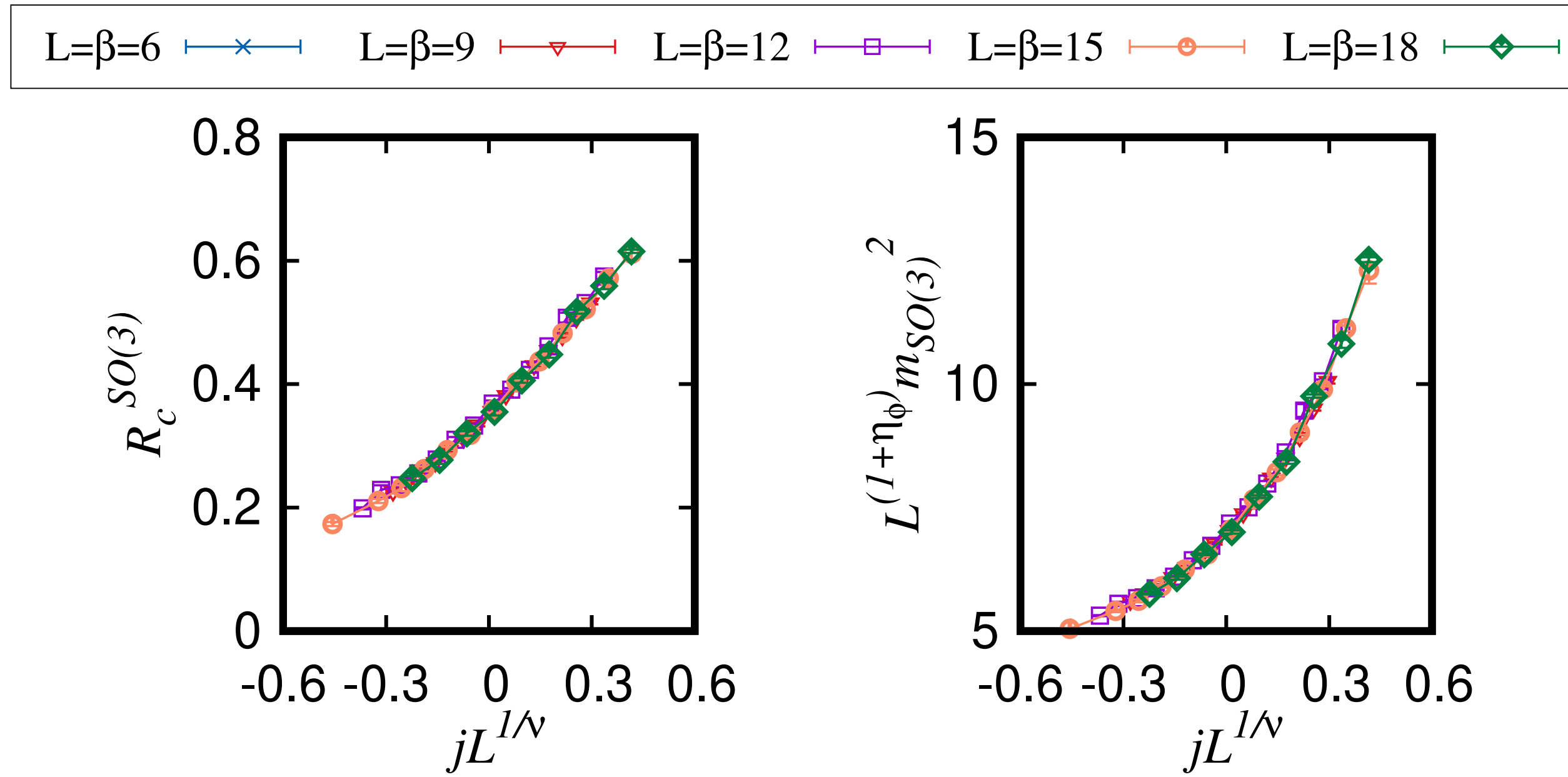


Quasiparticle weight:



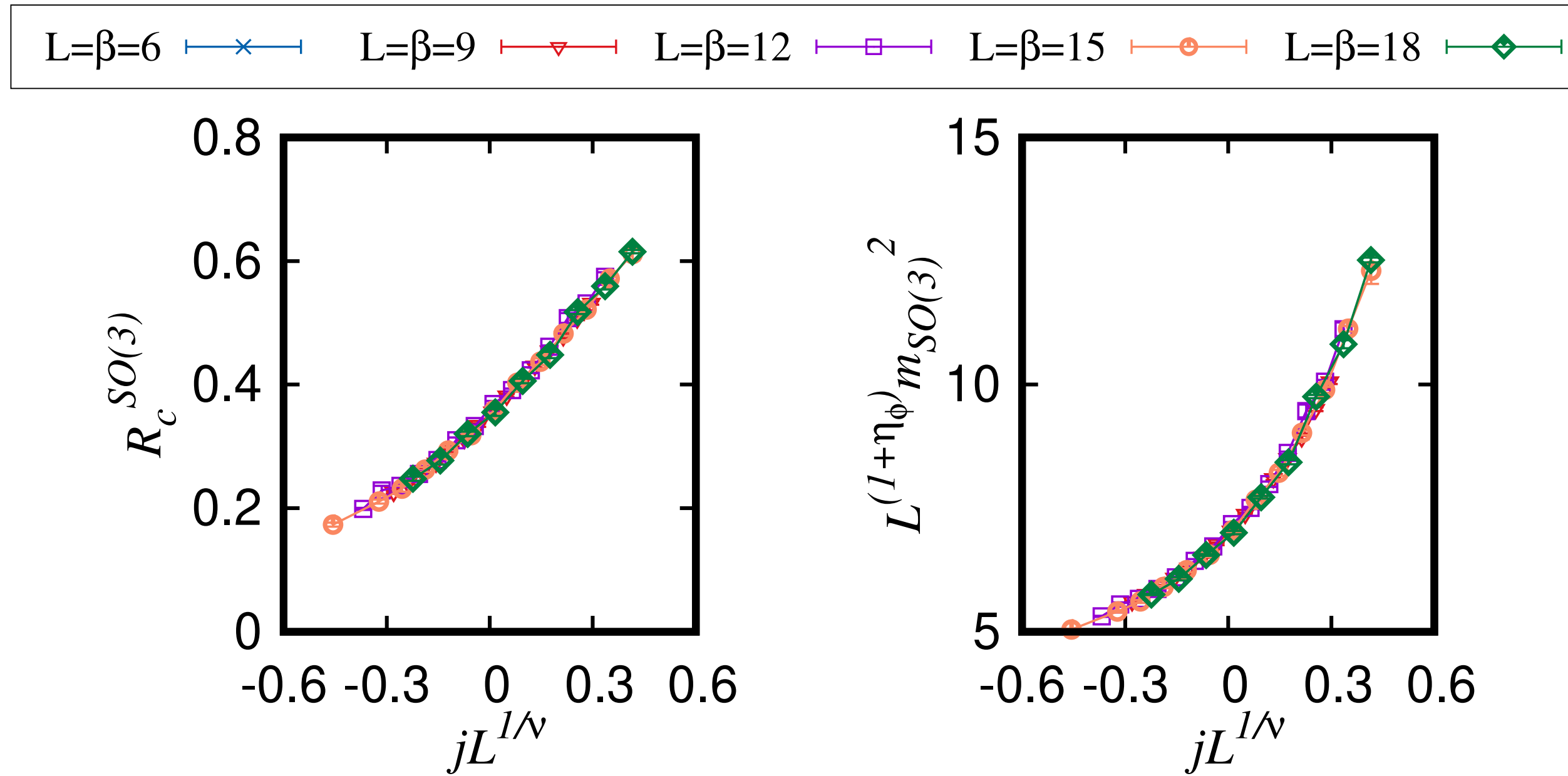
Gross-Neveu-SO(3) transition at J_{c1}

Scaling collapse:

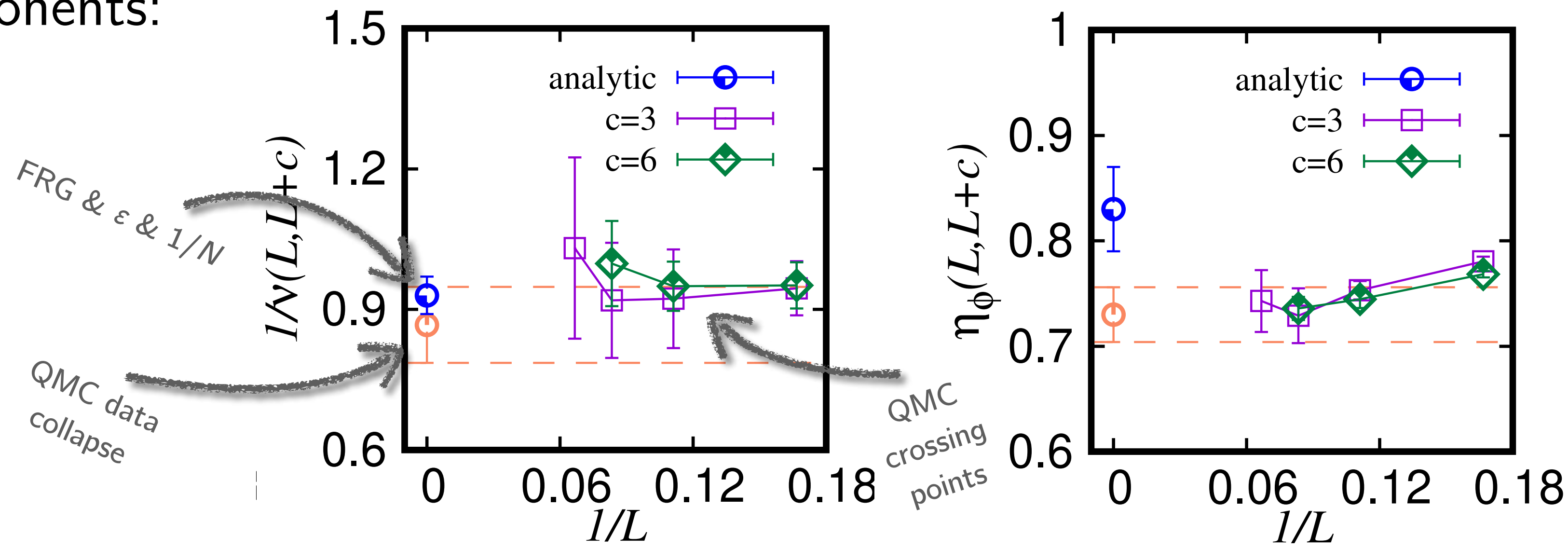


Gross-Neveu-SO(3) transition at J_{c1}

Scaling collapse:



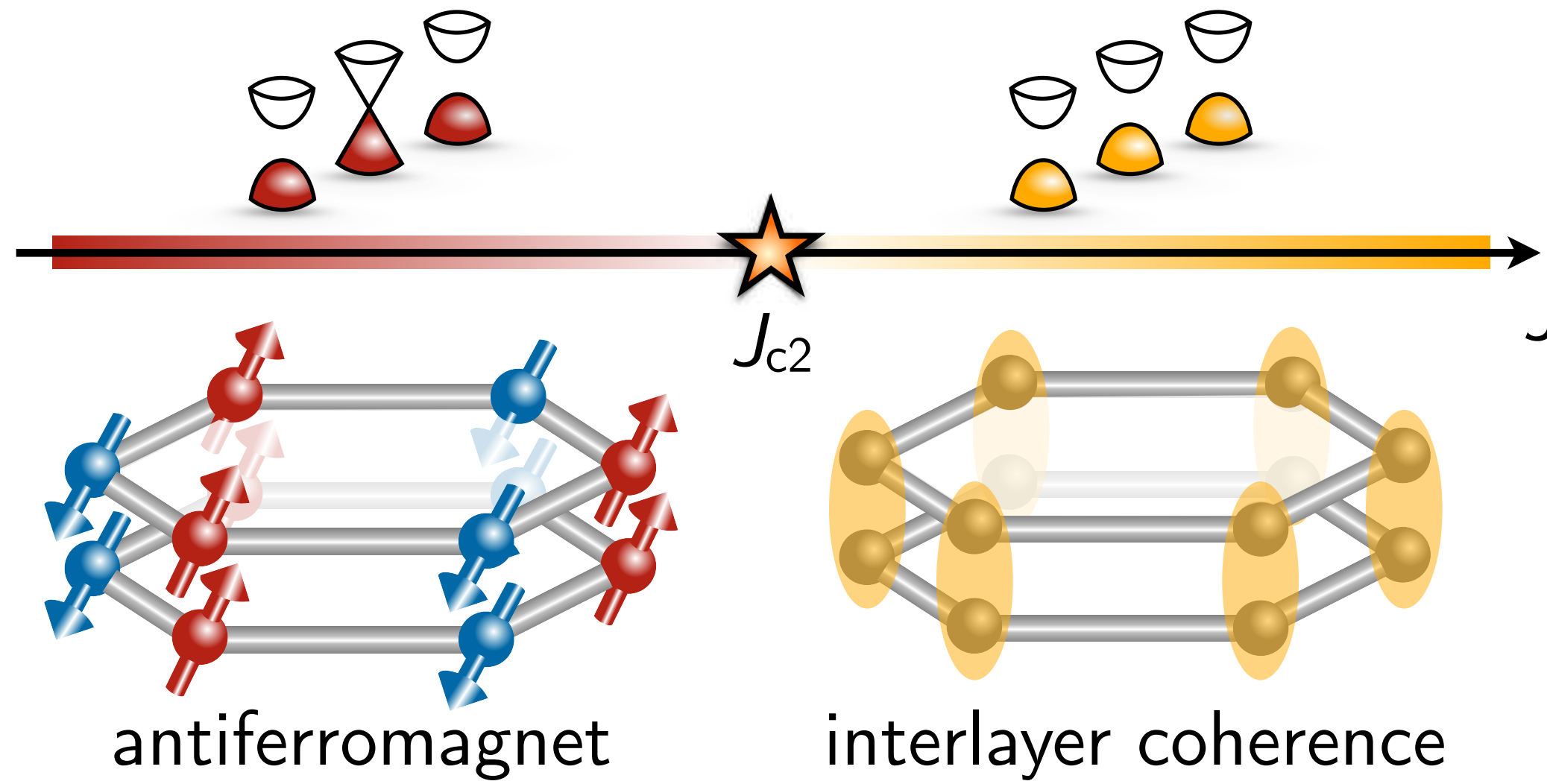
Critical exponents:



[Liu, Vojta, Assaad, LJ, PRL '22]
[Liu, Vojta, Assaad, LJ, in preparation]

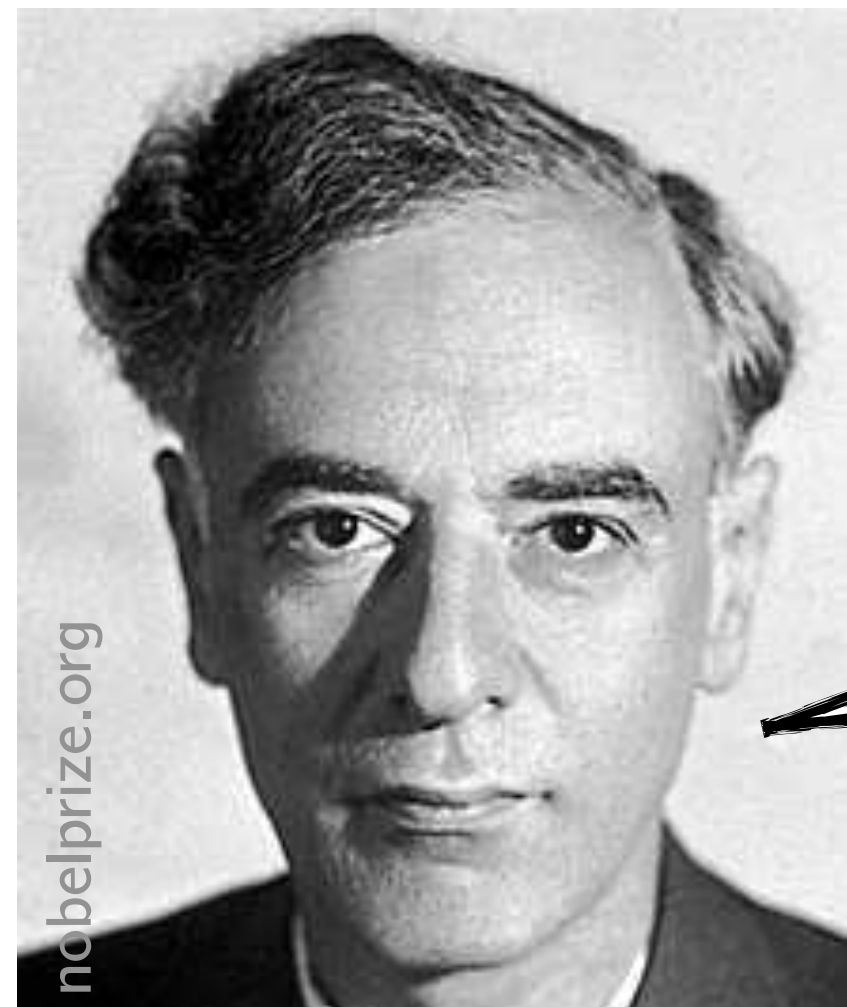
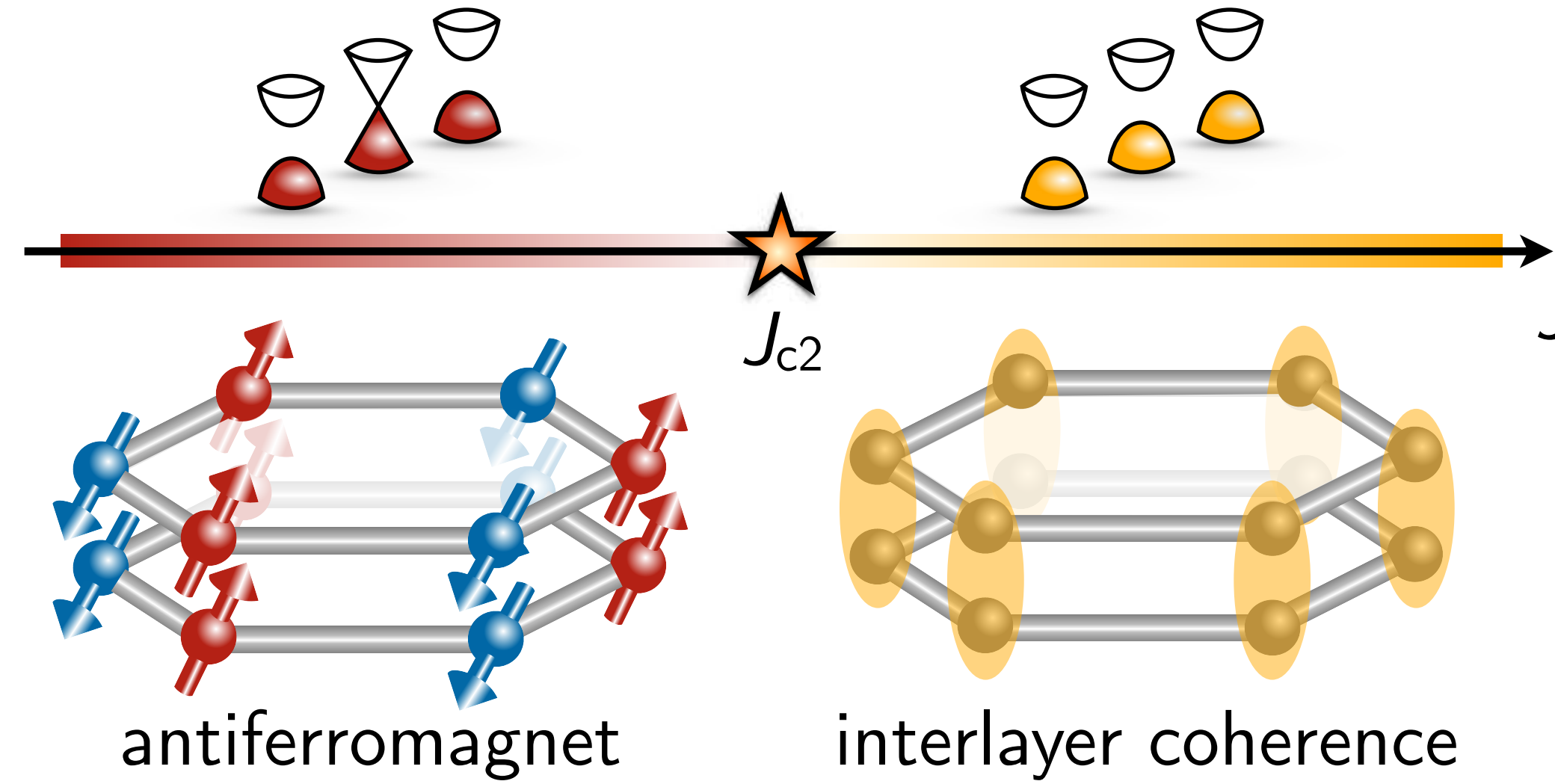
SO(3)-U(1) transition at J_{c2}

Competing orders:

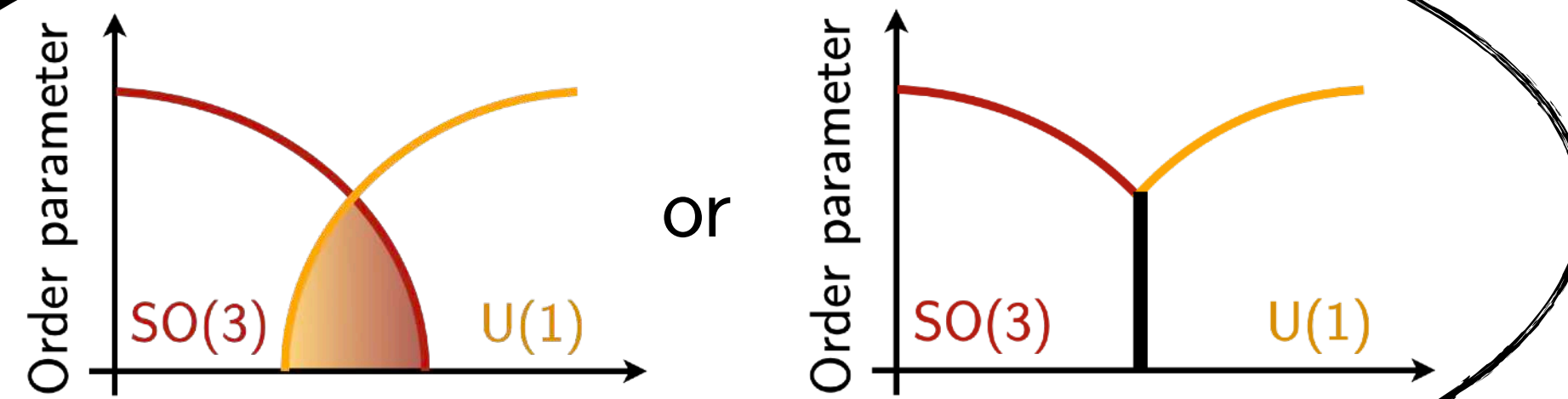


SO(3)-U(1) transition at J_{c2}

Competing orders:

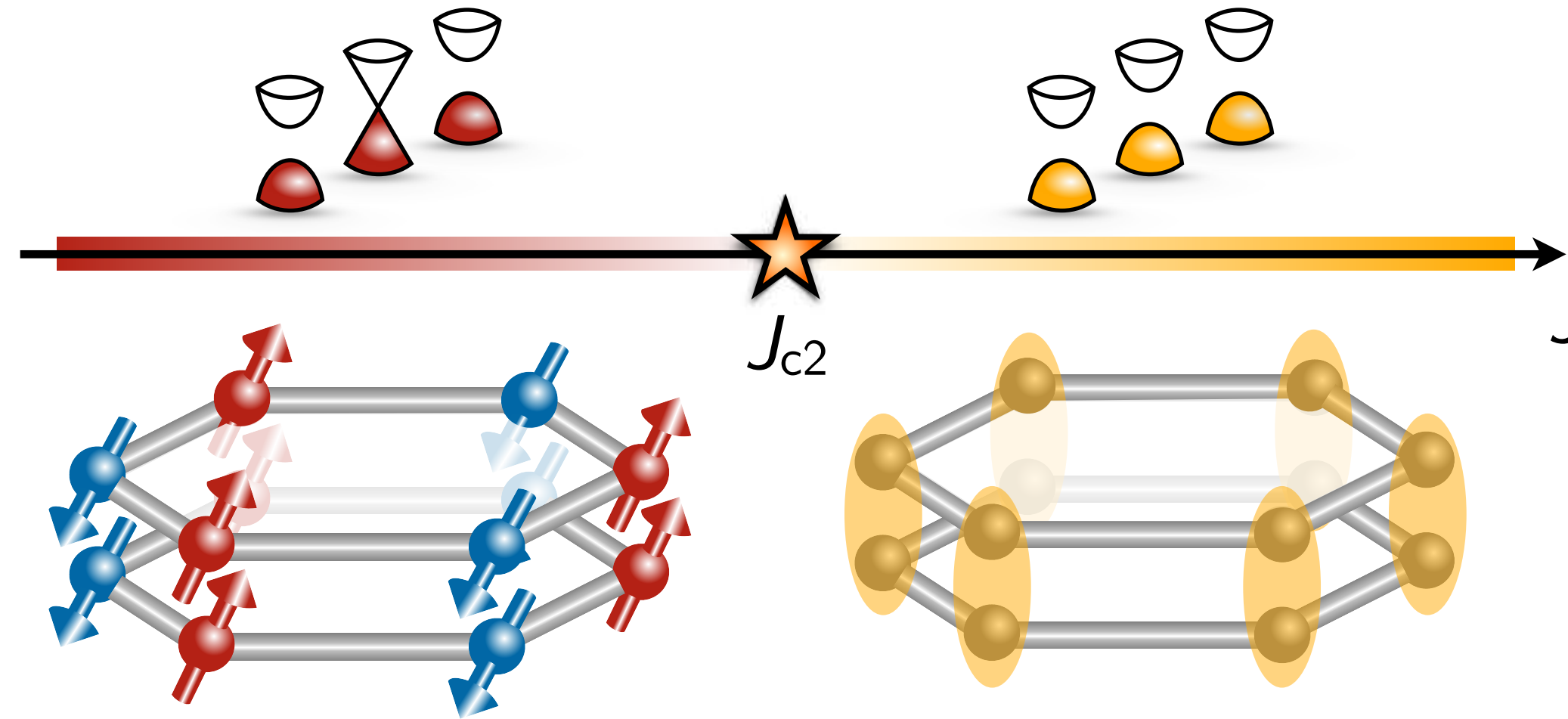


Landau

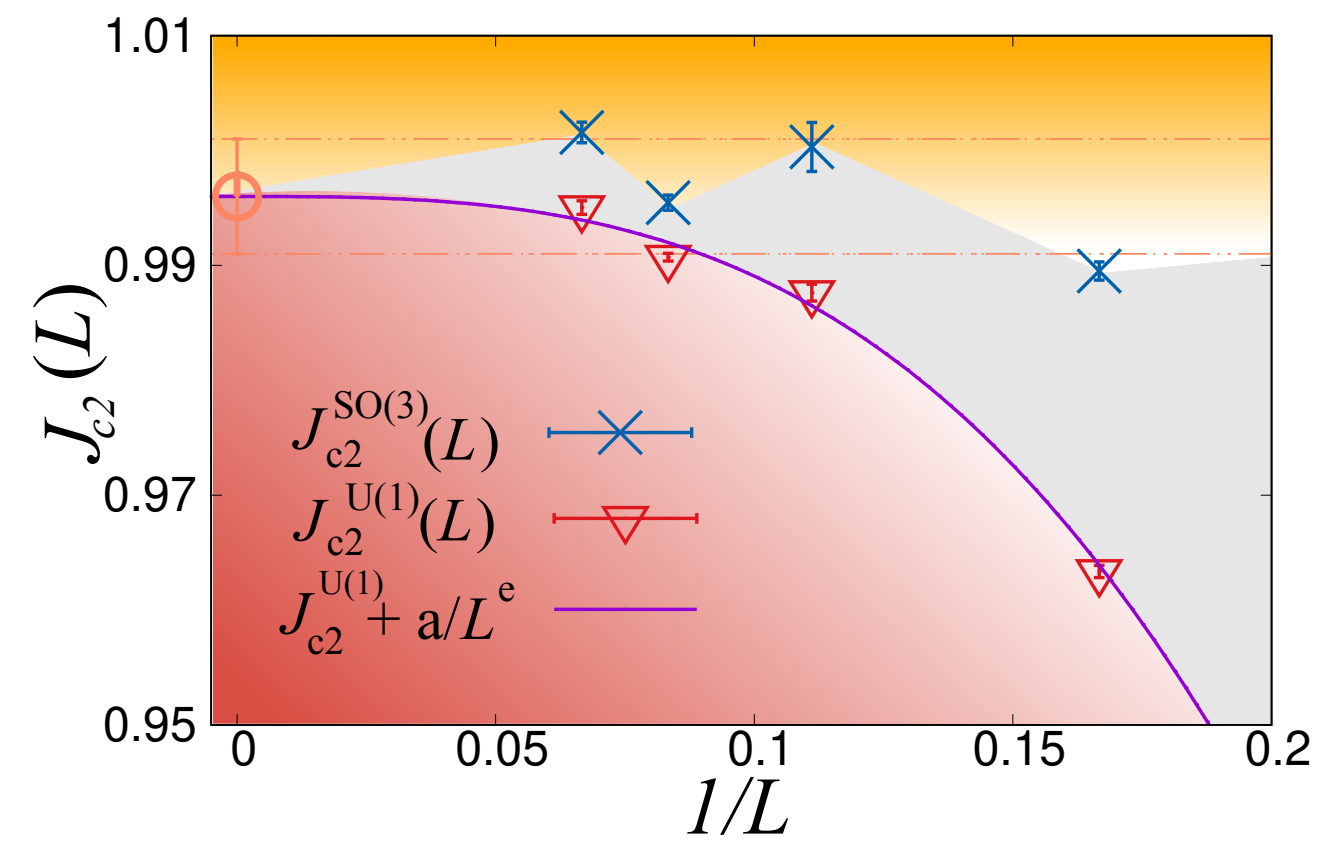


SO(3)-U(1) transition at J_{c2}

Competing orders:

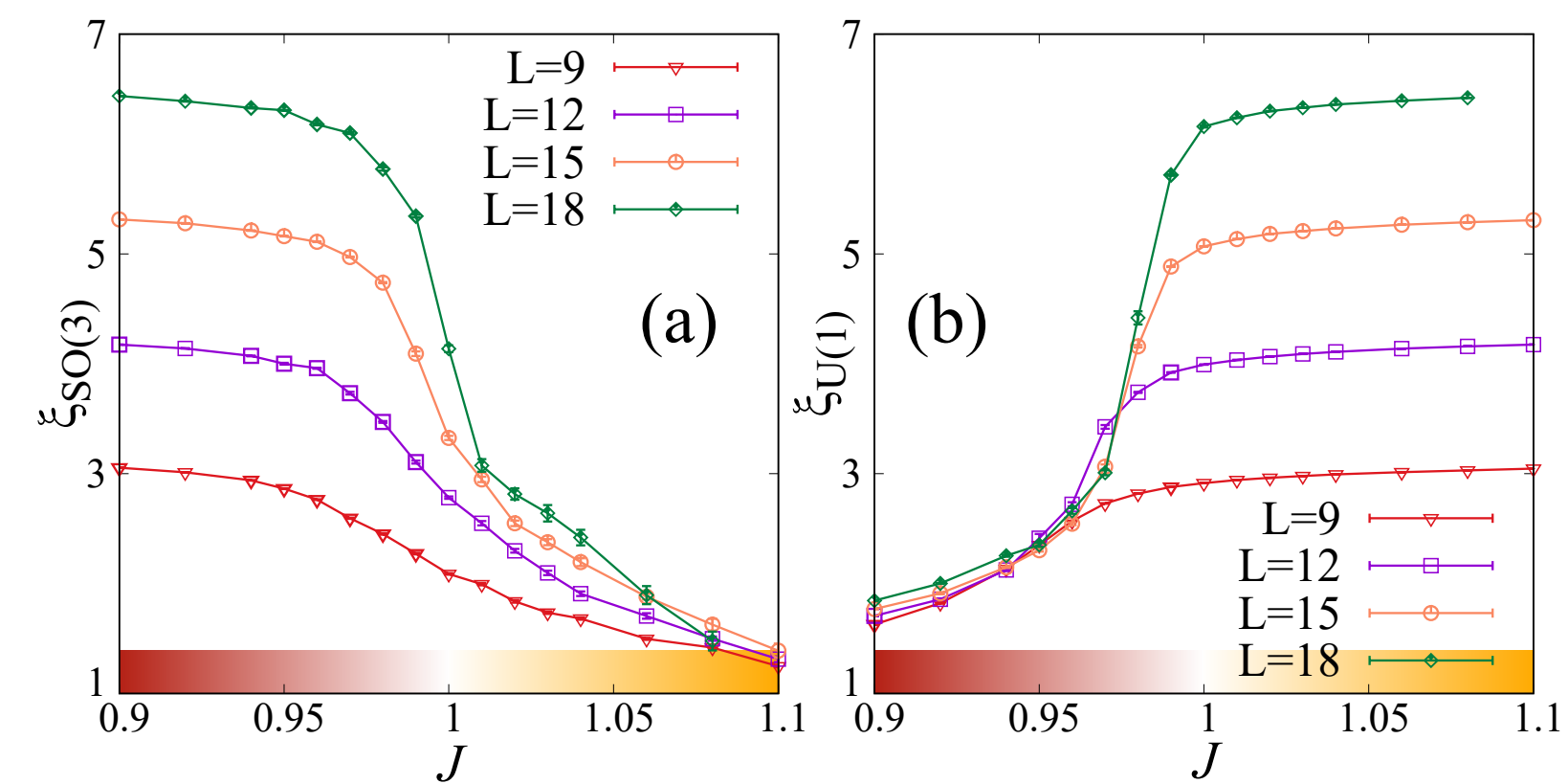


Quantum Monte Carlo:



direct ...

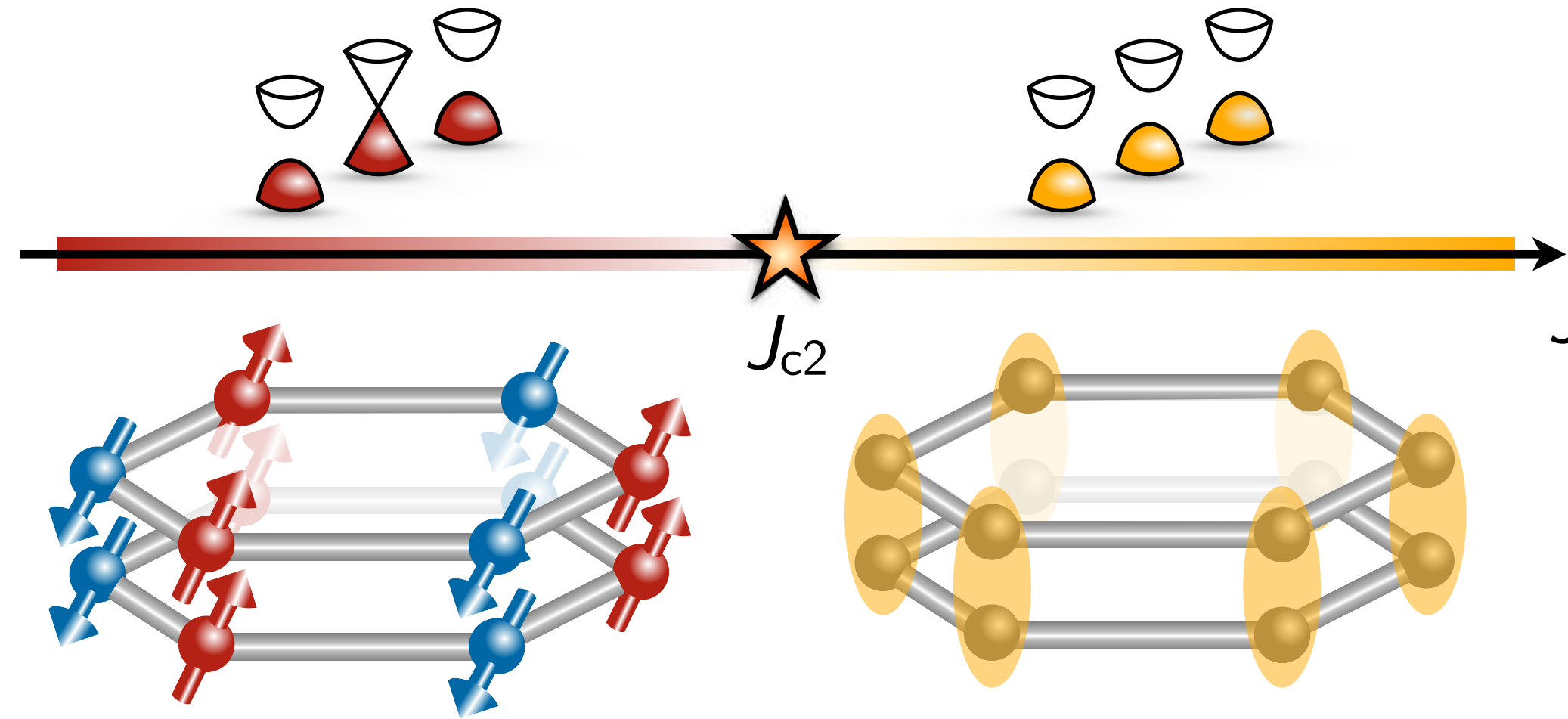
&



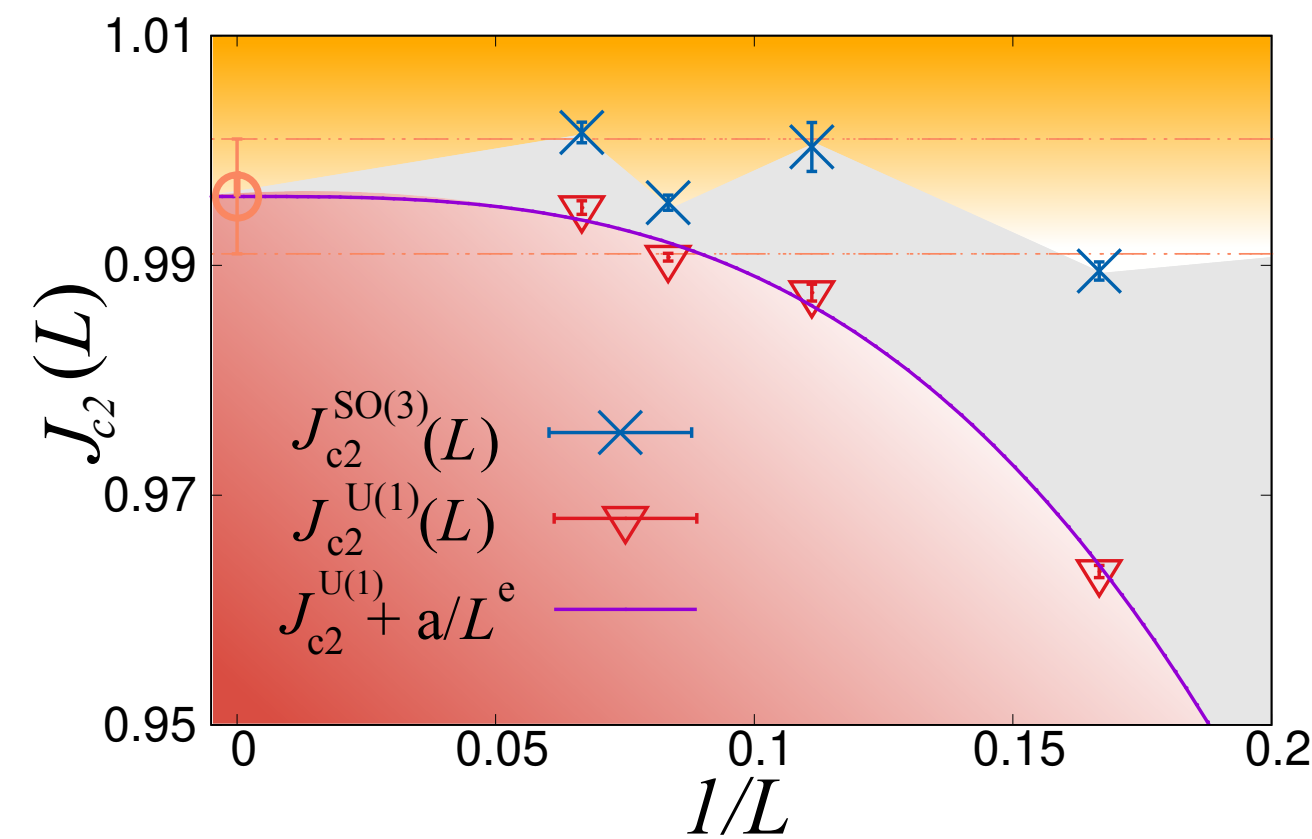
... continuous

SO(3)-U(1) transition at J_{c2}

Competing orders:



Quantum Monte Carlo:

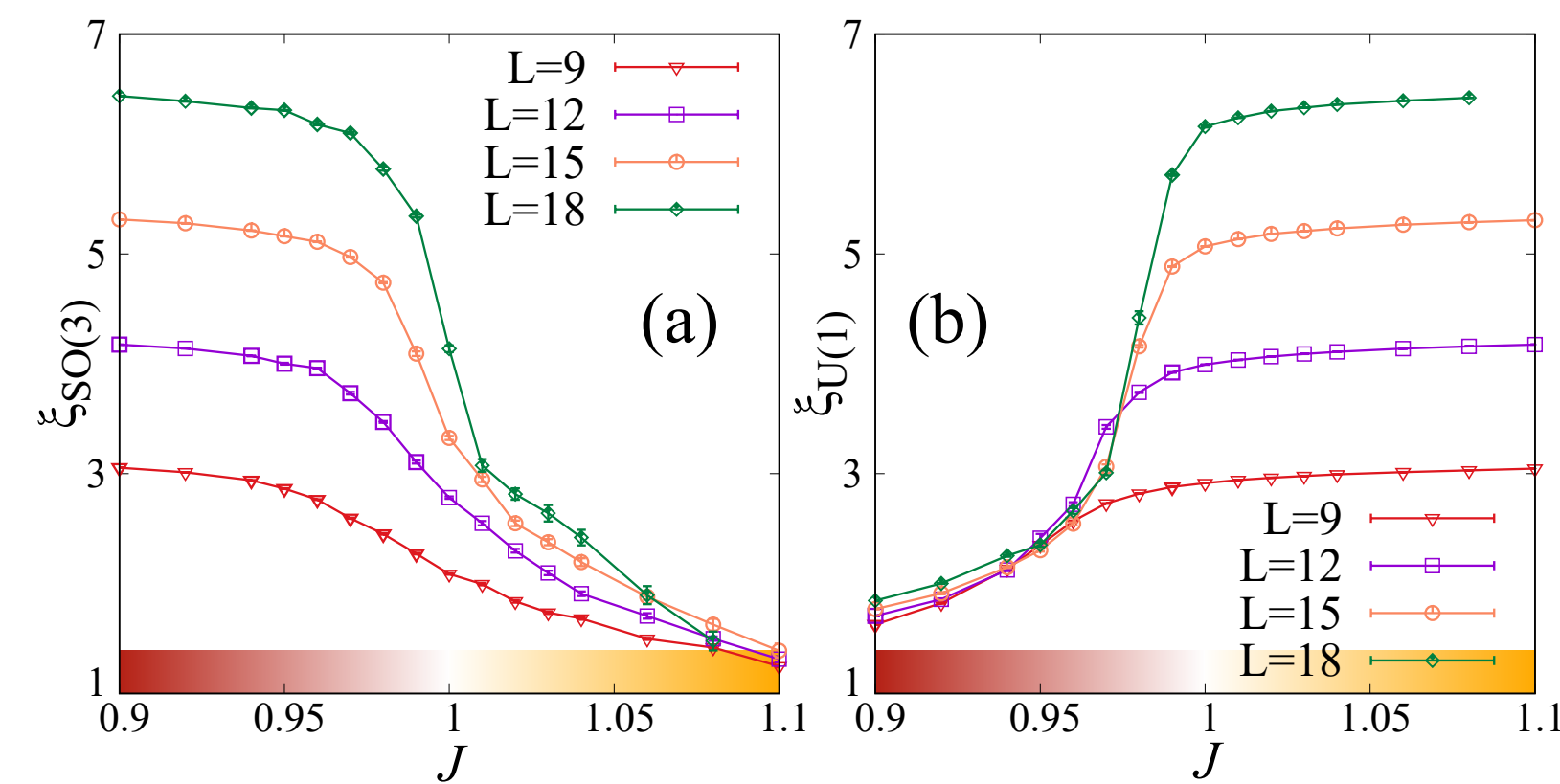


direct ...

&

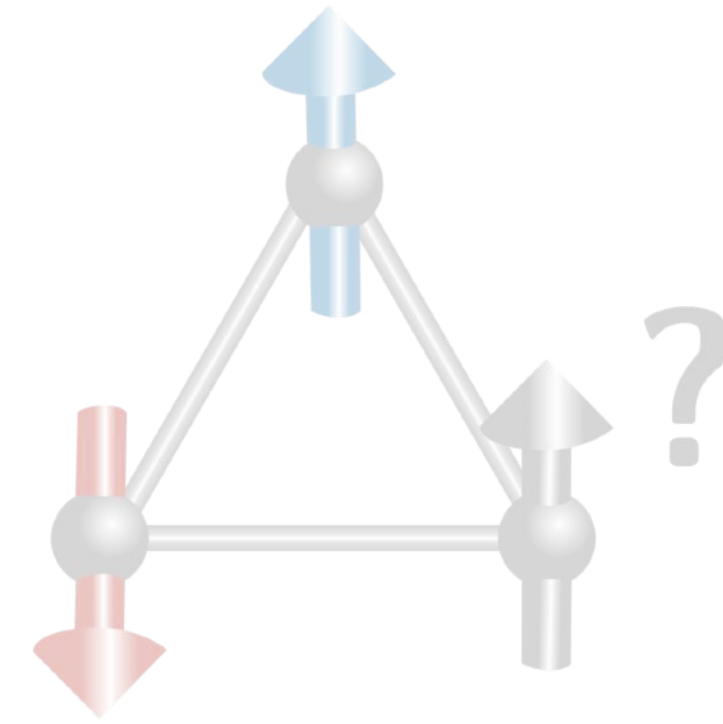
... continuous

➡ Metallic deconfined quantum critical point!

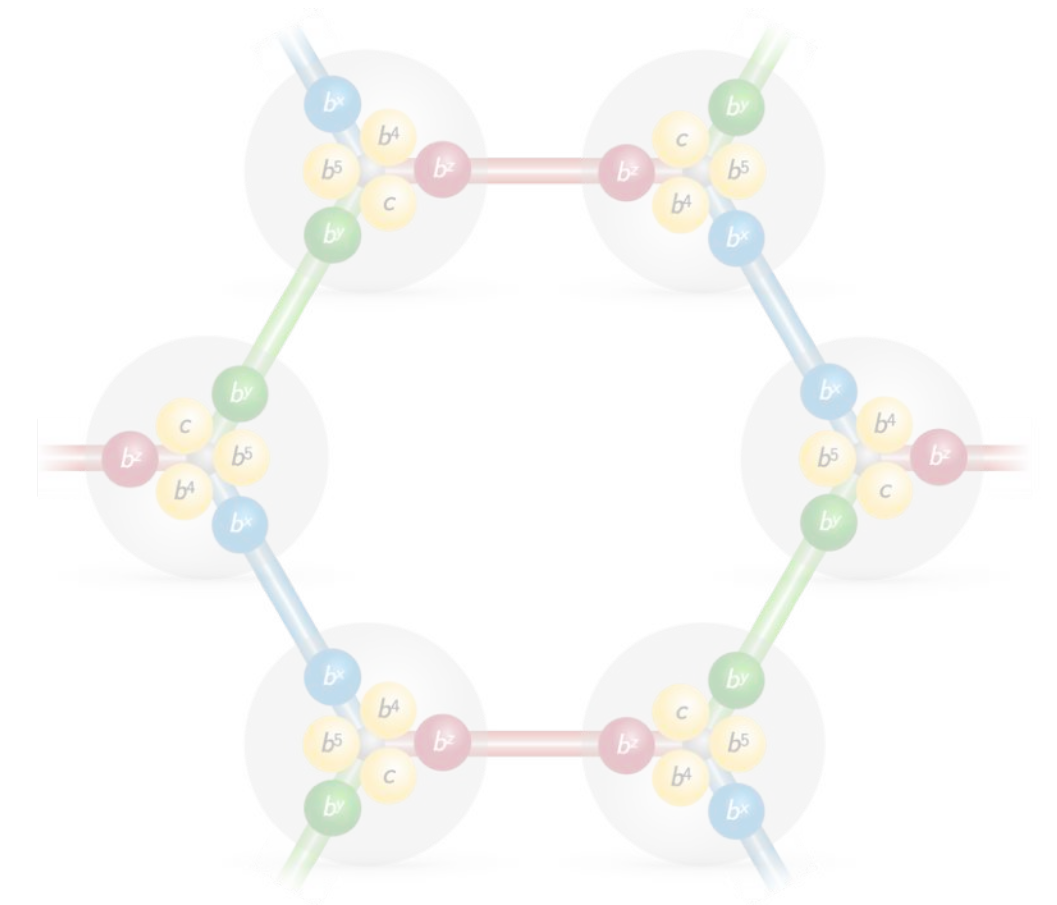


Outline

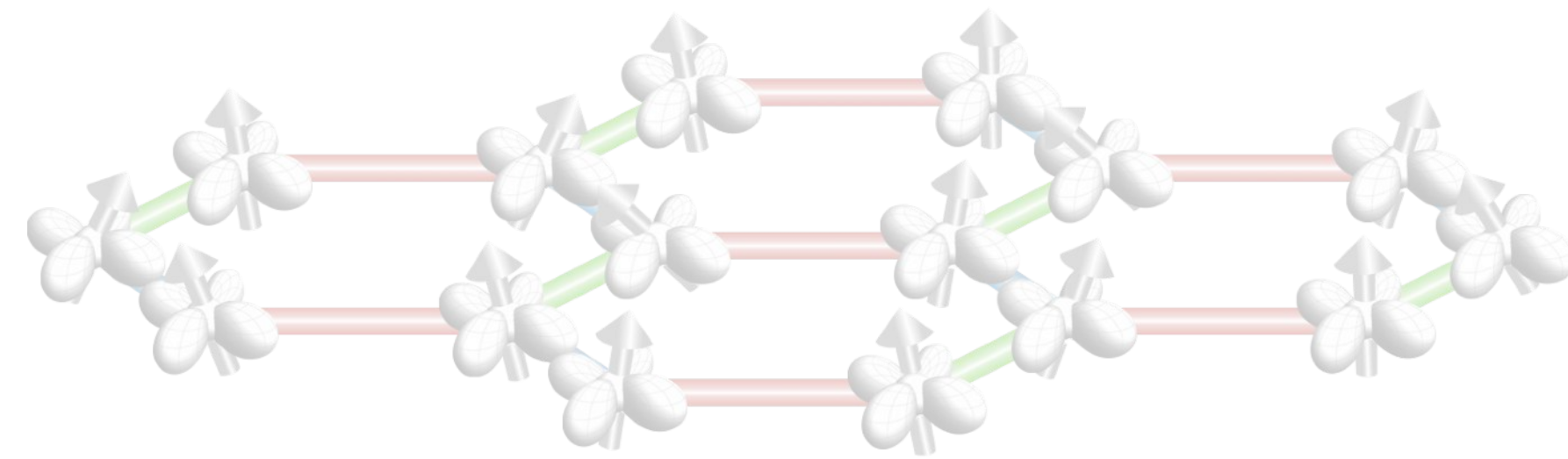
(1) Introduction



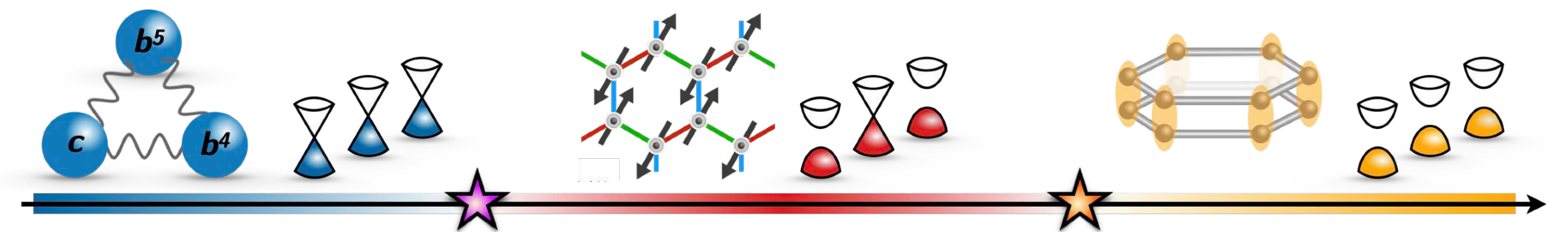
(2) Kitaev spin-1/2 model



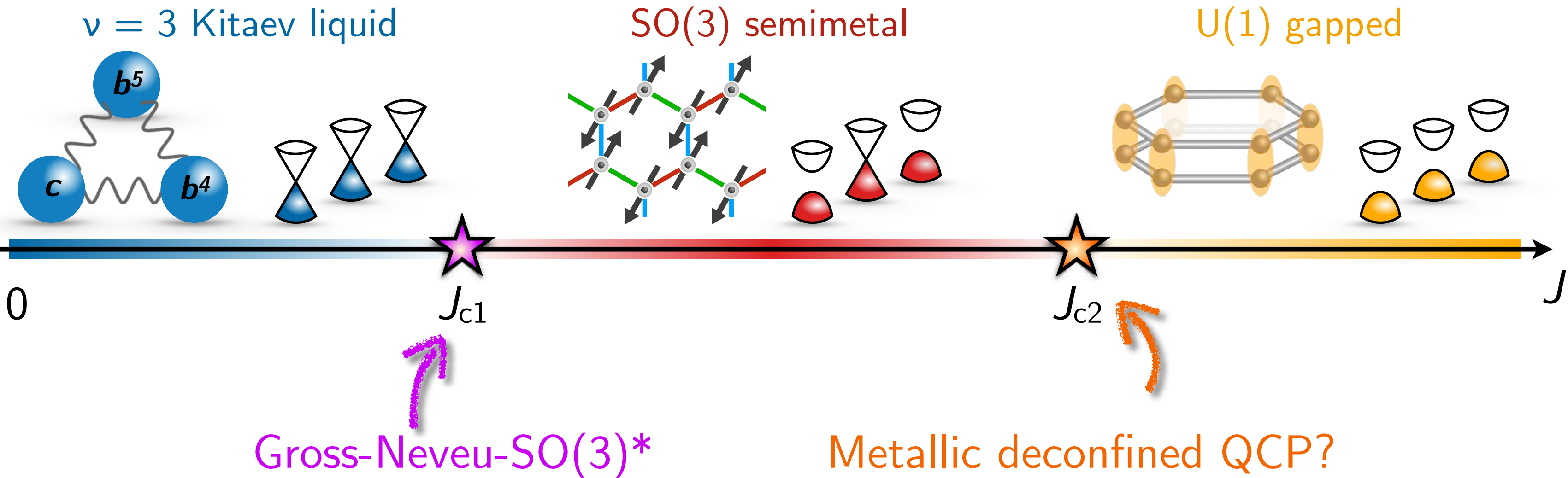
(3) Kitaev-Heisenberg spin-orbital model



(4) Conclusions



Conclusions

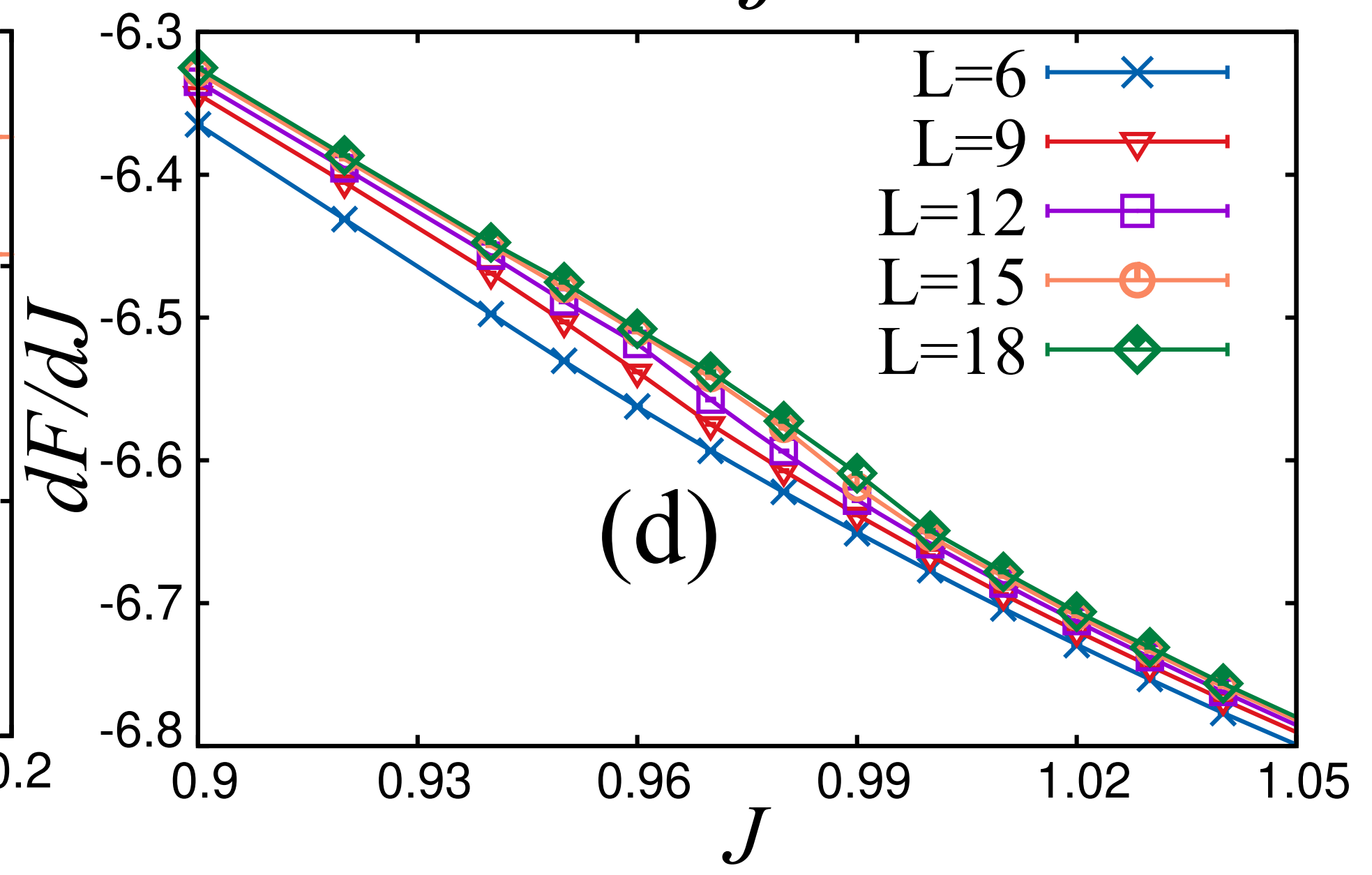
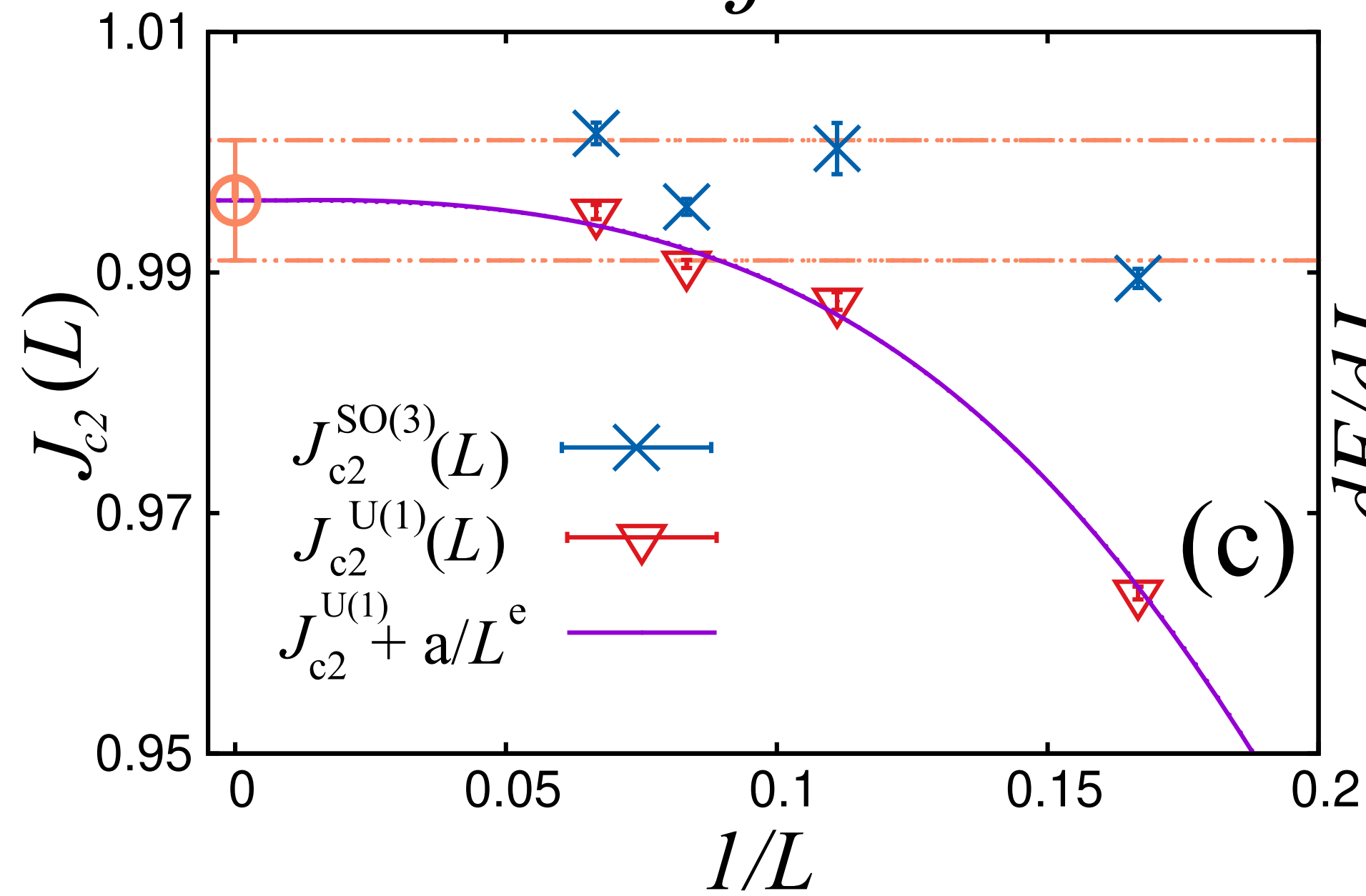
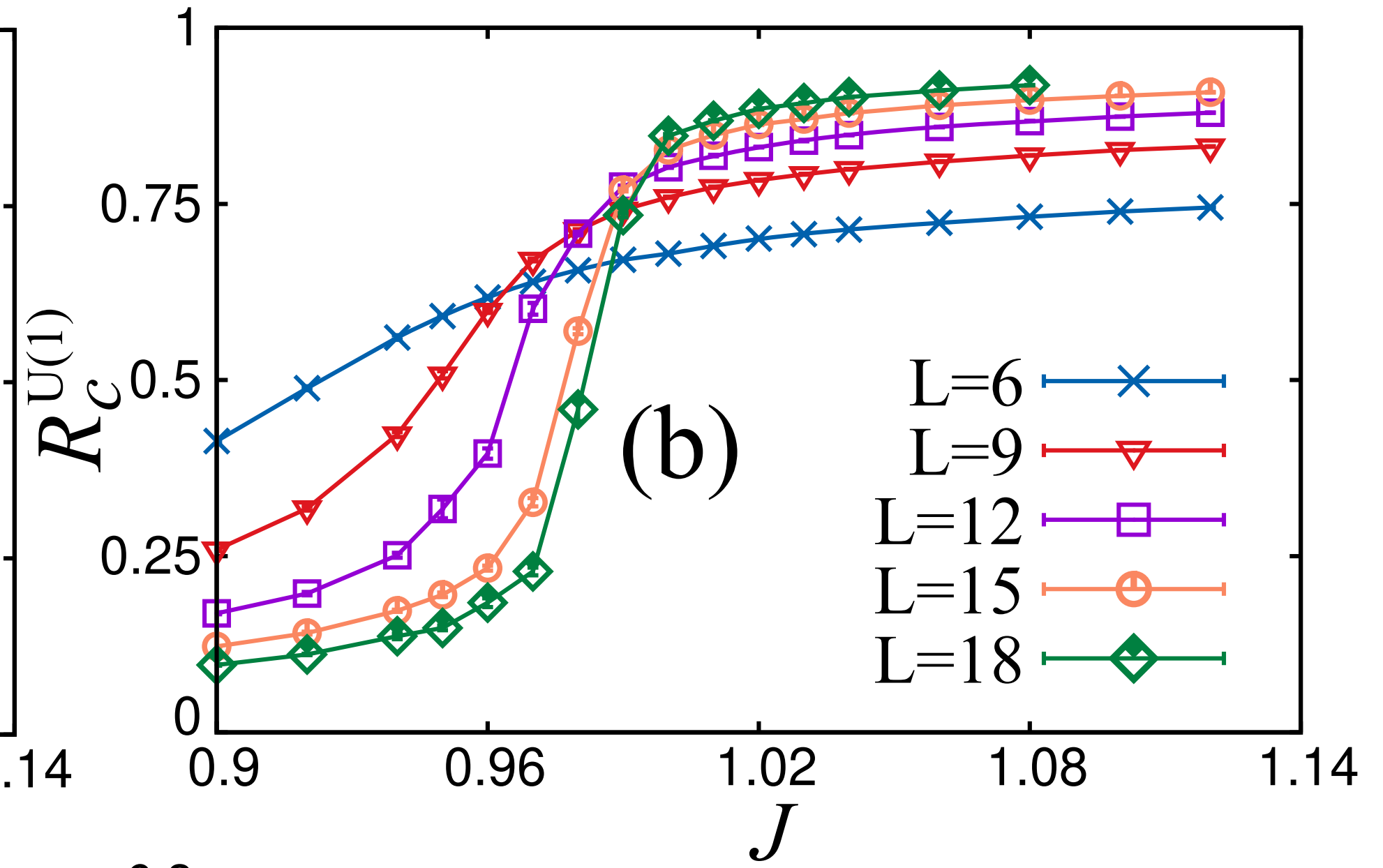
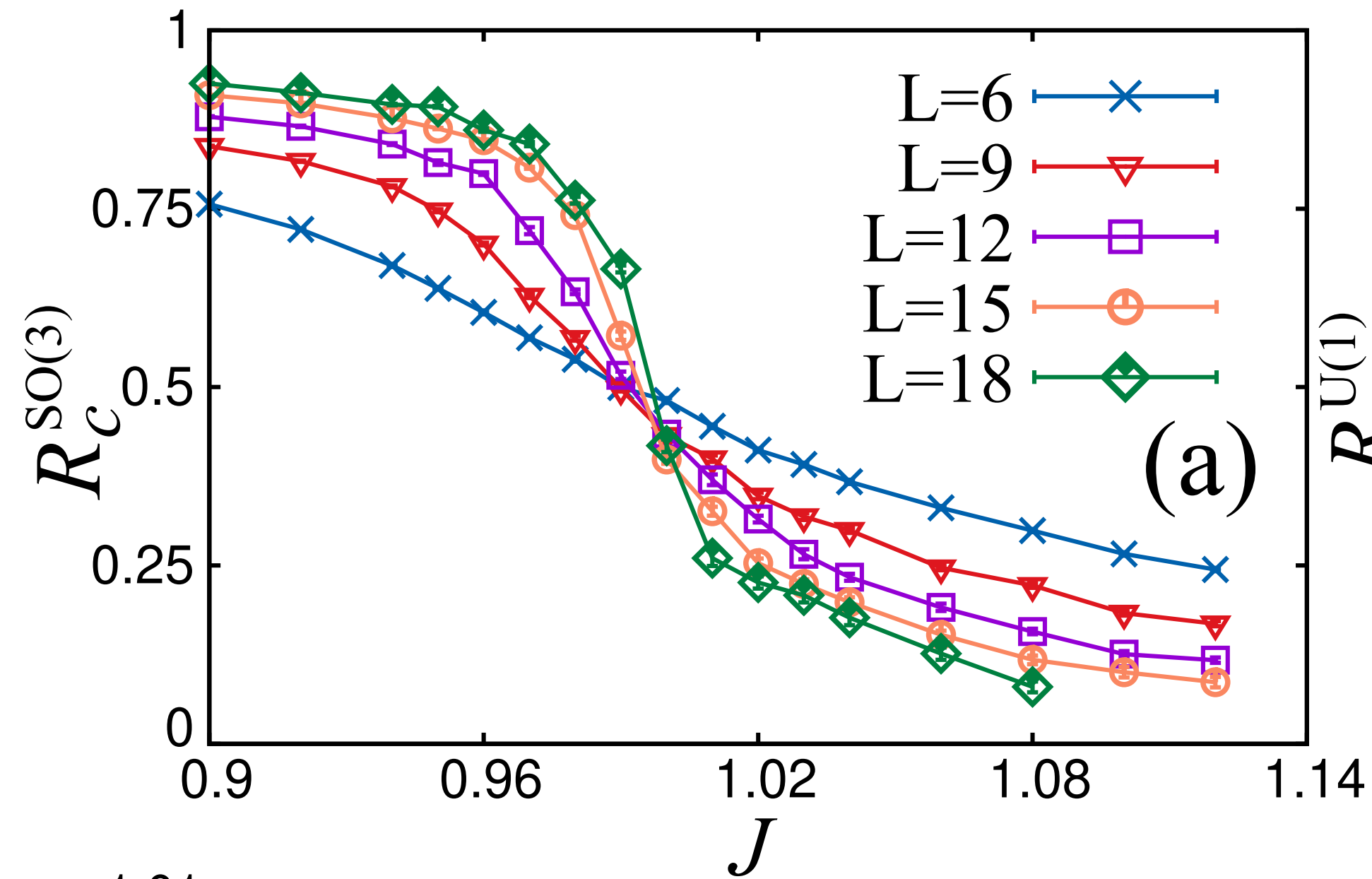


[Seifert, Dong, Chulliparambil, Vojta, Tu, LJ, PRL '20]

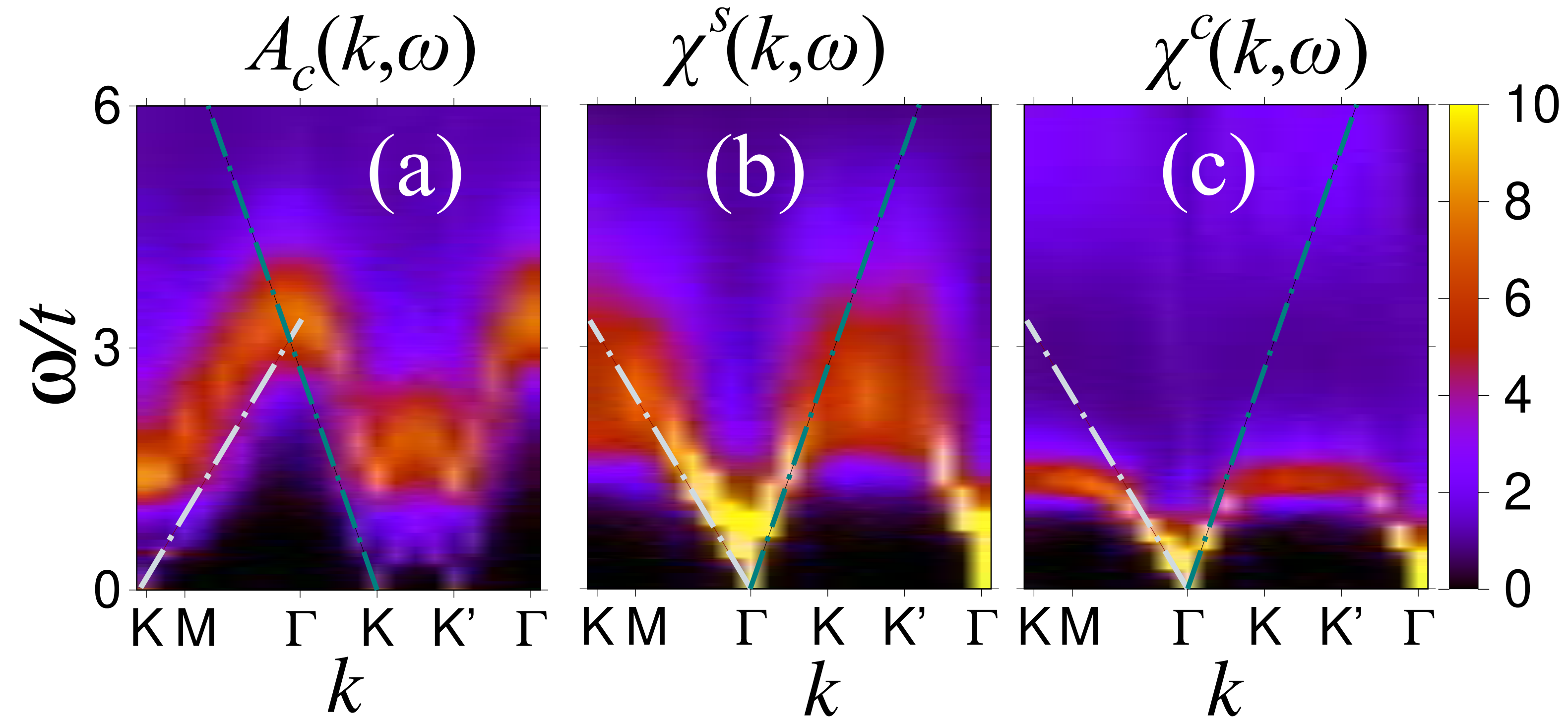
[Ray, Ihrig, Kruti, Gracey, Scherer, LJ, PRB '21]

[Liu, Vojta, Assaad, LJ, PRL '22]

SO(3)-U(1) transition at J_{c2} : Correlation ratios



SO(3)-U(1) transition at J_{c2} : Spectral functions



\Rightarrow Single “velocity of light”

\Rightarrow Emergent Lorentz symmetry

Finite-temperature phase diagram

